

Joint 9th IDPASC SCHOOL and XXXI INTERNATIONAL SEMINAR of NUCLEAR and SUBNUCLEAR PHYSICS "Francesco Romano"

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Hadron physics and prospects for the Electron Ion Collider

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Inspired by lectures/talks of: Rolf Ent, Rik Yoshida, Jianwei Qiu, Abhay Deshpande, Daria Sokan

Practicalities

- 4 lectures (2x50mn on Tuesday and 2x50 on Thursday)
- Some 'exercises' distributed over the text. We can discuss them in the questions-time

A red-border rectangle covers the text with the request of deriving some results

• Ask questions and interrupt me whenever you want

Plan for lectures

- Are hadrons elementary particles?
- Elastic electron scattering
 - Early experiments
 - Form factors and new measurements
- There quark model of hadrons
- Inclusive electron scattering
 - Early experiments
 - Parton model
- From ID to femto-tomography
- The Electron Ion Collider
 - The physics case
 - EIC options

Not talking about

- Baryon/meson spectroscopy
- Gluons and confinement
- Mass of hadrons
- Proton radius
- Exotic hadrons
- Reaction Amplitudes
- Lattice QCD
- The spin of the nucleon
- Hadron/parton duality
- Correlations in nuclei
- ... many other open questions in hadron physics

Early proliferation of new hadrons – "particle explosion":



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Early proliferation of new hadrons – "particle explosion":



Particles are 'elementary'?

Elementary = not substructure (not made by smaller pieces, no internal dynamics) but, how to look inside a particle?



Ordinary instruments are a million billion times too big!

We can use electromagnetic interaction (light!)





Scales of resolution – an elephantine analogy

Lyuba, baby mamoth found in Siberia, imaged with visible light...

International Mammoth Committee



 $Q^2 \sim MeV^2$



Scales of resolution – an elephantine analogy

Lyuba, baby mamoth found in Siberia, imaged with visible light... ... and X-rays.

International Mammoth Committee

Equivalent wavelength of the probe:



 $O^2 \sim MeV^2$

Q² >> GeV²

λ ≈ --

What you see depends on what you use to look...

The 2D spatial image

Lepton (eg: electron, neutrino) scattering off a nucleon reveals different aspects of nucleon structure.

Elastic Scattering







Cross-section parameterised in terms of Form Factors (Pauli, Dirac, axial, pseudoscalar)

Transverse quark distributions: charge, magnetisation.



Elastic electronproton scattering: Rosenbluth

PHYSICAL REVIEW

VOLUME 79, NUMBER 4

High Energy Elastic Scattering of Electrons on Protons

M. N. ROSENBLUTH Stanford University, Stanford, California (Received March 28, 1950)

•Quantum Mechanics develops rapidly after 1924

1933: Proton's magnetic moment



Otto Stern

Nobel Prize 1943

$$\mu_p = g_p \left(\frac{e\hbar}{2m_p} \right)$$

 $g_p = 2.792847356(23) \neq 2!$

$$\mu_n = -1.913 \left(\frac{en}{2m_p}\right) \neq 0! \quad -$$

•QED becomes fully mature by late 1940's

•1950, Rosenbluth writes down the general cross-section for elastic electron-proton scattering.

FIG. 1. Diagram for the elastic scattering of a physical proton and a physical electron. (The letter "q" with the bar through it in this figure is the same as the German letter, q, used in the text.)

$$\vec{P}_{3} = \vec{P}_{4} + \frac{\kappa' e'}{2M} \begin{bmatrix} \underline{A} \gamma_{\mu} - \gamma_{\mu} \underline{A} \\ 2 \end{bmatrix} = \vec{P}_{2}$$

AUGUST 15, 1950

$$\sigma_{p}(\theta) = \sigma_{\rm NS} \left\{ 1 + \frac{q^{2}}{4M^{2}} \left[2(1+\mu)^{2} \tan^{2}\frac{1}{2}\theta + \mu^{2} \right] \right\}$$

where

and

 $\sigma_{\rm NS} = \frac{e^4}{1} \frac{\cos^2 \frac{1}{2}\theta}{1} \frac{1}{1 + (2\pi)(10)}$

$$4E^2 \sin^{4\frac{1}{2}\theta} 1 + (2E/M) \sin^{2\frac{1}{2}\theta}$$

$$q = \frac{2}{\lambda} \frac{\sin \frac{1}{2}\theta}{\left[1 + (2E/M) \sin^{2} \frac{1}{2}\theta\right]^{\frac{1}{2}}}.$$

eP Elastic Cross-Section

Scattering of a relativistic electron from a spin ½ point-like "proton"



Taking the extended size of the proton into account

$$\frac{d\sigma}{d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4 \theta/2} \frac{E_3}{E_1} \left(\frac{G_E^2 + \tau G_M^2}{(1+\tau)} \cos^2 \frac{\theta}{2} + 2\tau G_M^2 \sin^2 \frac{\theta}{2} \right) \qquad \tau = -\frac{q^2}{4M^2} > 0$$

Rosenbluth Formula

Note: for fixed $\underline{\Theta}$, E_3 (scattered e energy) fixed

e-

 p_1

 $q = (p_1 - p_3) p_3$

eP Elastic Cross-Section



Scattering of a relativistic electron from a spin ½ point-like "proton"



First evidence of elastic electron-Nucleus scattering



First evidence of elastic electron-Nucleus scattering







from that point on? One can only guess at future problems and future progress, but my personal conviction is that the search for ever-smaller and ever-more-fundamental particles will go on as Man retain the curiosity he has always demonstrated"

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from the Nobel lecture, 1961

First determinations of the proton's form factors

 Q^2 (fermi)⁻²

Jefferson Lab



* Primary Beam: Electrons

* Beam Energy: 4 GeV (original)

 $10 > \lambda > 0.1$ fm nucleon \rightarrow quark transition baryon and meson excited states

* 100% Duty Factor (cw) Beam

coincidence experiments

Three Simultaneous Beams with Independently Variable Energy and Intensity

* complementary, long experiments

* Polarization (beam and reaction products)

spin degrees of freedom

weak neutral currents





High Resolution Spectrometers (HRS)

- Resolution 1x10-4 FWHM
- Large momentum range (0.3-4.3 GeV, 0.3-3.3 GeV)
- Max luminosity 10³⁸cm⁻²s⁻¹
- Proton Polarimeter

L > 10⁶ x SLAC at the time of the original DIS experiments!

Elastic form factors using polarisation

- Measurement of ep elastic scattering with polarised electron and polarised protons
- Polarization observables are sensitive to $G_{\text{E}}/G_{\text{M}}$



- Longitudinally polarised electrons on unpolarized proton
- Observable: ratio of polarisation components (par and perp) measured in a polarimeter

$$I_0 P_t = -2\sqrt{\tau(1+\tau)}G_E G_M \tan\frac{\theta_e}{2},$$

$$I_0 P_{\ell} = \frac{1}{M} \left(E_e + E'_e\right)\sqrt{\tau(1+\tau)}G_M^2 \tan^2\frac{\theta_e}{2}$$

$$I_0 \propto G_E^2 + \frac{\tau}{\epsilon} G_M^2$$

- Longitudinally polarised electrons on polarised proton
- Observable: beam-spin asymmetry obtained flipping the e- helicity

 $\frac{2\sqrt{\tau(1+\tau)}\tan(\theta_{e}/2)}{G_{E}^{2}+\frac{\tau}{2}G_{M}^{2}}\Big[\sin\theta^{*}\cos\phi^{*}G_{E}G_{M}+\sqrt{\tau[1+(1+\tau)\tan^{2}(\theta_{e}/2)]}\cos\theta^{*}G_{M}^{2}\Big].$

$$\theta^* = \pi/2$$
 and $\phi^* = 0^o$ or 180^o

JLab data on the EM form factors provide a testing ground for theories constructing nucleons from quarks and glue **Before JLab and Recent non-JLab Data**



JLab data on the EM form factors provide a testing ground for theories constructing nucleons from quarks and glue **Before JLab and Recent non-JLab Data**



JLab data on the EM form factors provide a testing ground for theories constructing nucleons from quarks and glue **Today, including JLab Data**



JLab data on the EM form factors provide a testing ground for theories constructing nucleons from quarks and glue **Today, including JLab Data and compared to theory**



JLab data on the EM form factors provide a testing ground for theories constructing nucleons from quarks and glue **Today, including JLab Data and compared to theory**



These data are elucidating the nucleon's structure

The inequality of $G_{E^{P}}$ and $\mu G_{M^{P}}$ was a surprise.

- Rosenbluth separation polarization transfer are incompatible
- Reconciliation: radiative corrections, TPE,
- Demonstrated that a proper treatment of quark orbital angular momentum and relativity + pion cloud is essential in describing nucleon structure



These data are elucidating the nucleon's structure

The charge form factor of the neutron is particularly interesting

- Neutron electric form factor data reveal the shape of the charge distribution
- Confirm the importance of relativistic effects and pion cloud in nucleon structure
- Dressed quark-diquark model using the Dyson-Schwinger and Faddeev equations in good agreement



Charge density inside a nucleon

Proton

Neutron



Plans for JLab @ 12 GeV



The particle zoo

Discoveries of new "*elementary*" particles continued in the 50s and 60s and led to what is known as the **particle zoo**.

In the early 60s, **tens of baryons** (p, n, Λ^0 , Σ^+ , Σ^0 , Σ^- , Ξ^0 , Ξ^- , N^{*++} ,...) and **mesons** (π^+ , π^0 , π^- , η , K^+ , K^0 , K^- , η' , ρ^+ , ρ^0 , ρ^- , ω , ...) had been experimentally observed:

- Masses ranged from about a hundred of MeV to 1.5 GeV
- Charge ranged from -1 to +2
- Except for the proton and the neutron, the other particles were unstable, decaying in most of the cases to protons, neutrons and pions
- Life time for these decays ranged from 10⁻²³ s to 10⁻¹⁰s
- "Strange" particles seemed to be produced in pairs
- No theory capable of explaining their interaction and properties was available
- The number of particles seemed to increase with time...

This puzzling situation led physicists to look for some **underlying symmetry** that could be used to related properties of different particles and make predictions The possibility that some of them may **not** be **elementary** particles started to be considered

Quarks and SU(3)

- The scheme proposed to organize the zoo of baryons and mesons was based on SU(3) symmetry
- Baryons and mesons were assumed to be composite states of three quarks and quarkantiquark pairs
- Gives natural explanation for Isospin $I_3 = \frac{1}{2}(n_u n_d + n_{\overline{d}} n_{\overline{u}})$ n_i number of i quarks
- Masses of u and d quark are almost equal

"Fractional charge bothered me because I wanted a correspondence between leptons and constituents of hadrons. To have one set of these particles integrallycharge and the other set fractionally-charge was ugly, but at this point there seemed to be no choice "(G. Zweig)

 Quarks were assumed to have: spin=1/2 fractional charge baryonic number B=1/3 mass ~1/3 of the nucleon mass 	Quark	Charge Q [e]	Isospin I, I ₃ >	Strange- ness S
	up (u)	+2/3	$\left \frac{1}{2}, +\frac{1}{2}\right\rangle$	0
	down (d)	-1/3	$\left \frac{1}{2}, -\frac{1}{2}\right\rangle$	0
	strange (s)	-1/3	0,0>	-1

Quarks and SU(3)

- Charge, Isospin and Strangeness
- Additive quark quantum numbers are related $Q = I_3 + 1/2(S + B)$ Gell-Mann Nishijima
- Baryon number B quarks B = +1/3 anti-quarks B = -1/3
- Hypercharge Y = S + B is useful quantum number
- Quark model gives natural explanation for Isospin and Strangeness



Constituent Quark Model

Mesons are bound $q\bar{q}$ states

 $|\psi\rangle = \frac{1}{\sqrt{3}} \left| r\bar{r} + g\bar{g} + b\bar{b} \right\rangle$ $\mathbf{B} = +1/3 + (-1/3) = 0$

Zero net colour charge Zero net baryon number

In a world with three flavors...

The symmetry of strong interaction is SU(3) and the **up** (q=2/3, $I_z=1/2$,s=0), **down** (q=1/3, $I_z=-1/2$,s=0) and **strange** (q=-1/3, $I_z=0$,s=-1) quarks form a triplet which represents the fundamental representation of the symmetry group

Mesons, being made by q and q, transform as

 $\mathbf{3} \cdot \mathbf{\overline{3}} = \mathbf{8} \oplus \mathbf{1}$

non-zero flavour states $u\overline{d}, u\overline{s}, d\overline{u}, d\overline{s}, s\overline{u}, s\overline{d}$ zero net flavour states $u\overline{u}, d\overline{d}, s\overline{s}$

have identical additive quantum numbers Physical states are mixtures

$$\pi^{+} = u\overline{d}$$

$$\pi^{0} = d\overline{s}$$

$$\pi^{0} = d\overline{u}$$

$$\pi^{0} = s\overline{d}$$

$$\pi^{-} = d\overline{u}$$

$$\pi^{-} = s\overline{u}$$

$$\eta = \frac{1}{\sqrt{6}} (u\overline{u} + d\overline{d} - 2s\overline{s})$$

$$\eta' = \frac{1}{\sqrt{3}} (u\overline{u} + d\overline{d} + s\overline{s})$$

Baryons

3 quark qqq states: B = 1Group theory says:

♦ Flavor: 3 ⊗3 ⊗ 3 = 10_S ⊕ 8_{M_S} ⊕ 8_{M_A} ⊕ 1_A
S: symmetric in all 3 q, M_S: symmetric in 1 and 2,

 M_A : antisymmetric in 1 and 2, A: antisymmetric in all 3

♦ Spin: $2 \otimes 2 \otimes 2 = 4_S \oplus 2_{M_s} \oplus 2_{M_A} \implies S = \frac{3}{2}, \frac{1}{2}, \frac{1}{2}$ ❑ Physical baryon states:



SU(3) and Discovery of the Ω^{-1}



1964: Omega-minus Baryon discovered at Brookhaven National Laboratory

The proton revealed (uud)

1960s: the Quark Model. Nucleons are

Mann (Nobel Prize 1969), Zweig.

composed of three valence quarks! Gell-





Neutron

Proton





Stanford Linear Accelerator Center

On april 10, 1956, Stanford staff met in Prof. W. Panofsky's home to discuss Hofstadter's suggestion to build a linear accelerator that was at least 10 times as powerful as the Mark III. This idea was called "The M(onster)-project" because the accelerator would need to be 2 miles long!!



SLAC-MIT results: ep inelastic collisions

□ Modern Rutherford experiment – Deep Inelastic Scattering:



Deep Inelastic Scattering



 $W^2 = m_p^2 + 2m_p\nu + q^2$

 $Q^2 = -q^2$

 $\mathbf{X} = \mathbf{Q}^2/2\mathbf{p} \cdot \mathbf{q}$

Since W =! Mp, the four momentum transfer q2 and inelasticity v are independent variables.

$$|\mathcal{M}|^2 = \frac{e^4}{q^2} L_e^{\mu\nu} (W_{\text{hadron}})_{\mu\nu}$$

Parton rapidity $y = rac{p_2 \cdot q}{p_2 \cdot p_1} = rac{
u}{E_1}$

$$\frac{d\sigma}{dE_3 d\Omega} = \frac{\alpha^2}{4E_1^2 \sin^4 \frac{\theta}{2}} \left(W_2(\nu, Q^2) \cos^2 \frac{\theta}{2} + 2W_1(\nu, Q^2) \sin^2 \frac{\theta}{2} \right)$$
$$m_p W_1(\nu, Q^2) \to F_1(x) \qquad \nu W_2(\nu, Q^2) \to F_2(x)$$

Feynman's Parton Model (1969)

- What if proton (or any other hadron) was made up of point-like constituentscall them partons.
- If the proton is moving very fast, then the partons are frozen transversely because of time-dilation.
- Each parton, does carry a part of the longitudinal momentum of the proton.
- If two such protons (or hadrons) collide then, it can be thought of as a collision between two such partons which are not related to the behavior of other partons.
- The fact that partons must be interacting each other in the proton matters only "after" the collision happens.





Bjorken Scaling (1969)

In the parton model:

x = fraction of longitudinal momentum of the proton carried by the parton

Point-like parton p has some distribution in x: i.e. p(x)

Then the structure function $F_2(x, Q^2)$ is simply $F_2(x, Q^2) = x \Sigma_p e_p p(x) = F_2(x)$ i.e. No dependence on Q^2

In other words, if F_2 "scales", protons are consistent with being made up of partons.



+ 6° □ 18° × 10° △ 26°





1968: Deep Inelastic scattering at SLAC: scaling observed. The proton consists of point-like charges: partons! Friedman, Kendall, Taylor: Nobel Prize 1990

Quantum Chromo Dynamics (QCD)

= A quantum field theory of quarks and gluons =

Given Fields:

- $\begin{array}{ll} \psi_i^f(x) & \mbox{Quark fields: spin-1/2 Dirac fermion (like electron)} \\ \mbox{Color triplet: } & i=1,2,3=N_c \end{array}$ Flavor: f = u, d, s, c, b, t
- $A_{\mu,a}(x)$ Gluon fields: spin-1 vector field (like photon) **Color octet:** $a = 1, 2, ..., 8 = N_c^2 - 1$

QCD Lagrangian density:

$$\mathcal{L}_{QCD}(\psi, A) = \sum_{f} \overline{\psi}_{i}^{f} \left[(i\partial_{\mu}\delta_{ij} - gA_{\mu,a}(t_{a})_{ij})\gamma^{\mu} - m_{f}\delta_{ij} \right] \psi_{j}^{f} \\ -\frac{1}{4} \left[\partial_{\mu}A_{\nu,a} - \partial_{\nu}A_{\mu,a} - gC_{abc}A_{\mu,b}A_{\nu,c} \right]^{2} \\ + \text{gauge fixing + ghost terms}$$

QED – force to hold atoms together:

$$\mathcal{L}_{QED}(\phi, A) = \sum_{f} \overline{\psi}^{f} \left[(i\partial_{\mu} - eA_{\mu})\gamma^{\mu} - m_{f} \right] \psi^{f} - \frac{1}{4} \left[\partial_{\mu}A_{\nu} - \partial_{\nu}A_{\mu} \right]^{2}$$

QCD is much richer in dynamics than QED

Gluons are dark, but, interact with themselves, NO free quarks and gluons

Deep Inelastic Scattering II







Virtuality (4-momentum transfer) Q gives the distance scale r at which the proton is probed.

 $r \approx \frac{h}{r} c/Q = 0.2 fm/Q[GeV]$ e.g. HERA ep collider DIS: $r_{min} \approx 1/1000$ proton d

HERA Electron-Proton Collider (1992-2007)



•920 GeV protons (820 before1998)
•27.5 GeV e[±]
•300/318 GeV c.o.m. energy
•220 bunches, 96ns. crossing time
•90 mA protons,40 mA positrons
•Instantaneous luminosity: 1.8x10³¹cm²s⁻¹



2 collider experiments \rightarrow ZEUS and H1

2 fixed target experiments → HERMES and HERA-b

HERA Data taking 1991-2007

Mission: Explore QCD at highest scale (Q²). Search for new phenomena.

5.12x10³¹cm²s⁻¹ after upgrade

DIS results

F_2 : 1 < Q² < 200 GeV²



Partons in the proton

Feynman's parton model: the nucleon is made up of point-like constituents (later identified with quarks and gluons) which behave incoherently.

The probability f(x) for the parton f to carry the fraction x of the proton momentum is an intrinsic property of the nucleon and is process independent.

Protons are just a "beam of partons" (incoherent)
The f(x)s, the "beam parameters", could be measured in some other process. (process independent)



Quarks and Gluons as partons

Momentum has to add up to 1 ("momentum sum rule")

 $\int x[u(x)+\bar{u}(x)+d(x)+\bar{d}(x)+s(x)+\bar{s}(x)+]dx = 1$

Quantum numbers of the nucleon has to be right

So for a proton:

 $\int [\mathbf{u}(\mathbf{x}) \cdot \bar{\mathbf{u}}(\mathbf{x})] d\mathbf{x} = 2 \qquad \int [\mathbf{d}(\mathbf{x}) \cdot \bar{\mathbf{d}}(\mathbf{x})] d\mathbf{x} = 1$

 $\int [\mathbf{s}(\mathbf{x}) \cdot \bar{\mathbf{s}}(\mathbf{x}) + \dots] d\mathbf{x} = 0$



The partons are point-like and incoherent then Q² shouldn't matter. \rightarrow Bjorken scaling: F₂ has no Q² dependence. Let's look at some data \rightarrow



what do we expect F_2 as a function of x at a fixed Q^2 to look like?







pQCD picture of the proton

- Proton structure is embedded in the quark and gluon distributions.
- Gluons dominate below x of 0.1
 - We imagine a proton looks something like the cartoon below.
- But we so far only have longitudinal information...



When does the finite size of the proton begin to matter (saturation! confinement!)





unmeasured

Transverse

structure

X (longitudinal) structure measured