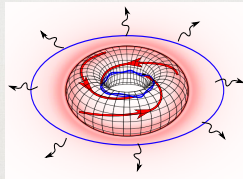


Light ring stability in ultra-compact objects

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Phys. Rev. Lett. **119** 251102,
P. Cunha, E. Berti and C. Herdeiro

What is the nature of Black Hole candidates?

Multiple *uniqueness theorems* establish that equilibrium vacuum BHs of General Relativity (GR) are described by the *Kerr solution* (Israel 1967, Carter 1973, Robinson 1975).

Are astrophysical BHs really described by Kerr?

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Are astrophysical BHs really described by Kerr?

Strong gravity has entered the precision era:

- breakthroughs in gravitational wave astrophysics. LIGO/Virgo, PRL 116, 061102 (2016)
- unveiling of the first black hole (BH) shadow image. EHT, AJ 875 L1 (2019)

To what extent alternative models *could mimic* the Kerr phenomenology?

Event Horizon Telescope

First image (ever) of a BH candidate released on April 10th 2019.



observed image M87* (April 2017)

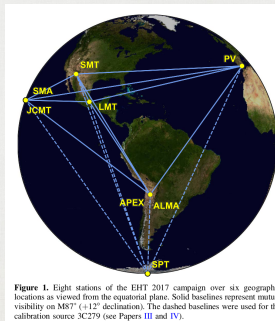
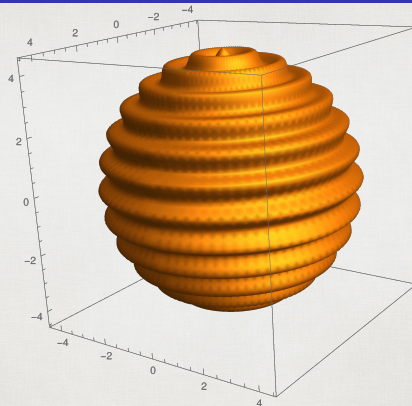


Figure 1. Eight stations of the EHT 2017 campaign over six geographic locations as viewed from the equatorial plane. Solid baselines represent mutual visibility on M87* (+12° declination). The dashed baselines were used for the calibration source 3C279 (see Papers III and IV).

(adapted EHT, AJ 875 L1 (2019))

- Use of global Very Long Baseline Interferometry array at wavelength 1.3 mm.
- Array created an effective telescope with the size of the Earth.
- Consistent with expectations for BH image as predicted by General Relativity.

Image compact star



What is the *expectation* for a BH image? Consider generic academic setup:

- Spherical compact star of mass M and radius R , with some surface texture.
- Take exterior of the star as vacuum \rightarrow described by Schwarzschild solution.

Image compact star

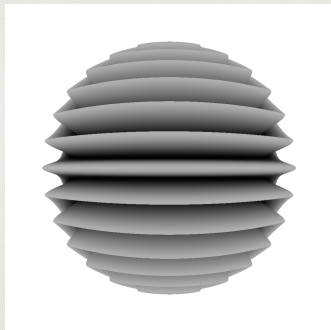


Image of the star ($R > 3M$)

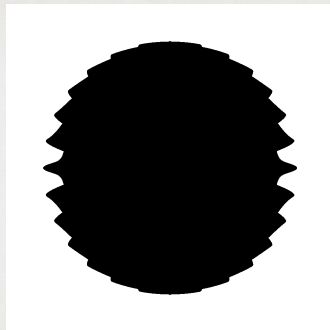


Image of the star (no emission)

We can generate a synthetic star image (left) via numerical ray-tracing.

- For $R > 3M$, the star image is a good measure of surface information.
- Even for *radiation absorbent* surface (right), star outline reveals texture.

PRD 97 no.8, 084020

Image compact star



Image of the star ($R < 3M$)

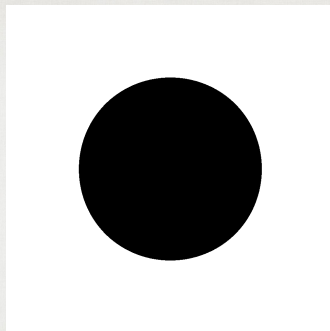


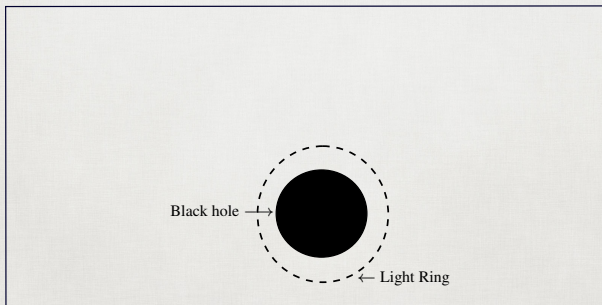
Image of the star (no emission)

- For $R < 3M$, the star's edge becomes circular (no surface texture display).
- A radiation absorbent star is indistinguishable from BH \rightarrow BH *shadow*.
- The edge is actually an image of the *Light Ring* orbit ($r = 3M$).

Light Ring

A scattering light ray around a BH can:

- *Escape* to infinity, *fall* into the BH, or *approach* a bound state orbit.

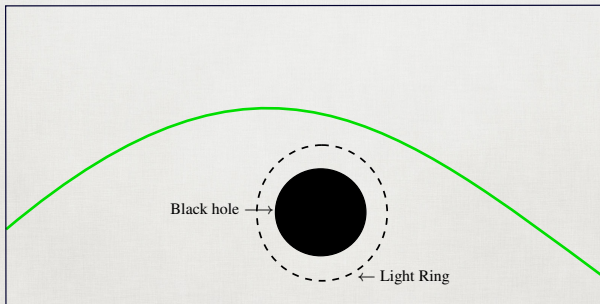


In spherical symmetry, the bound orbit is the *Light Ring* (LR), *a.k.a.* “photon sphere”: a *planar* photon orbit that encircles the BH forever.

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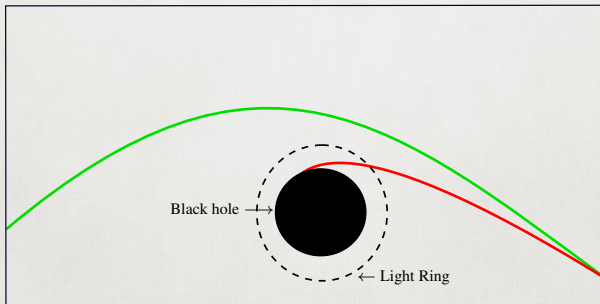


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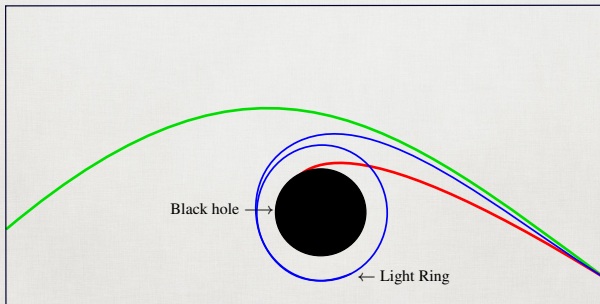


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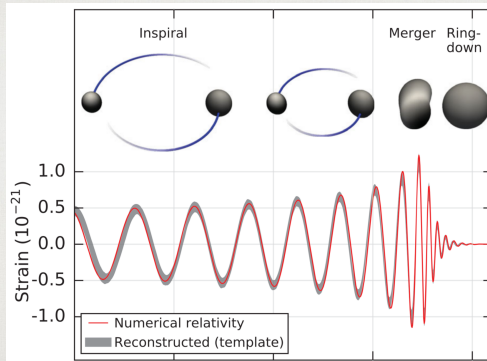
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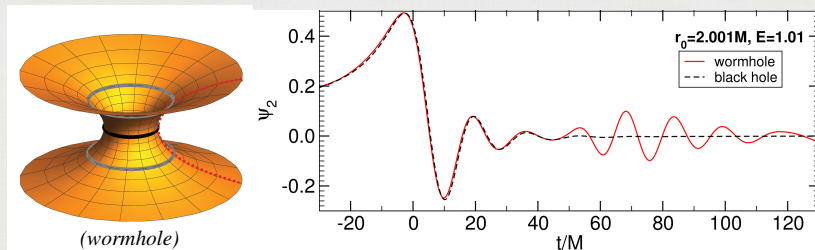


PRL 116, 061102 (2016)

- The LIGO collaboration has detected multiple GW events.
- Several events are consistent with merger of a Kerr BH binary.
- The GW waveform is divided in: *inspiral*, *merger*, and *ringdown*.

Ringdown as an horizon probe?

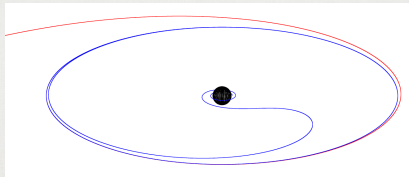
From Cardoso+2016:



source: [PRL117, 089902](#)

- It is claimed GW ringdown has *signature* of the Light Ring (LR).
- Case study: wormhole (not a BH) has an unstable LR.
- When perturbed, wormhole vibrates like a BH (initially).
- In principle, it could mimic a BH ringdown...

Light Rings in astrophysics



- Light Rings have important **astrophysical signatures**.
- *Electromagnetic channel* \rightarrow shadow, *GW channel* \rightarrow BH ringdown.
- An alternative Ultra-Compact Object (UCO), *i.e.* with a LR, could mimic Kerr!

What could be an alternative to Kerr BHs?

- BHs in GR with matter fields, *e.g.* BHs with synchronized hair [PRL 112, 221101 \(2014\)](#)
- BHs in alternative gravity theories, *e.g.* Einstein-dilaton-Gauss-Bonnet [PRD 93 \(2016\) 044047](#)
- Compact objects with no horizon, *e.g.* gravastars, Boson/Proca stars [PRD 96 \(2017\) no.10, 104040](#)

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What are the criteria for a *viable* alternative object?

- Arise in a consistent and well motivated (effective field) theory of gravity.
- Have a dynamical formation mechanism.
- Be (sufficiently) stable.

Focus: spherical horizonless objects

Consider a spherical *horizonless* object. The radial motion of light rays is 1D:

$$g_{rr}\dot{r}^2 + V(r) = 0, \quad V(r) = \frac{E^2}{g_{tt}} + \frac{L^2}{r^2}$$

E is photon's energy and L its angular momentum.

Conditions for a Light Ring: $V = 0$ and $V'(r) = 0$.

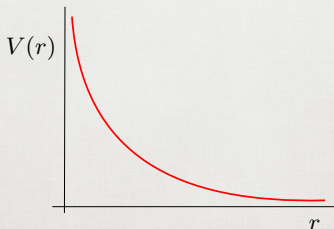
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Smooth deformation of metric fixing:

- asymptotic behavior (asymptotic flatness), *i.e.* $g_{tt} \rightarrow -1$.
- near origin behavior (smoothness), *i.e.* $g_{tt} \neq 0$.

\implies Light Rings are created in pairs.

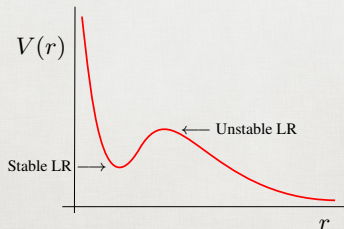
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Paper by Keir: (J. Keir, CQG 33 (2016) no.13, 135009); Benomio arXiv:1809.07795

- Scalar linear waves ϕ can be treated as a model for nonlinear perturbations:

$$\square_g \phi + F(r) \phi = 0, \quad (\text{arbitrary } F(r) > 0)$$

- In proving *non-linear stability*, one usually requires uniform fast decay:

$$\mathcal{E}[\phi](t) \lesssim \frac{1}{t^2} \mathcal{E}[\phi](0), \quad (\text{polynomial decay})$$

where $\mathcal{E}[\phi](t)$ is integrated “energy” of wave ϕ across hypersurface Σ_t .

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- If *stable* Light Ring exists, lower bound of uniform decay rate is slow:

$$\mathcal{E}[\phi](t) \lesssim \frac{1}{[\log(2+t)]^2} \mathcal{E}[\phi](0), \quad (\text{logarithmic decay})$$

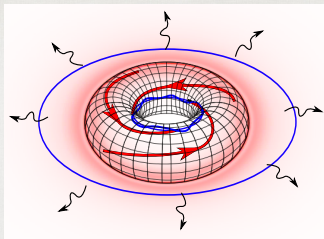
- This slow decay is highly suggestive of a *non-linear instability*.



If one has an horizonless (spherical) star that would mimic the BH observations:

- It has an unstable Light Ring \implies *stable* LR also exists.
- The latter could trigger a non-linear *spacetime instability*.
- Is this a feature restricted to spherical symmetry? No, it can be generalized!

Outline of the argument

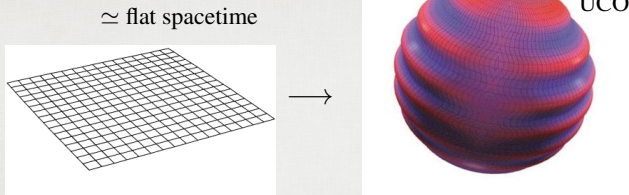


⇒ horizonless UCOs might be *unstable*, within generic conditions.

- Reasonable assumptions, *e.g.* smoothness, causality and axial symmetry.
- *Topological argument*: LRs come in pairs → one is *stable* (NEC satisfied).
- Stable LR traps radiation → destabilizes object.

PRL 119 (2017) no.25, 251102

Assumptions for the spacetime

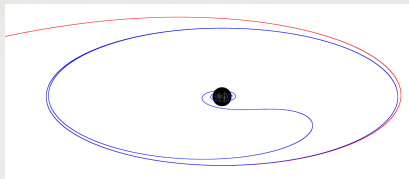


We assume:

- dynamical formation from gravitational collapse.
- initial flat spacetime and causality \implies topological triviality (Geroch).
- UCO is stationary, axially-symmetric and asymptotically flat.
- There is **no** event horizon; \mathbb{Z}_2 reflection symmetry **not** required.
- The metric is *smooth*.

Geroch J.Math.Phys. 8, 782 (1967)

Light rings



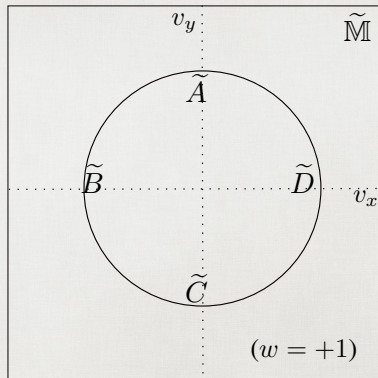
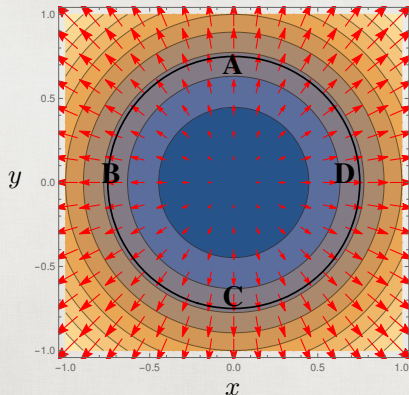
- *Light ring* (LR) is a planar FPO \rightarrow it is tangent to Killing vectors $\partial_t, \partial_\varphi$.
- It is possible to assign a **topological charge** to a LR.
- We can introduce 2D effective potential $U(r, \theta)$.
- Along trajectory $p_r = p_\theta = U = 0$ and $\dot{p}_\mu = 0$.
- $2\dot{p}_\mu = -\partial_\mu U + \mathcal{O}(p_r, p_\theta)$.

At a LR:

\implies

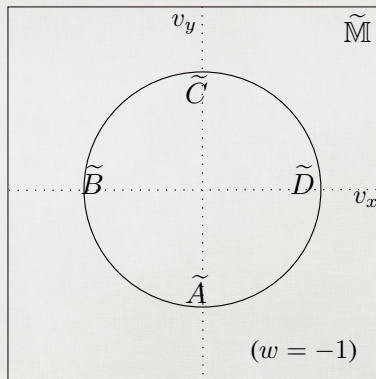
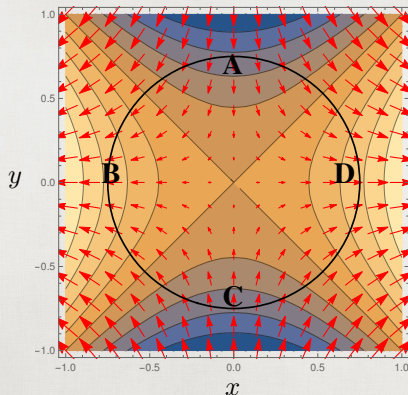
$$U = \nabla U = 0$$

Winding number



- Consider a closed 2D contour with a 2D field (v_x, v_y) , *e.g.* a gradient.
- Each point of contour is mapped to an auxiliary space $\tilde{\mathcal{M}} : (v_x, v_y)$.
- The winding number of new curve around origin is a topological quantity w .

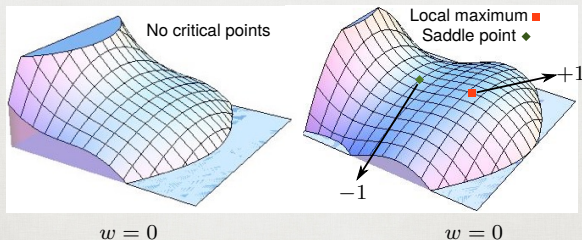
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Light Rings are created in pairs

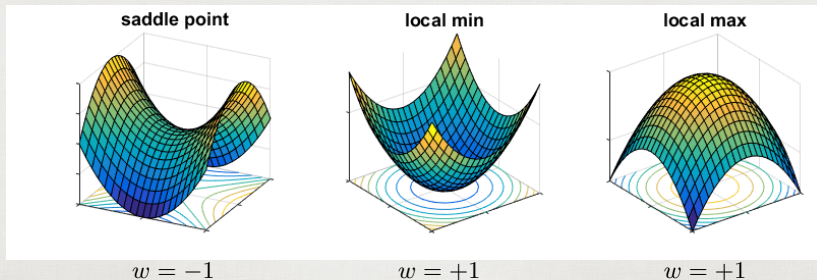
Effective Potential H change



Smooth deformation of spacetime (fixed asymptotics):

- The potential H is smoothly deformed.
- *Total* w within curve C is a (topological) constant.
- Light Rings are created in pairs as combinations $w = \{+1, -1\}$.

Light Ring types



Different types of Light Rings:

- Saddle point of $U \rightarrow$ unstable LR ($w = -1$) \rightarrow GW ringdown (Kerr).
- Local minimum of $U \rightarrow$ stable LR ($w = +1$) \rightarrow possible spacetime instability.
- Local maximum of $U \rightarrow$ unstable LR ($w = +1$) \rightarrow violates Null Energy Cond.

Under generic and reasonable physical conditions:

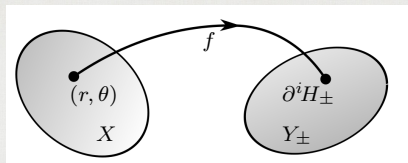
- Light Rings can be associated to a *topological charge*.
- LRs are created in pairs.
- BH mimickers must have a stable LR, if the Null Energy Condition is satisfied.
- BH mimickers are potentially *unstable*.
- The observed BH candidates should really be BHs.

Acknowledgements

- Work is supported by the FCT IDPASC Portugal Ph.D. Grant No. PD/BD/114071/2015 and partially supported by the H2020-MSCA-RISE2015 Grant No. StronGrHEP-690904, the H2020-MSCA-RISE-2017 Grant No. FunFiCO-777740 and by the CIDMA project UID/MAT/04106/2019.



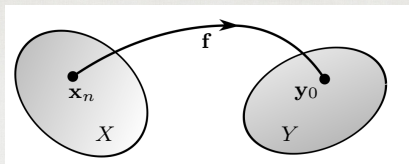
Gr@v



Consider ∇H_{\pm} as a *map* $f : X \rightarrow Y_{\pm}$:

- X is a compact, simply connected 2D region parametrized by (r, θ) .
- Y_{\pm} is a 2D space parametrized by the components $\partial^i H_{\pm}$, $i \in \{r, \theta\}$.
- LR \rightarrow origin of Y_{\pm} .

Brouwer degree (topology)



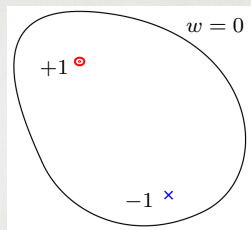
Consider a smooth map $f : X \rightarrow Y$

- take a regular value $y_0 \in Y$ with finite solutions to $f(x_n) = y_0$.
- the Jacobian $J_n = \det(\partial f / \partial x_n) \neq 0$ is computed at each x_n .

The Brouwer degree of f is: $w = \sum_n \text{sign}(J_n)$.

- It is independent on the choice y_0 .
- It is invariant under homotopies (continuous deformations of the map).

Brouwer degree (topology)



Each critical point $\nabla H_{\pm} = 0$:

- is assigned a *topological* charge w .
- sign w depends on the Jacobian $J_n = |\partial^2 H_{\pm} / \partial^2 \mathbf{x}_n|$.

Charge of a critical point:

- maximum/minimum $\implies w = +1$.
- saddle point $\implies w = -1$.

- the NEC can be violated at some point other than a LR.

Exotic LR \implies NEC violation

NEC violation $\not\Rightarrow$ Exotic LR

- Stable and exotic LRs are not possible in vacuum.

$$T^{\mu\nu} p_\mu p_\nu = \frac{1}{16\pi} \partial_i \partial^i U.$$