

# Neutrino Experiments

*Lecture 2*



**Michel Sorel (IFIC - CSIC & U. de Valencia)**



*8th IDPASC School, Valencia (Spain), May 2018*

# Plan for these lectures

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## Monday: neutrino experiments basics

- Neutrino open questions ✓
- How to measure neutrino oscillation parameters ✓
- Neutrino sources ✓
- Neutrino interactions with matter ✓
- Neutrino detector technologies ✓

## Today: neutrino experiments specifics

- Selected current and future  $\nu$  oscillation experiments
- Neutrinoless double beta decay experiments
- Experiments for direct neutrino mass measurements

# How to experimentally address neutrino questions

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**Identity**

Neutrinoless double beta decay

**Mass scale**

Direct neutrino mass measurements, neutrino cosmology, neutrinoless double beta decay

**Mass ordering**

Neutrino oscillations, neutrino cosmology

**Mixing**

Neutrino oscillations

**Species**

Neutrino oscillations, neutrino cosmology

# Selected current and future $\nu$ osc. experiments

*Short-baseline*

# MicroBooNE

Started in 2015



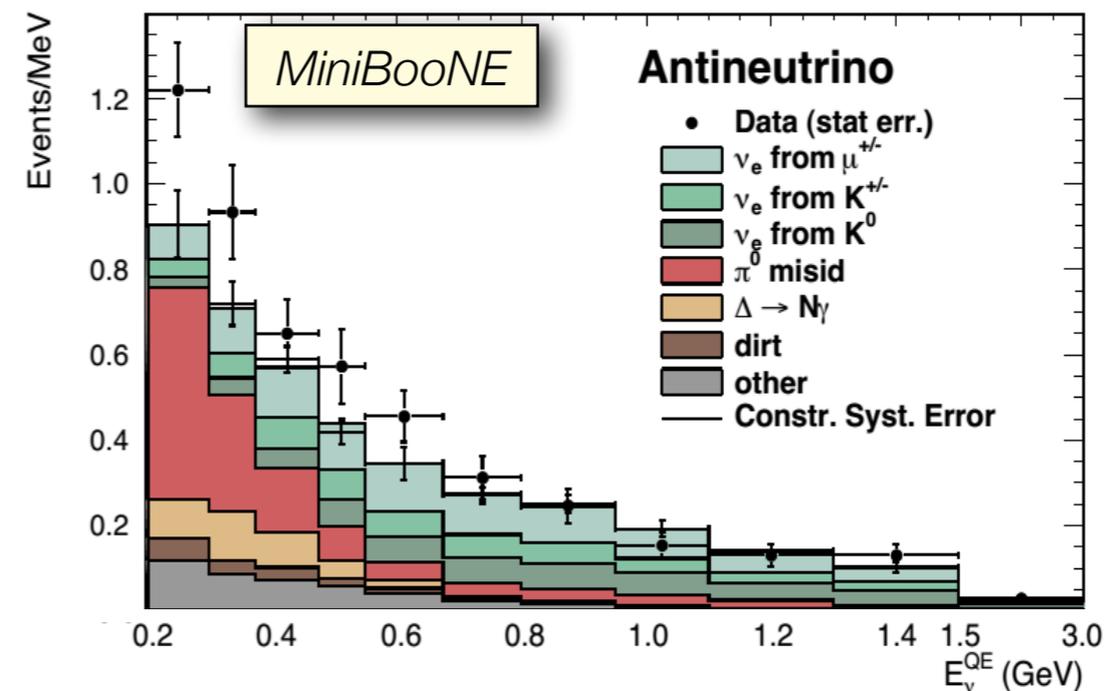
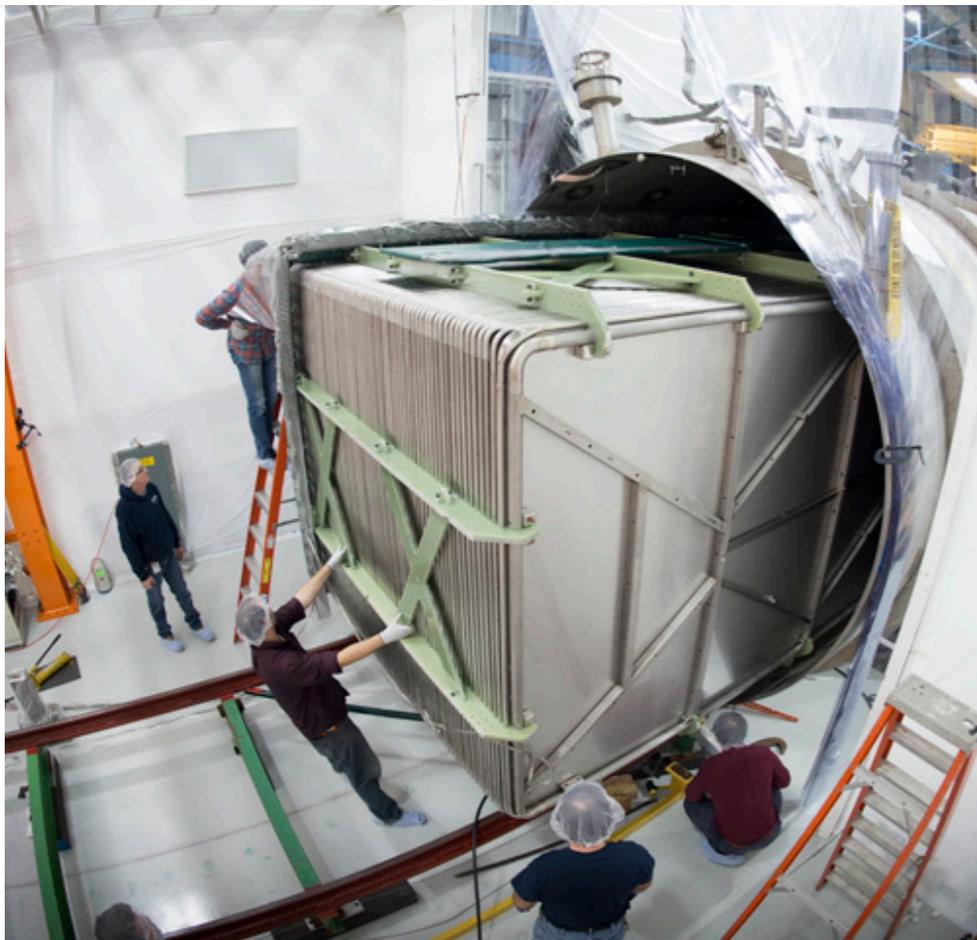
- 170 ton LAr TPC in Booster Neutrino Beamline at Fermilab

## Physics goals:

- MiniBooNE low-energy excess: electrons (oscillation signal) or gammas (background)?
- Neutrino cross sections on argon

## R&D goals toward DUNE:

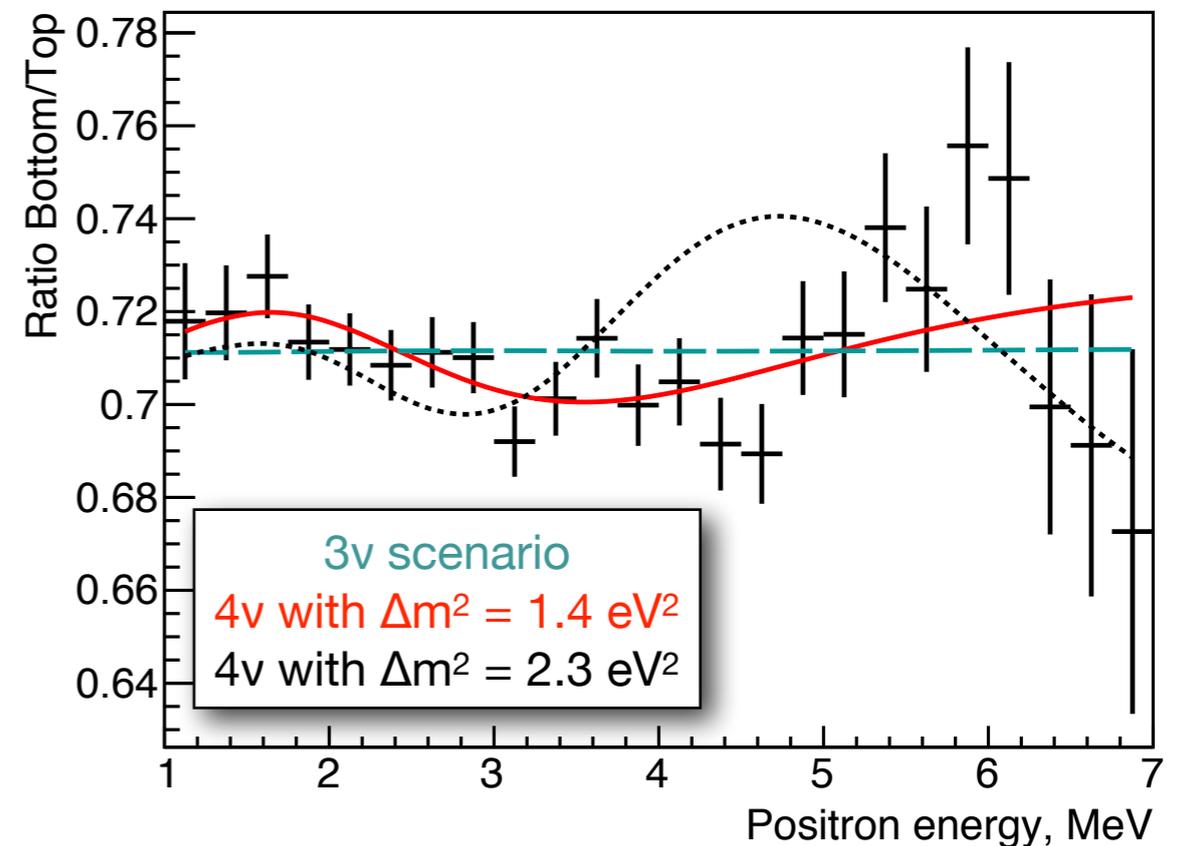
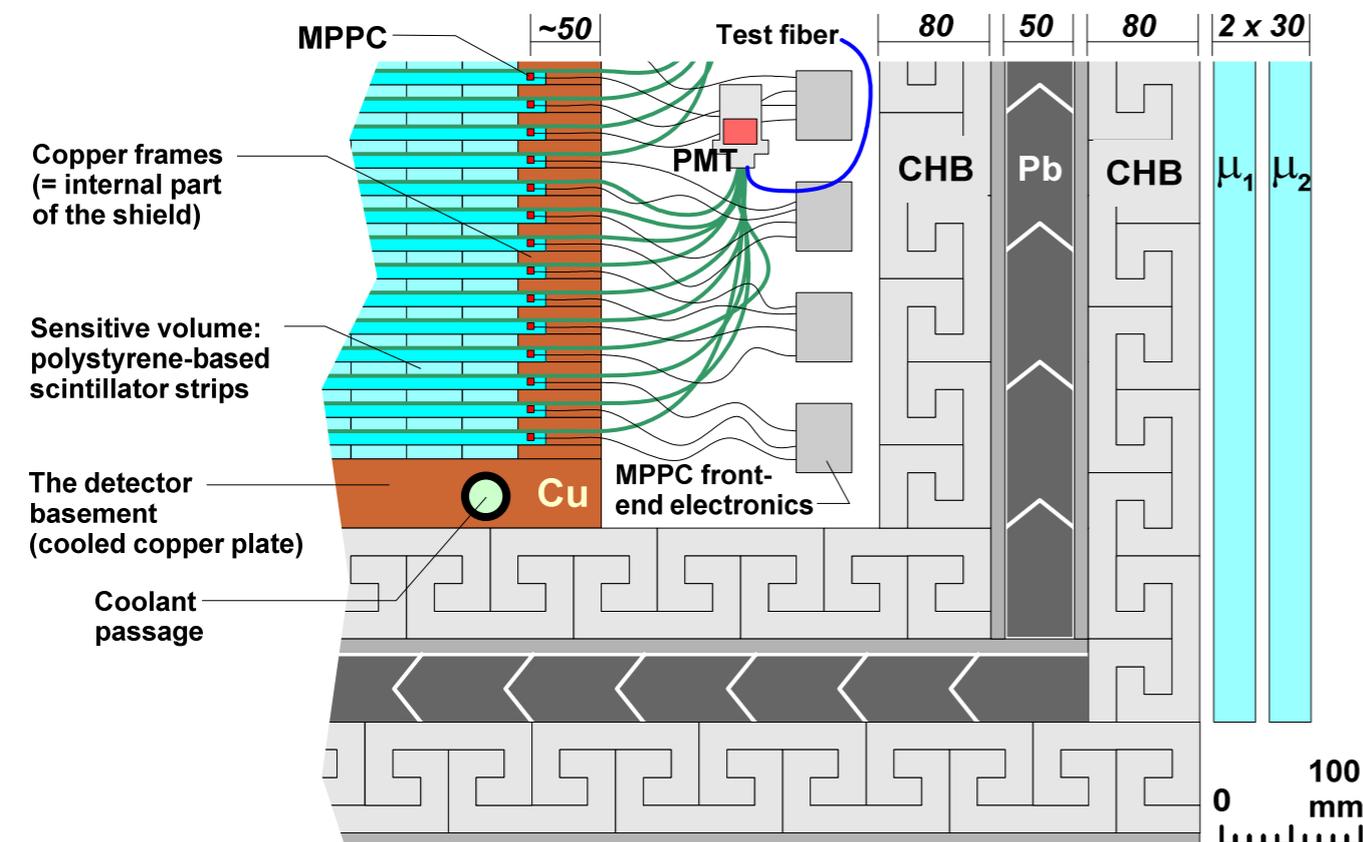
- Long drift (2.5 m)
- Cold electronics (preamplifiers in liquid)
- Purity without evacuation



# DANSS

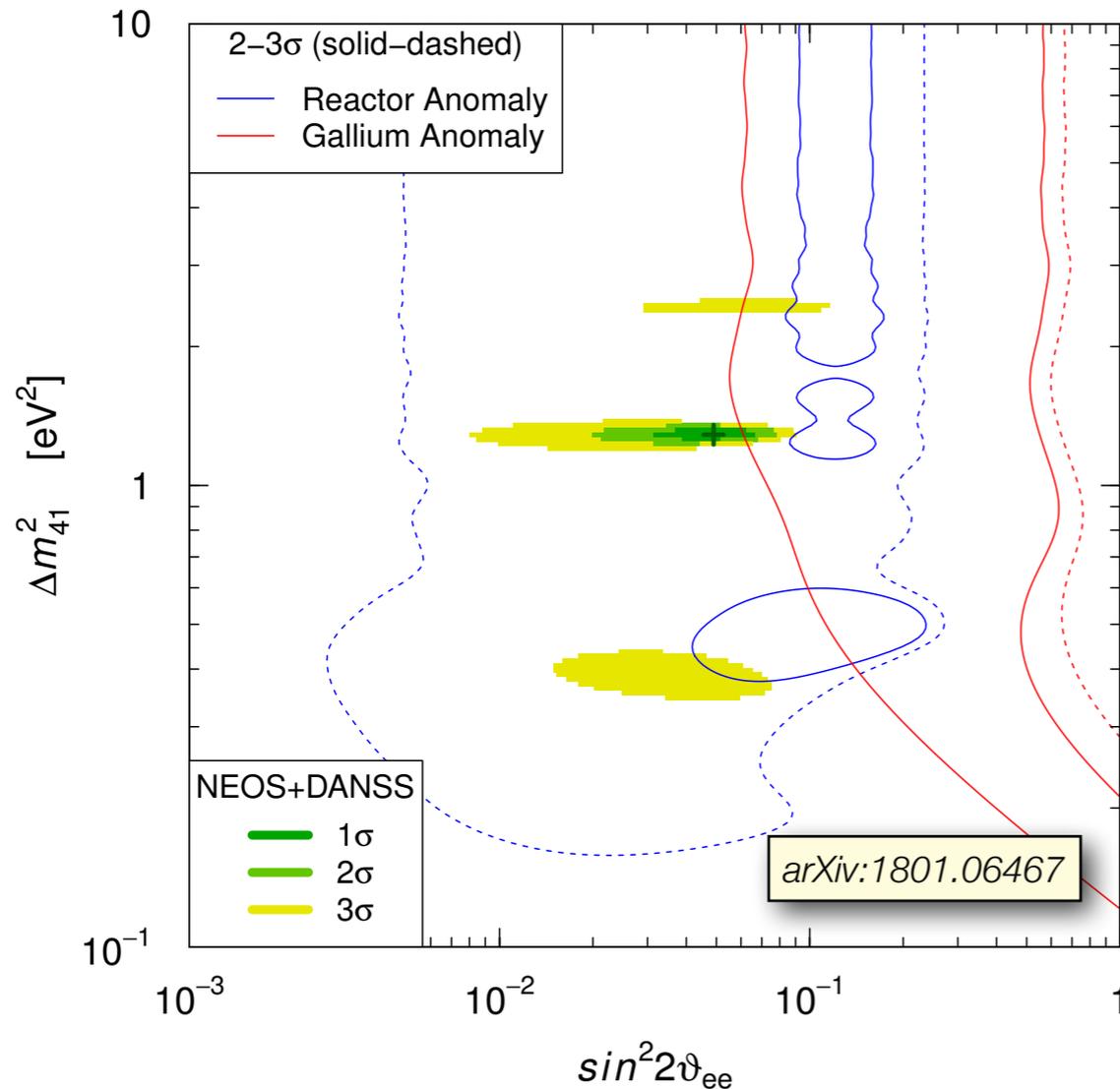
Started in 2016

- Highly segmented 1 m<sup>3</sup> plastic scintillator detector near 3.1 GW reactor in Russia
- Signal: delayed e<sup>+</sup>-n coincidence from  $\bar{\nu}_e + p \rightarrow e^+ + n$
- Suppression of high backgrounds: shielding, detector segmentation
- Unique feature: movable! Distance to reactor core: 10.7 - 12.7 m

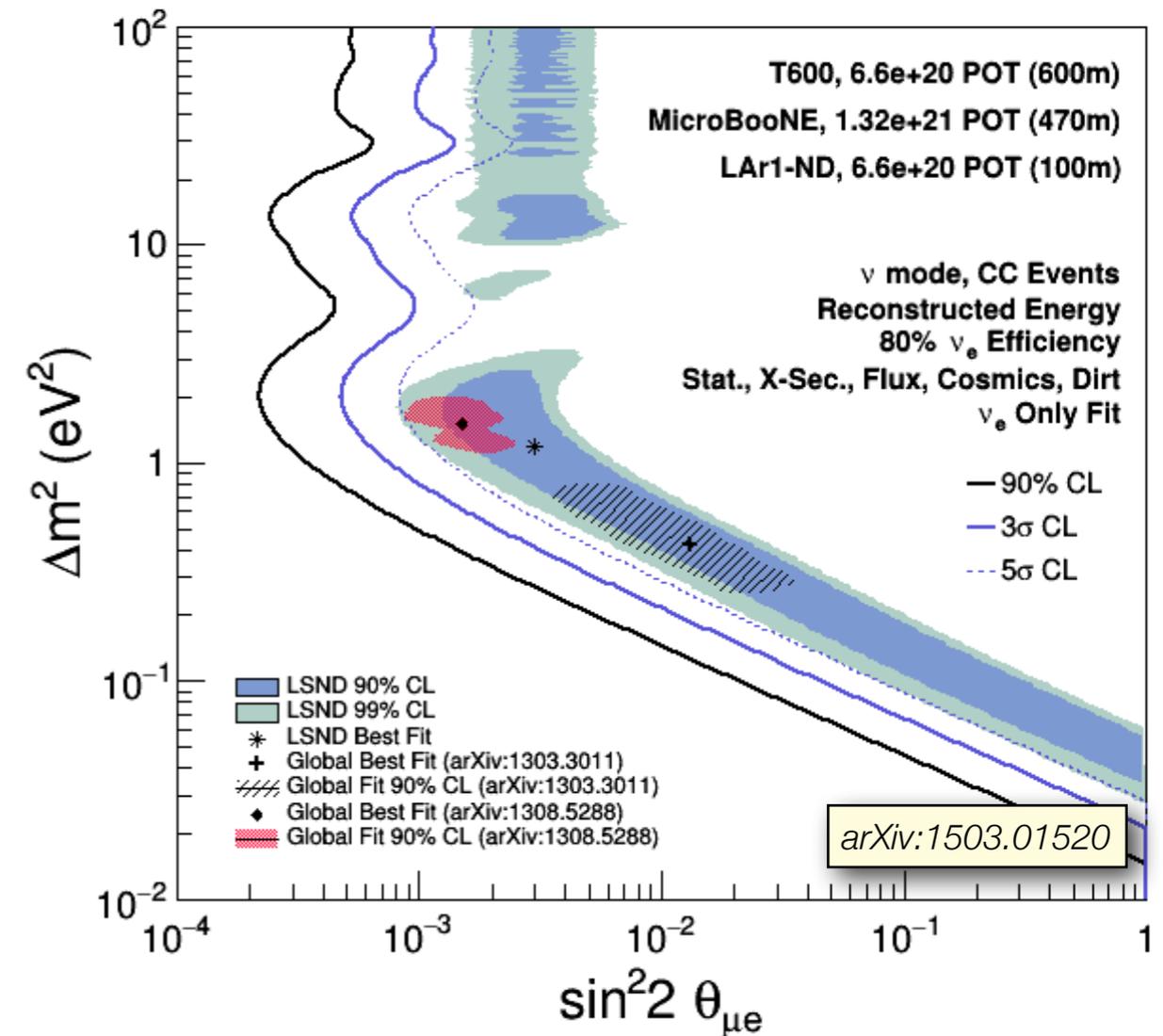


# Prospects to discover light sterile neutrinos

- Reactor-based (and source-based) proposals sensitive to reactor+gallium anomaly:



- Accelerator-based proposals sensitive to LSND+MiniBooNE anomaly:



# Selected current and future $\nu$ osc. experiments

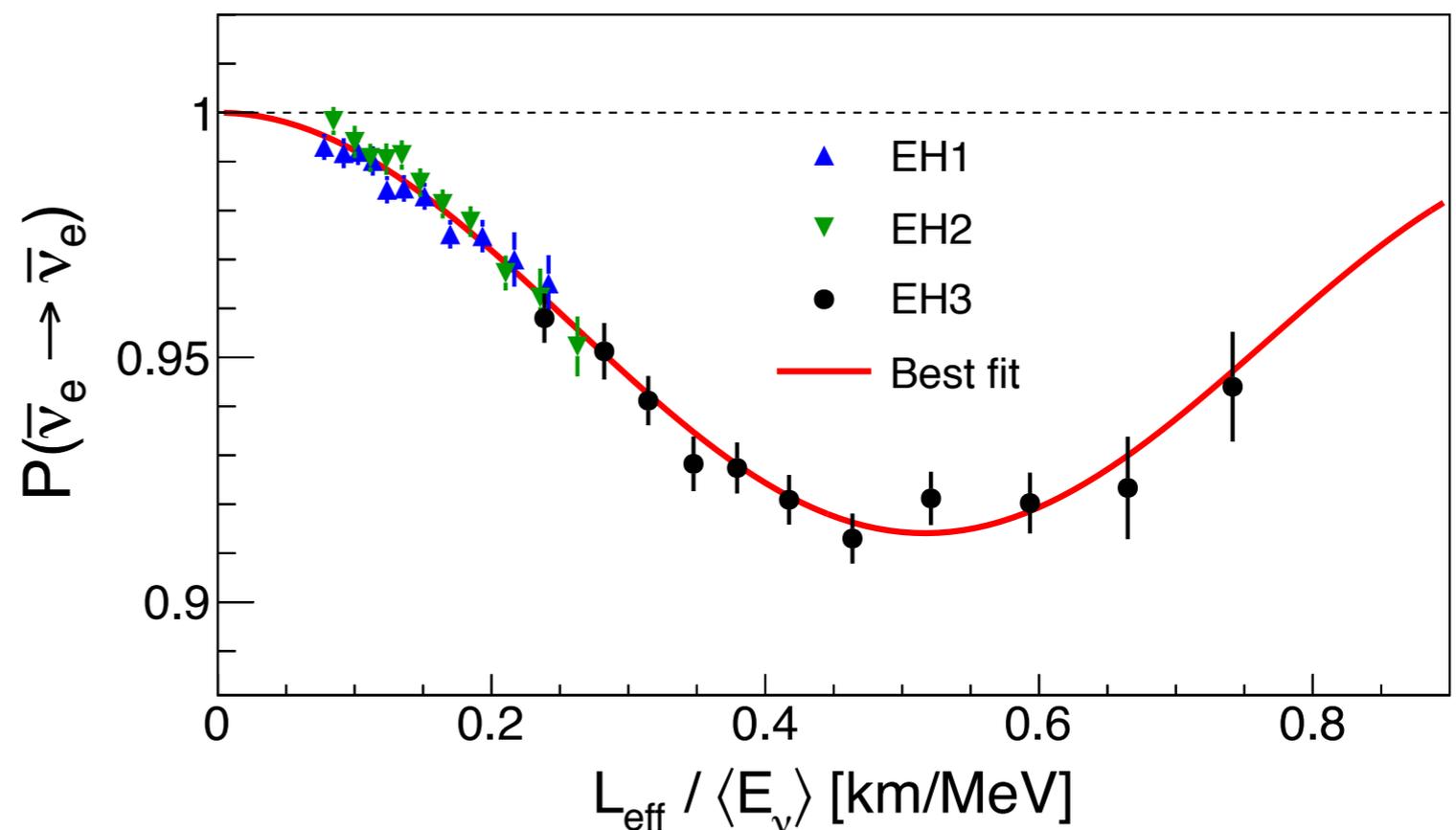
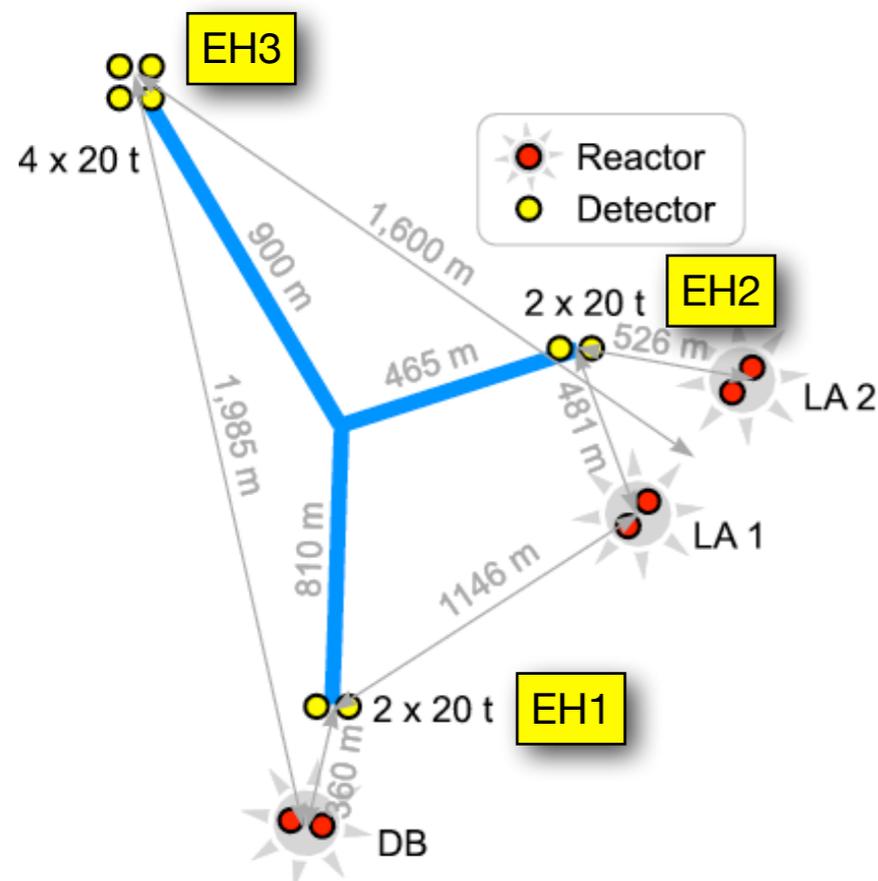
*Medium- and long-baseline*

# Daya Bay

Started in 2011



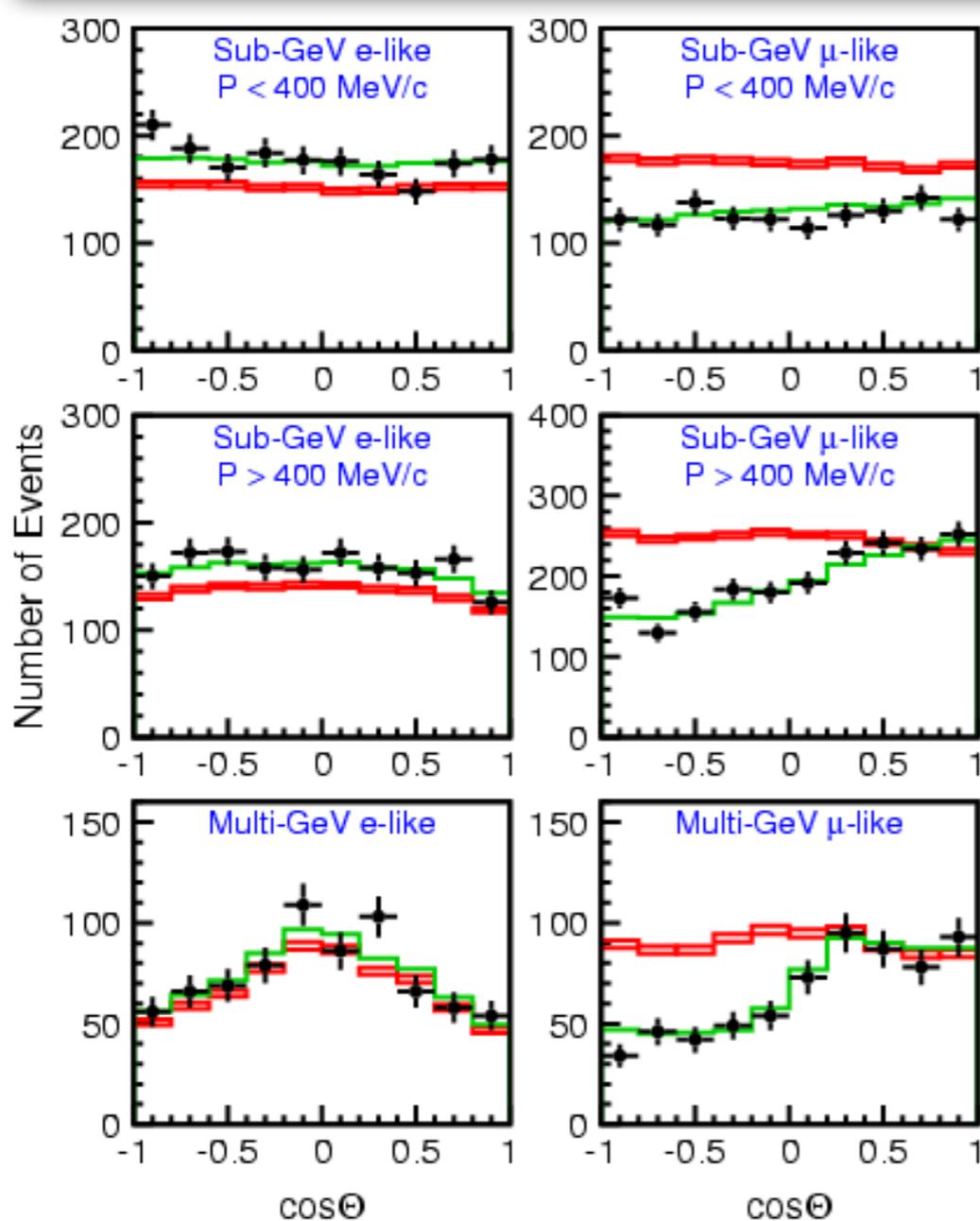
- Liquid scintillators measuring reactor  $\bar{\nu}_e$  disappearance over km-long baselines
- Most precise measurement of  $\sin^2 2\theta_{13}$  to date
- Consistent results from RENO and Double Chooz



# Super-Kamiokande atmospheric

Started in 1996

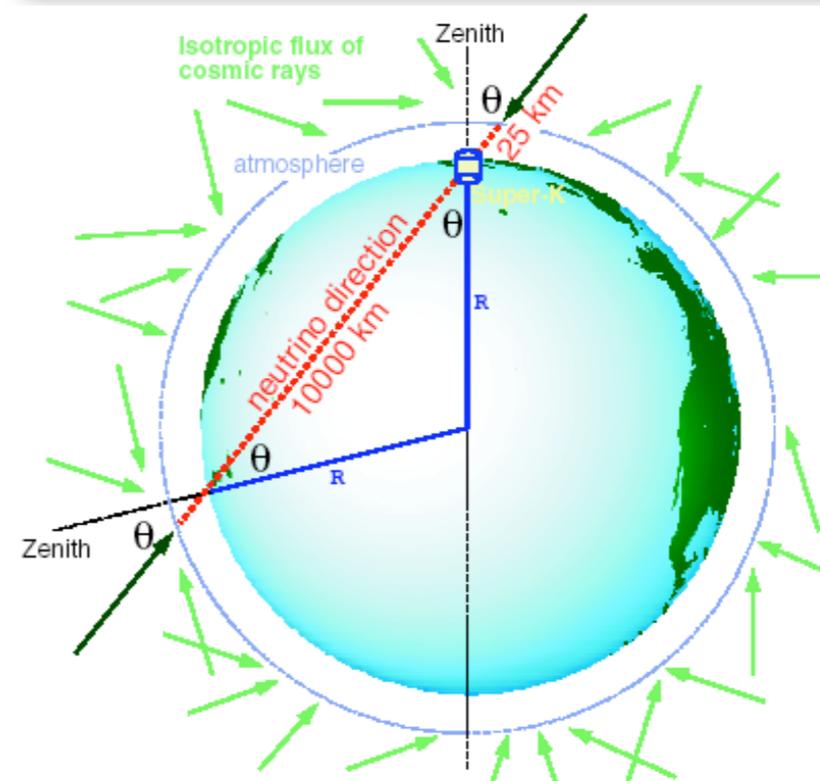
- Water Cherenkov detector measuring atmospheric neutrinos (both  $\nu_\mu$  and  $\nu_e$ )
- First conclusive evidence for oscillations, from zenith angle-dependent deficit of  $\nu_\mu$ 's!
- Same detector also sensitive to solar neutrino interactions



- expected without oscillations
- expected with oscillations
- + observed

$\cos\theta = +1 \Leftrightarrow$  travel length  $\sim 20$  km

$\cos\theta = -1 \Leftrightarrow$  travel length  $\sim 13000$  km

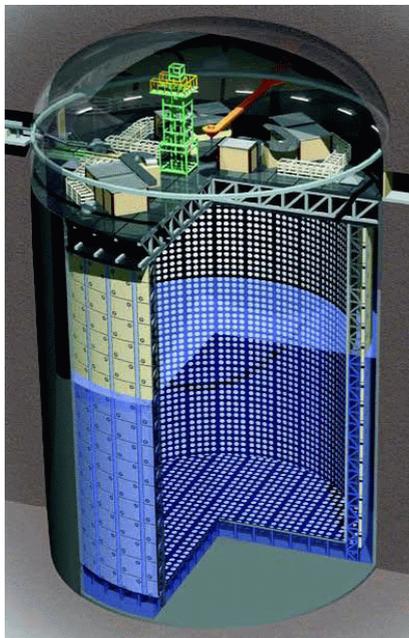


# T2K

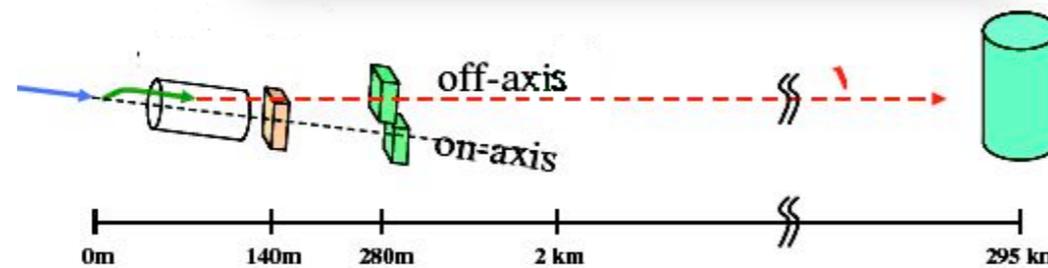
Started in 2010



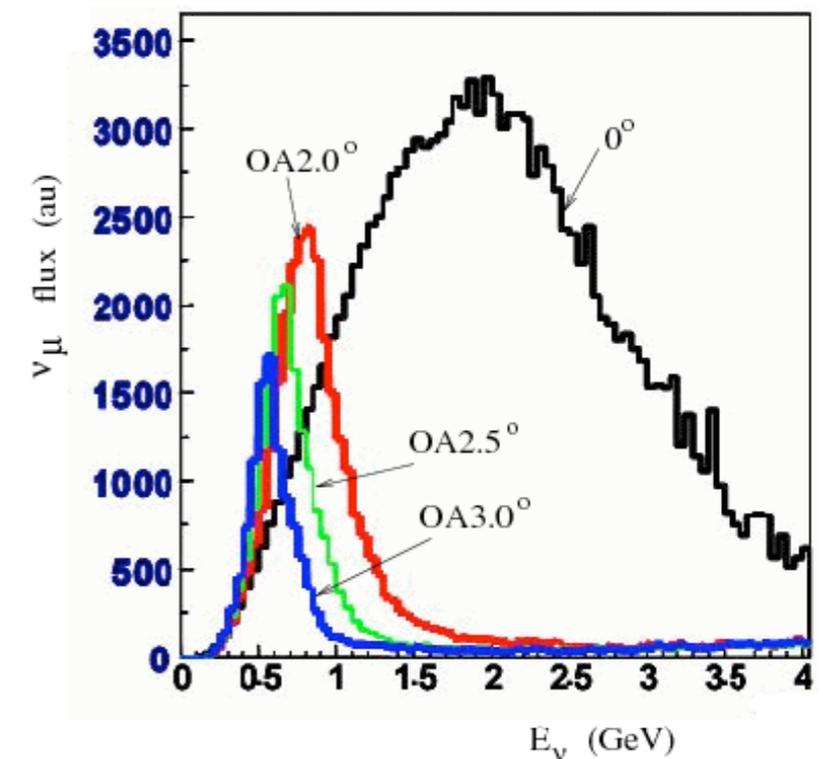
## Super-K Detector



## J-PARC Accelerator and Near Detectors (ND280, INGRID)



- Super-K also sees JPARC off-axis neutrino beam
- T2K has conclusively shown that  $\nu_\mu$  transform into  $\nu_e$ 
  - T2K + reactors prefer maximal CP violation ( $\delta = -\pi/2$ )!
- Data until 2026, up to  $3\sigma$  significance to CP violation

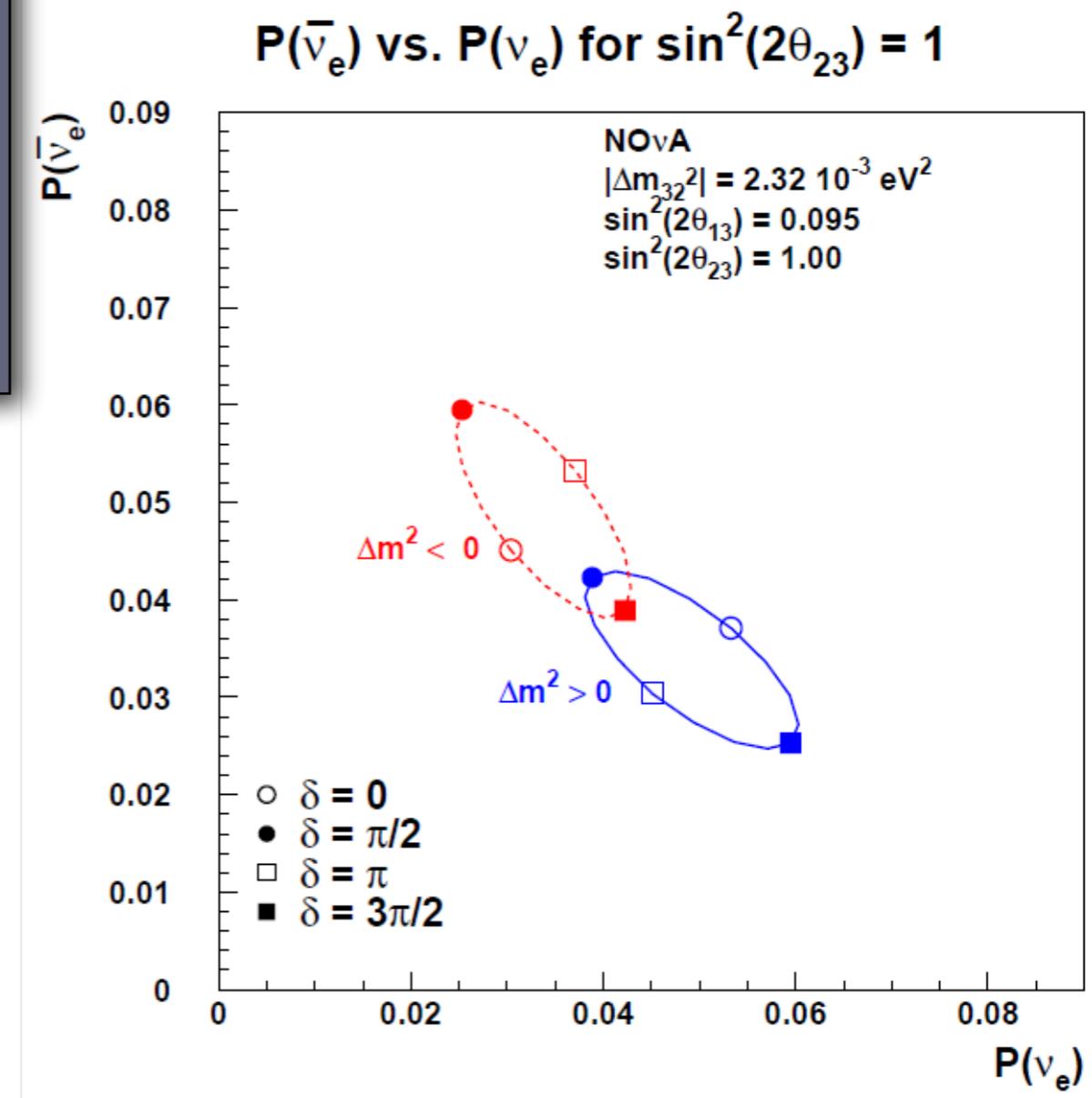


# NOvA

Started in 2014



- As T2K, long-baseline and off-axis
- Separately measure  $\nu_\mu \rightarrow \nu_e$  and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  to extract CP violation and mass hierarchy
- Compared to T2K:
  - Longer baseline (810 km), better for hierarchy
  - Segmented tracker rather than water Cherenkov

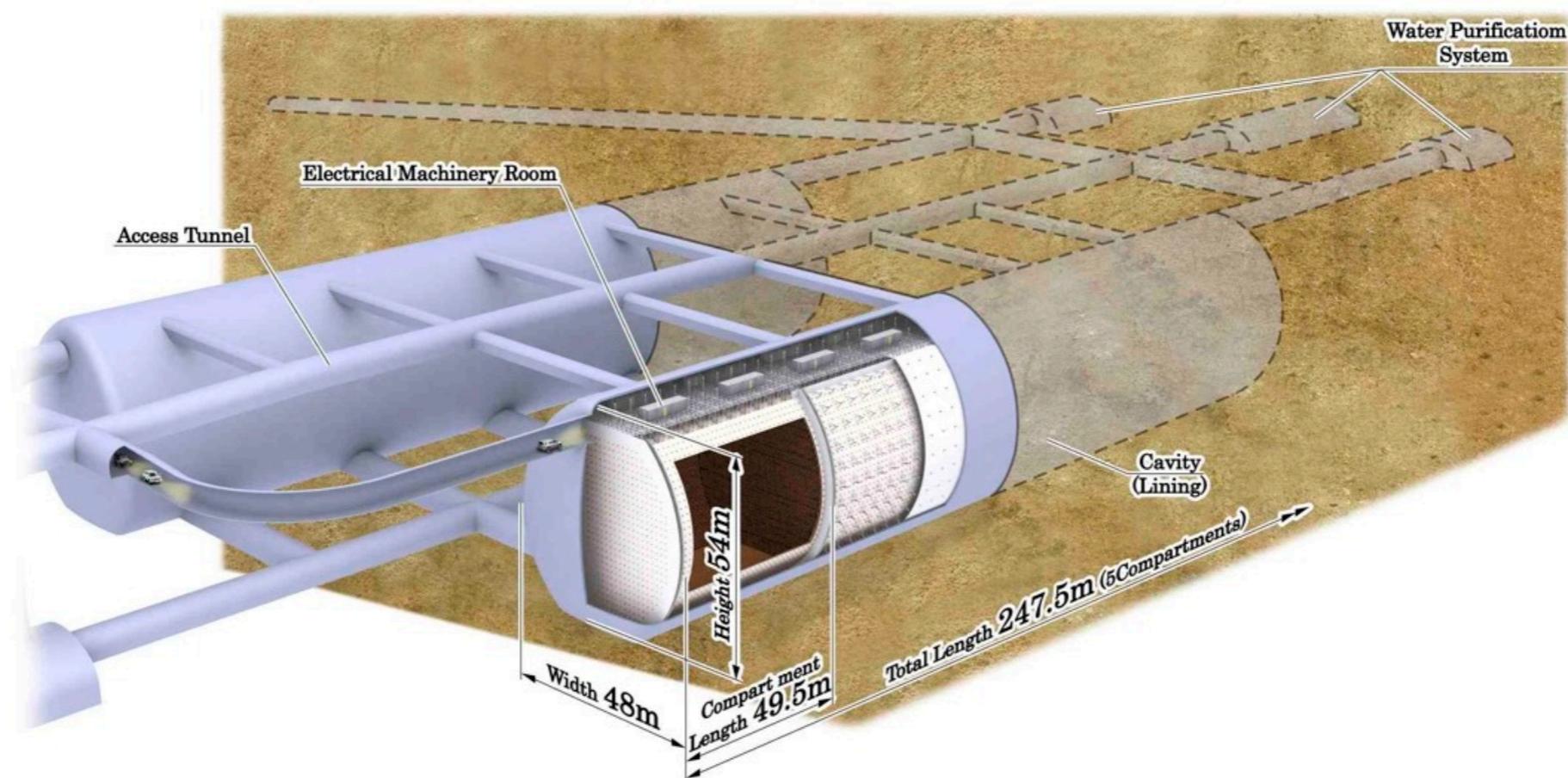


# Hyper-Kamiokande (T2HK)

Starting in 2026?



- Same concept as T2K (and NOvA): separately measure  $\nu_{\mu} \rightarrow \nu_e$  and  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$  off-axis, but:
  - More powerful beam: 1-2 MW! (**x2** T2K)
  - More massive detector: 1 Mton! (**x20** Super-Kamiokande)
- Mostly “counting” experiment at low ( $< 1$  GeV) energies  $\rightarrow$  water Cherenkov detector
- Mass hierarchy from atmospheric neutrinos

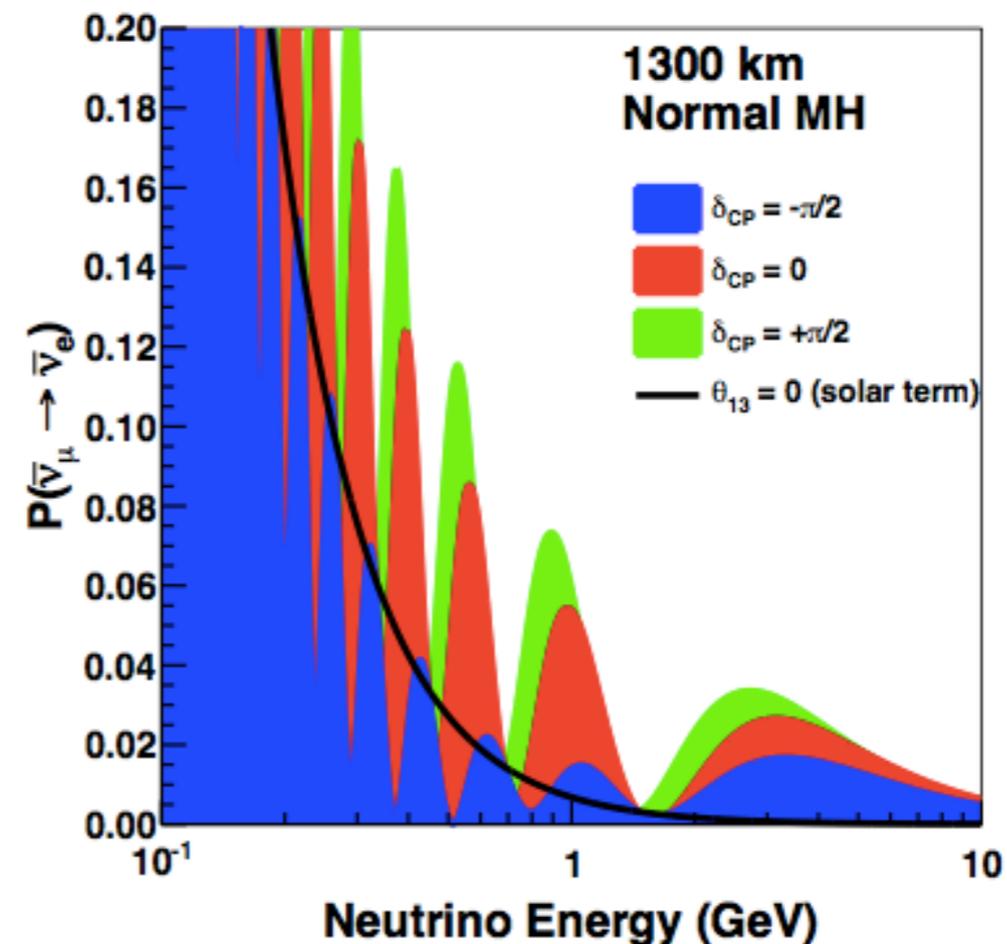
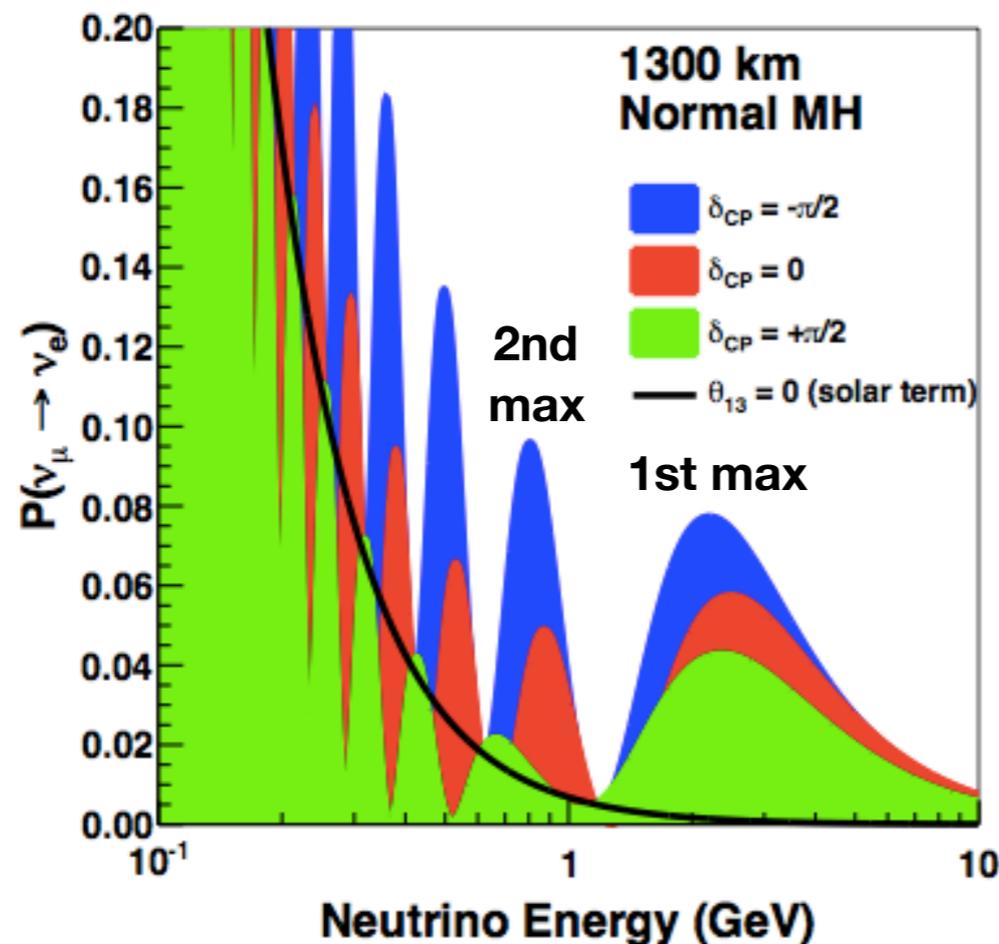


# DUNE

Starting in 2024?



- Other next-generation long-baseline (1300 km) oscillation experiment
- On-axis 0.5-6 GeV  $\nu + \bar{\nu}$  beam covering 1st and 2nd oscillation maximum to disentangle mass ordering and CP effects
- Requires detector for high-energy neutrinos  $\rightarrow$  LAr TPC as Far Detector (FD)

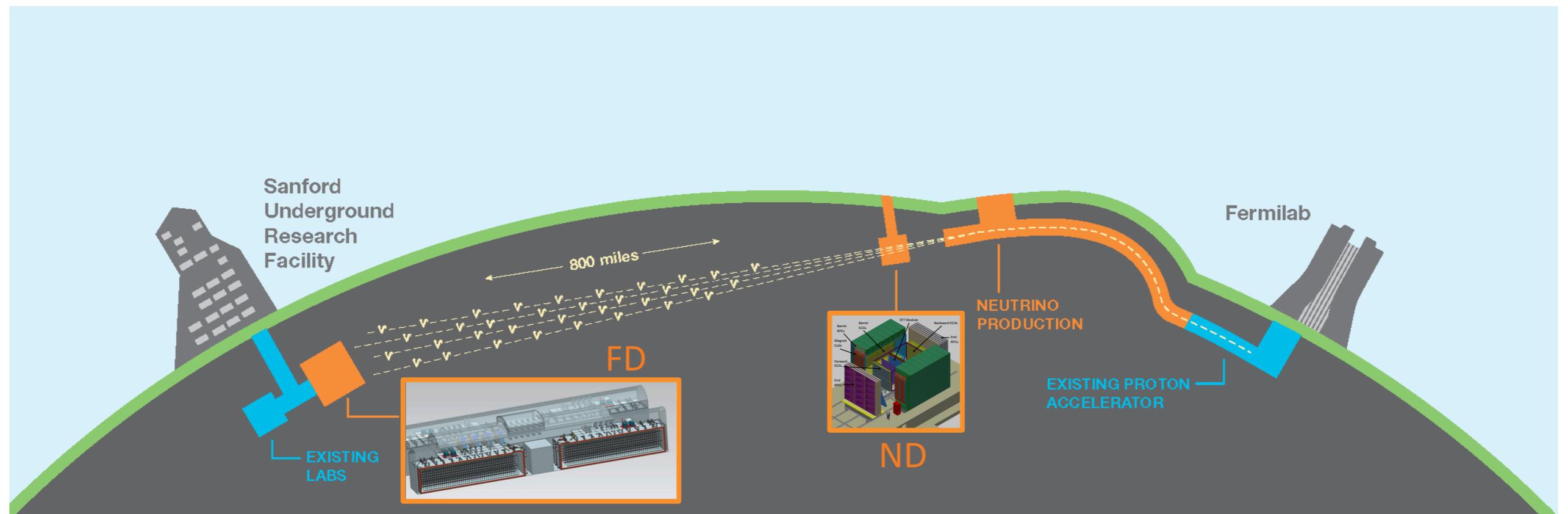


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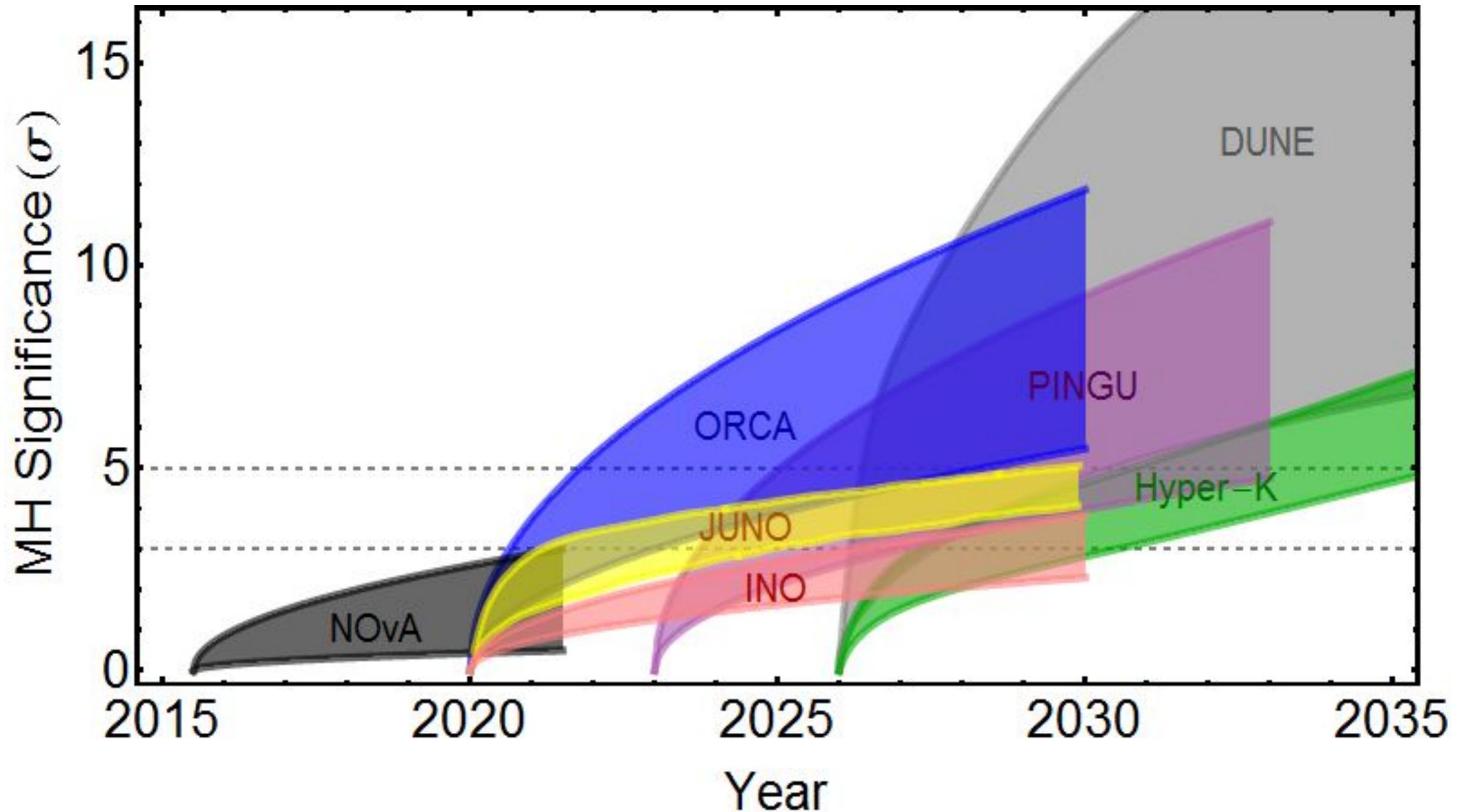
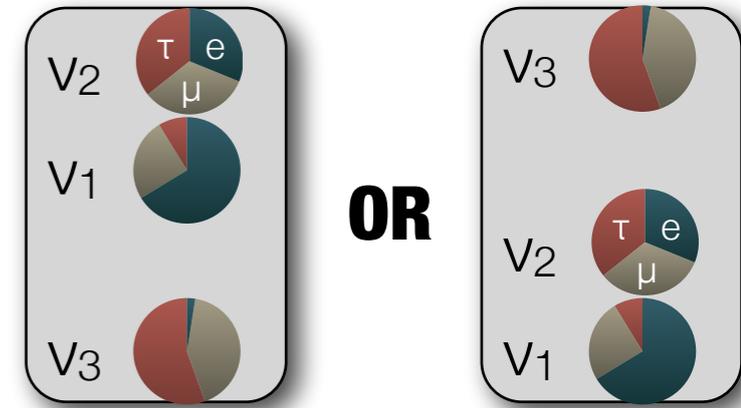
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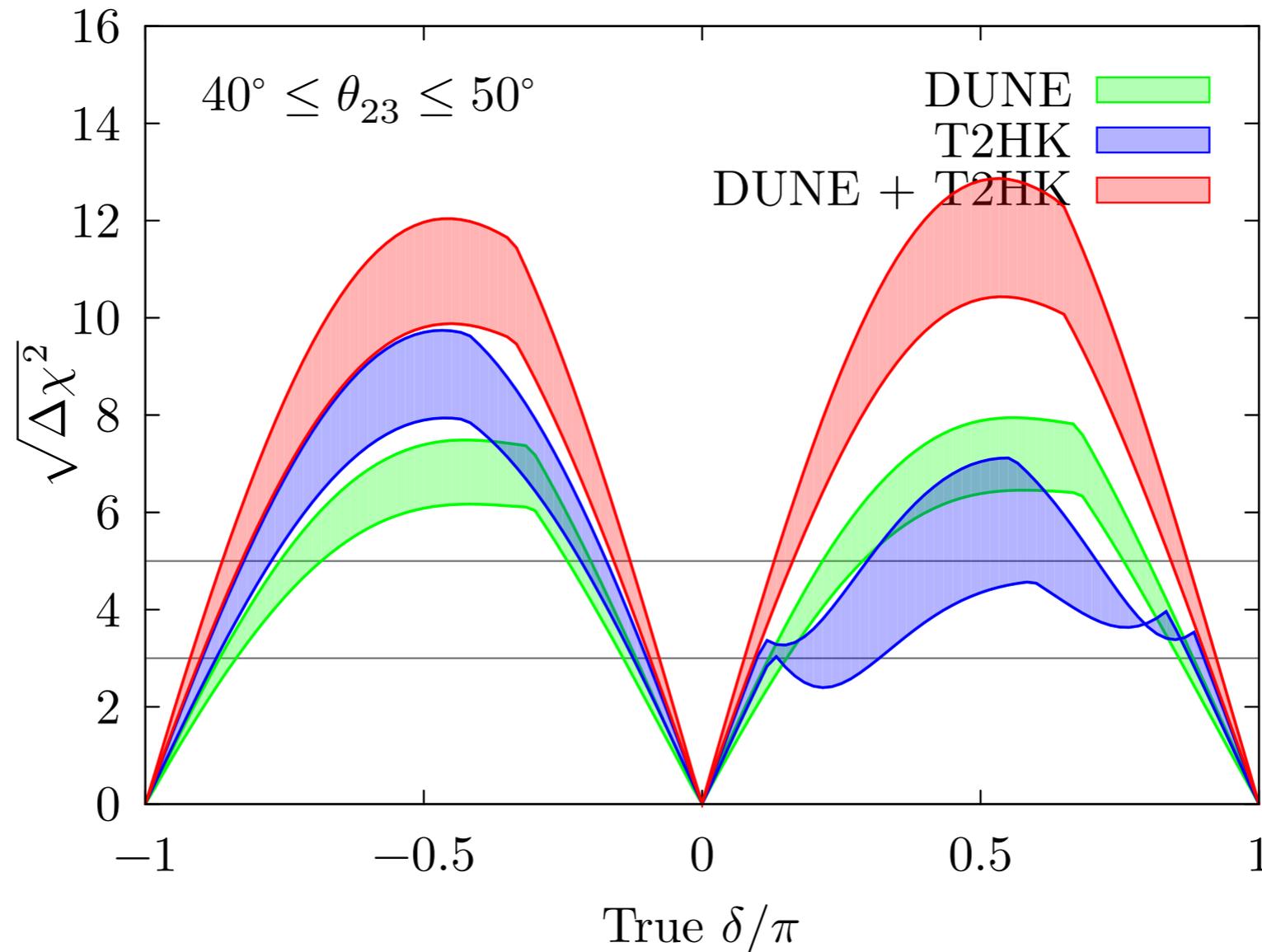


# Prospects to measure the neutrino mass ordering



- The neutrino mass ordering will be measured in the next few years!

# Prospects to measure leptonic CP violating phase $\delta_{\text{CP}} \neq 0, \pi$



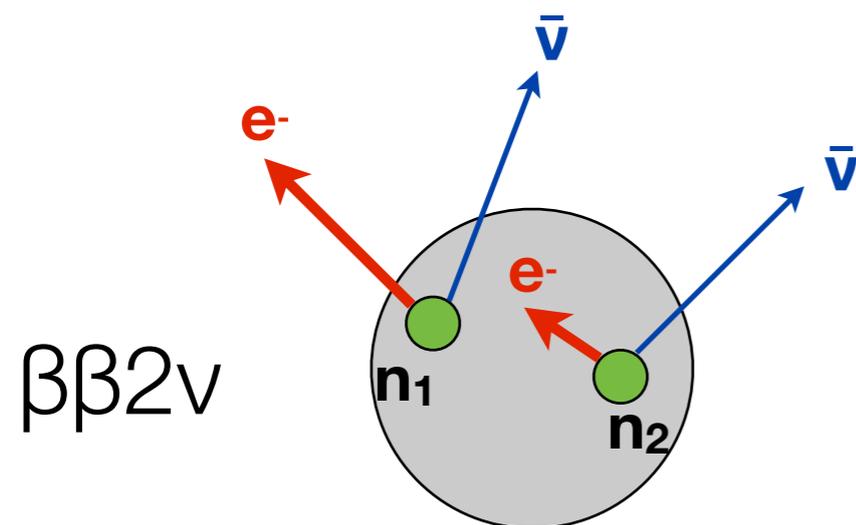
- Unambiguous CPV measurement by late 2020's, provided CPV close to maximal
- Hints already here! Maximal CPV at  $\delta_{\text{CP}} = -\pi/2$  favoured by current data! (M. Tortola)

# Neutrinoless double beta decay

*Generalities*

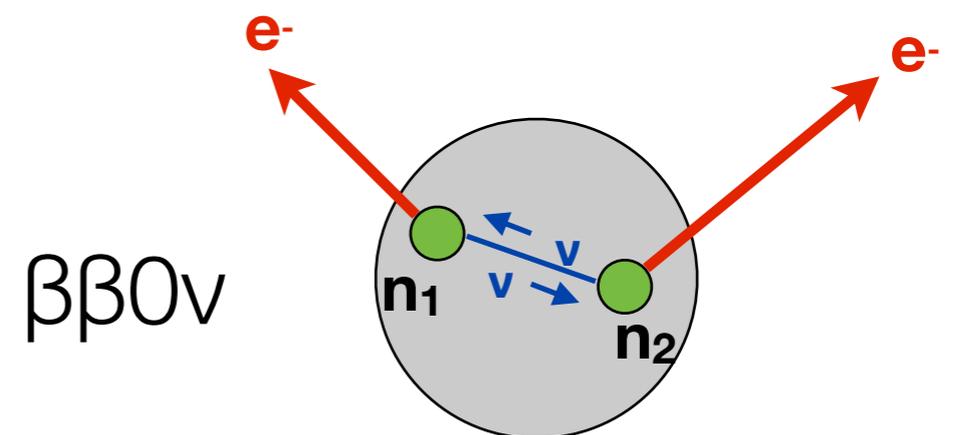
# Double beta decay

- Rare  $(Z,A) \rightarrow (Z+2,A)$  nuclear transition, with emission of two electrons
- Two basic decay modes



## Two neutrino mode

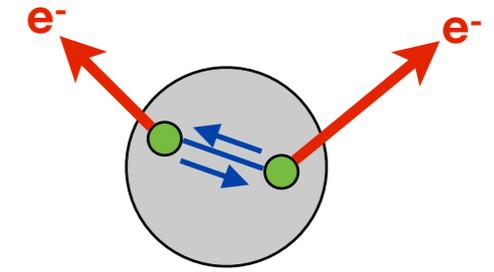
- Observed in several nuclei
- $10^{19}$ - $10^{21}$  yr half-lives
- Standard Model allowed



## Neutrinoless mode

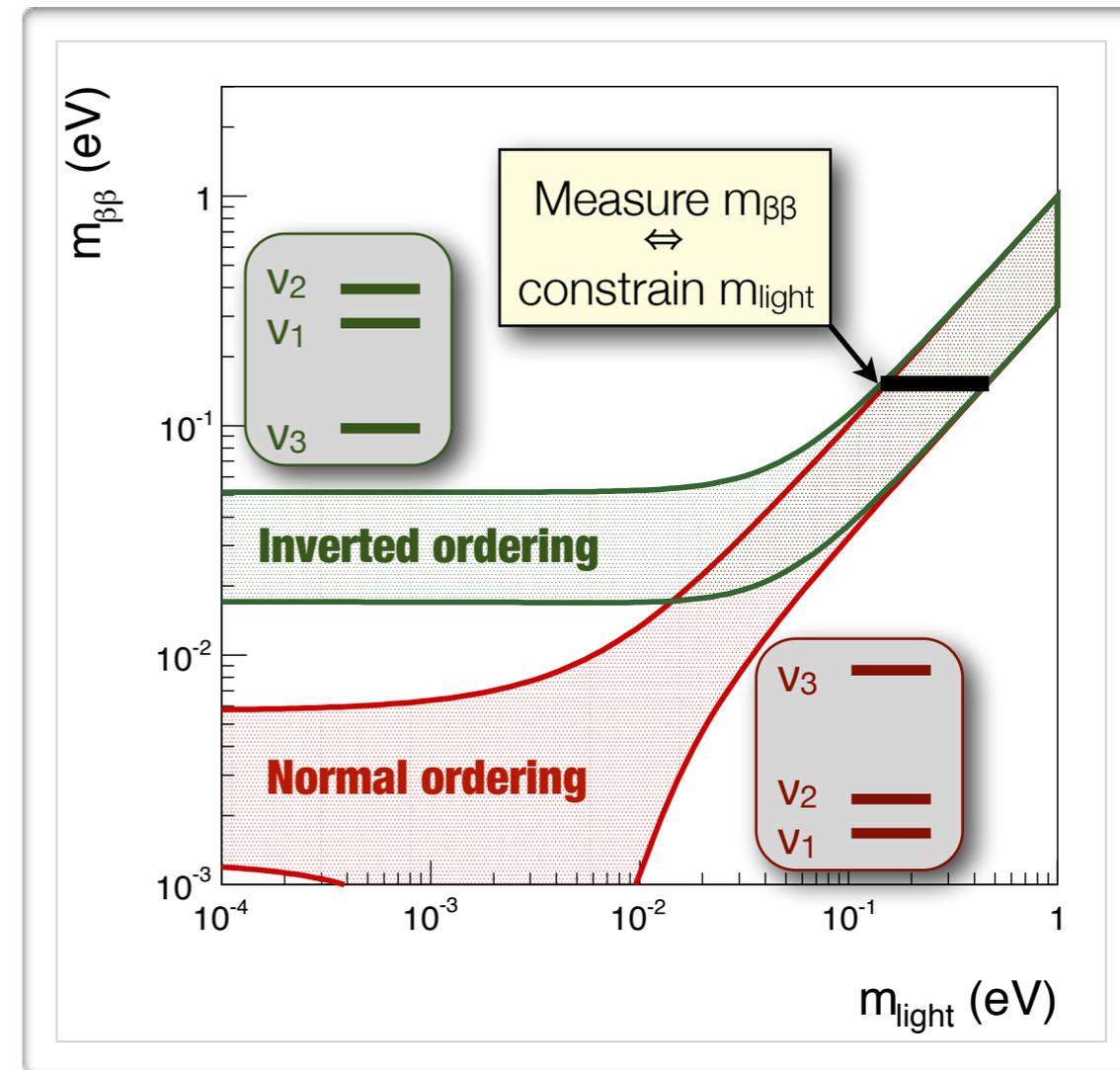
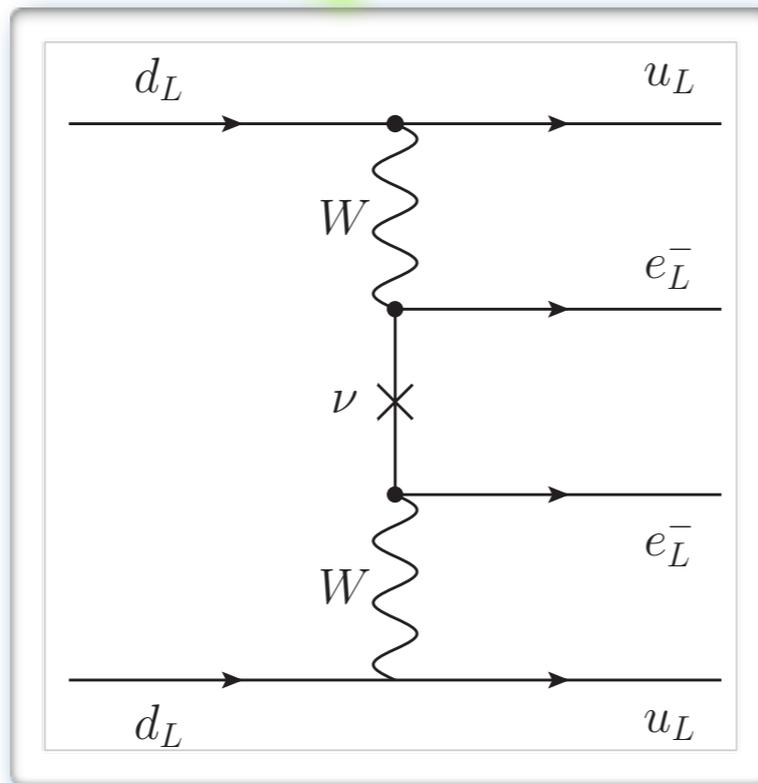
- Not observed yet in Nature
- $>10^{26}$  yr half-lives
- Would signal Beyond-SM physics

# Neutrinoless double beta decay and the neutrino questions



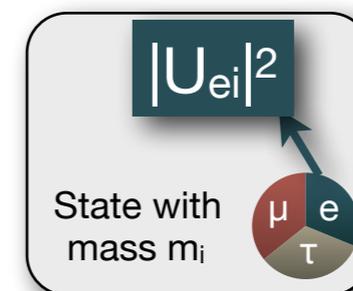
Lepton number violating process implying massive Majorana neutrinos

- Identity
- Mass scale
- Mass ordering
- Mixing
- Species



$$(\text{Rate})_{\beta\beta 0\nu} = 1/T_{1/2} = G^{0\nu} \cdot |M^{0\nu}|^2 \cdot m_{\beta\beta}^2$$

$$\text{Majorana } \nu \text{ mass: } m_{\beta\beta} \equiv \left| \sum_i m_i U_{ei}^2 \right|$$



# Facts life of the double beta decay experimentalist

---

- Total number of  $\beta\beta_{0\nu}$  decays that can be observed in a detector is (exercise: derive!)

The diagram shows the equation for the total number of  $\beta\beta_{0\nu}$  decays,  $N_{\beta\beta_{0\nu}}$ , enclosed in a box. Red arrows point from each variable in the equation to its corresponding definition:

- $M_{\beta\beta}$ : mass of  $\beta\beta$  isotope
- $N_A$ : Avogadro's constant
- $W_{\beta\beta}$ : Molar mass of  $\beta\beta$  isotope
- $\varepsilon$ : Efficiency
- $t$ : Exposure time
- $T_{1/2}^{0\nu}$ :  $\beta\beta_{0\nu}$  half-life

$$N_{\beta\beta_{0\nu}} = \log 2 \cdot \frac{M_{\beta\beta} \cdot N_A}{W_{\beta\beta}} \cdot \varepsilon \cdot \frac{t}{T_{1/2}^{0\nu}}$$

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- **Question:** for a  $^{136}\text{Xe}$  experiment with 100% efficiency and 1 year exposure time, what is the mass  $M_{\beta\beta}$  required to observe **only one**  $\beta\beta_{0\nu}$  decay?

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Diagram illustrating the equation for the total number of  $\beta\beta 0\nu$  decays observed in a detector, with variables labeled:

- mass of  $\beta\beta$  isotope (points to  $M_{\beta\beta}$ )
- Avogadro's constant (points to  $N_A$ )
- Exposure time (points to  $t$ )
- $\beta\beta 0\nu$  half-life (points to  $T_{1/2}^{0\nu}$ )
- Efficiency (points to  $\varepsilon$ )
- Molar mass of  $\beta\beta$  isotope (points to  $W_{\beta\beta}$ )

- **Question:** for a  $^{136}\text{Xe}$  experiment with 100% efficiency and 1 year exposure time, what is the mass  $M_{\beta\beta}$  required to observe **only one**  $\beta\beta 0\nu$  decay?
- Assuming that the (unknown)  $\beta\beta 0\nu$  half-life of  $^{136}\text{Xe}$  is  $T_{1/2} = 10^{27}$  years, get:

$$M_{\beta\beta} = 326 \text{ kg!}$$

# Facts life of the double beta decay experimentalist

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$$M_{\beta\beta} = 326 \text{ kg!}$$

- Life is harder than this: non-perfect efficiencies and **backgrounds**

# Experimental sensitivity to $\beta\beta 0\nu$

---

- Experiment with no background:

detector efficiency

$$T_{1/2}^{0\nu} \propto \varepsilon \cdot M_{\beta\beta} \cdot t$$

exposure  
(mass×time)

# Experimental sensitivity to $\beta\beta 0\nu$

- Experiment with no background:

$$T_{1/2}^{0\nu} \propto \epsilon \cdot M_{\beta\beta} \cdot t$$

detector efficiency

exposure (mass×time)

- Experiment with background:

$$T_{1/2}^{0\nu} \propto \epsilon \cdot \sqrt{\frac{M_{\beta\beta} \cdot t}{c \cdot \Delta E}}$$

detector efficiency

exposure (mass×time)

energy resolution

background rate (per unit energy, mass and time)

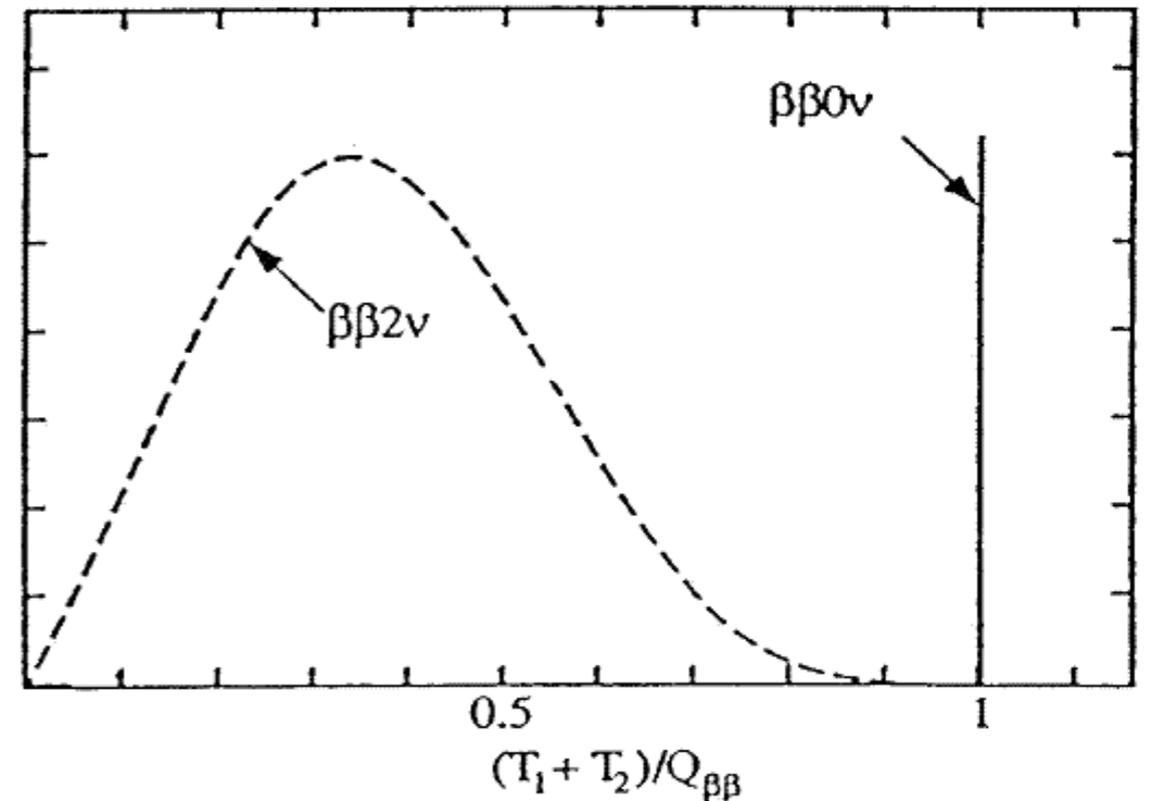
# $\beta\beta 0\nu$ experimental signature

*Rare process to be isolated in radio-pure detector underground*



## 1. Calorimetry (A MUST):

- *2ν mode*: continuous spectrum for sum electron kinetic energy  $T_1+T_2$
- *0ν mode*: mono-energetic line at  $Q_{\beta\beta}$  for  $T_1+T_2$  spectrum

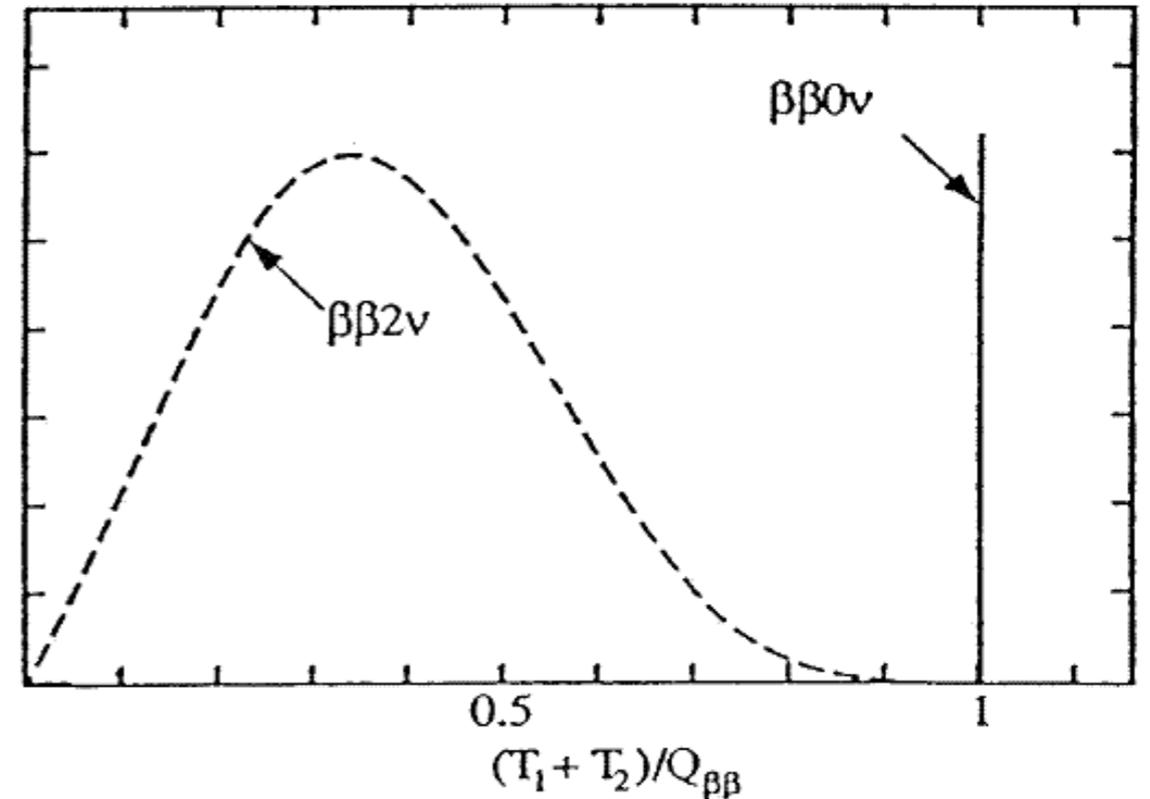


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## 2. Topology of decay electrons (AN ADDITIONAL HANDLE):

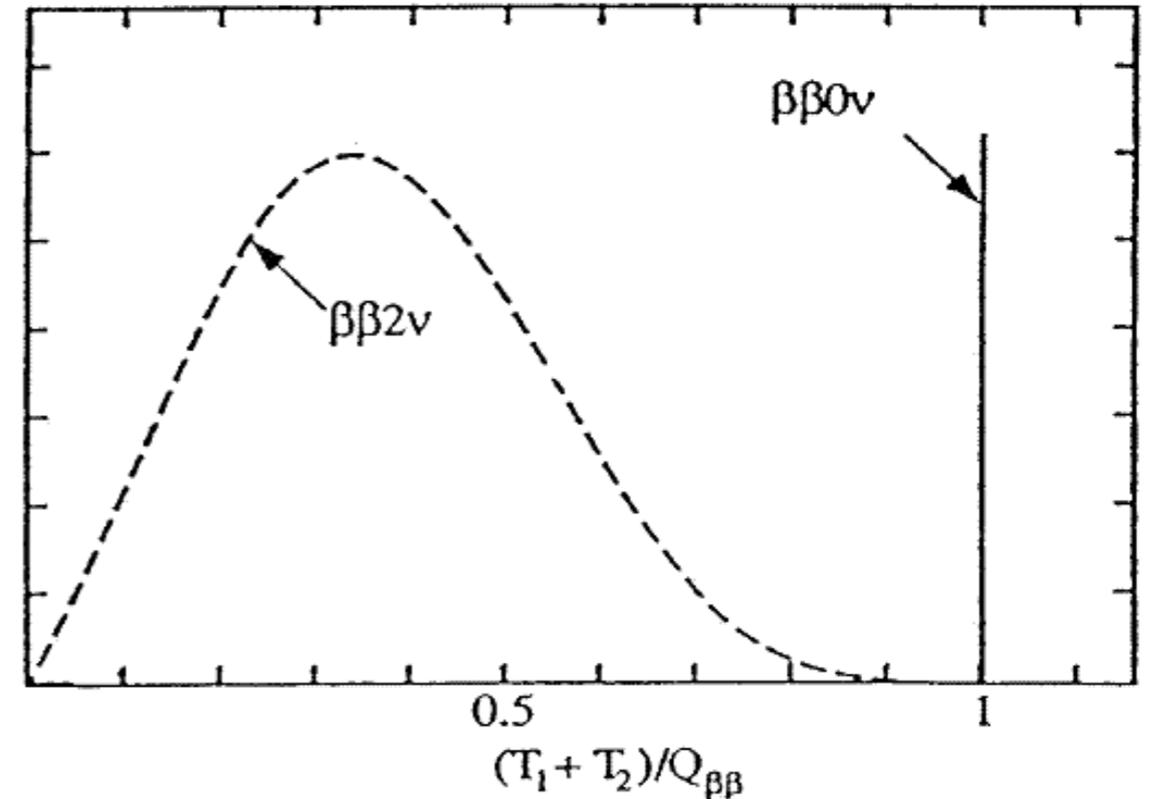
- Two electrons from common vertex

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## 2. Topology of decay electrons (AN ADDITIONAL HANDLE):

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## 3. Daughter ion tagging (A DREAM):

- Observe ion produced in the decay

# Comparison of $\beta\beta$ isotopes

- $\beta\beta$  isotope choice affects relationship ( $\beta\beta 0\nu$  rate  $\leftrightarrow$  Majorana mass):

atomic, nuclear, particle physics

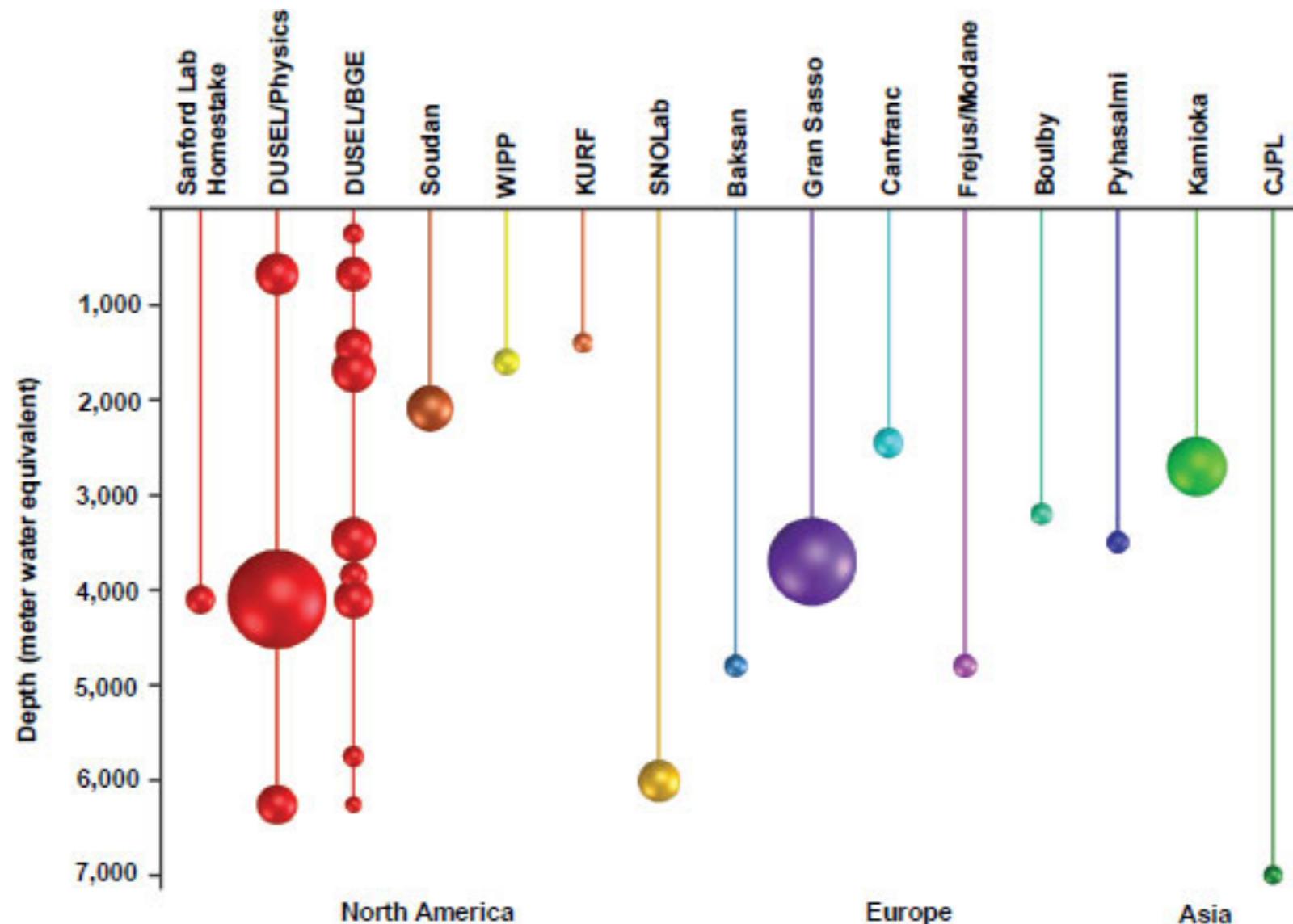
$$1/T_{1/2}^{0\nu} = G^{0\nu} \cdot |M^{0\nu}| \cdot 2 \cdot m_{\beta\beta}^2$$

Isotope	Q-value (MeV)	Phase space $G^{0\nu}$ ( $\text{yr}^{-1} \text{eV}^{-2}$ )	Matrix element $ M^{0\nu} $	Isotopic abundance (%)	Cost (normalized to $^{76}\text{Ge}$ )	Current experiments
$^{76}\text{Ge}$	2.04	$3.0 \times 10^{-26}$	$\approx 4.1$	7.8	1	GERDA, Majorana
$^{130}\text{Te}$	2.53	$2.1 \times 10^{-25}$	$\approx 3.6$	33.8	0.2	CUORE, SNO+
$^{136}\text{Xe}$	2.46	$2.3 \times 10^{-25}$	$\approx 2.8$	8.9	0.1	EXO, KamLAND-Zen, NEXT

The higher, the better

The lower, the better

# Underground detectors



- Some backgrounds originated outside detector by cosmic-ray interactions
- All  $\beta\beta 0\nu$  experiments located deep underground, using rock as shield

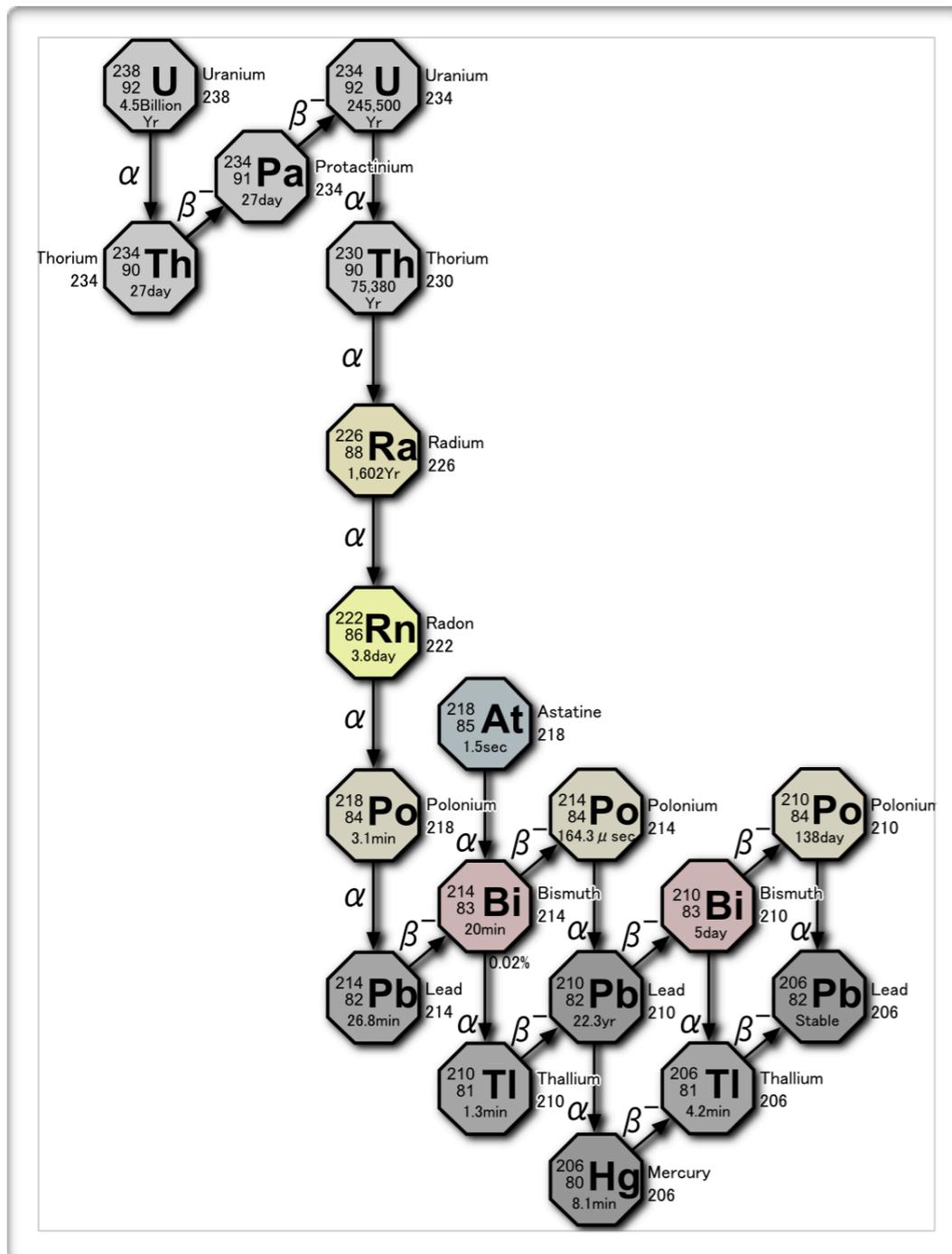
# Laboratorio Subterraneo de Canfranc

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# Radiopure detectors

- Minimise contamination from natural radioactivity in all detector components



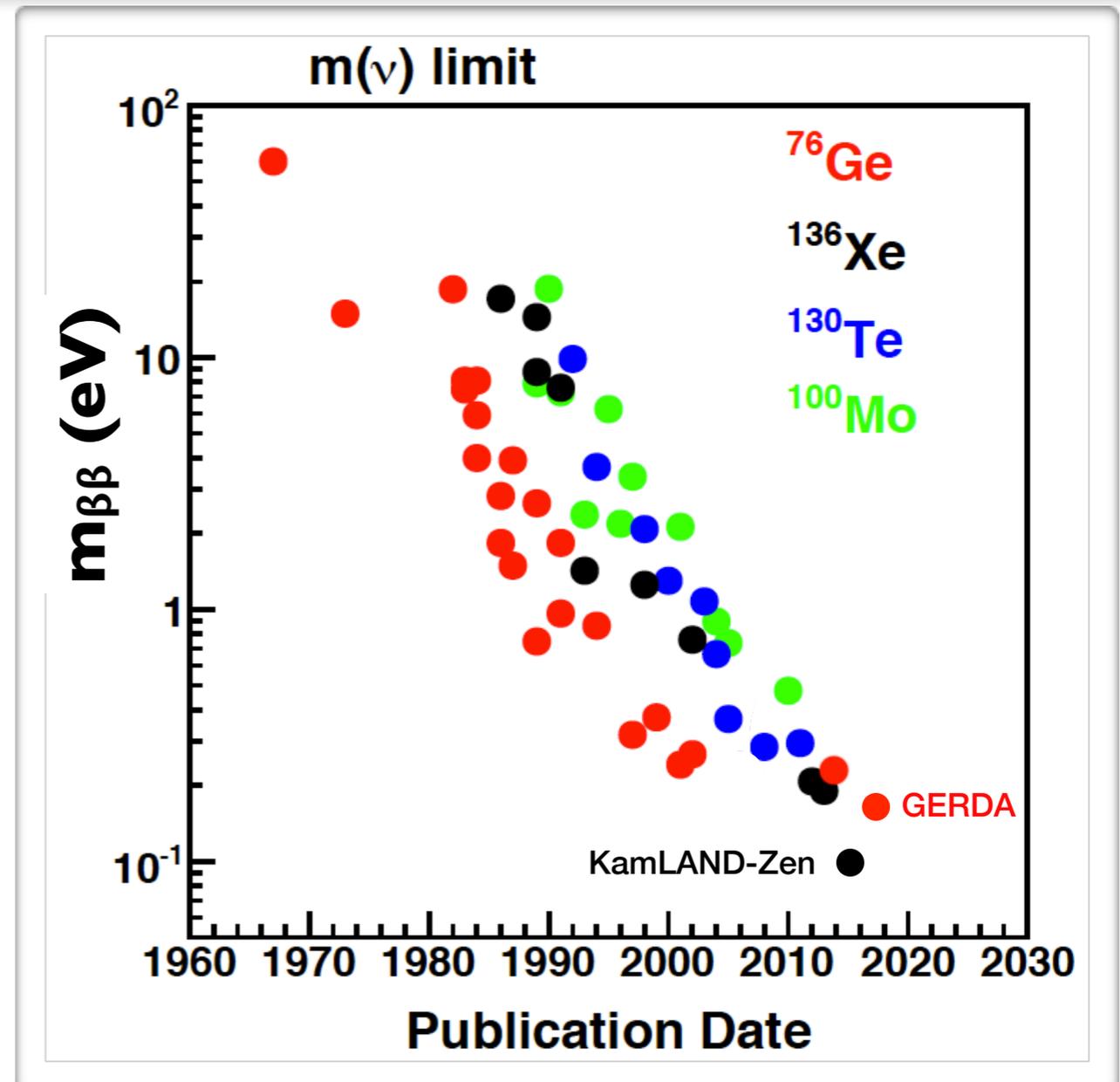
# $\beta\beta 0\nu$ experimental status

Main experiments, current generation:



- No convincing evidence for  $\beta\beta 0\nu$
- Best limits:

Experiment	$T_{1/2}^{0\nu}$ limit (yr)	$m_{\beta\beta}$ limit (meV)
KamLAND-Zen	$> 1.07 \times 10^{26}$	$< 61-165$
GERDA	$> 5.3 \times 10^{25}$	$< 150-330$



# Neutrinoless double beta decay

*A selection of experiments*

# GERDA experiment

*Started in 2011*



- High-purity germanium diodes enriched in  $^{76}\text{Ge}$  immersed in LAr
- **Advantages:** energy resolution, radiopurity → background-free!

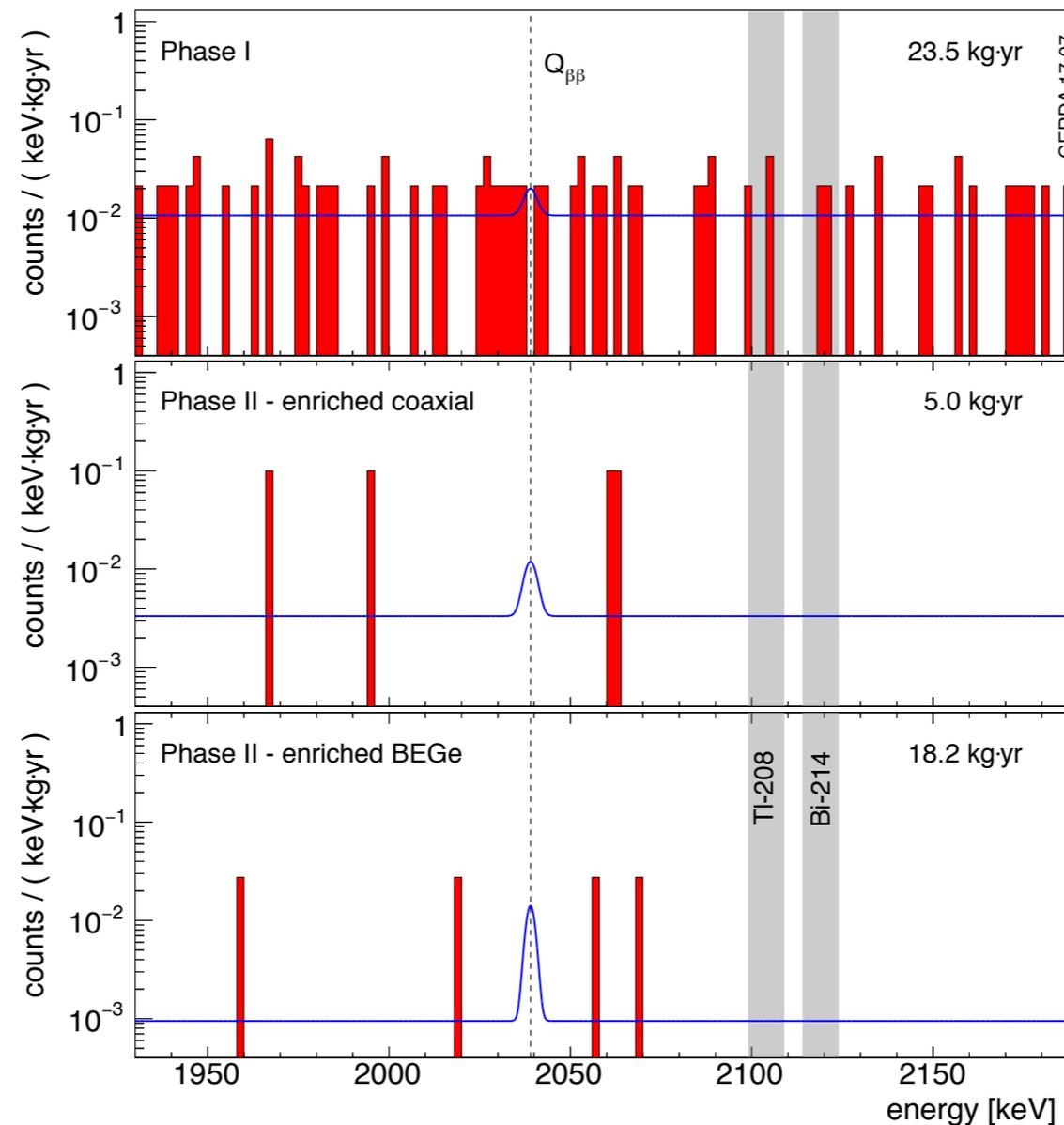


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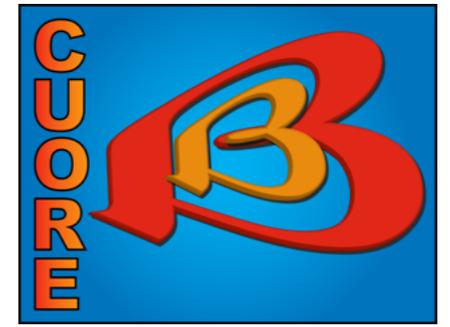


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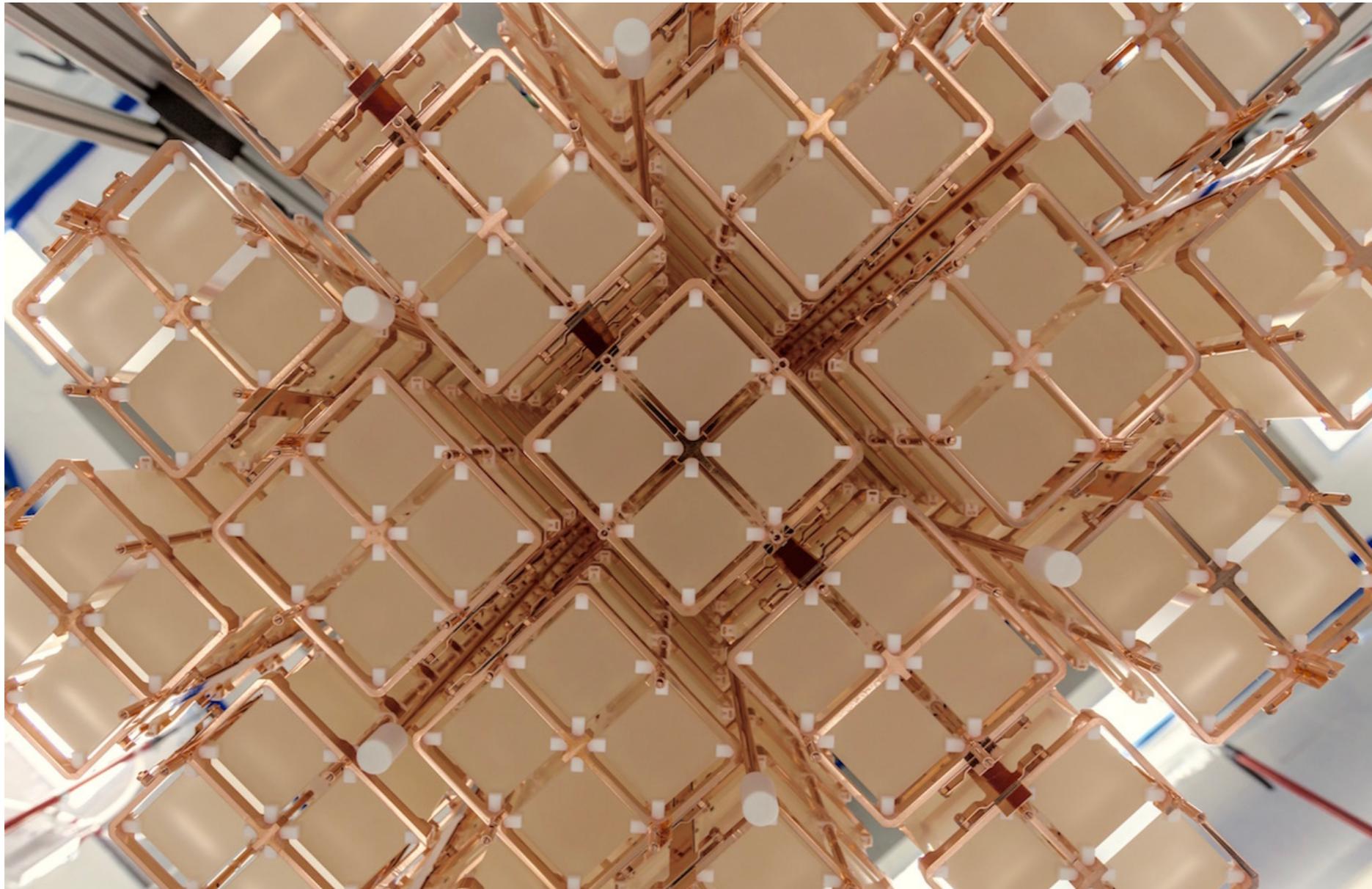


# CUORE experiment

*Started in 2013*

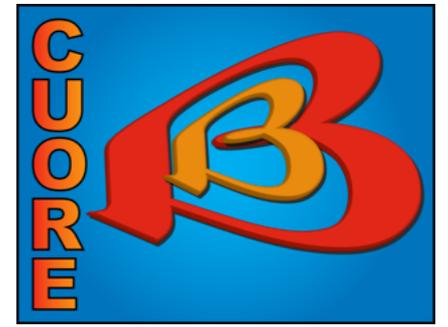


- Towers of  $\text{TeO}_2$  crystals.  $\beta\beta$  energy measured as temperature increase
- **Advantages:** energy resolution, mass scalability

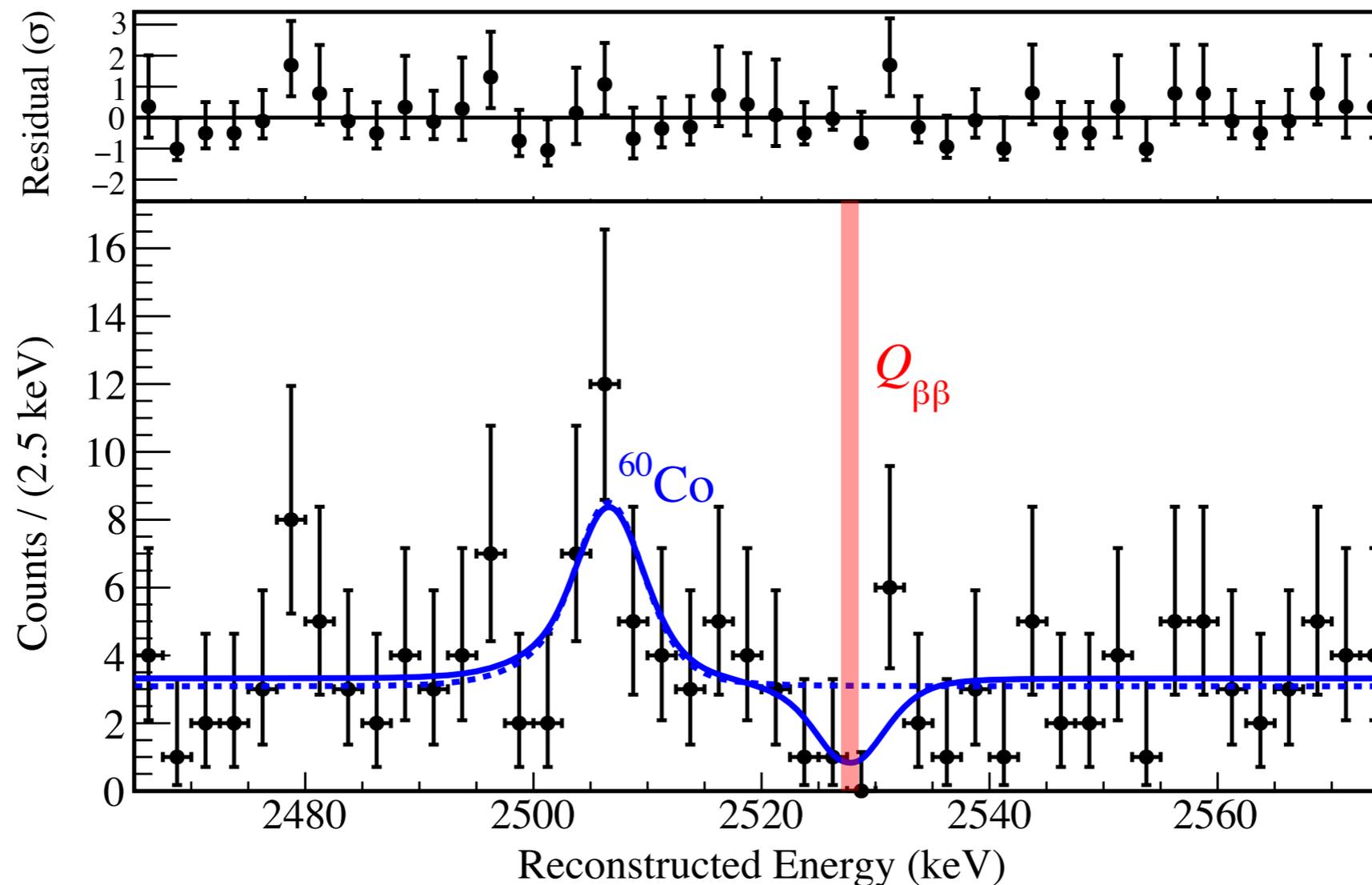


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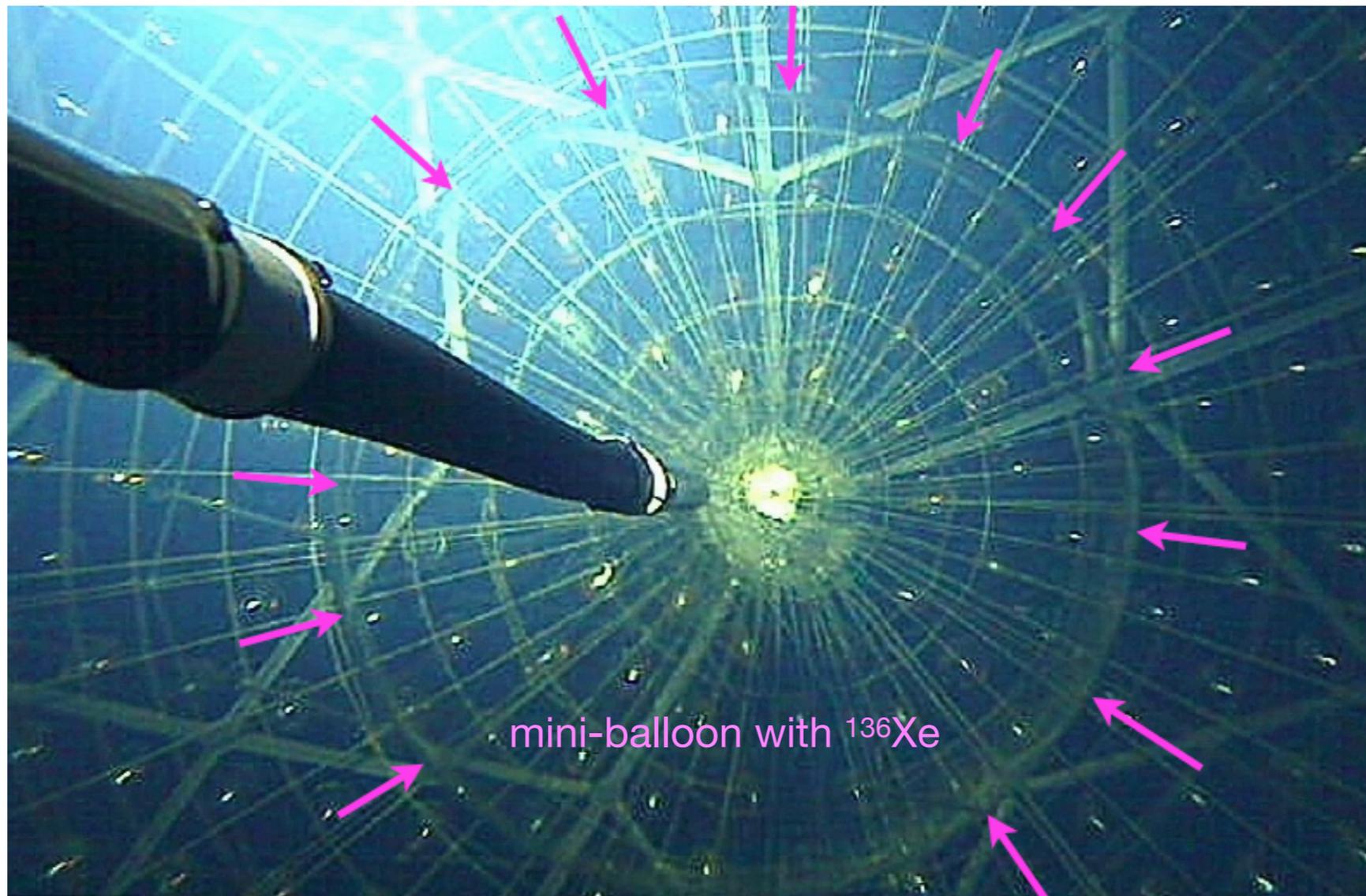


# KamLAND-Zen experiment

*Started in 2011*



- Liquid scintillator with 300-750 kg of  $^{136}\text{Xe}$  gas dissolved in it
- **Advantages:** mass scalability, radiopure, veto region → leading the field

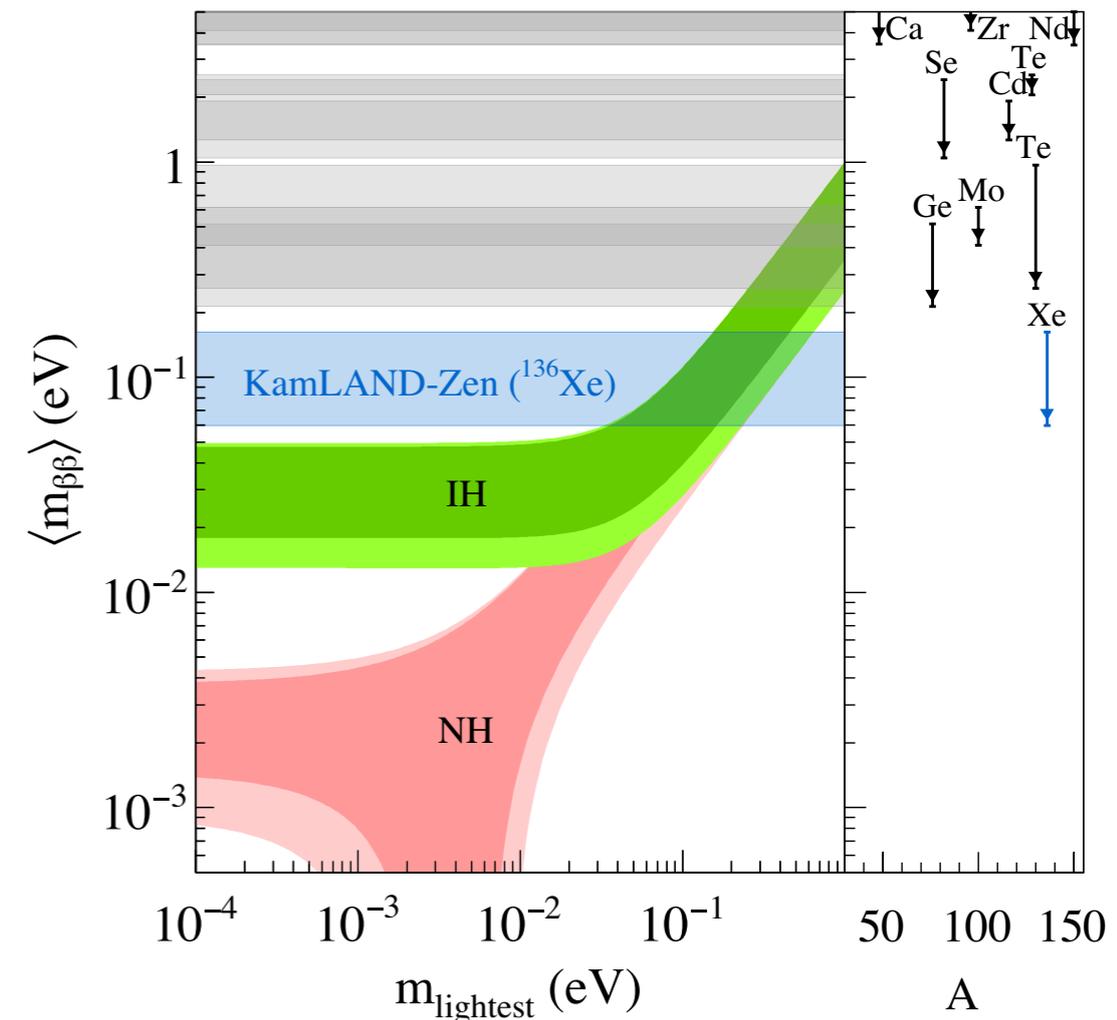
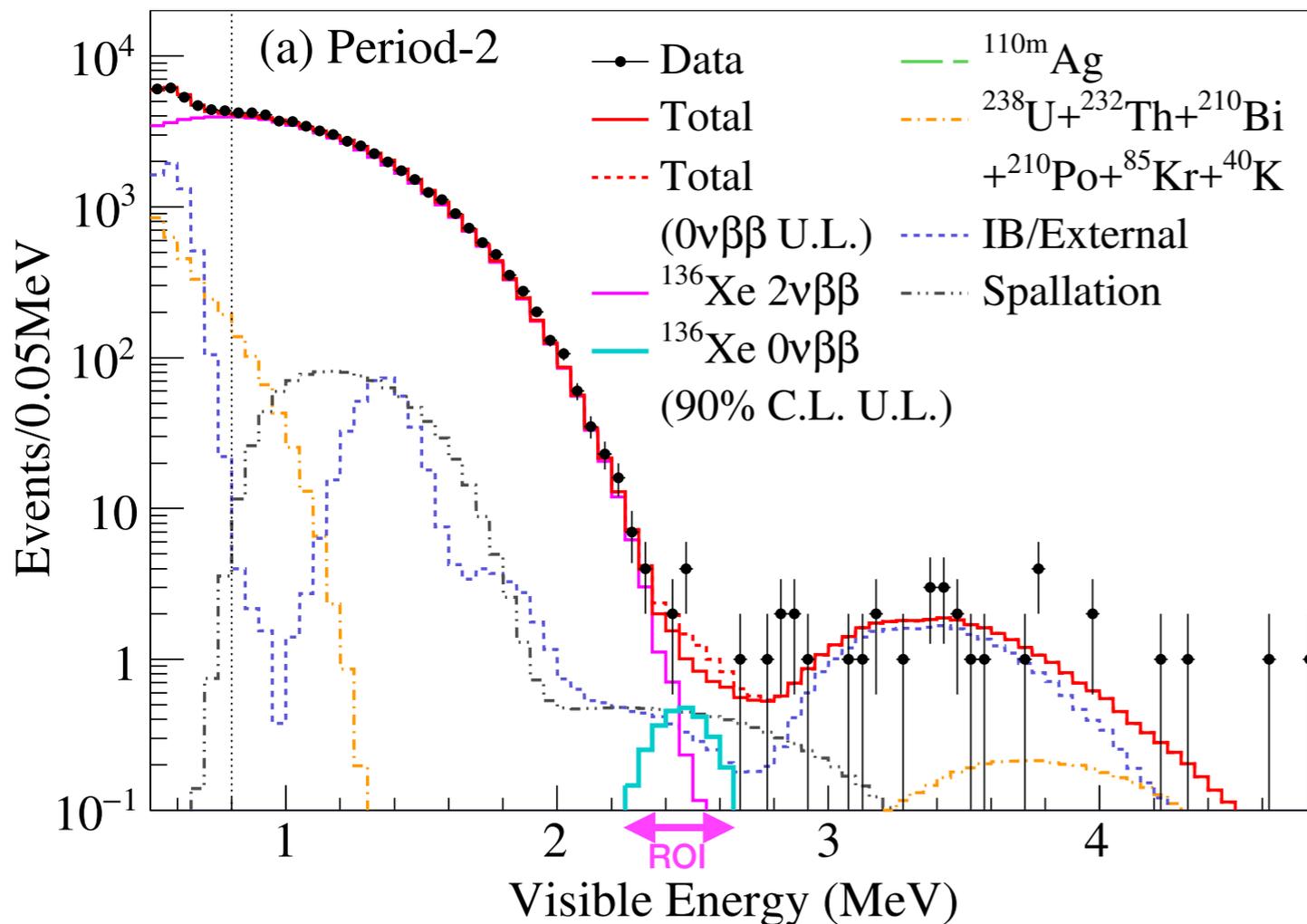


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# NEXT experiment

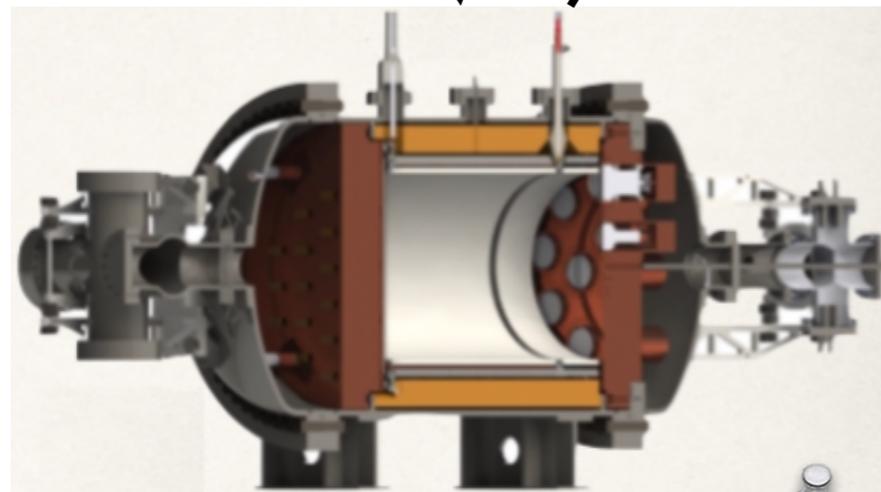
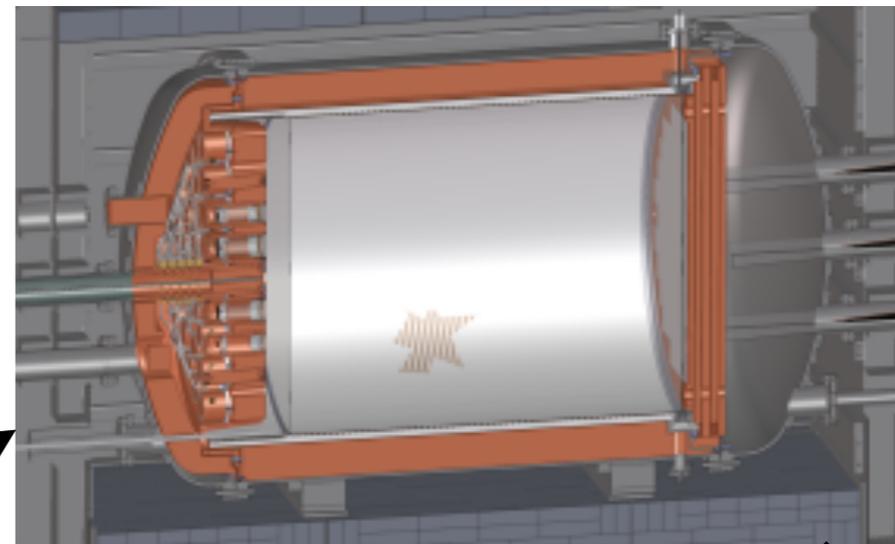
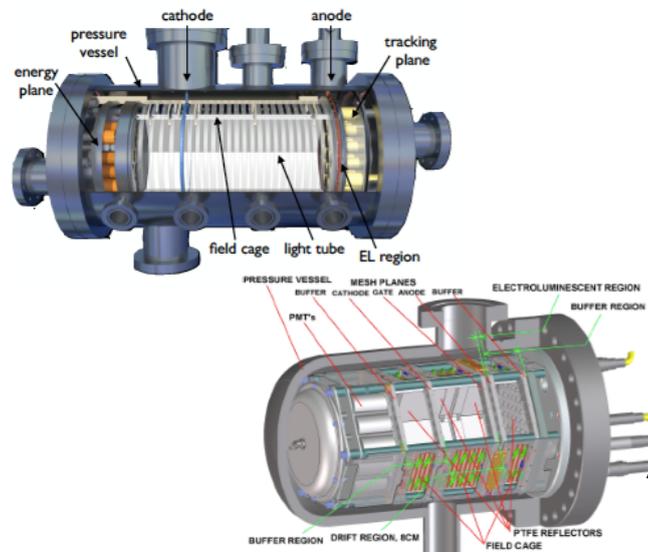
*Started in 2016*



- Time projection chamber filled with high-pressure (10-15 bar)  $^{136}\text{Xe}$  gas
- **Advantages:** energy resolution, image electron tracks



# NEXT phases



**Prototypes (~1 kg)**  
[2009 - 2014]

Demonstration of detector concept

**NEXT-100 (~100 kg)**  
[2018 - 2020's]

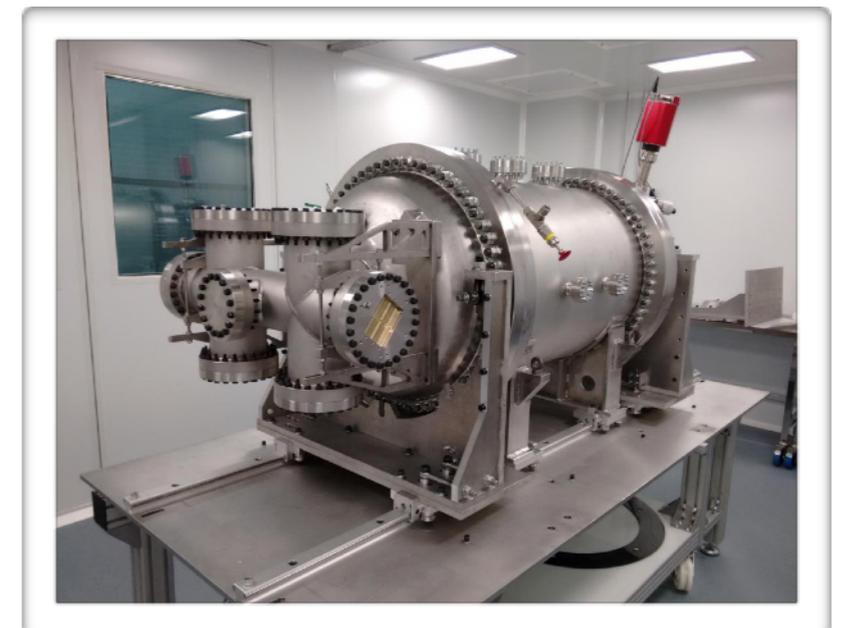
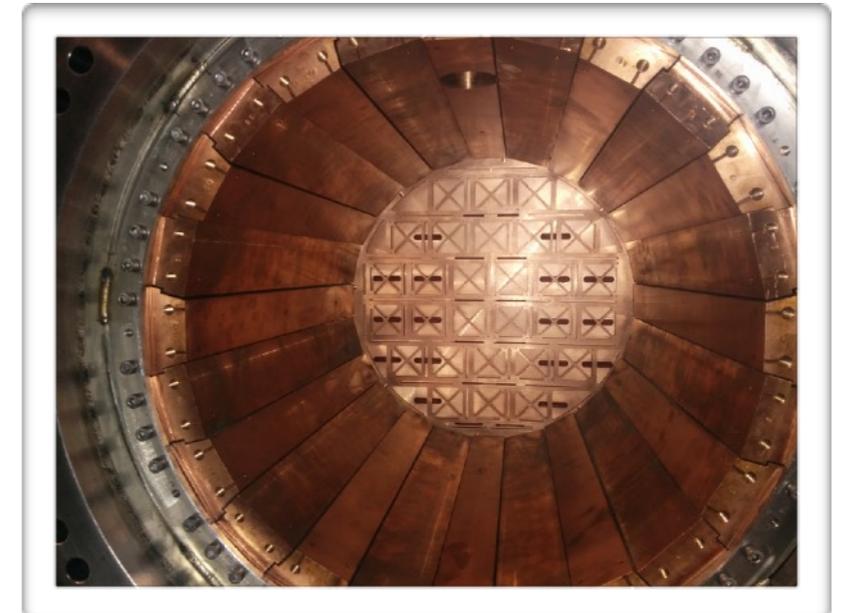
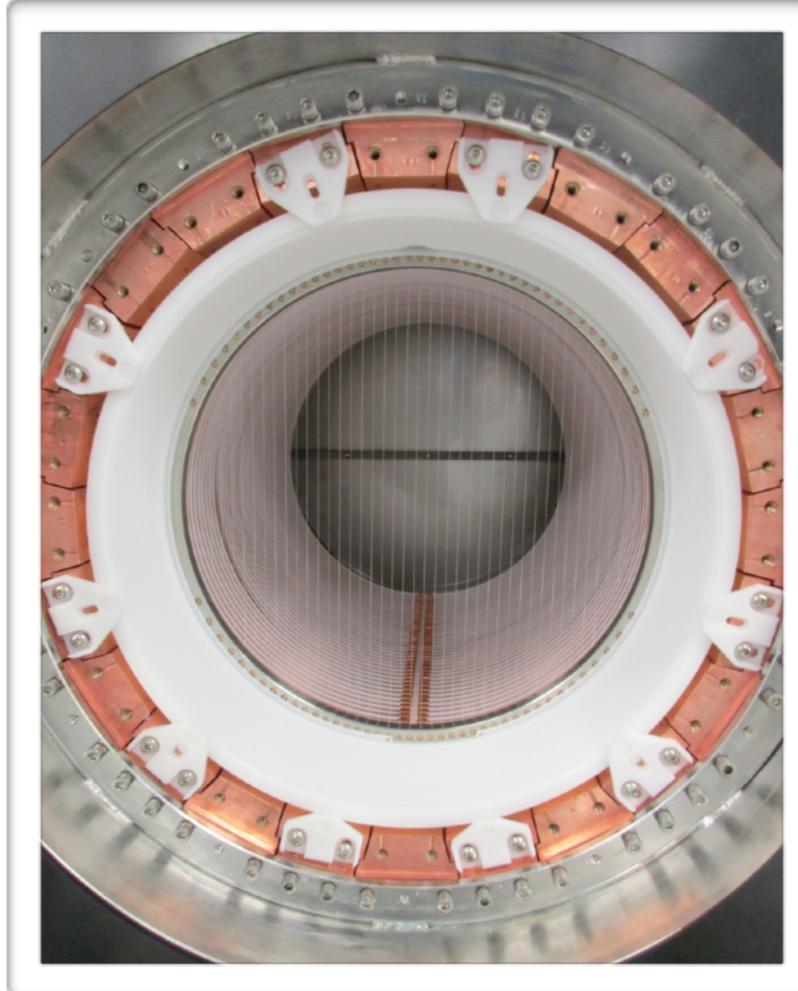
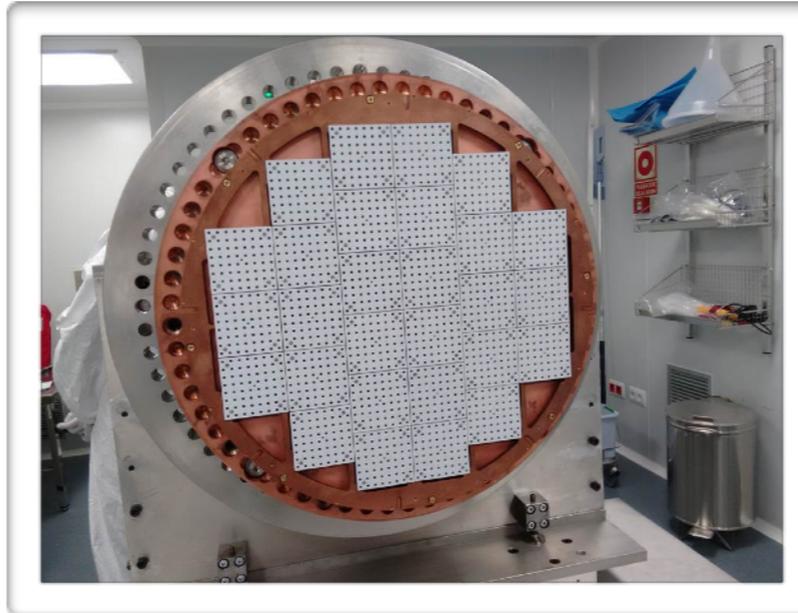
Neutrinoless double beta decay search

**NEXT-White (~5 kg)**  
[2015 - 2018]

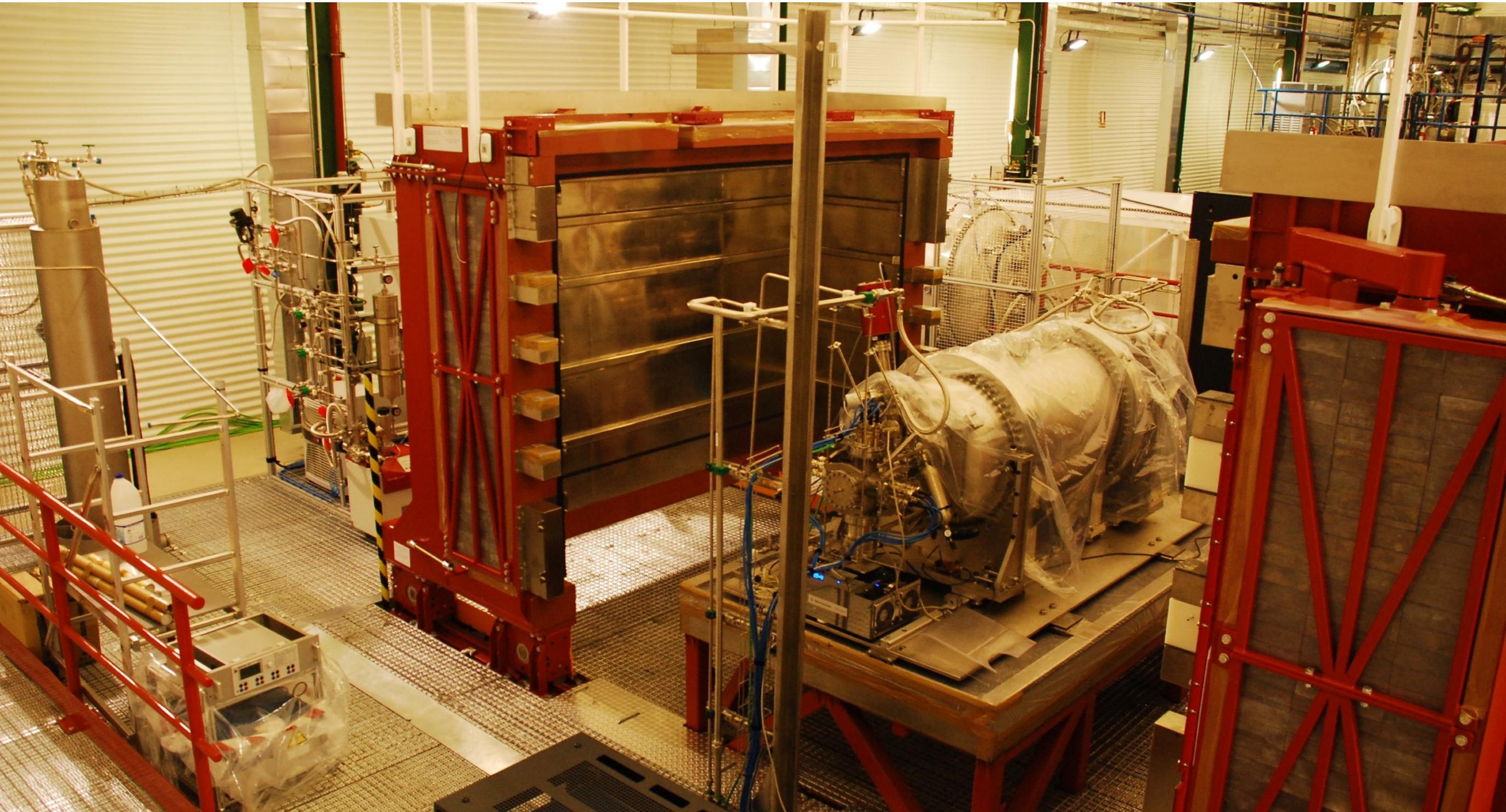
Underground and radio-pure operations, background,  $\beta\beta_{2\nu}$

**NEXT-tonne (~1000 kg)**  
[future generation]

# NEXT-White construction

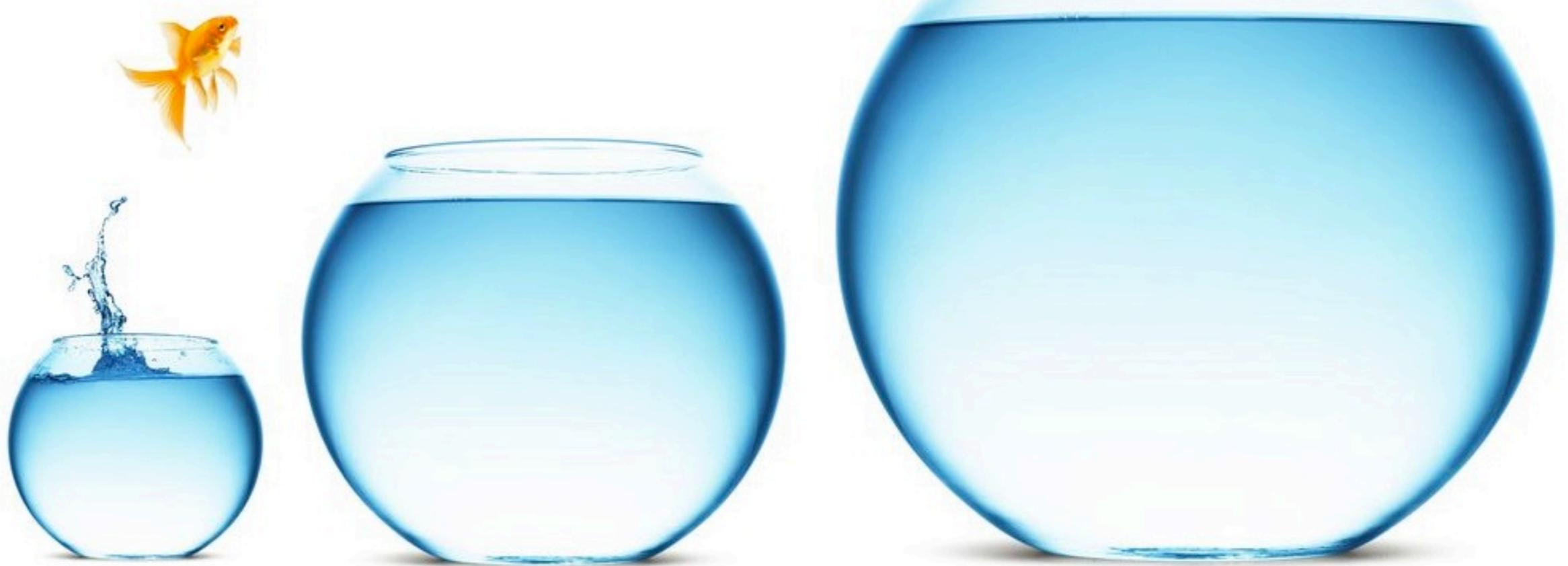


# NEXT-White installation at the LSC



# How to move forward?

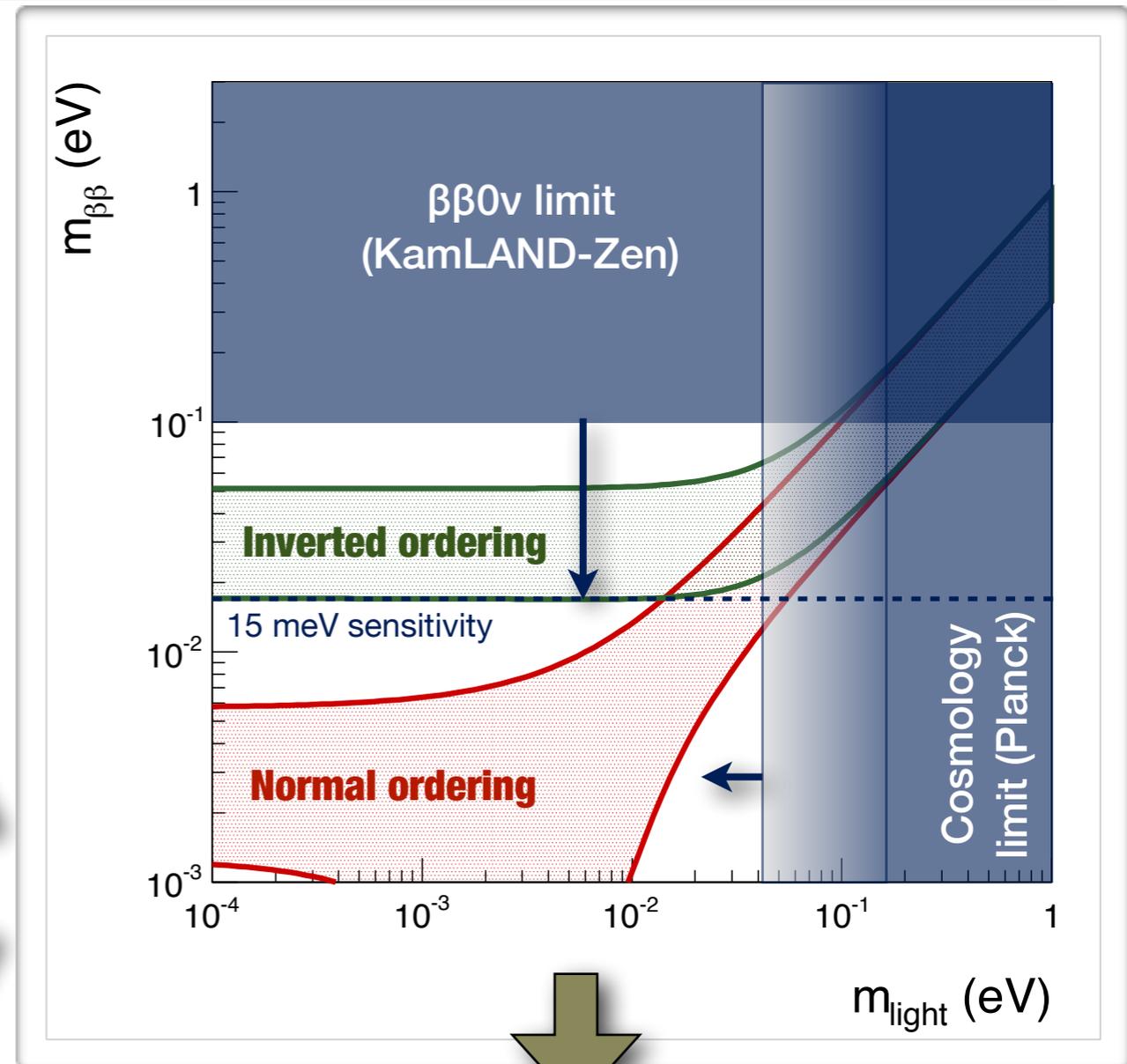
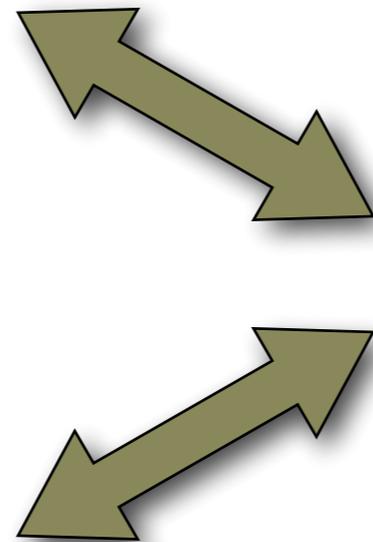
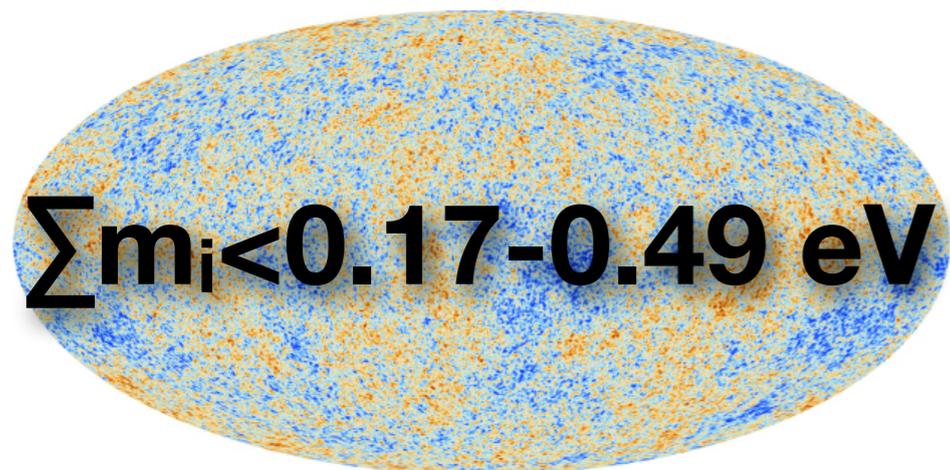
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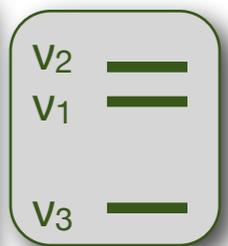
- Support to build 2-3 next-generation  $\beta\beta 0\nu$  experiments. Which ones?

# Goal for next-generation experiments

15 meV Majorana neutrino mass sensitivity



Guaranteed  $\beta\beta 0\nu$  discovery if neutrinos are Majorana and have “inverted” mass ordering

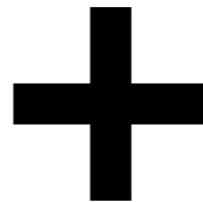


# Recipe for next-generation experiments

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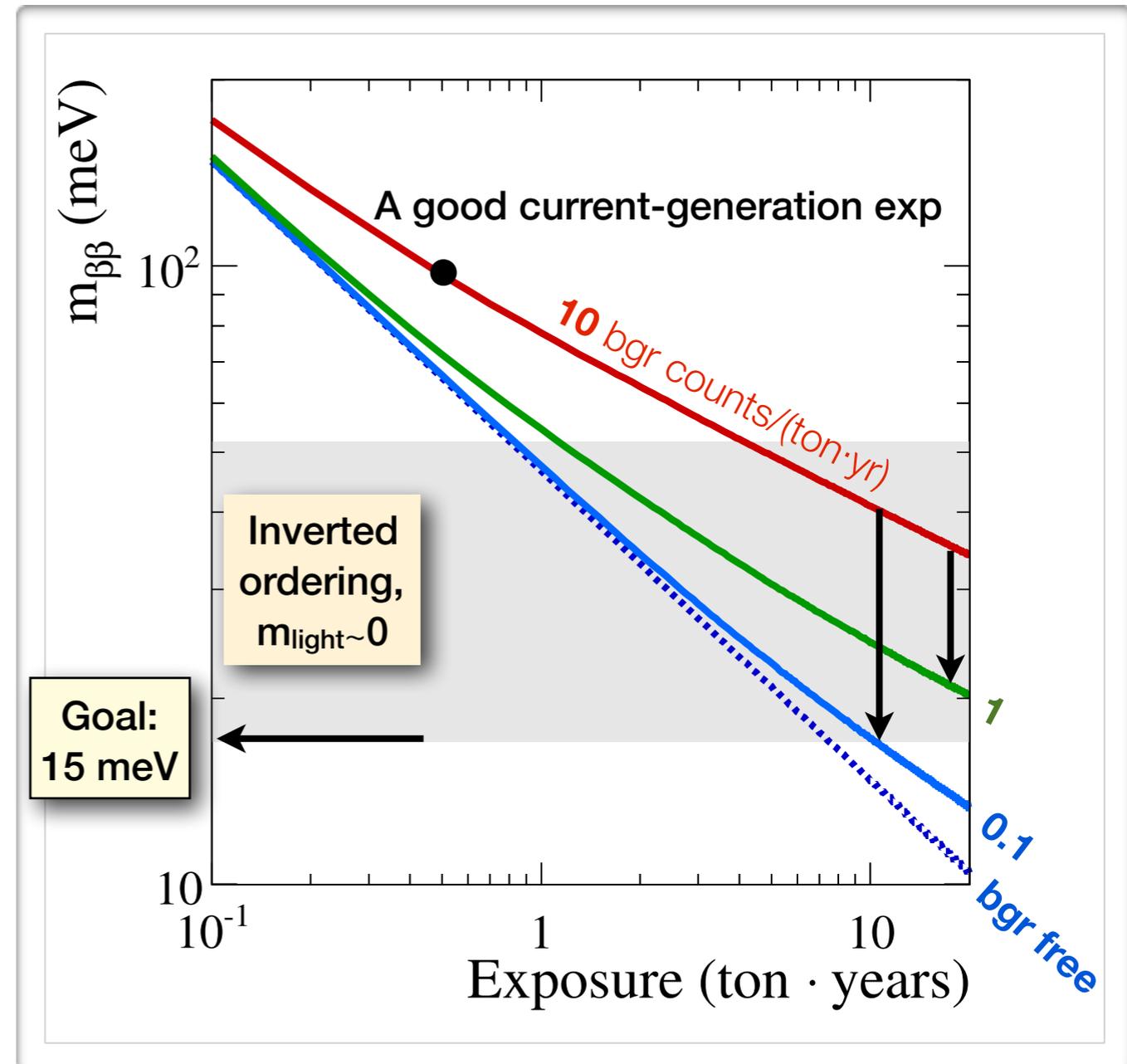
Favour  $\beta\beta$  isotopes that can be extrapolated to large masses (~ton)



Favour low background rate experimental techniques

# Ton-scale detector and need for background R&D

- Ton-scale detector **necessary but not sufficient** requirement
- Need at least 1-2 orders of magnitude background reduction with respect to current-generation
- **R&D** on active background reduction techniques



# Direct neutrino mass measurements

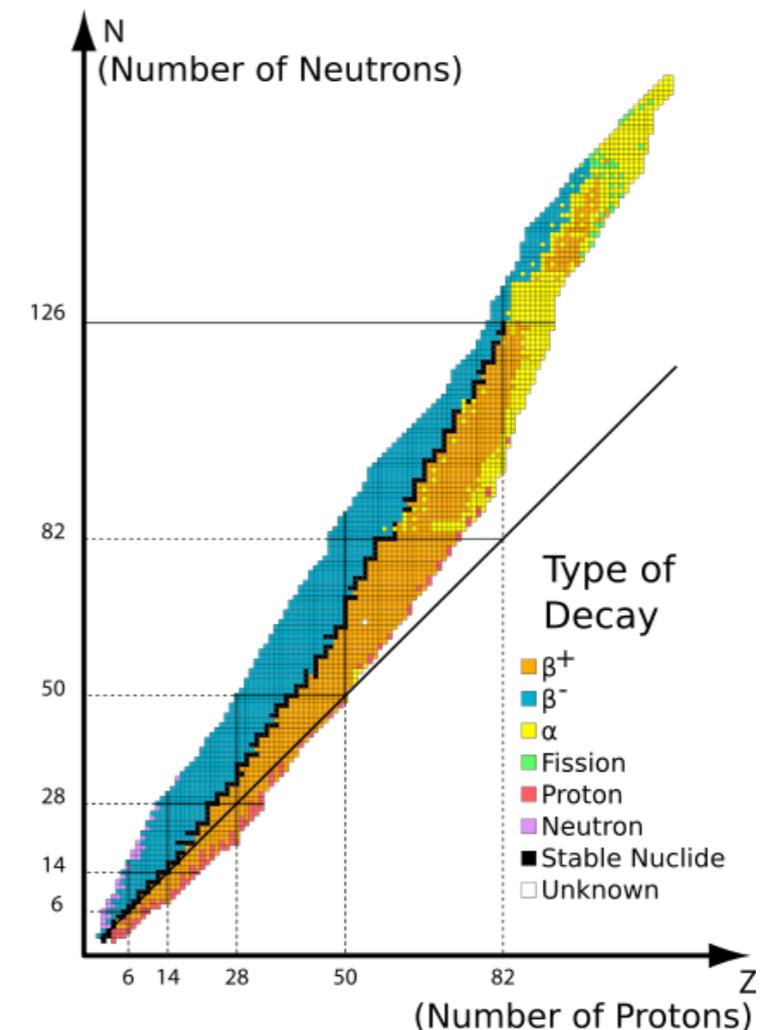
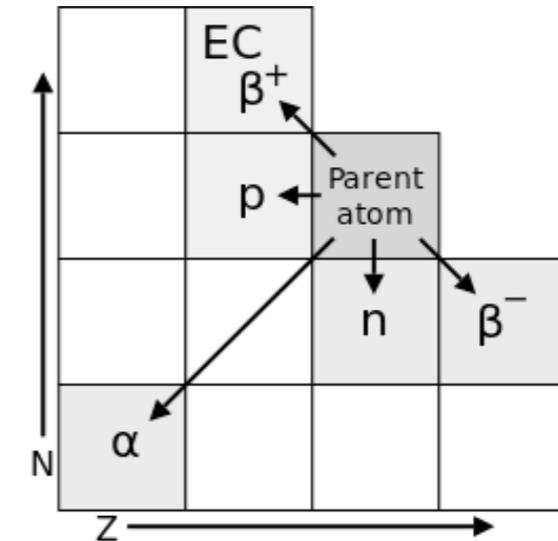
# Radioactive decays

*A reminder*

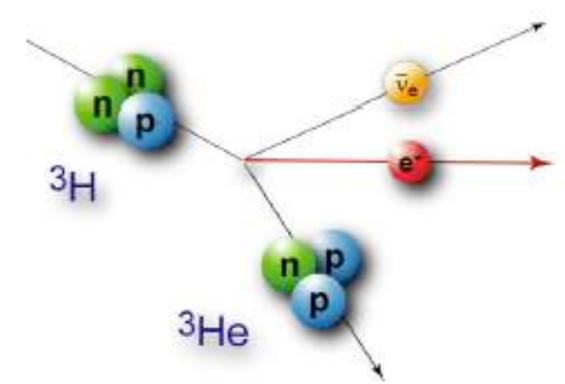
- Three types of (1st order) nuclear transitions producing neutrinos or antineutrinos:

- $\beta^-$  decay:  $(Z,A) \rightarrow (Z+1,A) + e^- + \bar{\nu}_e$
- $\beta^+$  decay:  $(Z,A) \rightarrow (Z-1,A) + e^+ + \nu_e$
- Electron Capture (EC):  $(Z,A) + e^- \rightarrow (Z-1,A)^* + \nu_e$   
 $\rightarrow (Z-1,A) + \gamma/e^- + \nu_e$

- Information on neutrino mass from kinematics of emitted electrons (and photons)



# Beta-decay energy spectrum



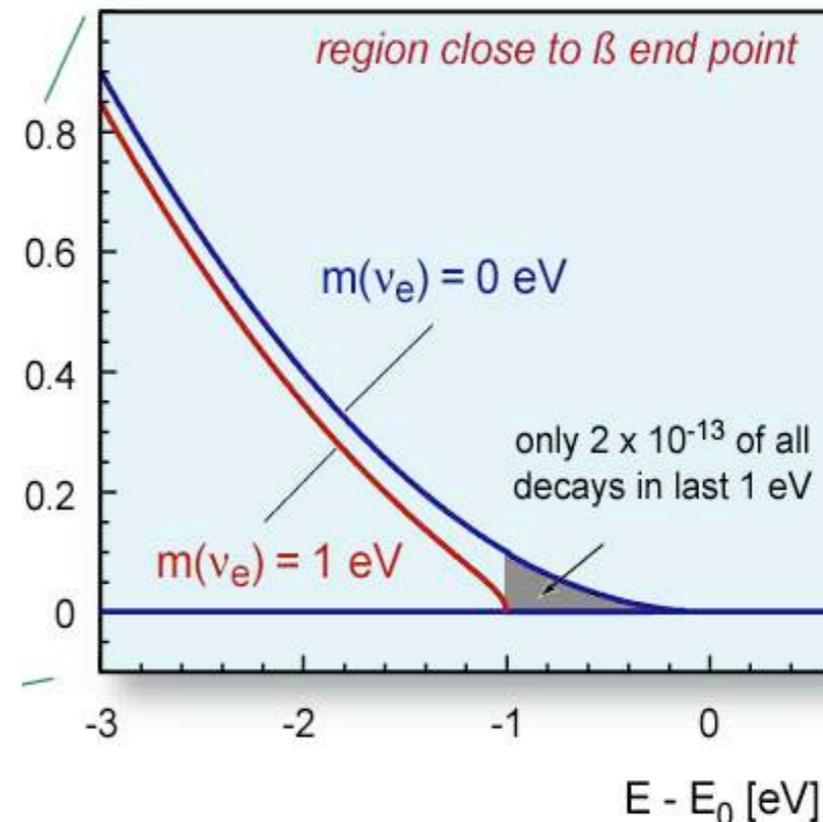
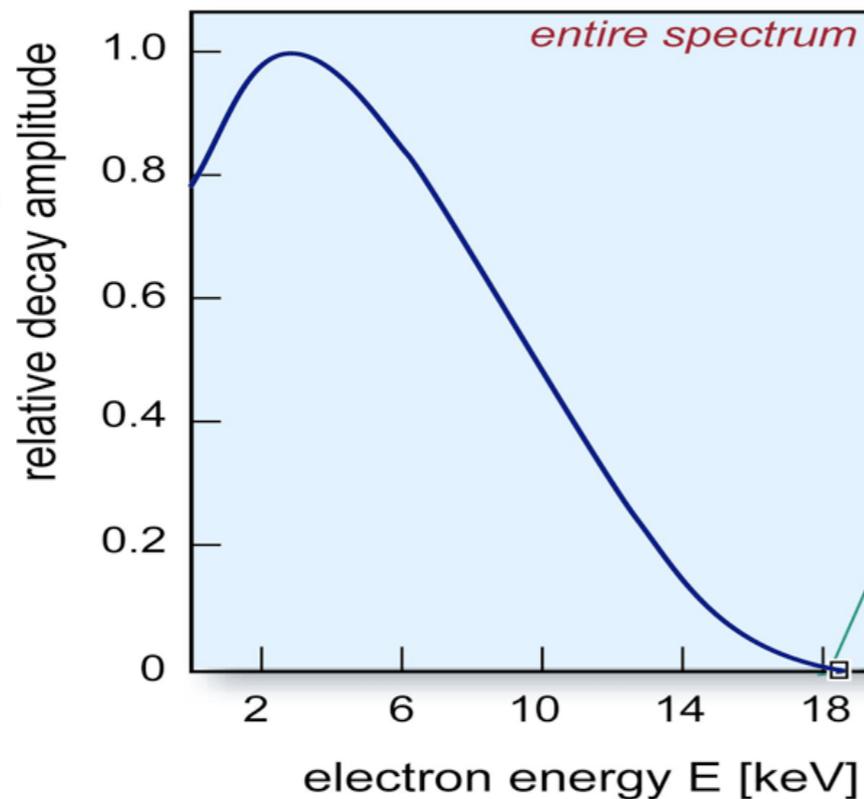
- Phase space determines electron energy spectrum
- Massive neutrinos distort the end-point spectrum:

$$dN/dK_e \propto F(K_e, Z) \cdot p_e \cdot (K_e + m_e) \cdot (E_0 - K_e) \cdot [(E_0 - K_e)^2 - m_\beta^2]^{1/2}$$

Endpoint energy (Q-value):  $E_0 = K_e + E_\nu$

Observable:  $\beta$  decay neutrino mass

$$m_\beta = (\sum_i |U_{ei}|^2 \cdot m_i^2)^{1/2}$$



# Experimental requirements

---

$$dN/dK_e \propto F(K_e, Z) \cdot p_e \cdot (K_e + m_e) \cdot (E_0 - K_e) \cdot [(E_0 - K_e)^2 - m_e^2]^{1/2}$$

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- Low endpoint energy  $E_0 \rightarrow {}^3\text{H}, {}^{187}\text{Re}, {}^{163}\text{Ho}$

Isotope	$Q_\beta$ -value (keV)
${}^3\text{H}$	18.6
${}^{163}\text{Ho}$	2.3-2.8
${}^{187}\text{Re}$	2.5

# Experimental requirements

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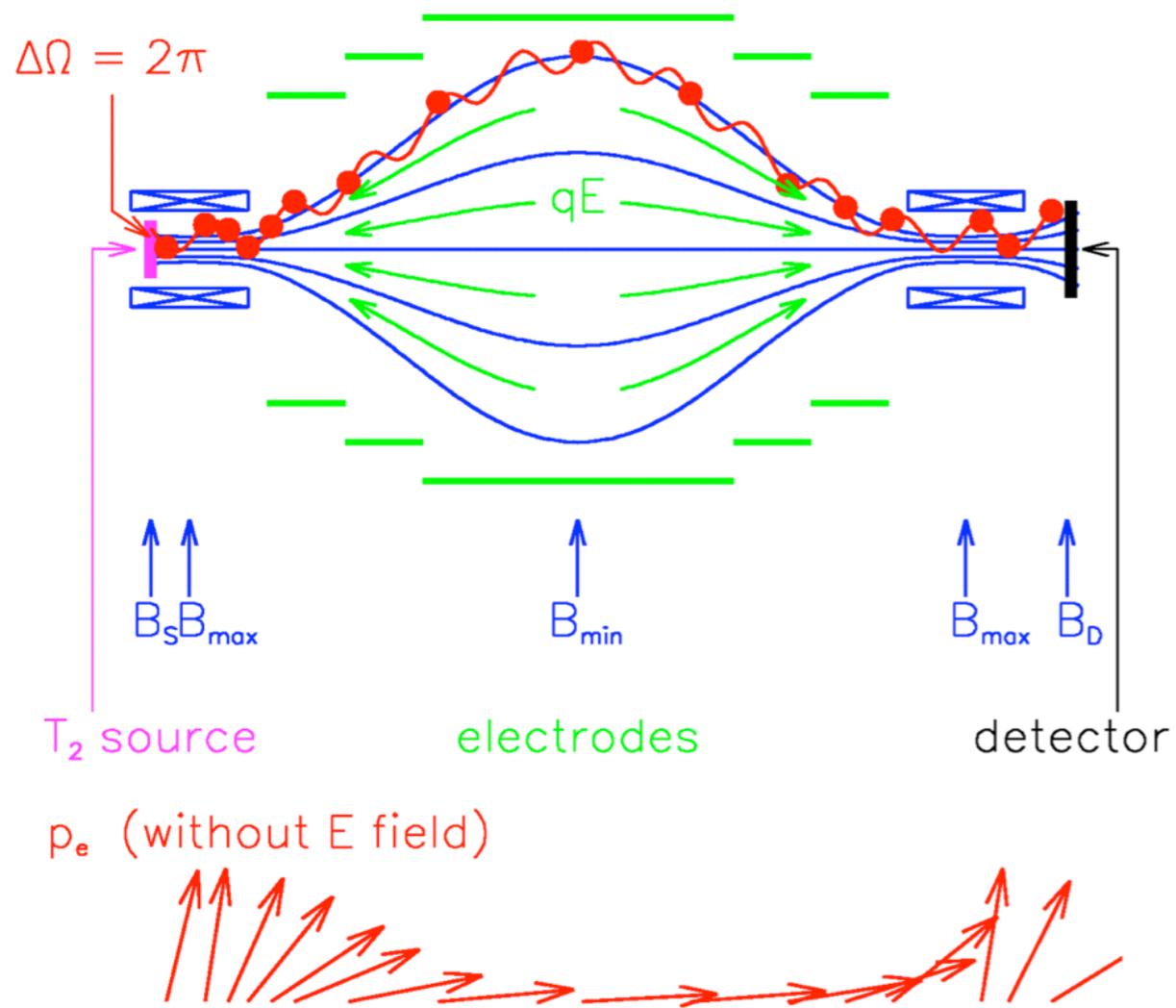
- High energy resolution
- High luminosity
- Low background



MAC-E-Filters or Bolometers

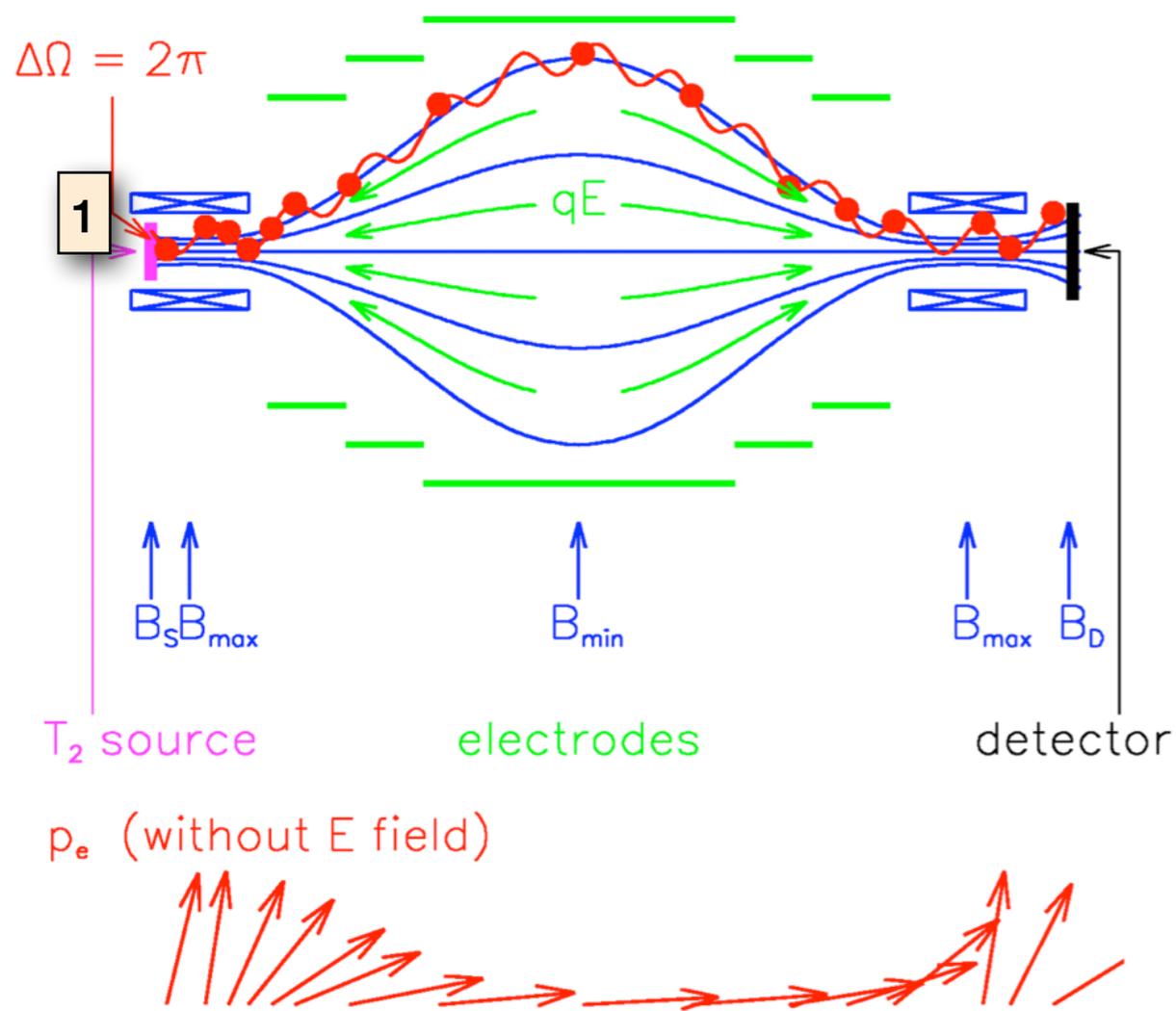
# MAC-E-Filter technique

**M**agnetic **A**diabatic **C**ollimation combined with an **E**lectrostatic Filter



# MAC-E-Filter technique

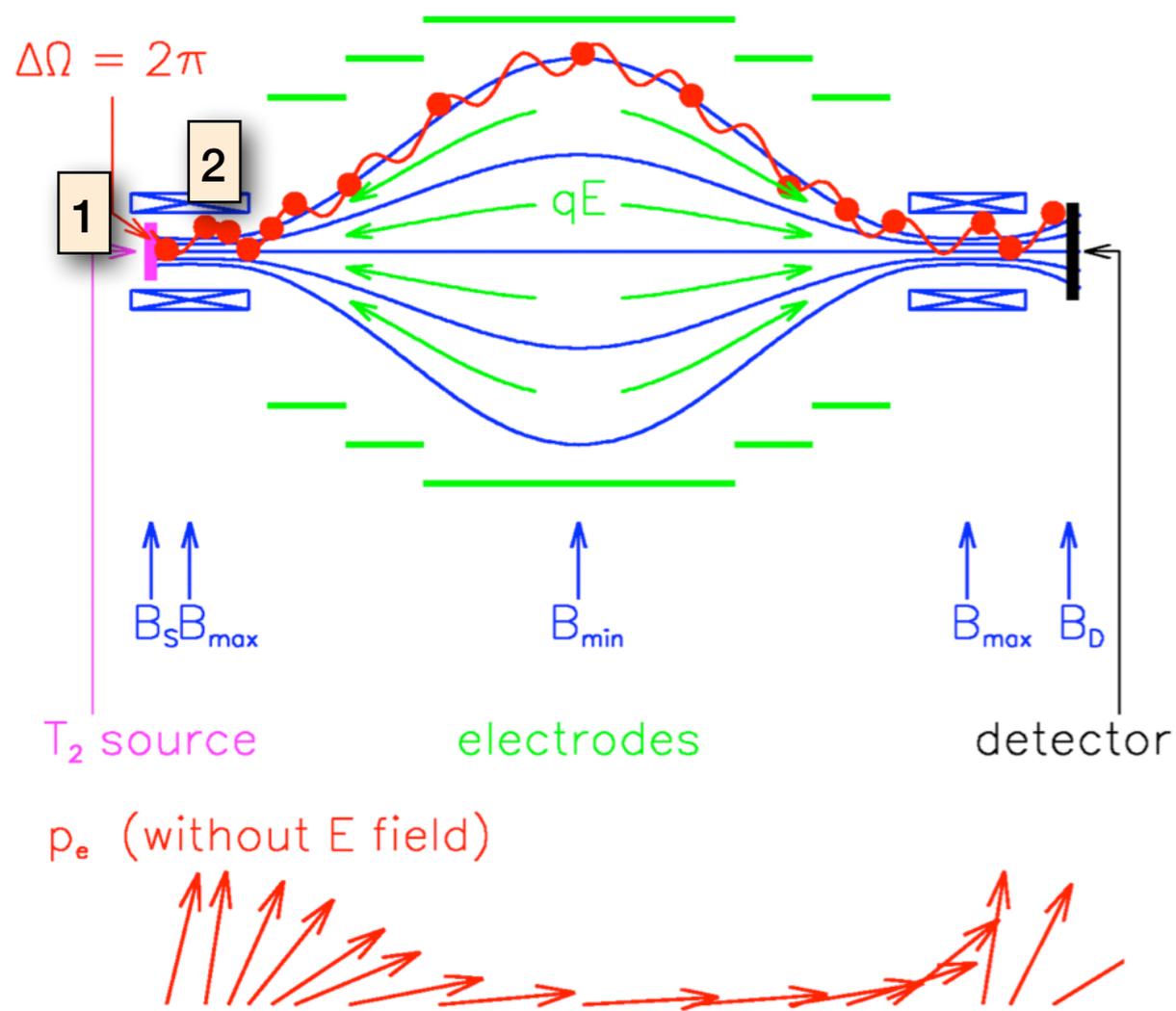
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1. Electrons emitted isotropically at  $T_2$  source

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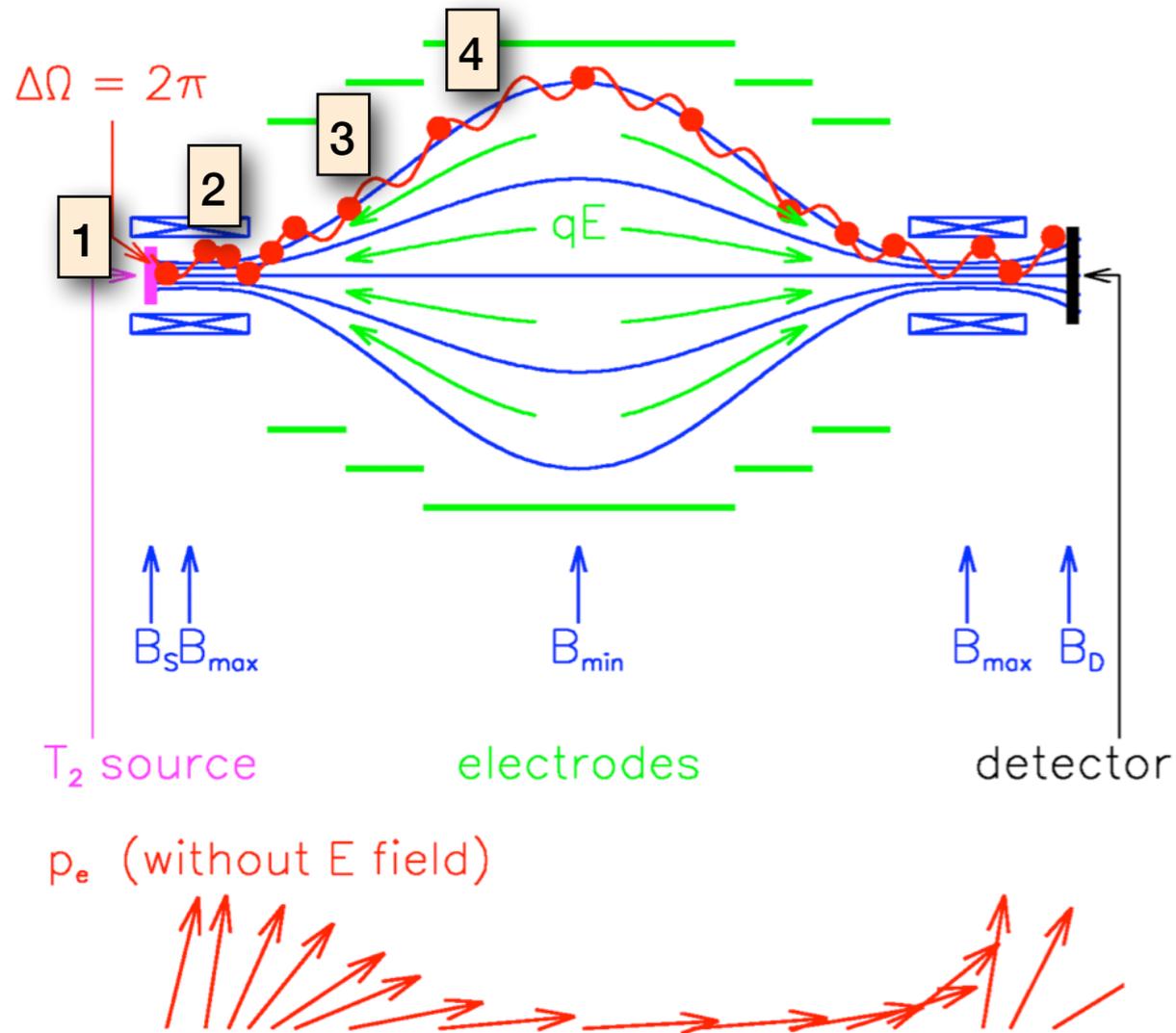
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2. Guided magnetically on a cyclotron motion



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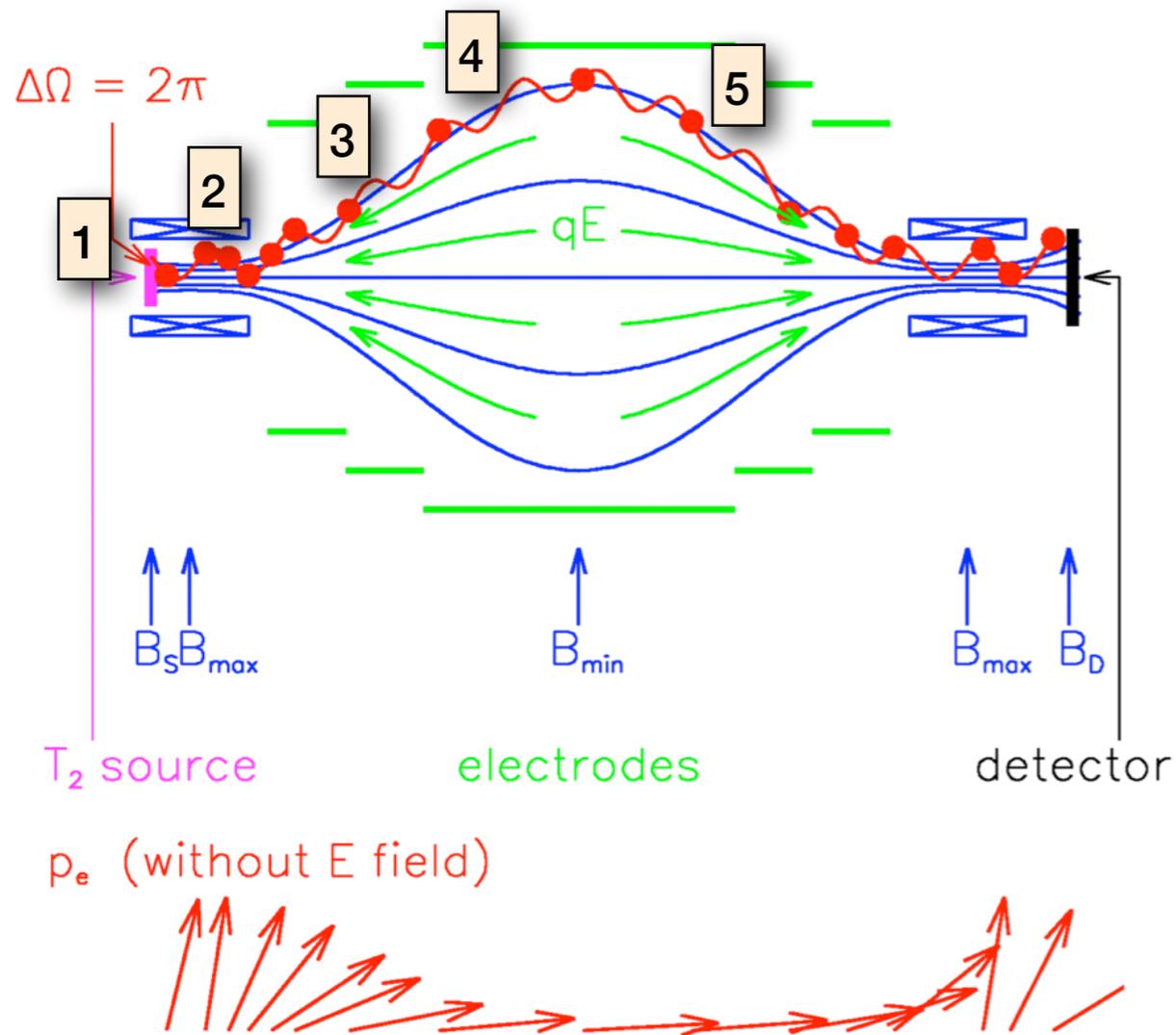
**M**agnetic **A**diabatic **C**ollimation combined with an **E**lectrostatic Filter



1. Electrons emitted isotropically at  $T_2$  source
2. Guided magnetically on a cyclotron motion
3. Transform cyclotron motion into long. motion  
→ Broad beam almost parallel to B field lines
4. Beam running against electrostatic potential formed by cylindrical electrodes

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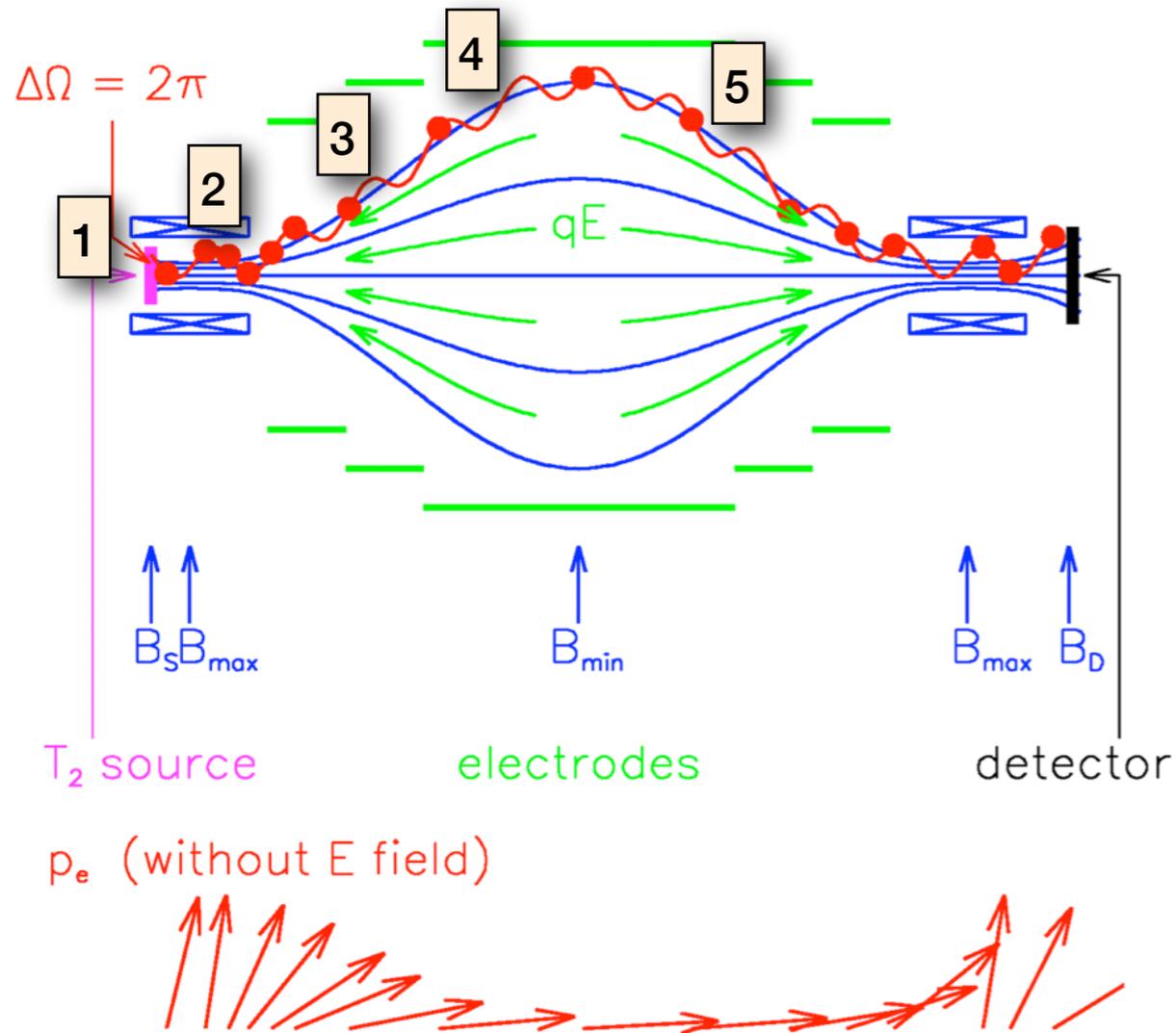
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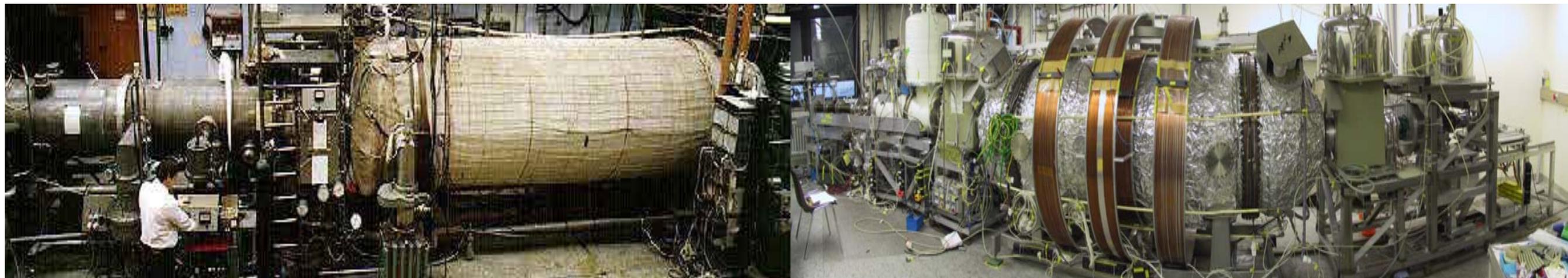
Measure  $\beta$  spectrum endpoint by varying electrostatic potential close to Q-value

# Mainz and Troitsk experiments

No evidence for non-zero neutrino mass from  $\beta$  decay experiments

Troitsk

Mainz



$$dN/dK_e \propto F(K_e, Z) \cdot p_e \cdot (K_e + m_e) \cdot (E_0 - K_e) \cdot [(E_0 - K_e)^2 - m_\beta^2]^{1/2}$$

$$m_\beta^2 = -2.3 \pm 2.5 \pm 2.0 \text{ eV}^2$$

$$m_\beta^2 = -0.6 \pm 2.2 \pm 2.1 \text{ eV}^2$$

$$m_\beta < 2.1 \text{ eV (95\% CL)}$$

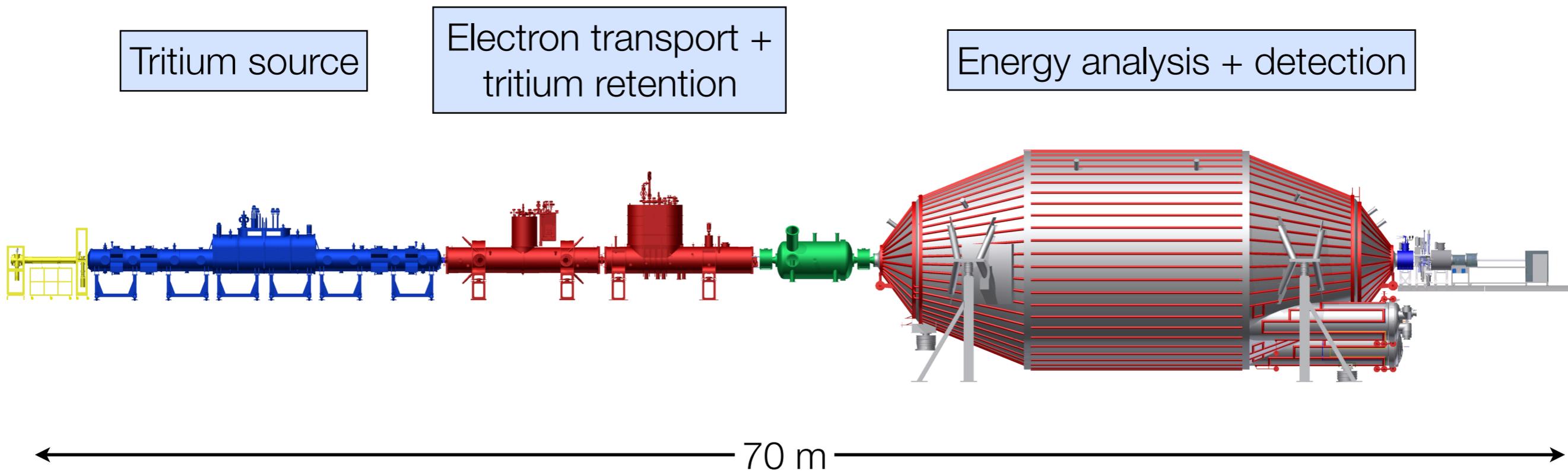
$$m_\beta < 2.3 \text{ eV (95\% CL)}$$

# KATRIN experiment

*Starting in 2018*



- Tritium source
  - Low end-point (18.6 keV), intense ( $10^{11}$   $\beta$  decays/sec)
- Electron energy analysis + detection
  - MAC-E-Filter technique with largest spectrometer to date!

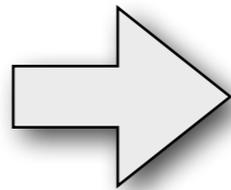
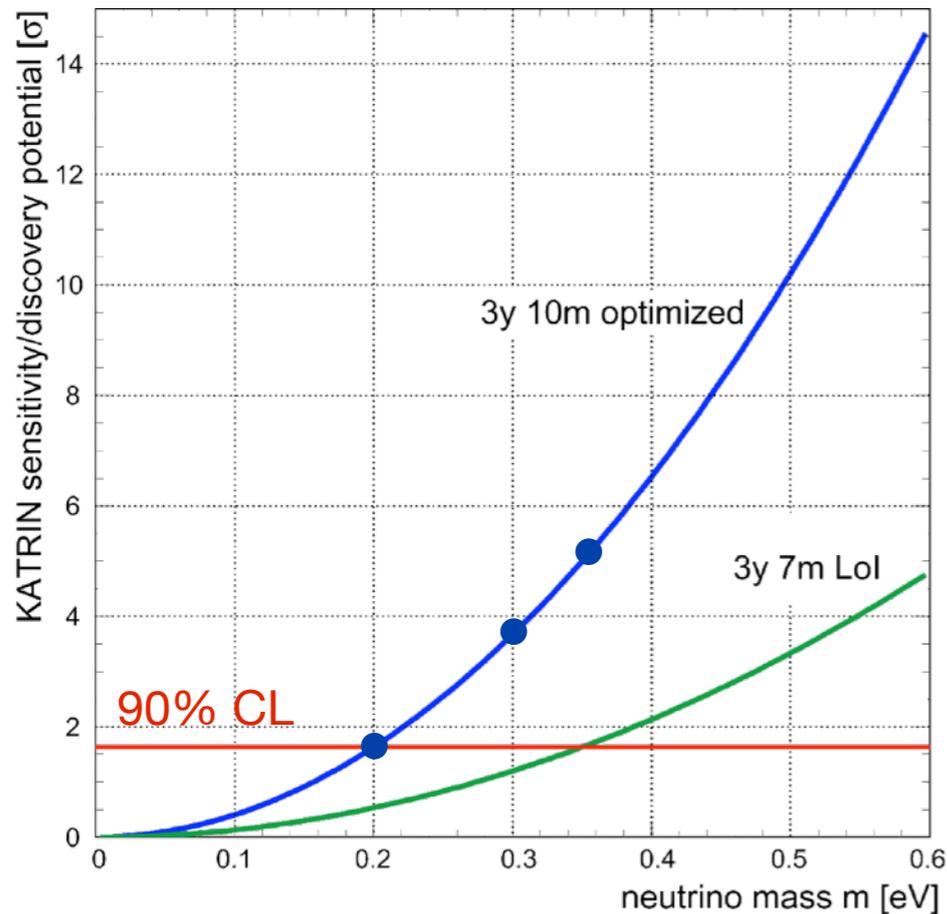






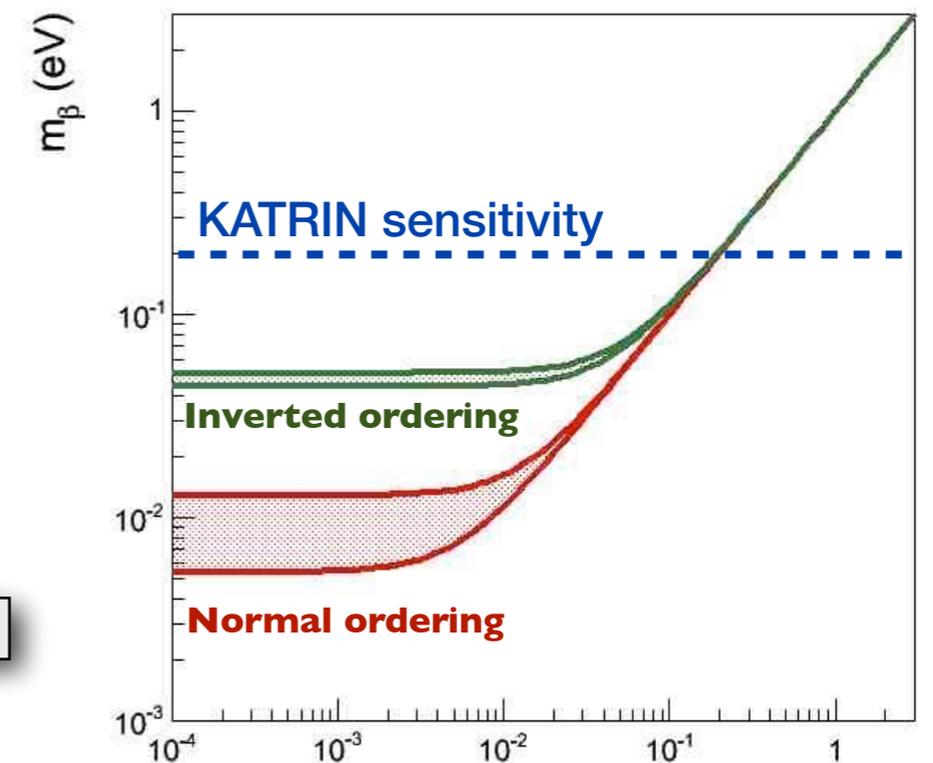
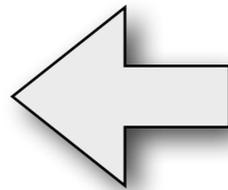
# KATRIN design sensitivity

Assuming 3 years exposure



- Sensitivity down to  $m_\beta = 0.2$  eV at 90% CL
  - One order of magnitude improvement over Mainz and Troitsk
- Discovery potential:
  - $3.5\sigma$  if  $m_\beta = 0.3$  eV
  - $5\sigma$  if  $m_\beta = 0.35$  eV

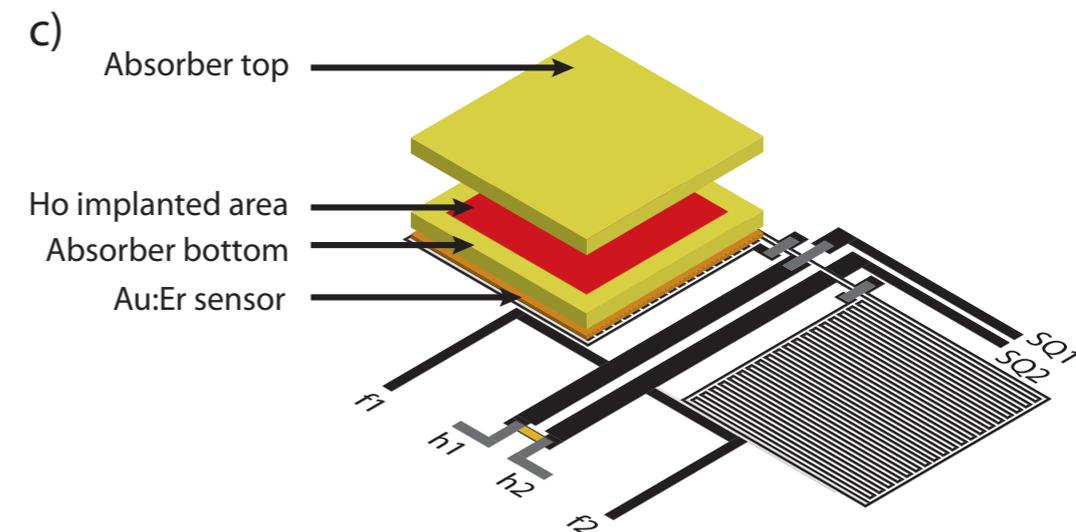
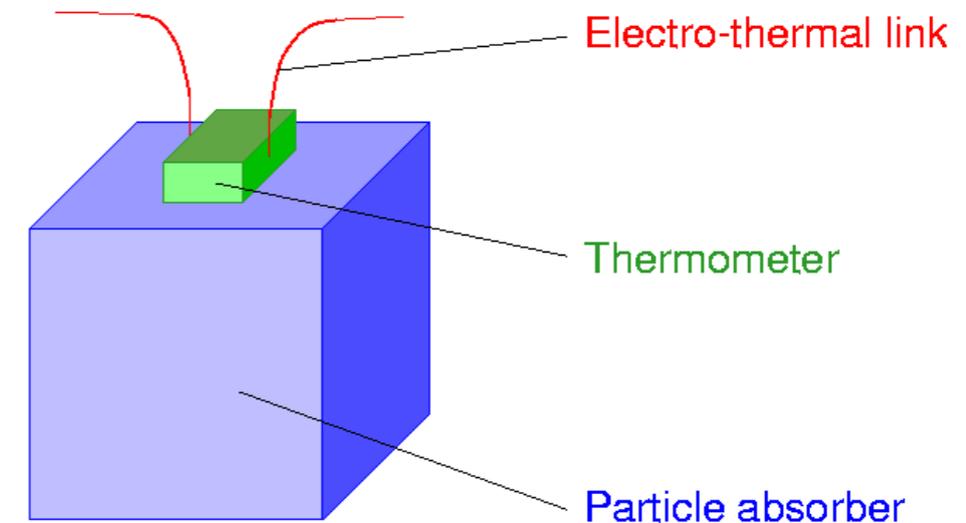
- Sensitive to  $\sim$  mass-degenerate neutrinos only (no sensitivity to mass ordering)
- KATRIN inauguration: June 11th, 2018!



# Cryogenic bolometers

*Electron capture of  $^{163}\text{Ho}$ : ECHo, HOLMES*

- Detectors with small heat capacity  $C_{\text{tot}}$   
→ operate at ultra-low temperatures:  $T < 100 \text{ mK}$
- Small deposited energy  $\Delta E$  results in large temperature increase:  $\Delta T = \Delta E / C_{\text{tot}}$
- Only detectors capable of measuring  $< 3 \text{ keV}$  energy with high precision



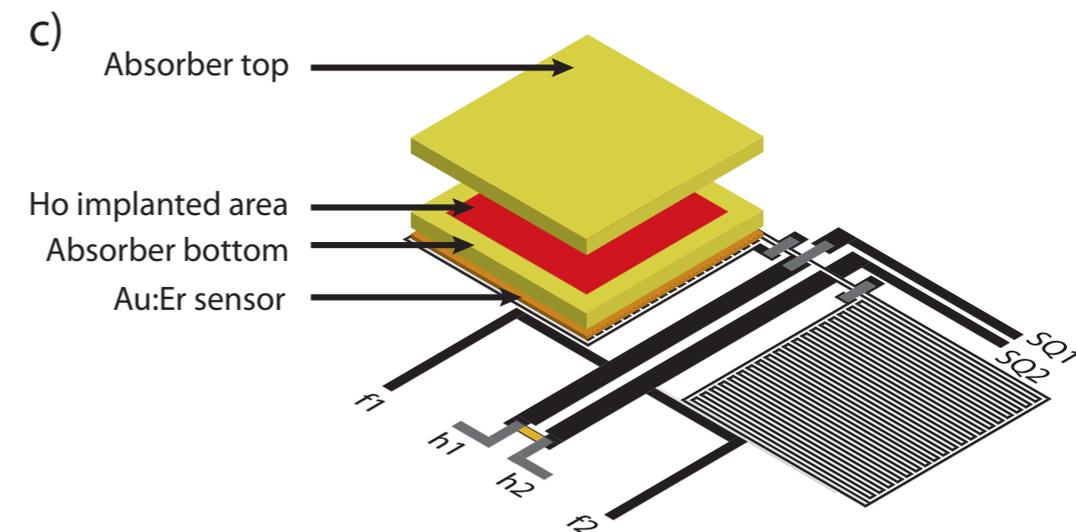
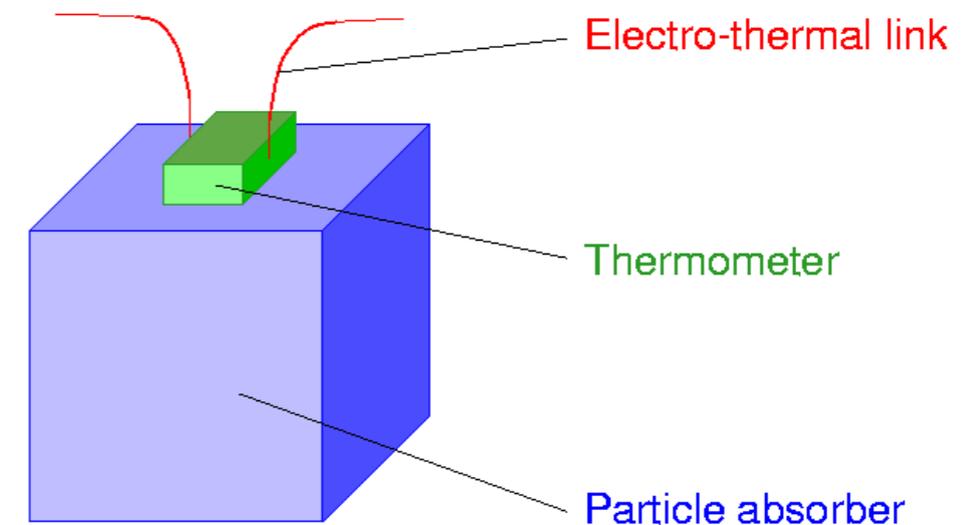
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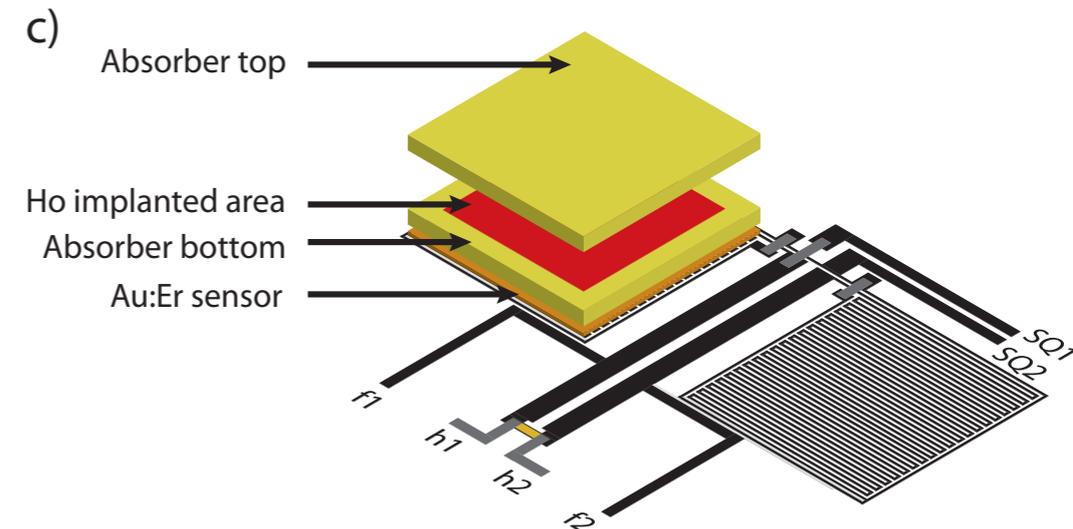
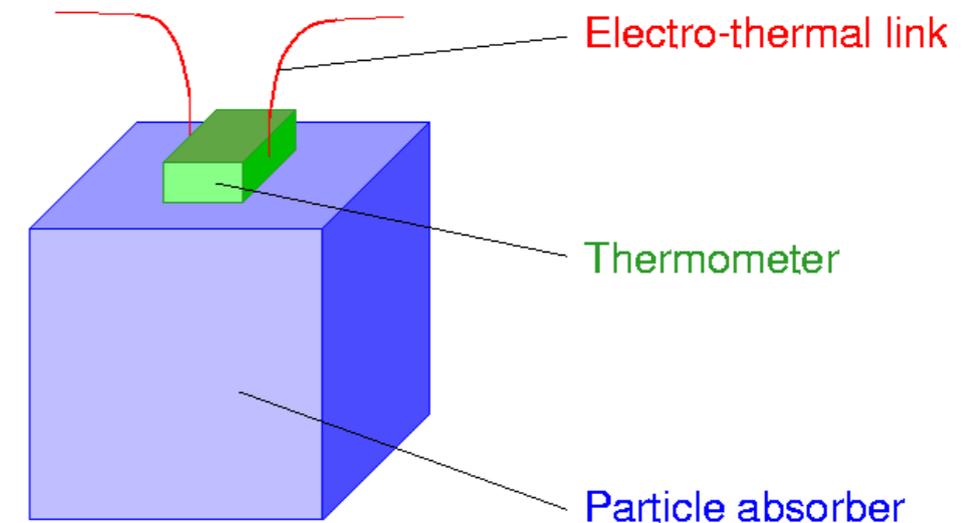
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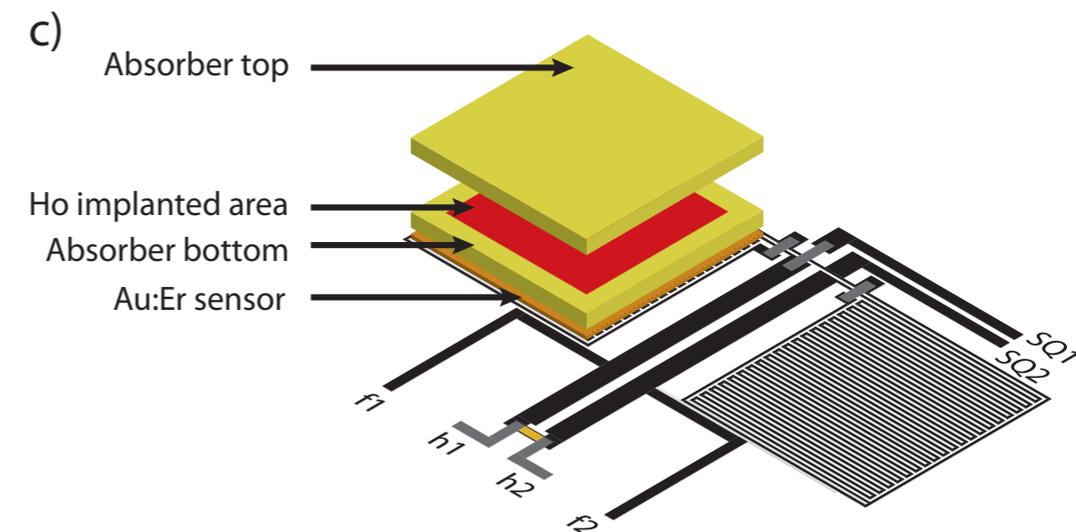
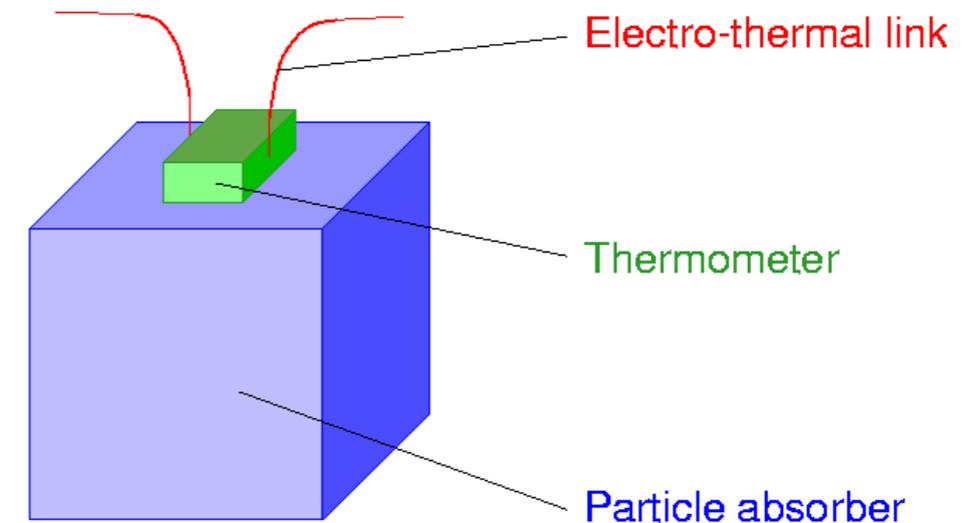
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- Sub-eV neutrino mass sensitivity within reach?



# Neutrino mass scale summary

*What is the best experimental strategy?*

---

$\beta$  decay

$$m_{\beta^2} \equiv \sum_i m_i^2 |U_{ei}|^2$$

$\beta\beta 0\nu$  decay

$$m_{\beta\beta} \equiv \left| \sum_i m_i U_{ei}^2 \right|$$

Cosmology

$$m_{\text{cosmo}} \equiv \sum_i m_i$$

# Neutrino mass scale summary

*What is the best experimental strategy?*

$\beta$  decay

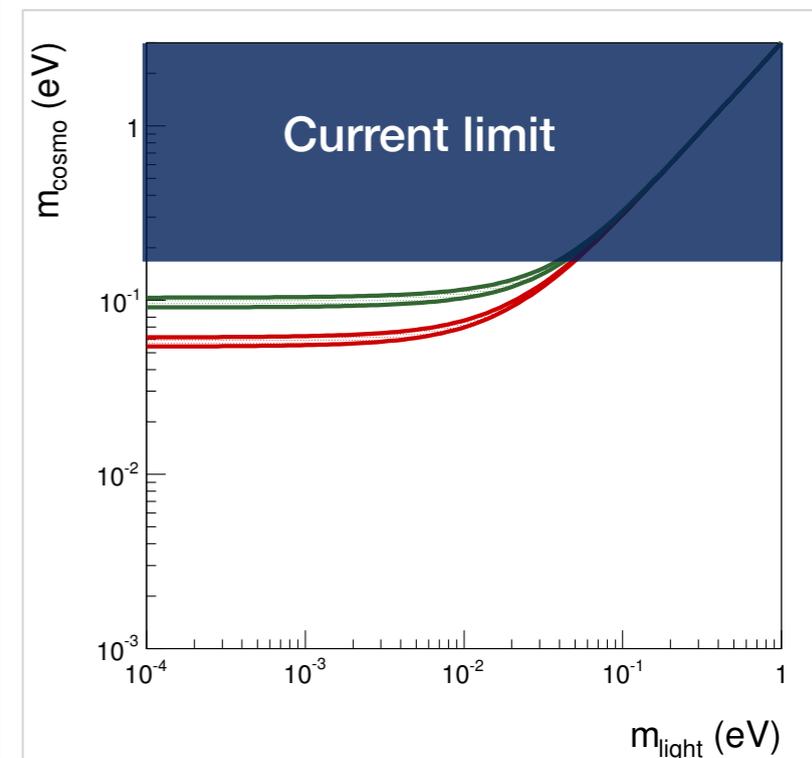
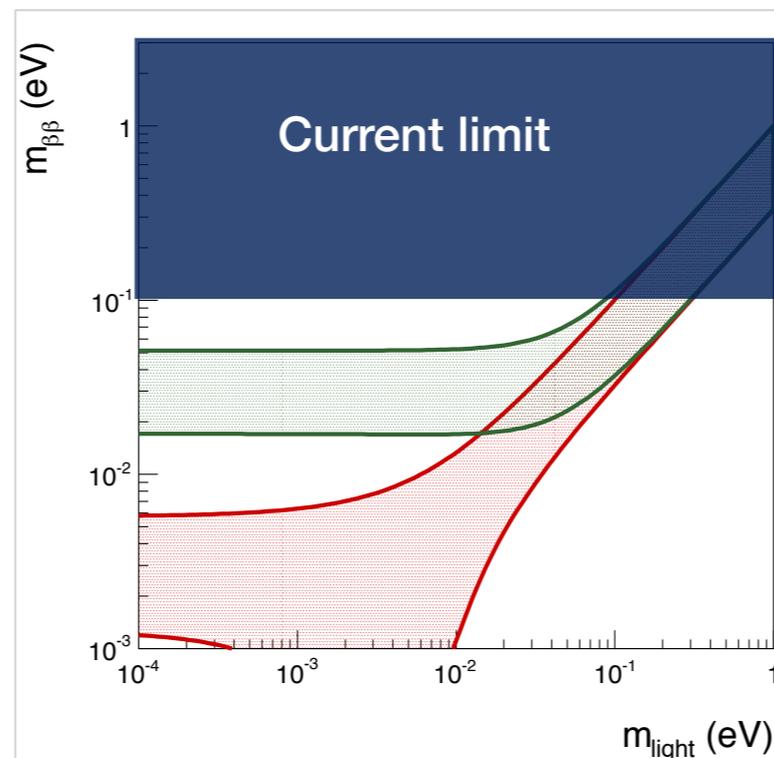
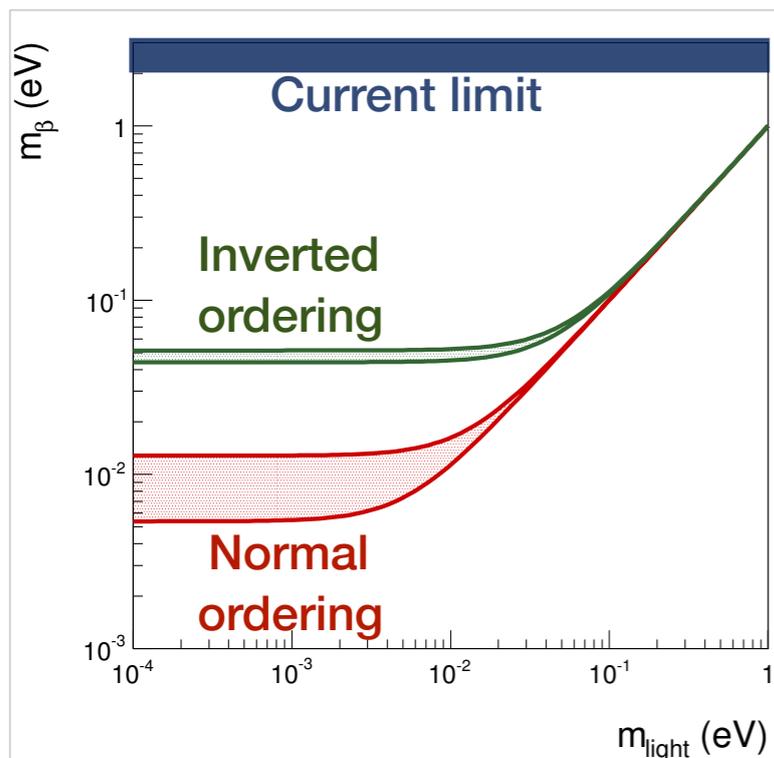
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$\beta\beta 0\nu$  decay

$$m_{\beta\beta} \equiv \left| \sum_i m_i U_{ei}^2 \right|$$

Cosmology

$$m_{\text{cosmo}} \equiv \sum_i m_i$$



Less stringent, but less model-dependent



More stringent, but more model-dependent

Concluding remarks

# A wealth of neutrino experiments!

*Experiments to be presented at Neutrino 2018 Conference*

---

Super-Kamiokande ICAL CONUS  
PROSPECT ANITATRISTAN STEREO SNO  
XENON1T AXEL CANDLES-III IsoDAR NuLat  
KNO Hyper-Kamiokande LZ DANSS PTOLEMY  
GRAND ARA Borexino MICE ICARUS IceCube  
MiniBooNE AMoRE PICO Daya-Bay Auger NEXT DUNE  
EMPHATIC KATRIN KM3NeT T2K SoLid WAGASCI  
CUORE COBRA MAJORANA Project LEGEND  
MicroBooNE GERDA NINJA Troitsk SuperNEMO  
Baikal-GVD HALO-1kT DARWIN ANNIE TRIMS  
Theia ANTARES XMASS NEOS  
NuDot EXO NOvA HOLMES RENO CUPID  
ECHo COHERENT Neutrino ARIANNA CENNS  
MINOS EGADS KamLAND-Zen  
Double-Chooz SBND MINERvA  
JUNO nEXO

# Concluding remarks

*The life of a neutrino experimentalist*

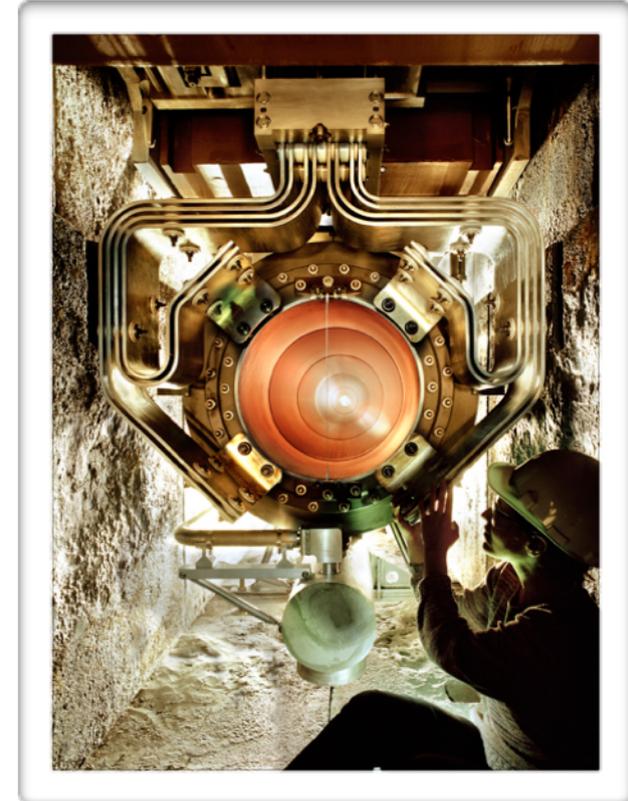
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# Concluding remarks

*The life of a neutrino experimentalist*

---

- Build powerful neutrino sources...

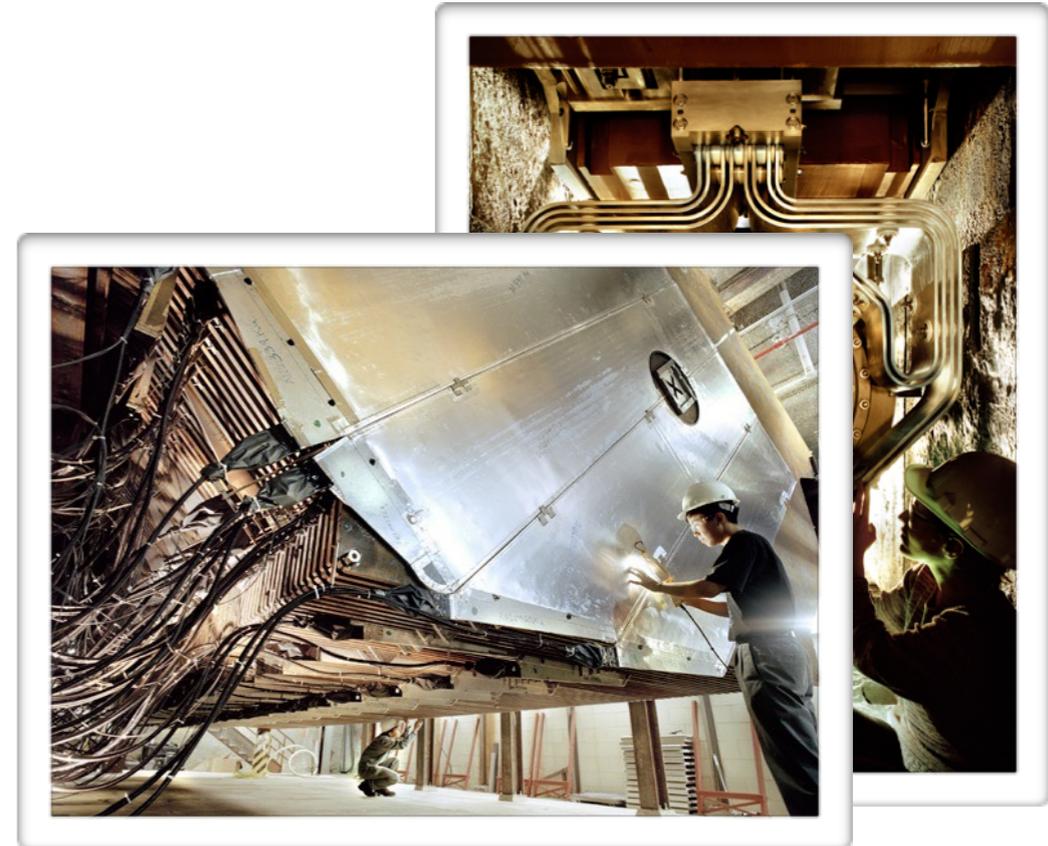


# Concluding remarks

*The life of a neutrino experimentalist*

---

- Build powerful neutrino sources...
  
- and massive neutrino detectors...

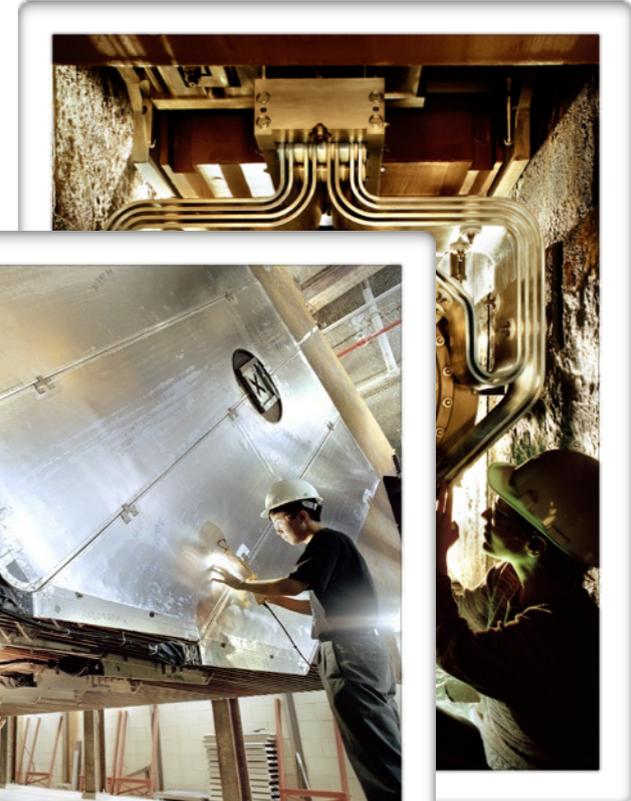


# Concluding remarks

*The life of a neutrino experimentalist*

---

- Build powerful neutrino sources...
- and massive neutrino detectors...
- in a low-background environment...



# Concluding remarks

*The life of a neutrino experimentalist*

- Build powerful neutrino sources...
- and massive neutrino detectors...
- in a low-background environment...
- to answer known neutrino questions and be prepared for the unexpected!

