IDPASC, Valencia, May 2018 Neutrino Telescopes I



Juande Zornoza (IFIC, UV-CSIC)





Outline

LECTURE 1

- Introduction to neutrinos
- Scientific motivation
- Signal and background
- Detector design
- Pioneers

LECTURE 2

- Scientific results
 - IceCube
 - ANTARES
- Next future
 - KM3NeT

Standard Model

	U up	C	top	γ photon	S
	down	S strange	bottom	g gluon	s carrie
	V _e electron neutrino	$ $		Z Z boson	force
))	electron	μ muon	T tau	W W boson	

H Higgs

Neutrinos

- Neutrinos were proposed by W. Pauli in 1930 as a "desperate solution" to the problem of non conservation of energy and angular momentum in beta decays
- They were discovered in 1956 by Reines and Cowan using a detector close to a nuclear reactor
- Very low cross section: a typical solar neutrino (E~0.3 MeV) would have a 50% probability of crossing a lead wall of a width of 5 light-years (but it grows with energy)







Neutrino sources

Big Bang $\rho v = 330 / \text{cm}^3$ $E_v = 0.0004 \text{ eV}$ (1 MeV = 1.6 x 10⁻¹³J)

 $\frac{\text{AGNs/GRBs/others?}}{E^{-2}\Phi_{\nu}^{Earth} \sim 10^{-8} \nu \text{ GeV/ cm}^2 \text{sr}}$ $E_{\nu} \sim 100 \text{ TeV} - \text{PeV}$

<u>SN1987</u> *E_v*~MeV

<u>Sun</u>

 $v_{e} = \Phi_{v}^{Earth} = 6 \times 10^{10} v / cm^{2}s$ $E_{v} \sim 0.1 - 20 MeV$

Atmospheric neutrinos

 $\frac{\text{Human body}}{\Phi_{\nu}} = 340 \text{ x } 10^{6} \nu/\text{day}$

 $\frac{\text{Nuclear reactors}}{E_{v} \sim \text{few MeV}}$

 $\overline{\nu}_e$



<u>Terrestrial radioactivity</u> $\Phi_{v} \sim 6 \times 10^{6} v/cm^{2}s$ Fermilab

Accelerators

E,~0.3 – 30 GeV





F. Halzen



Cosmic fluxes



Kowalksi, Neutrino 2016, adapted from L. Mohrmann

The Universe: how to look at it?



Neutrino Astronomy

Advantages:

- Photons: interact with CMB and matter
- Protons: interact with CMB and are deflected by magnetic fields
- Drawback: large detectors (~GTon) are neded

p



Production Mechanism

 Neutrinos are expected to be produced in the interaction of high energy nucleons with matter or radiation:

$$(N + X \rightarrow \pi^{\pm}(K^{\pm}...) + Y \rightarrow \mu^{\pm} + \nu_{\mu}(\overline{\nu}_{\mu}) + Y$$

Cosmic rays

$$e^{\pm} + \overline{V}_e(V_e) + \overline{V}_{\mu}(V_{\mu})$$

 Gamma rays are also produced in these processes, after the decay of the neutral pion

$$N + X \rightarrow \pi^0 + Y \rightarrow \gamma \gamma + Y$$

Gamma ray astronomy

p

p, y, ...

uν

evev

Multimessenger Era



Image: J.A. Aguilar, J. Yang

1 PeV neutrinos \leftrightarrow \leftrightarrow 20 PeV protons \leftrightarrow \leftrightarrow 2 PeV γ -rays



Cosmic Rays



Cosmic rays follow a power law:

$$\frac{dN}{dE} \propto E^{-\gamma} \begin{cases} \gamma = 2.7 \\ \gamma = 3.0 \\ \gamma = 2.7 \end{cases} \xrightarrow{\text{the knee}} \text{ the ankle} \end{cases}$$

- Beyond ~5×10¹⁹ eV, the flux should vanish due to the interaction of protons with the CMB (GZK limit). But a cut-off could also be due to limit in the accelerator energy.
- High energy neutrinos could give information about the origin of cosmic rays.

High energy photons

- The observation of TeV photons can be explained by
 - leptonic processes (inverse Compton, synchrotron) or
 - the decay of neutral pions produced in hadronic interactions (->neutrino production).



Hillas plot



Containment condition: Larmor radius has to be larger than accelerator size

$$E_{max} \approx 10^{18} \text{ eV} \cdot Z \cdot \left(\frac{R}{\text{kpc}}\right) \cdot \left(\frac{B}{\mu G}\right)$$

Not many astrophysical
 objects fulfill the conditions
 needed to accelerate
 cosmic rays to the highest
 energies

Supernova Remnants

- Formed after the explosion of a supernova by the expelled material colliding with the interstellar medium
- Two main categories:
 - Pulsar Wind Nebulae (or plerions), which have a pulsar in its center
 - Shell-type SNRs



Galactic Cosmic Rays

 Fermi (γ-rays) results on IC 443 W44 supernova remnants seem to indicate a better agreement of hadronic models for low energy → origin of low energy CRs?



Magnetars

- Isolated neutron stars with surface dipole magnetic fields ~10¹⁵ G, much larger than ordinary pulsars.
- Seismic activity in the surface could induce particle acceleration in the magnetosphere

SGR 1745-2900





Microquasars

 Micro-quasars: a compact object (BH or NS) towards which a companion star is accreting matter

Particle acceleration up to high energies in the jets

Cygnus X-1





Fender 2002

Active Galactic Nuclei

- Active Galactic Nuclei includes Seyferts, quasars, radio galaxies and blazars.
- Standard model: a super-massive (10⁶-10⁸ M_o) black hole towards which large amounts of matter are accreted.



Centaurus A



Schematic view of AGN and classification according to viewing angle

Gamma Ray Bursts

- GRBs are brief explosions of γ rays (often + X-ray, optical and radio).
- In the fireball model, matter moving at relativistic velocities collides with the surrounding material. The progenitor could be a collapsing super-massive star (short GRBs, 0.5 s) or the merging of two compact objects (long GRBs, 30 s)





GRB 151027B

Starbust Galaxies

- Starburst galaxies are characterized by the existence of regions with a very high star formation rate
- A galactic scale wind blows out large amounts of mass into the intergalactic medium driven by the collective effect of supernova explosions and massive star winds



Composite image (HST/WIYN) of M82 and its optical bright superwind

Dark matter

- WIMPs (neutralinos, KK particles) are among the most popular explanations for dark matter
- They would accumulate in massive objects like the Sun, the Earth or the Galactic Center
- The products of such annhiliations would yield "high energy" neutrinos, which can be detected by neutrino telescopes



Sources for DM searches

Sun



Galactic Centre



Dwarf galaxies









Galactic Halo



Galaxy clusters

Ultra-high energy neutrinos

GZK cutoff: Protons interact with cosmic microwave background, which limits its range at high energies:

 $p \gamma_{CMB} \rightarrow \Delta^{+} \rightarrow n \pi^{+} (or p \pi^{0})$

- CRs above the GZK cut-off (~5x10¹⁹ eV) have an absorption length of 200 Mpc (local Universe)
- The GZK cut-off also leads to a measurable to neutrinos

$$\pi \rightarrow \mu + \nu_{\mu} \rightarrow \theta + \nu_{\mu} + \nu_{e} + \nu_{\mu}$$

guaranteed source of neutrinos! (peaking about <u>10¹⁸ eV</u>)



(but it <u>depends</u> on composition, spectrum, distribution and cosmological evolution of sources): v.g.: more neutrinos in proton dominated models

Scientific Scope



Other physics: monopoles, nuclearites, Lorentz invariance, etc...

Neutrino detection techniques

Optical Cherenkov:

- In Ice: AMANDA, IceCube
- In water: Baikal, ANTARES, KM3NeT
- Atmospheric showers:
 - On earth: Auger
 - In space: JEM-EUSO
- Radio:
 - On earth: RICE, ARIANNA, LOFAR
 - Balloon: ANITA
- Acoustic:
 - AMADEUS, SPATS

Baksan Conference, 1977

B. Pontecorvo

M. Markov

M. Markov: "We propose to install detectors deep in a lake or in the sea and to determine the direction of charged particles with the help of Cherenkov radiation." (1960, Rochester Conference)

Detection Principle



Detection Principle



1.2 TeV muon traversing ANTARES

The neutrino is detected by the Cherenkov light emitted by the muon produced in the CC interaction.



Position and time information of hits in the PMTs allows us to reconstruct the original direction

Muon Energy Reconstruction

<u>Some remarks</u>

Energy reconstruction is important to discriminate between cosmic sources and background.
 There is a correlation between the muon energy at the vertex and the neutrino energy.

can=volumen within which light can reach the detector

- The muon energy is not a well defined quantity (if the vertex is outside the can) due to muon energy losses.
- If the interaction vertex is inside the can, we will consider the muon energy as the energy of the muon at the interaction vertex.

Muon Energy Loss

The muon energy reconstruction is based on the fact that the higher its energy, the higher the energy loss along its track.

$$\frac{dE}{dx} = \frac{dE}{dx_{I}} + \frac{dE}{dx_{p}} + \frac{dE}{dx_{b}} + \frac{dE}{dx_{pn}} = \frac{dE}{dx_{pn$$



$$\frac{dE}{dx} = \alpha + \beta E$$

 $\alpha \approx 2.67 \text{ GeV g}^{-1} \text{ cm}^{-2}$
 $\beta \approx 3.40 \cdot 10^{-6} \text{ g}^{-1} \text{ g cm}^{-2}$

(in water)

Two different regions:

• $E_{\mu} < \varepsilon_{c}$: Losses dominated by ionization (smooth but almost energy independent).

• $E_{\mu} > \varepsilon_{c}$: Losses dominated by radiative processes (pair production, bremsstrahlung and photonuclear

interaction). Very stochastic.

Other signatures

- Cascades are an important alternative signature: detection of electron and tau neutrinos.
- Also neutral interaction contribute (only hadronic cascade)

- Clear signature of oscillations.
- ANTARES is too small to detect double bang signature (they are too rare)
- However, cubic-kilometer
 telescopes could detect them
- Maximum sensitivity at 1-10 PeV





Channels

CC Muon Neutrino



track (data)

factor of \approx 2 energy resolution < 1° angular resolution in water: 0.1-0.3 degrees

Neutral Current / Electron Neutrino



 $\nu_{\rm e} + N \rightarrow {\rm e} + X$ $\nu_{\rm x} + N \rightarrow \nu_{\rm x} + X$

cascade (data)

 $\approx \pm 15\%$ deposited energy resolution $\approx 10^{\circ}$ angular resolution in water: 2-4 degrees!

CC Tau Neutrino

time



"double-bang" and other signatures (simulation)

(not observed yet)

Channels



track



cascade

1 PeV atm. nu + muon bundle

Physical Background

There are two kinds of background:

- Muons produced by cosmic rays in the atmosphere (→ detector deep in the sea and selection of up-going events)
- Atmospheric neutrinos (cut in the energy)

$$p \to \pi^+(+K^+...) - \mu^+ v_{\mu}$$
$$\mapsto e^+ + \overline{v}_{\mu} + v_e$$





Let's design a neutrino telescope! (I): Location

- Given the detection principle, we need large (see later) quantities of a transparent medium: water/ice
 - <u>Ocean</u>: Mediterranean has large depths (2500-3500 m) close to the coast
 - <u>Lake</u>: Baikal lake has the advantage of a surface freezing in winter (easier deployment) but not very deep
 - <u>Antarctic</u>: close to South Pole, where Amundsen-Scott infrastructures are

NTs in the world

 Several projects are working/planned, both in ice and ocean and lakes.



Water vs Ice



Advantages of <u>oceans</u>:

- Larger scattering length \rightarrow better angular resolution
- Weaker depth-dependence of optical parameters
- Possibility of recovery
- Changeable detector geometry
- Advantages of <u>ice</u>:
 - Larger absorption length
 - No bioluminescence, no ⁴⁰K background, no biofouling
 - Easier deployment
 - Lower risk of point-failure
- Anyway, a detector in the Northern Hemisphere in necessary for complete sky coverage (Galactic Center!), and it is only feasible in the ocean.

Regions observed by NTs

IceCube (South Pole) (ang. res.: 0.5°)

ANTARES/KM3NeT (43° North) (ang. res.: ~0.3°/0.1°)







Let's design a neutrino telescope! (II): Depth

- The main "physical" background comes from atmospheric muons
- At first order, the deeper the better but:
 - Antarctic/Lake: bedrock puts a limit in depth (but depth enough at South Pole)
 - Sea: at some point, too much depth increases too much the difficulties (water pressure), increasing the cost and the failure risk



Let's design a neutrino telescope! (III): Size





 $\Phi_{\nu_{\mu}}(E) \simeq \frac{1}{2} \Phi_{\gamma}(E)$

Reference neutrino flux for galactic sources: (similar reasoning using extragalactic)

$$\frac{\mathrm{d}\Phi_{\nu}}{\mathrm{d}E_{\nu}} = \Phi_{\nu}^{0} E_{\nu}^{-2} = 10^{-11} E_{\nu}^{-2} \,\mathrm{TeV} \,\mathrm{s}^{-1} \mathrm{cm}^{-2}$$

Some assumptions:

- mostly hadronic origin in gamma rays
- no gamma ray absorption
- $\pi^{\scriptscriptstyle\pm}$ decay before interacting and $\mu^{\scriptscriptstyle\pm}$ decay without energy losses
- size of source large so oscillations produce flavour ratios 1:1:1 at Earth

A_{eff} is the effective area:

$$A_{\nu}^{\text{eff}}(E_{\nu}) = A \cdot P_{\nu\mu}(E_{\nu}, E_{\text{thr}}^{\mu}) \cdot \epsilon \cdot e^{-\sigma(E_{\nu})\rho N_{A}Z(\theta)}$$

gamma-ray flux of RXJ1713.7-3946 as measured by HESS



M. Spurio: Particle and Astrophysics. Springer 2015

Let's design a neutrino telescope! (III): Size

 A_{eff} is the effective area: $A_{\nu}^{\text{eff}}(E_{\nu}) = A \cdot P_{\nu\mu}(E_{\nu}, E_{\text{thr}}^{\mu}) \cdot \epsilon \cdot e^{-\sigma(E_{\nu})\rho N_{A}Z(\theta)}$

A is geometrical projected area



Effective area for IceCube and ANTARES in standard point source searches (At ~PeV the Earth starts to be opaque to neutrinos)

 $P_{\nu\mu} \left(E_{\nu}, \, E^{\mu}_{thres} \right)$ is the probability to produce a muon above detection threshold

Good approximation (Gaisser, 1996):

$$P_{\nu\mu} = N_A \int_0^{E_\nu} \frac{\mathrm{d}\sigma_\nu}{\mathrm{d}E_\mu} (E_\mu, E_\nu) \cdot R_{\mathrm{eff}}(E_\mu, E_{\mathrm{thr}}^\mu) \mathrm{d}E_\mu$$

 $P_{\nu\mu} \simeq 1.3 \ 10^{-6} E_{\nu}^{2.2}, \quad \text{for } E_{\nu} = 10^{-3} - 1 \text{ TeV}$ $\simeq 1.3 \ 10^{-6} E_{\nu}^{0.8}, \quad \text{for } E_{\nu} = 1 - 10^3 \text{ TeV}$

 ϵ is the fraction of the muons above threshold which are detected (trigger-reconstruction-quality cuts)

 $e^{-\sigma(E_{\nu})\rho N_{A}Z(\theta)}$ takes into account neutrino absorption

M. Spurio: Particle and Astrophysics. Springer 2015

Let's design a neutrino telescope! (III): Size

 We'll assume that muons above 1 TeV have a constant probability of being detected

$$\frac{N_{\nu}}{T} = \int_{1 \text{ TeV}}^{10^3 \text{ TeV}} \mathrm{d}E_{\nu} \cdot (\Phi_{\nu}^0 E_{\nu}^{-2}) \cdot A \cdot (P_{\circ} E_{\nu}^{0.8}) \cdot \epsilon = 0.5 \ 10^{-16} \cdot A \cdot \epsilon \ \text{ cm}^{-2} \text{ s}^{-1}$$

If the geometrical transverse area is about 1 km^3 and ϵ =0.1, we find an event rate above 1 TeV about 10³ cm² of **1.5 ev/year**

- Notes:
 - Do not forget backgrounds! (atmospheric muons and atmospheric neutrinos)
 - No cut-off has been included in the flux, which could decrease rates by a factor 5-10

Let's design a neutrino telescope! (IV): Geometry

How many light sensors?

- Typically, 10 photoelectrons (p.e.) are detected per PMT
- Let's assume that a signal in at least 10 PMTs are needed to reconstruct a muon track, so we need about 100 p.e.
- For 10' ' PMTs, A_{PMT} = 0.05 m²
- $\epsilon_{PMT}=0.25 \rightarrow \epsilon_{OM}=0.20$, when OM glass absorption, etc. are included
- Effective volume of each PMT would be $V_{\rm pmt} = A_{\rm pmt} \times \lambda_{\rm abs} \simeq 2.5 \ m^3$
- The ratio R between effective PMT volume and the instrumented volume (1 km³) is

$$R = \frac{V_{\rm pmt} \times N_{\rm pmt}}{10^9 \,{\rm m}^3} = 2.5 \times 10^{-9} N_{\rm pmt}$$

• The total number of Cherenkov photons emitted is $N_{\rm C} \sim 3.5 \times 10^7$, therefore:

$$N_{p.e.} = N_C \times R \times \epsilon_{\rm om} \simeq (3.5 \times 10^7) \cdot (2.5 \times 10^{-9} N_{\rm pmt}) \cdot \epsilon_{\rm on}$$
$$= 1.8 \times 10^{-2} N_{\rm pmt}$$

• If we require N_{pe} ~100, then:

 $N_{\rm pmt}\simeq 100/1.8\times 10^{-2}\simeq 5000$

About 5000 Optical Modules in a cubic kilometre are needed

M. Spurio: Particle and Astrophysics. Springer 2015

Pioneers

DUMAND



History of the project:

- 1975: first meetingsfor underwaterdetector in Hawaii
- 1987: Test string
- 1988: Proposal: "The Octagon" (1/3 AMANDA)
- 1996: Project cancelled

Baikal



- History of the project since 1980: site studies
- 1984 first stationary string
- 1993 NT-36 started
- 1994 first atmospheric neutrino identified
- 1998 NT-200 commissioned
- 2005: NT200+ commissioned

GVD (beyond Baikal)



Status and plans

- First GVD cluster operated within ٠ 2016
- Second cluster deployed in 2017 ٠ (failure after two months of operation
- Two new clusters planned for 2018 LAKEBED •
- Phase 1 will have 8 clusters (0.4 km³) ۲
- Phase 2 will be 1.5 km³

SURFACE	0 m —
Subsurface buoys	30 m —
Cluster top	941 m —

Cluster bottom 1276 m -

1366 m





AMANDA

1997-99: AMANDA-B10(inner lines of AMANDA-II)

- 10 strings
- **302 PMTs**
- Since 2000: AMANDA-II
 - 19 strings
 - 677 OMs
 - 20-40 PMTs / string
- Latter merged into IceCube
- May 2009: switched off

AMANDA



Equatorial sky map of 6595 events recorded by AMANDA II in 2000-2006 The most significant point has 3.4o but this should happen 95% of the time with the present statistics.

26 sources selected for search

9.27	0.10
9.67	0.077
2.54	0.82
7.28	0.22
14.74	0.034
12.77	0.0086
5.76	0.44
4.49	0.43
4.00	0.57
	.27 .67 .54 .28 4.74 2.77 5.76 .49 .00

For 26 sources, $p \le 0.0086$ occurs 20% of the time for at least one source.