The Top quark

Michele Gallinard

LIPListon

- Introduction
- Discovery of the Top quark
- Object reconstruction
- Decay and production
- Cross section measurements

Contents

- Introduction (discovery, object ID)
- Top pair production at the Tevatron
- Top pair production at LHC

will use c=1

today

- Properties: differential cross section
- Mass measurements
- Spin correlation, charge asymmetry
- Single top production
- Flavor Changing Neutral Currents (FCNC)
- Search for top partners and 4th generation quarks
- Search for ttbar resonances

Introduction

- Discovery
- introduction to the top quark

1974

With the discovery of the J/Ψ :

quarks

$$\begin{pmatrix} u \\ d \end{pmatrix} \begin{pmatrix} c \\ s \end{pmatrix}$$

leptons

$$\begin{pmatrix} v_e \\ e \end{pmatrix} \begin{pmatrix} v_\mu \\ \mu \end{pmatrix}$$

1975-1977

- Tau (τ) lepton in Mark I data (v_{τ}) from the decay $\begin{pmatrix} u \\ d \end{pmatrix} \begin{pmatrix} c \\ s \end{pmatrix} \begin{pmatrix} b \\ b \end{pmatrix}$ kinematics)

Discovery of the Y at Fermilab

$$\begin{pmatrix} v_e \\ e \end{pmatrix} \begin{pmatrix} v_\mu \\ \mu \end{pmatrix} \begin{pmatrix} v_\tau \\ au \end{pmatrix}$$

- b: non SM? iso-singlet? SM iso-doublet?
- 1984: DESY measurement of e⁺e⁻→bb FB asymmetry: (22.5 ± 6.5)%
 - cf. 25.2% SM iso-doublet, 0% iso-singlet
- If SM is correct there must be a iso-doublet partner, the top quark
- Mass? b/c/s 4.5/1.5/0.5: Mass=15 GeV?

The theory: Why?

- The SM is not a "renormalizable" gauge theory in the absence of the top quark
- Renormalizability is a crucial feature, enabling the SM to be theoretically consistent and be usable as a tool to compute the rate of subnuclear processes between quarks, leptons, and gauge bosons
- Diagrams containing so-called "triangle anomalies" (right), cancel their contributions, thus avoid breaking the renormalizability of the SM, only if the sum of electric charges of all fermions circulating in the triangular loop is zero: $\Sigma Q = -1 + 3 \times [2/3 + (-1/3)] = 0$

$$2\mathbf{Q} - \mathbf{1} + \mathbf{0} \times [2/\mathbf{0} + (-1/\mathbf{0})] - \mathbf{0}$$

lepton electric charge quark (up/down) charge

Searches in e⁺e⁻ collisions

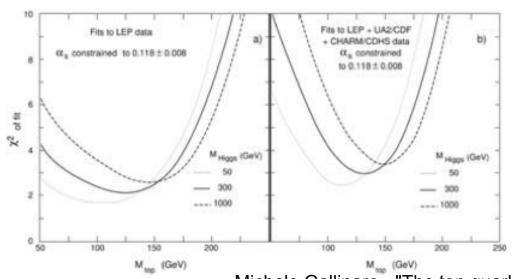
- PETRA could reach ~20 GeV (late '70s)
 - Search for narrow resonance
 - -Look for increase in R=(# of hadron events)/(# of $\mu\mu$ events)
 - Global event characteristics: look for spherical component
 - Negative results. Set limits: M_t>23 GeV
- TRISTAN (~30 GeV) built to study the top quark (early '80s)
 - Similar search technique:
 - $-M_t>30 \text{ GeV}$
- SLC/LEP
 - -Look for Z→tt̄
 - $-M_t>45 \text{ GeV}$
- Reached kinematic limit for direct searches at e⁺e⁻ colliders

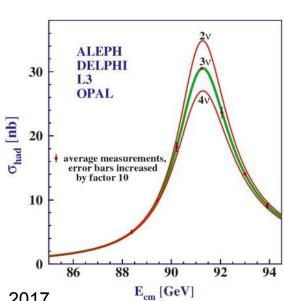
Indirect searches from etectolliders

In the SM, various EWK observables depend on the mass of the top quark



- Precision measurements of the EWK parameters, allow to measure virtual corrections with sufficient precision to put constraints on M_{top}
 - Prediction upper limit<200-220 GeV





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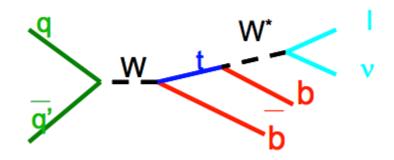
Early searches at hadron colliders

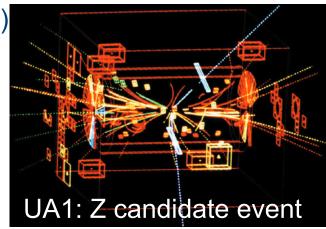
CERN SppS (√s=540 GeV) built to observe W,Z

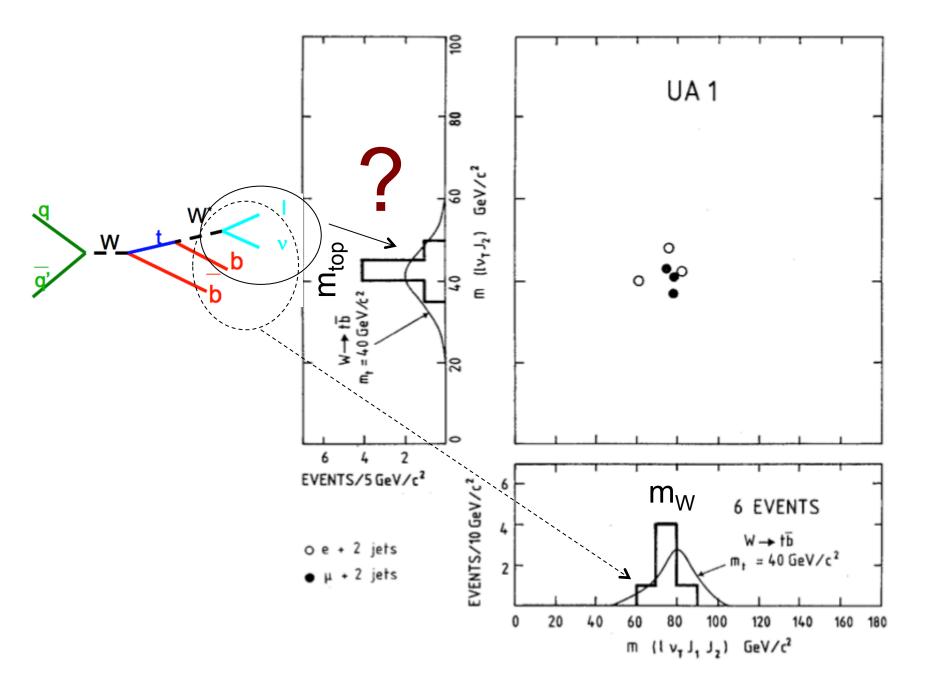
- Access to much higher energies
- Large backgrounds, low event rates
- Difficult reconstruction: jets

1984: UA1

- W→tb→lvbb
- Isolated high-p_T lepton
- 2 or 3 hadronic jets
- Observe 5 events (e+ ≥2 jets), 4 events (μ+ ≥2 jets)
- Expected background: 0.2 events
 - Fake leptons dominate; bbar/ccbar negligible
- Result consistent with M_{top}=40±10 GeV
- Stop before claiming discovery...
 - ⇒W+jet background was underestimated



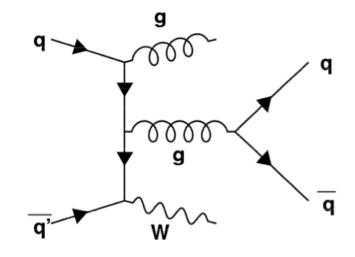




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Searches at hadron colliders

- 1988 UA1
- Larger data sample (x6, total of 600nb⁻¹)
- Improved understanding of the backgrounds
- Fake leptons, W+jets, DY, J/Ψ, bbar/ccbar



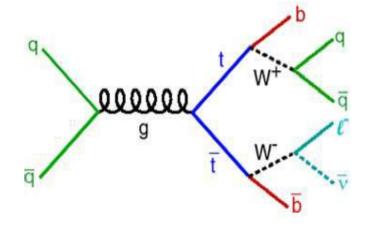
<u>channel</u>	<u>observed</u>	expected background
$\mu + \ge 2$ jets	10 events	11.5 ± 1.5 events
$e + \ge 1$ jets	26 events	$23.4 \pm 2.8 \text{ events}$
	$(+23 \text{ expected if } M_{top} = 40 \text{ GeV})$	

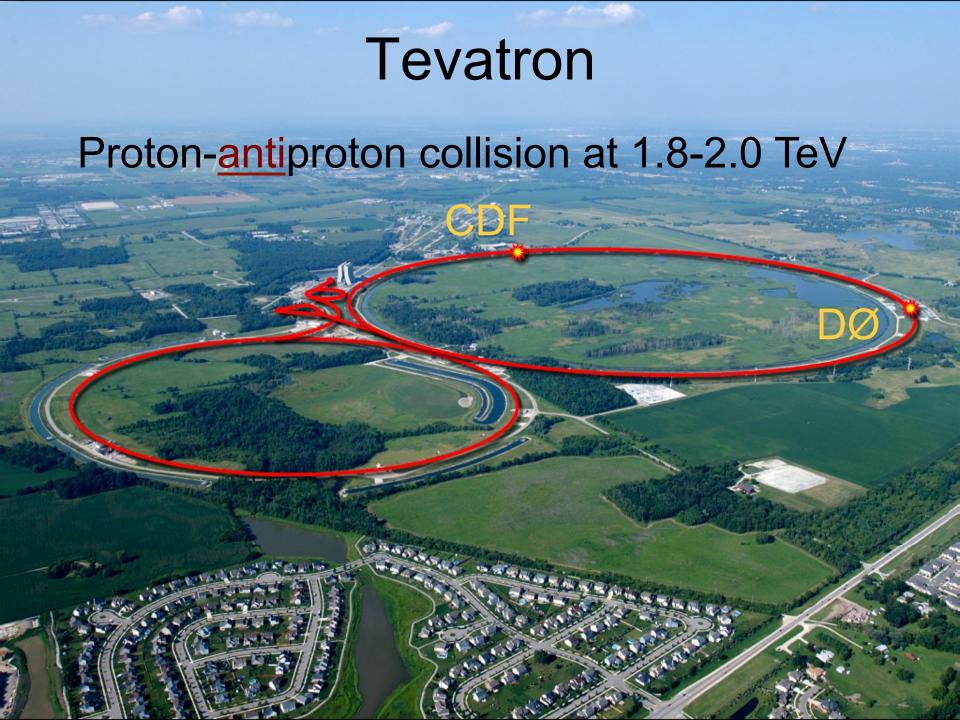
⇒conclude M_{top}>44 GeV

Fermilab joins the hunt

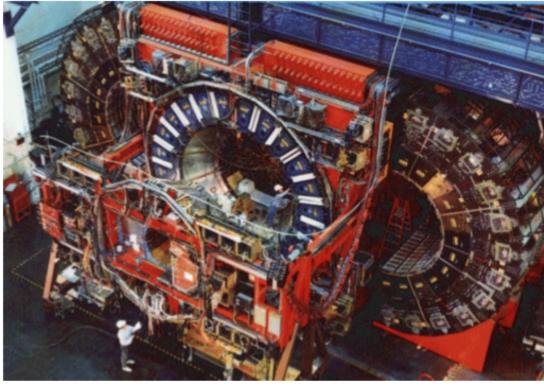
- 1988-89: at CERN, UA2 remains after the upgrades
- √1.8 TeV@Fermilab vs. √0.63 TeV@CERN
- Much better reach for larger mass (only 75 GeV@UA2)
- At Tevatron, pair production dominates: tt→ Wb Wb

%	ev	μν	τν	qq^-
ev	1.2	2.5	2.5	14.8
μν		1.2	2.5	14.8
τν			1.2	14.8
$q\overline{q}$				44.4





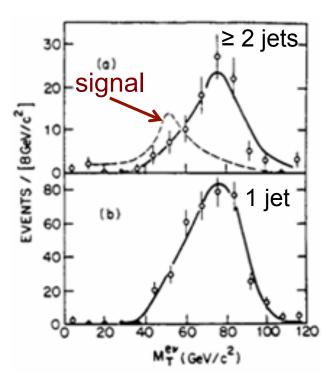




Searches at CDF

eν+ ≥2 jets

- Dominant background: W+jets
- Discriminant: ev transverse mass
 - Background: W on-shell
 - Signal: W off-shell for M_{top} =40-80 GeV



UA2 uses similar technique: M_{top}>69 GeV

Searches at CDF (cont.)

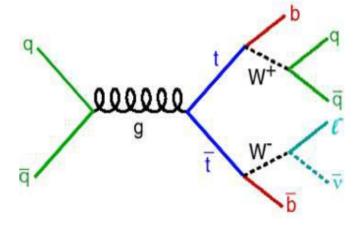
eμ channel

- Event rate much lower: 2xBR(W→ev)
- Background very small (no W+jets, no Drell-Yan)
- Dominant background is Z→ττ→eμX (expect 1 event)
- Observe 1 event

 \Rightarrow M_{top}>72 GeV (expect 7 events for M_{top}=70 GeV)

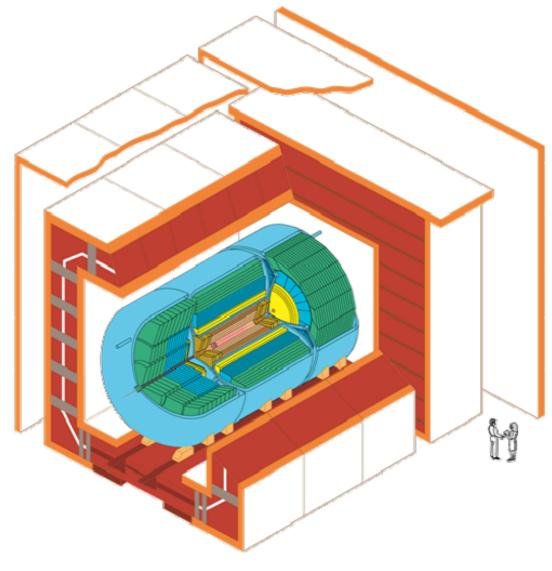
Change of strategy: M_{top}>M_b+M_W

- Top quark decays to on-shell Ws: no $M_T(Iv)$ discriminant
- Main differences:
 - background: W+jets (largely quarks and gluons)
 - signal: W+jets (2 jets are b-jets)
- CDF publication on 88-89 data:
 - Dilepton: include ee, μμ, eμ (require missing ET, Z-veto)
 - Single lepton: require low p_T muon (semi-leptonic b-decays)



19 countries 83 institutions, 664 physicists

D0 joins the hunt



DØ Detector

Searches at Tevatron: CDF and D0

1992-1995

- Tevatron with higher luminosity
- D0: excellent calorimetry, large solid angle and coverage
- CDF: precision vertex detector, good tracker, magnetic spectrometer

Run 1A:

D0: optimized search for M_{top}=100 GeV

```
-e\mu+≥1jet+MET 1 evt (1.1 bkg)

-ee+≥1jet+MET 1 (0.5)

-e+≥4jets+MET 1 (2.7)

-\mu+≥4jets+MET 0 (1.6)
```

⇒M_{top}>131 GeV@95%CL

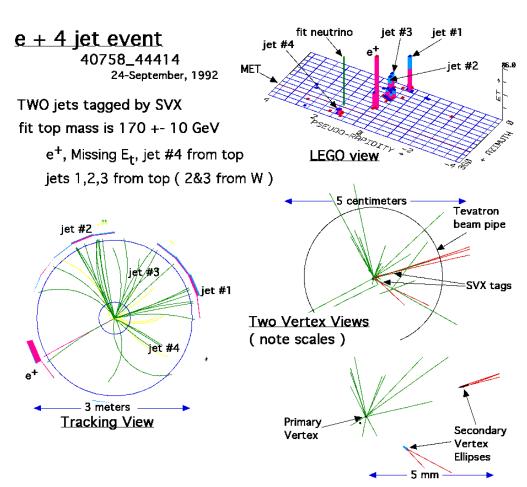
Detecting the top quark at CDF

Strategy

- dilepton: +2 jets
- single lepton: b-tagging
 - 1) soft e/μ: semi-leptonic b-decay
 - 2) secondary vertex

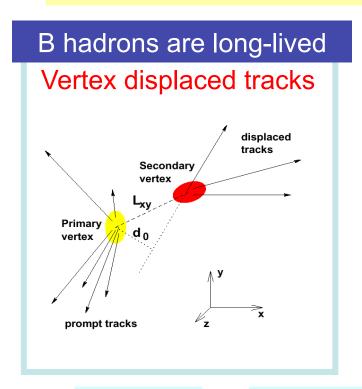


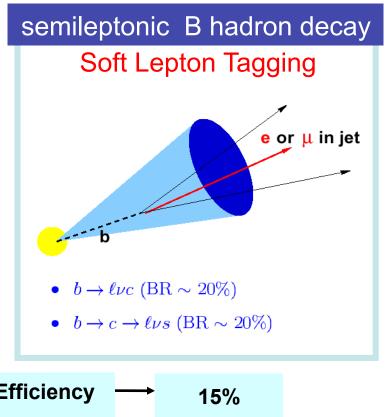
New: CDF vertex detector (SVX) (40 μm impact parameter resolution) powerful discriminant against background



Tagging b-jets

- Top events contain B hadrons
- Only 1-2% of dominant W+jets background contains heavy flavor







1993

Coll. Meeting, Aug. 1993:

- Status report from each group (dilepton, single lepton)
- Small, not significant excess in all channels

Type	observed	background	
DIL	2 events	0.56 ^{+0.25} _{-0.13}	
SVX	6 tags	2.3 ± 0.3	3 events in
SLT	7 tags	3.1 ± 0.3	common
total	12 events		

- In total, an excess of events
- Background fluctuation probability: 2.8σ
- Skepticism, additional studies, cross-checks
- Additional 8 months before making the results public

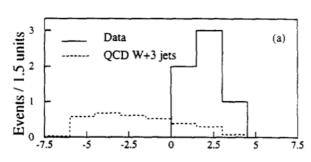
Final steps: CDF and D0

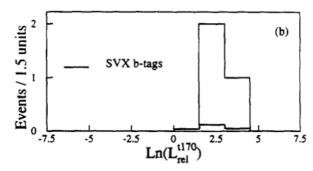
CDF: counting experiment yields 2.8o

- Few checks: no major discrepancy
- Other checks consistent with presence of signal
- Mass distribution looked good
- There were also other analyses at CDF
 - Difference of jet E_T spectra for signal and bkg
 - Separate two component for signal and bkg
 - CDF chose not to use those for first publication
- Use "counting" experiment



- Observed 7 events (expect 4-6 from bkg)
- No independent evidence





First evidence (1994)

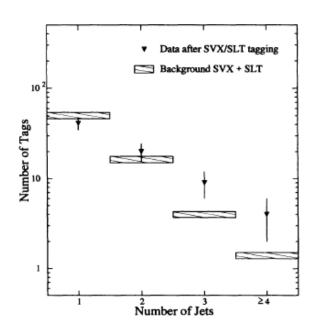
VOLUME 73, NUMBER 2

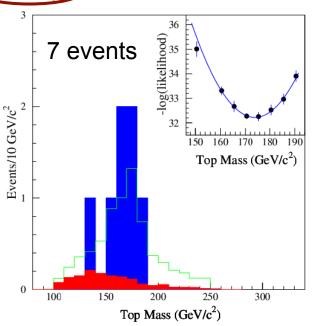
PHYSICAL REVIEW LETTERS

11 JULY 1994

Evidence for Top Quark Production in $\bar{p}p$ Collisions at $\sqrt{s}=1.8~{\rm TeV}$

We summarize a search for the top quark with the Collider Detector at Fermilab (CDF) in a sample of $\bar{p}p$ collisions at $\sqrt{s} = 1.8$ TeV with an integrated luminosity of 19.3 pb⁻¹. We find 12 events consistent with either two W bosons, or a W boson and at least one b jet. The probability that the measured yield is consistent with the background is 0.26%. Though the statistics are too limited to establish firmly the existence of the top quark, a natural interpretation of the excess is that it is due to $t\bar{t}$ production. Under this assumption, constrained fits to individual events yield a top quark mass of $174 \pm 10 \pm 13$ GeV/ c^2 . The $t\bar{t}$ production cross section is measured to be 13.9 ± 4.8 pb.





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First measurements

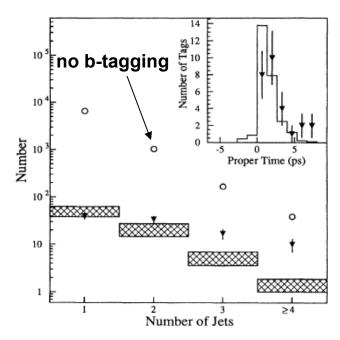
VOLUME 74, NUMBER 14

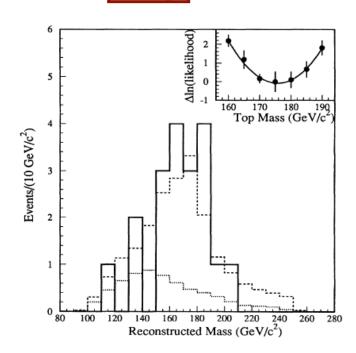
PHYSICAL REVIEW LETTERS

3 April 1995

Observation of Top Quark Production in $\overline{p}p$ Collisions with the Collider Detector at Fermilab

We establish the existence of the top quark using a 67 pb⁻¹ data sample of $\overline{p}p$ collisions at $\sqrt{s} = 1.8$ TeV collected with the Collider Detector at Fermilab (CDF). Employing techniques similar to those we previously published, we observe a signal consistent with $t\bar{t}$ decay to $WWb\bar{b}$, but inconsistent with the background prediction by 4.8σ . Additional evidence for the top quark is provided by a peak in the reconstructed mass distribution. We measure the top quark mass to be $176 \pm 8(\text{stat}) \pm 10(\text{syst}) \text{ GeV}/c^2$, and the $t\bar{t}$ production cross section to be $6.8^{+3.6}_{-2.4}$ pb





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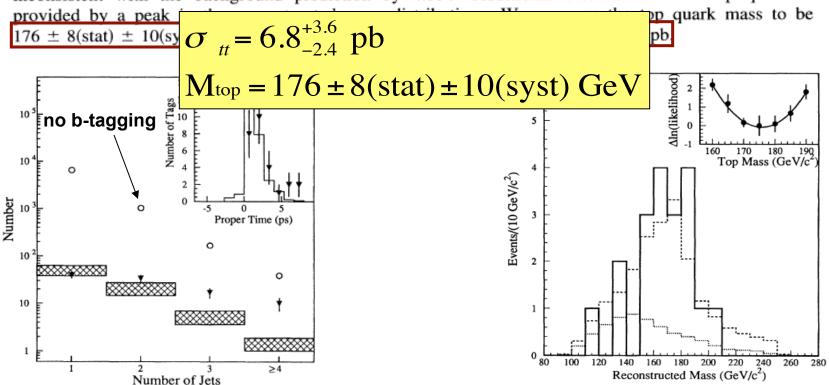
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First measurements

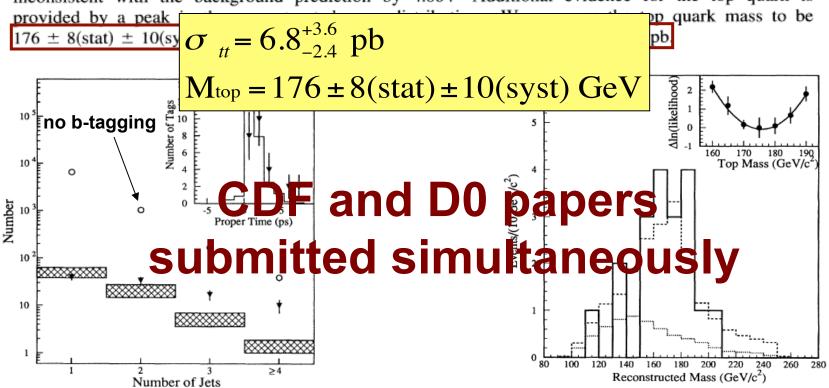
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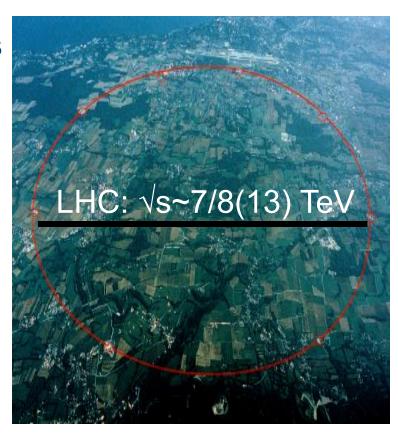
Top quark and its relevance

Three generations of matter (fermions) Ш Ш 1.27 GeV/c² 171.2 GeV/c2 2.4 MeV/c2 ? GeV/c2 chargespin-Higgs photon charm top up nameboson 104 MeV/c² 4.8 MeV/c2 4.2 GeV/c2 Quarks gluon down strange bottom <0.17 MeV/c2 <15.5 MeV/c2 91.2 GeV/c2 <2.2 eV/c2 electron muon Z boson neutrino neutrino neutrino Sauge bosons 1.777 GeV/c² 0.511 MeV/c² 105.7 MeV/c² 80.4 GeV/c2 eptons electron W boson muon tau

Basics

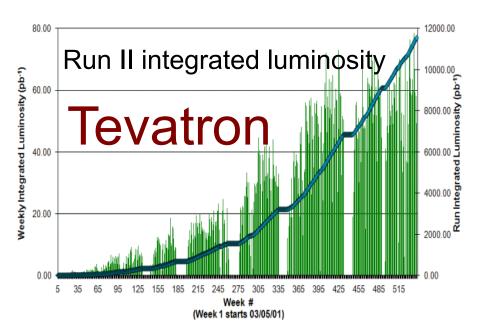
The Large Hadron Collider

- Built to explore new energy frontiers
 - First colliding beams in 2009
 - started with "low" luminosity in 2010
 - -~5 fb⁻¹@7TeV delivered in 2011
 - -~20 fb⁻¹@8TeV in 2012
 - -~2 fb⁻¹@13TeV in 2015
 - -~36fb⁻¹@13 TeV in 2016
- re-establish SM measurements
- access to new physics processes



⇒ Top quarks give access to SM and BSM (?)

Tevatron vs LHC



Energy: 1.96 TeV

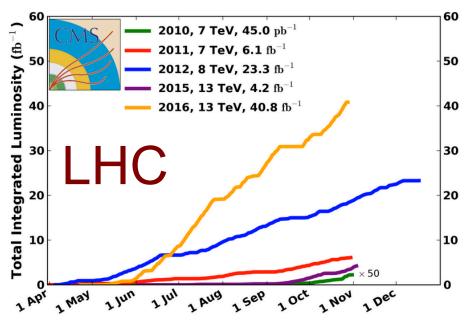
Int. Luminosity: 12 fb⁻¹

Age: ~25 years

Events/exp (1 fb⁻¹)

400 ee eμ, μμ

3.5k lepton + jets



Energy: 7/8/(13) TeV

Int. Luminosity: 5/20/(40) fb⁻¹

Age: ~8 years

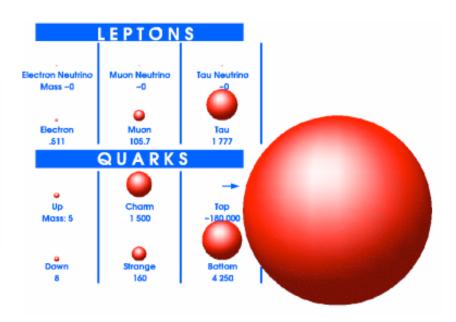
Events/exp (1 fb⁻¹)

40k ee eμ, μμ

350k lepton + jets

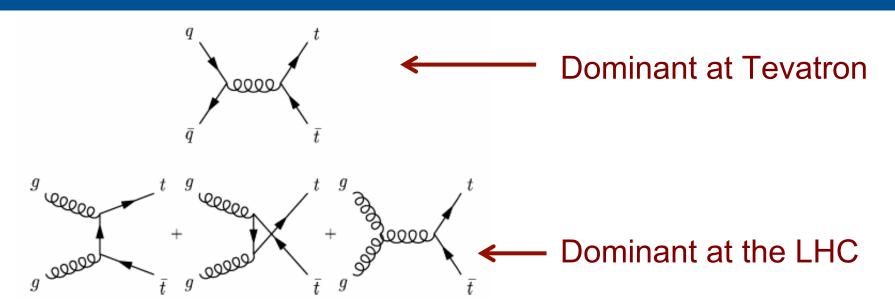
What is the Top quark?

Quarks:
$$\begin{pmatrix} u \\ d \end{pmatrix}$$
 $\begin{pmatrix} c \\ s \end{pmatrix}$ $\begin{pmatrix} t \\ b \end{pmatrix}$
Leptons: $\begin{pmatrix} \nu_e \\ e \end{pmatrix}$ $\begin{pmatrix} \nu_{\mu} \\ \mu \end{pmatrix}$ $\begin{pmatrix} \nu_{\tau} \\ \tau \end{pmatrix}$



- It is the heaviest fundamental particle
 - $-M_{top} = 174.3 \pm 0.6 \text{ GeV}$ (arXiv:1407.2682)
- Weak isospin partner of the b-quark
- Completes the SM of quarks and leptons

How is the top quark produced?



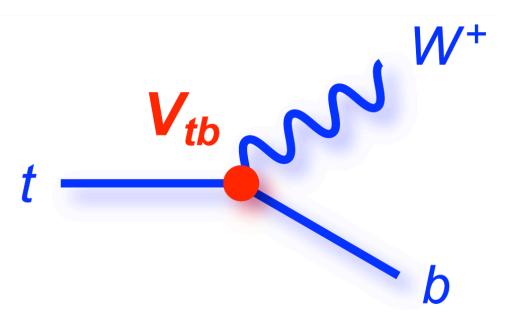
Predicted cross sections:

Collider	$\sigma_{ m tot}$ [pb]	scales [pb]	PDF [pb]
Tevatron	7.164	+0.110(1.5%) -0.200(2.8%)	+0.169(2.4%) -0.122(1.7%)
LHC 7 TeV	172.0	+4.4(2.6%) -5.8(3.4%)	+4.7(2.7%) -4.8(2.8%)
LHC 8 TeV	245.8	+6.2(2.5%) -8.4(3.4%)	+6.2(2.5%) -6.4(2.6%)
LHC 14 TeV	953.6	+22.7(2.4%) -33.9(3.6%)	+16.2(1.7%) -17.8(1.9%)

	LHC	Tevatron
gg	~85%	~10%
qq	~15%	~90%

Czakon et al. PRL 110, 252004 (2013)

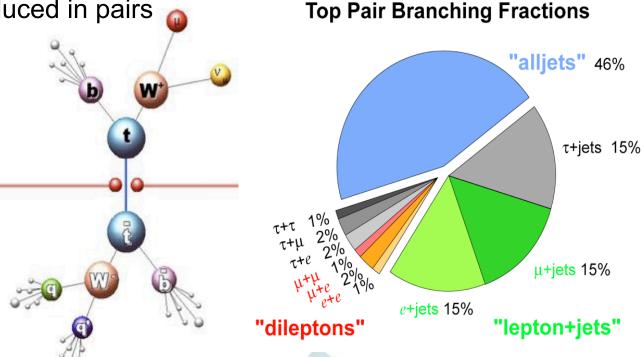
How does a top quark decay?



- almost always t→Wb (i.e. V_{tb}~1)
- lifetime is short, and it decays before hadronizing
- the W is real:
 - can decay W→Iv (I=e,μ,τ), BR~1/9 per lepton
 - can decay W→qq, BR~2/3

Top quark decays

Top quarks (mostly) produced in pairs



Secondary vertex

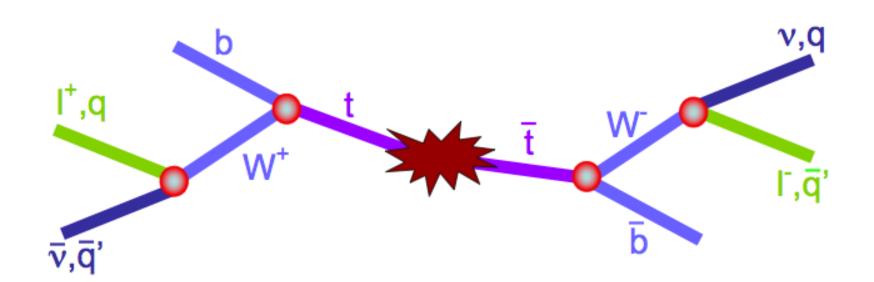
- Dilepton (ee, μμ, eμ):
 - BR~5%, 2 leptons+2 b-jets+2 neutrinc
- Lepton (e or μ) + jets
 - BR~30%, one lepton+4jets (2 from b)neutrino
- All hadronic
 - BR~44%, 6 jets (2 from b), no neutrino

b-jets always present b-jet reconstruction plays important role

Prompt tracks

Displaced tracks

Interesting physics with Top quark



PRODUCTION

Cross section
Resonances X→tt
Fourth generation t'
Spin-correlations
New physics (SUSY)
Flavour physics (FCNC)

...

PROPERTIES

Mass
Kinematics
Charge
Lifetime and width
W helicity
Spin

city CKI

DECAY

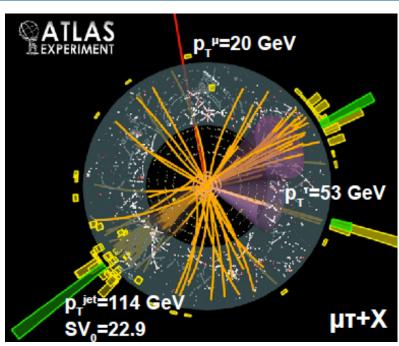
Branching ratios
Charged Higgs (non-SM)
Anomalous couplings
Rare decays
CKM matrix elements
Calibration sample @LHC

...

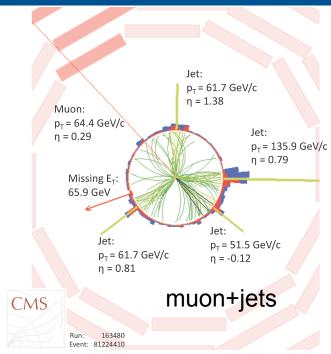
Particle identification

Object identification and reconstruction

Selection of top quark events



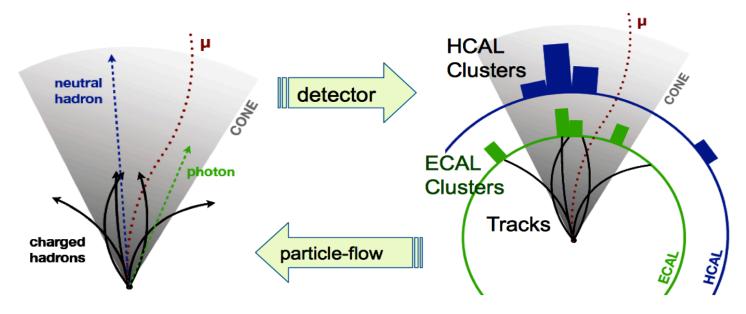
- Trigger:
 - single or double (isolated) lepton
- Leptons:
 - $-e/\mu$, p_T>20/30 GeV, $|\eta|$ <2.5
 - Identification/reconstruction
 - Tracker/calorimeter isolation



- Jets:
 - at least 2 jets, p_T >30 GeV, $|\eta|$ <2.5
 - anti-kT algorithm, with cone 0.4-0.5
 - b-tagging is optional
- Missing transverse energy:
 - Typically require 30-40 GeV

Particle Flow event reconstruction

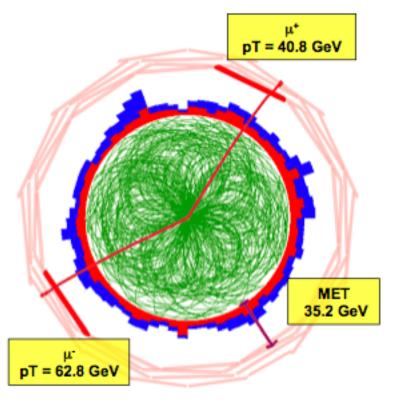
- Particle Flow (PF) combines information from all subdetectors to reconstruct particles produced in the collision
 - charged hadrons, neutral hadrons, photons, muons, electrons
 - use complementary info. from separate detectors to improve performance
 - tracks to improve calorimeter measurements
- From list of particles, can construct higher-level objects
 - Jets, b-jets, taus, isolated leptons and photons, MET, etc.

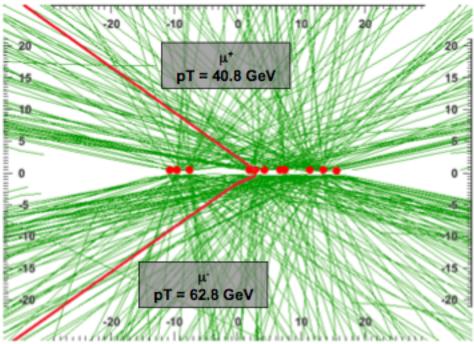


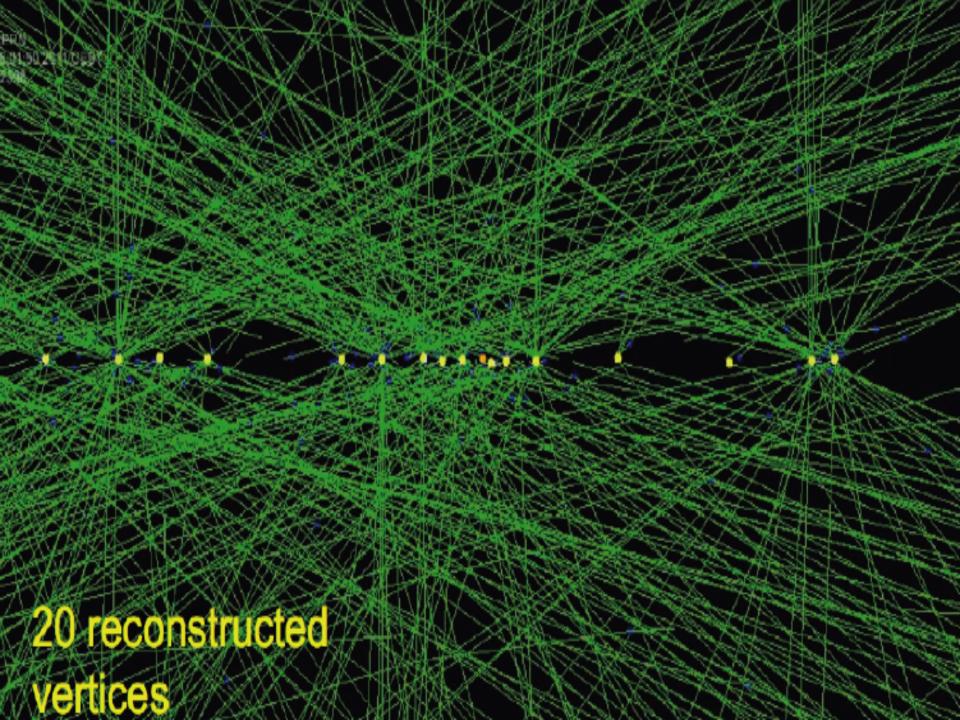
Challenge: Pile-up

 $Z \rightarrow \mu \mu$ Expected MET = 0

10 in-time + 10 out – of – time pileup

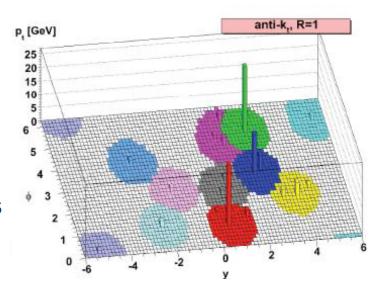






Jet reconstruction

- A "jet" is a cluster of energy deposited in a "small" η-φ region of the detector
 - It is not a unique object, it is defined by the jet algorithm (different choices yield different jets)
- The jet algorithm uses detector reconstructed objects (clusters, tracks, combined objects)
- It is "safe" to higher order effects when it does not change jet quantities
- Efficient and pure: jets correspond to partons



Missing transverse momentum

- Neutrinos (and "dark matter") escape the detector without detection
 - Also longitudinal momentum and energy of other final state particles escape undetected (along the beam-pipe)
 - Momentum is not measured along the z-direction
 - Missing momentum along z is unknown
- The momentum of the neutrinos can be reconstructed in the transverse plane
- Momentum which is missing to balance the total momentum to zero

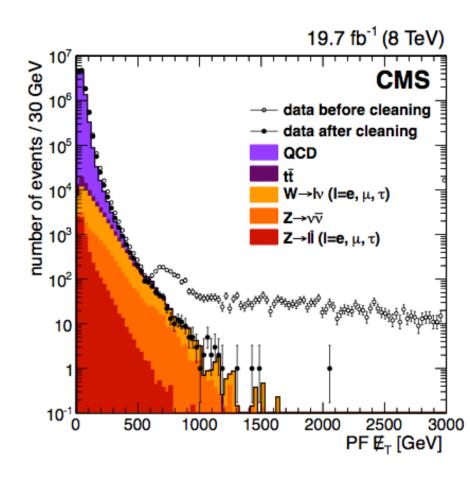
transverse energy vector

$$extbf{\emph{E}}_{T}^{ ext{miss}} = -\sum_{i} extbf{\emph{p}}_{T}(i)$$

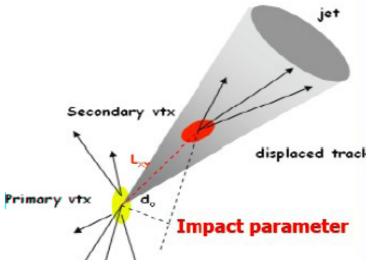
where the sum runs over the transverse momenta of all visible final state particles.

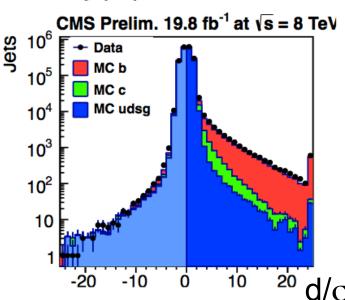
Challenge: MET

- Performance of the MET measurement depends on the measurement of ALL particles in the event
- Measurement is affected by:
 - Noise, mis-calibration, various calorimeter problems (dead channels, etc)
 - Modeling of QCD background events, pile-up, multiple interactions, ...
 - Muon momentum measurement (muons inside jets)
 - Cosmic background events
 - Beam halo (i.e. collisions upstream of detector, parallel to beam)
- MET significance



Challenge: b-tagging



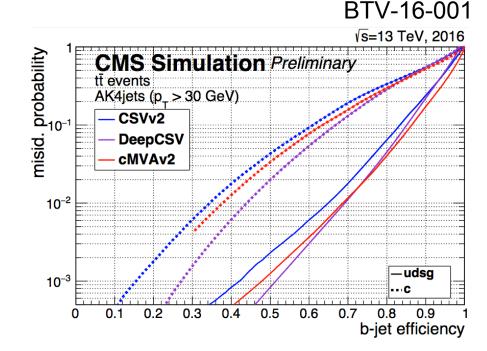


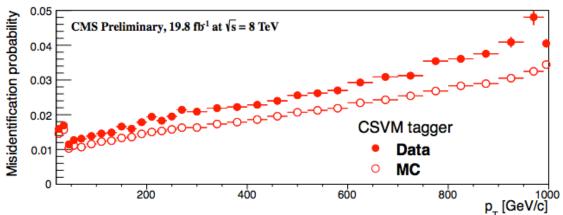
- •Lifetime: τ_b~1-2 psec
- Reduction of background obtained by identifying jets from b-quarks
- Two methods:
 - Secondary vertex tagging
 - Semileptonic decays of b-hadrons in jets ($b \rightarrow \ell \nu_{\ell} X$)



b-tag: fake rates and efficiencies

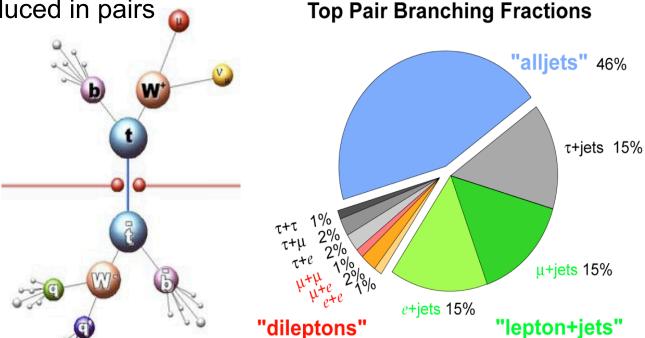
- b-tag optimization: trade-off between fake rate and efficiency
- studied the performance of different tagging working points
- Uncertainty on data/MC scale factor, depending on algorithms





Top quark decays

Top quarks (mostly) produced in pairs



Secondary vertex

- Dilepton (ee, μμ, eμ):
 - BR~5%, 2 leptons+2 b-jets+2 neutrinc
- Lepton (e or μ) + jets
 - BR~30%, one lepton+4jets (2 from b)neutrino
- All hadronic
 - BR~44%, 6 jets (2 from b), no neutring

b-jets always present b-jet reconstruction plays important role

Prompt tracks

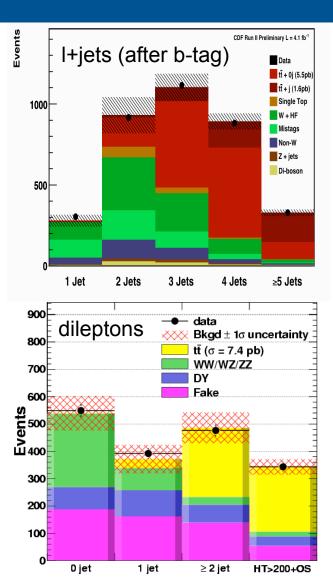
Displaced tracks

Measurements

Measurement of the cross section

Top quark events

- LHC@13TeV cross section ~100 times larger than Tevatron
- select ttbar events at LHC:
 - understand/calibrate detector
 - -measure properties
- event selection includes SM control events
- ttbar final state is complex (ie not mass peak)
- Top quarks and new physics:
 - ttbar sample may contain new physics
 - look at jet multiplicity bins (since ttbar is background e.g. for SUSY), or other variables



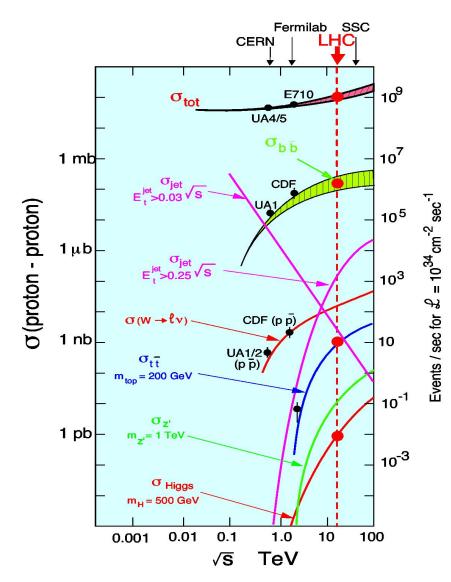
Theory cross sections: TeV vs LHC

Collider	$\sigma_{ m tot}$ [pb]	scales [pb]	PDF [pb]
Tevatron	7.164	+0.110(1.5%) -0.200(2.8%)	+0.169(2.4%) -0.122(1.7%)
LHC 7 TeV	172.0	+4.4(2.6%) -5.8(3.4%)	+4.7(2.7%) -4.8(2.8%)
LHC 8 TeV	245.8	+6.2(2.5%) -8.4(3.4%)	+6.2(2.5%) -6.4(2.6%)
LHC 14 TeV	953.6	+22.7(2.4%) -33.9(3.6%)	+16.2(1.7%) -17.8(1.9%)

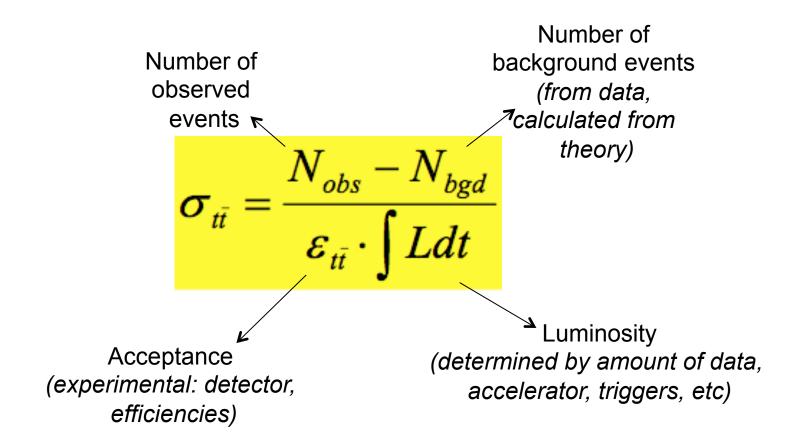
Including NNLO+NNLL approximations PRL 110, 252004 (2013) (M. Czakon et al.)

Top cross section at 7/8 vs 13 TeV

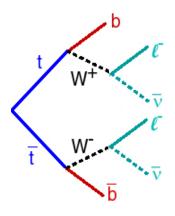
- LHC collisions started at 7/8 TeV
- LHC design is at 14 TeV
- Top cross section drops faster than background processes at lower sqrt{s}
 - $\text{top } \sigma(7\text{TeV}) = 172 \text{ pb}$
 - $top \sigma(8TeV) = 246 pb$
 - $\text{top } \sigma(13\text{TeV}) = 832 \text{ pb}$
- Background is more "flat"



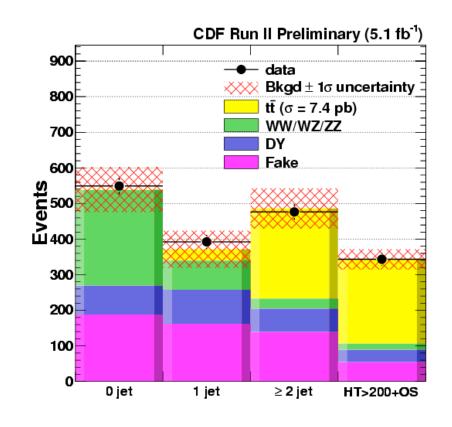
Cross section measurement



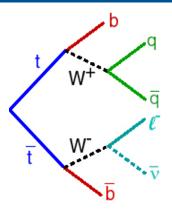
Dilepton channel



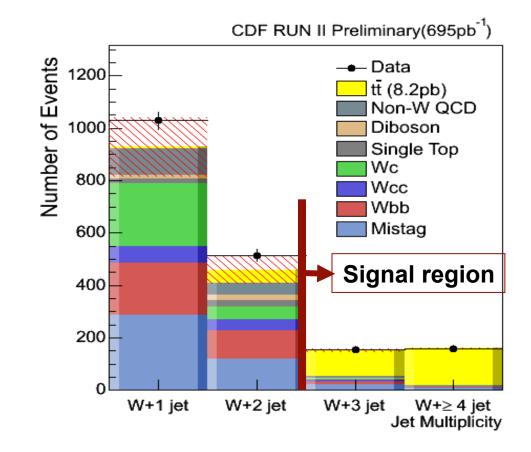
- Branching ratio (BR) ~5%
- Background: small
- Clean final state
 - two leptons + ≥2 jets + MET
 - kinematic variables
- Signal visible w/without b-tagging
- Main systematics: JES, lepton ID, (pileup, b-tag, signal modeling)



Lepton + jets

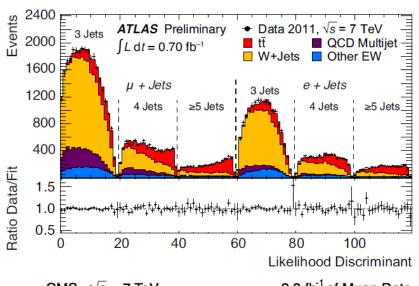


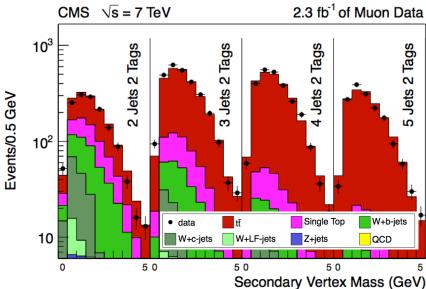
- BR ~30%
- Background: moderate
- Selection:
 - one lepton + ≥3 jets + MET
 - may require b-tag



- Main backgrounds:
 - hadronic multi-jet, W+jets

Lepton + jets channel (cont.)



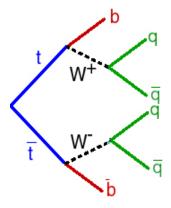


Use kinematics to select ttbar

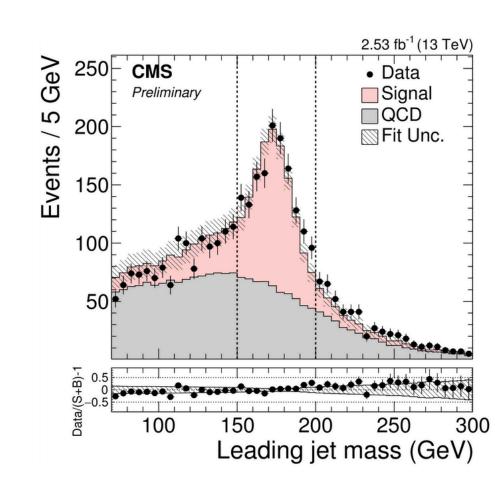
- mass of sec. vertex
- -topology, etc.

Categorize events and extract σ_{tt} from fit

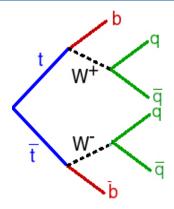
All hadronic



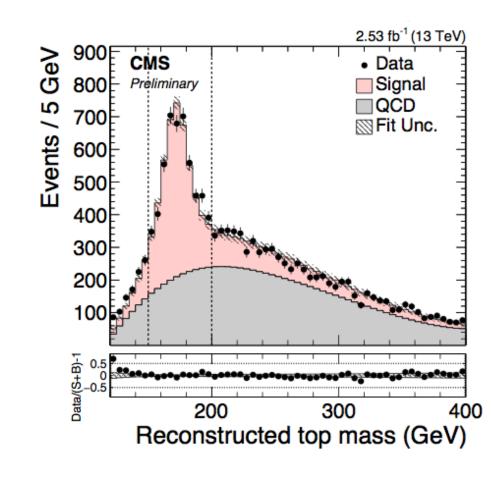
- BR ~46%
- Background: large
- Selection:
 - ≥6 jets + kinematical selection
 - require b-tag
- Main backgrounds:
 - hadronic multi-jet



All hadronic

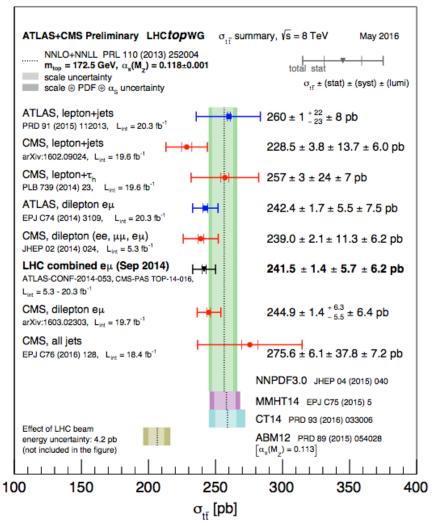


- BR ~46%
- Background: large
- Selection:
 - ≥6 jets + kinematical selection
 - require b-tag
- Main backgrounds:
 - hadronic multi-jet
 - same selection without b-tag

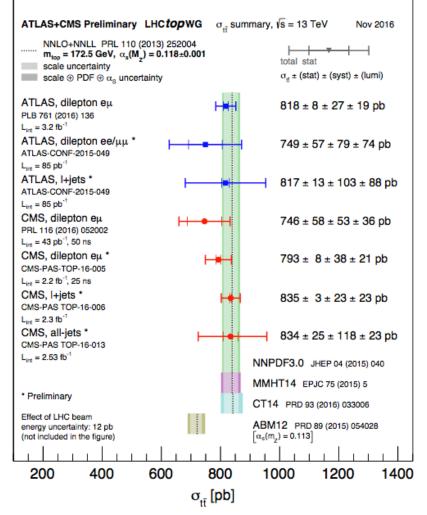


LHC cross section measurements



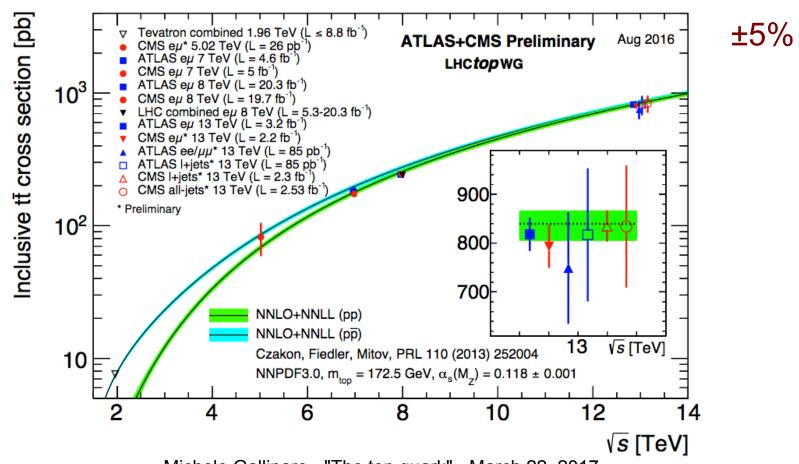


13 TeV



Cross sections

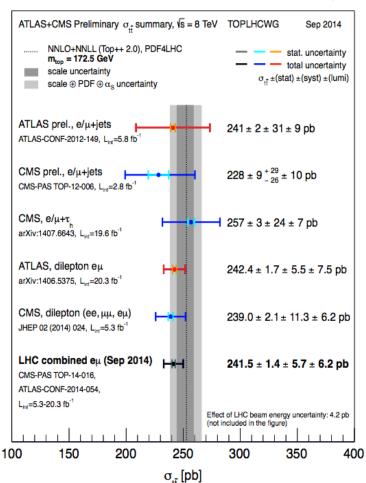
- Cross section measurements provide test of pQCD predictions
- Standard "candle": ttbar is a dominant background for NP searches
- Comparison in different channels may provide constraints on BSM



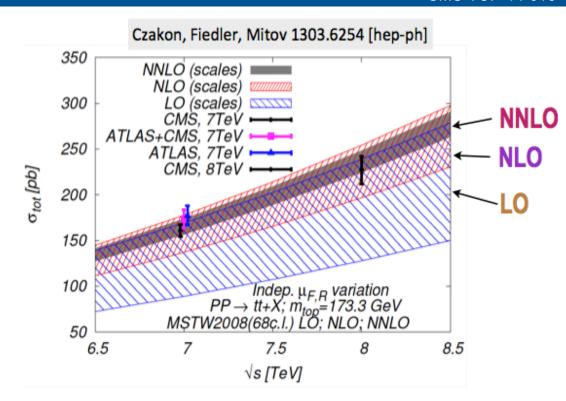
Cross sections (cont.)

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⇒meas. challenging the theory



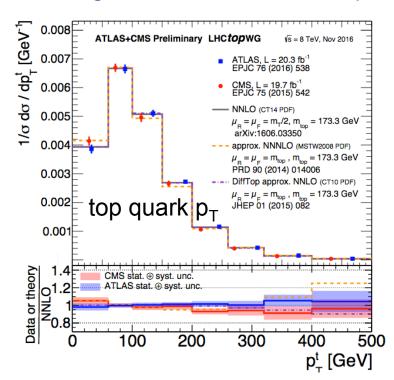
Collider	$\sigma_{ m tot} \; [m pb]$	scales [pb]	pdf [pb]
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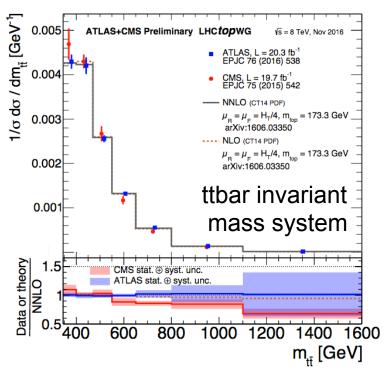
±3-5%

Differential cross section

- Measure differential cross section
 - Test perturbative QCD
 - Test BSM scenarios (Z' decays, etc) with narrow resonance

- $\frac{1}{\sigma_{t\bar{t}}} \frac{d\sigma_{t\bar{t}}}{dX}$
- Cross sections measured as a function of p_T , η , invariant mass of the final state leptons, top quarks, and the ttbar system
- Good agreement found in dilepton and lepton+jet channels





end

- Introduction on top quark
- Basic concepts on production and decays
- Cross section measurements and relevance to BSM searches

Next lecture: ``Top quark properties and beyond"