

# Lectures on calorimetry

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Lecture 2



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# Plan of lectures

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## Lecture 1

Why/what calorimeters ?

Physics of EM showers

Calorimeter Energy Resolution

## Lecture 2

Physics of hadronic showers

ATLAS & CMS calorimeters

Calorimeter Objects

## Lecture 3

Example of calorimeters (suite)

Future of calorimetry

## Lecture 4

Tutorial  
Exercises



**KEEP  
CALM  
AND  
RECAP**

# Electromagnetic shower: summary

- High-energy electrons or photons interact with dense material from calorimeter:  
 **cascade of secondary particles**
- The number of cascade particles is **proportional to the energy** deposited by the incident particle
- The role of the calorimeter is to **count** these cascade particles
- The relative occurrence of the various processes creating the cascade particles **depends on Z**.
  - Above 1 GeV, bremsstrahlung radiation and pair production dominates
  - The shower develops like this until secondary particles reaches  $E_C$  where loss by ionization dominated
  - Below  $E_C$ , the number of secondary particles slowly decreases as electrons (photons) are stopped (absorbed)

- **The shower development is governed by the “radiation length”  $X_0$**
- **Needs about 25  $X_0$  to contain most of the EM showers.**
- **Shower max grows with  $\ln(E)$**
- **90% of showe energy contained in a cylinder of radius  $R_M$**

# Calorimeters Principles

Detector for energy measurement via total absorption of particles

## Principle of operation

Incoming particle initiates particle shower

Electromagnetic, hadronic

Shower properties depend on particle type and detector material

Energy is deposited in active regions

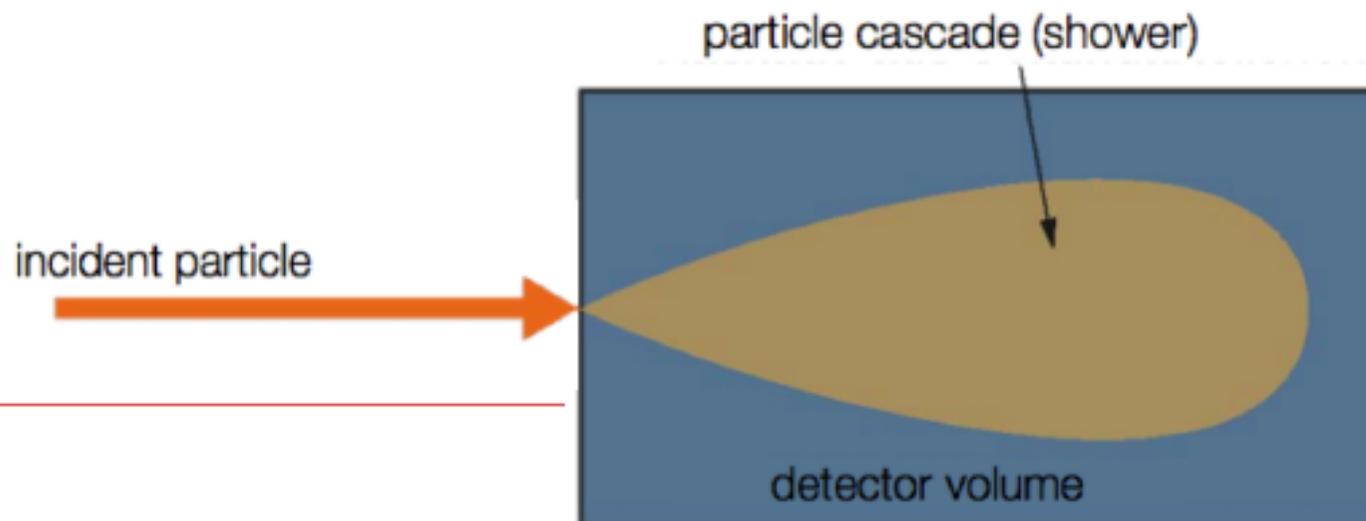
Heat, ionisation, atom excitation (scintillation), Cerenkov light

Different calorimeters use different kind of signal

Signal is proportional to energy released

Proportion  $\rightarrow$  calibration

Shower containment



# Calorimeter Features

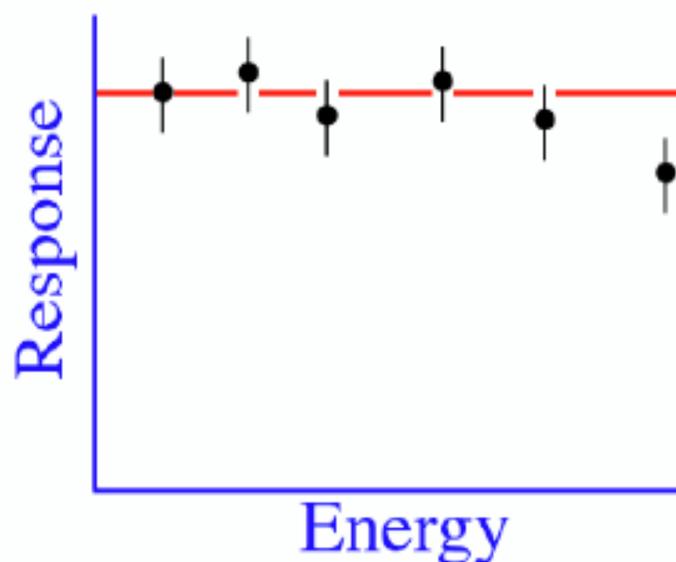
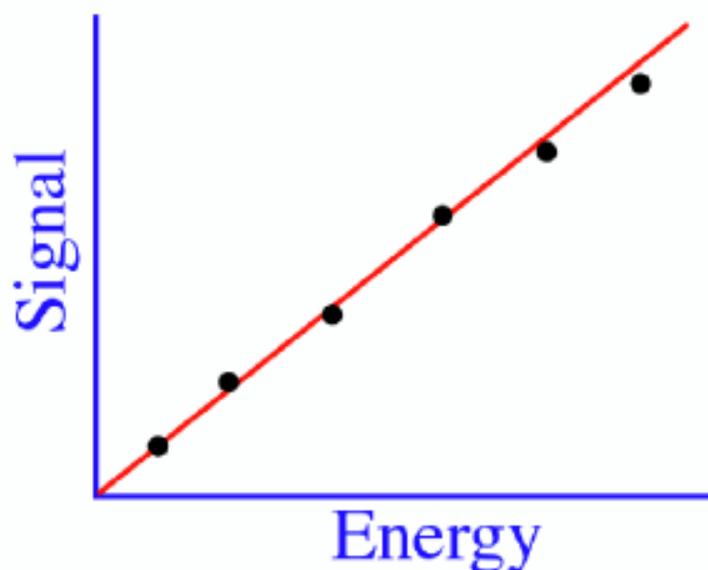
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- Measure energy of charged ( $p$ ,  $\pi$ ,  $K$ ,  $e$ , ...) and neutral ( $\gamma$ ,  $n$ , ...) particles
- Precision improves with energy
- Position Measurement
  - Important for neutral particles
- Particle ID
  - Longitudinal (if sampling calorimeter) and lateral profiles different for  $e$  and  $\pi$ .
- Timing
- Triggering
- Can be built at  $4\pi$  detectors
  - Hermiticity ! Important for missing energy measurement (see later)

# LINEARITY

**Response:** mean signal per unit of deposited energy  
e.g. # of photons electrons/GeV, pC/MeV,  $\mu\text{A}/\text{GeV}$

→ A linear calorimeter has a constant response



**Electromagnetic** calorimeters are in general linear.  
All energies are deposited via ionisation/excitation of the absorber.

# Useful Quantities

Radiation Length:

$$X_0 \approx \frac{180A}{Z^2} \text{ (g.cm}^{-2}\text{)}$$

Radiation Length for composite material:

$$\frac{1}{X_0} = \sum \frac{w_j}{X_j}$$

$w_j$ : fraction of material  $j$   
 $X_j$ : radiation length of material  $j$   
(in g.cm<sup>-2</sup>)

Moliere Radius:

$$R_M = \frac{21\text{MeV}}{E_C} X_0$$

Moliere Radius for composite material:

$$\frac{1}{R_M} = \sum \frac{w_j}{R_{Mj}}$$

$w_j$ : fraction of material  $j$   
 $R_{Mj}$ : Moliere Radius of material  $j$   
(in g.cm<sup>-2</sup>)

Energy Resolution:

$$\frac{\sigma}{E} = \frac{S}{\sqrt{E}} \oplus \frac{N}{E} \oplus C$$

$\oplus$  : quadratic sum  
S: Stochastic  
N: noise  
C: constant

# HOMOGENOUS CALORIMETERS

- ★ In a homogeneous calorimeter the whole detector volume is filled by a high-density material which simultaneously serves as absorber as well as as active medium ...

Signal	Material
Scintillation light	BGO, BaF <sub>2</sub> , CeF <sub>3</sub> , ...
Cherenkov light	Lead Glass
Ionization signal	Liquid noble gases (Ar, Kr, Xe)

- ★ Advantage: homogenous calorimeters provide optimal energy resolution
- ★ Disadvantage: very expensive
- ★ Homogenous calorimeters are exclusively used for electromagnetic calorimeter, i.e. energy measurement of electrons and photons

# SAMPLING CALORIMETERS

## Principle:

Alternating layers of absorber and active material [sandwich calorimeter]

Absorber materials:  
[high density]

Iron (Fe)

Lead (Pb)

Uranium (U)

[For compensation ...]

Active materials:

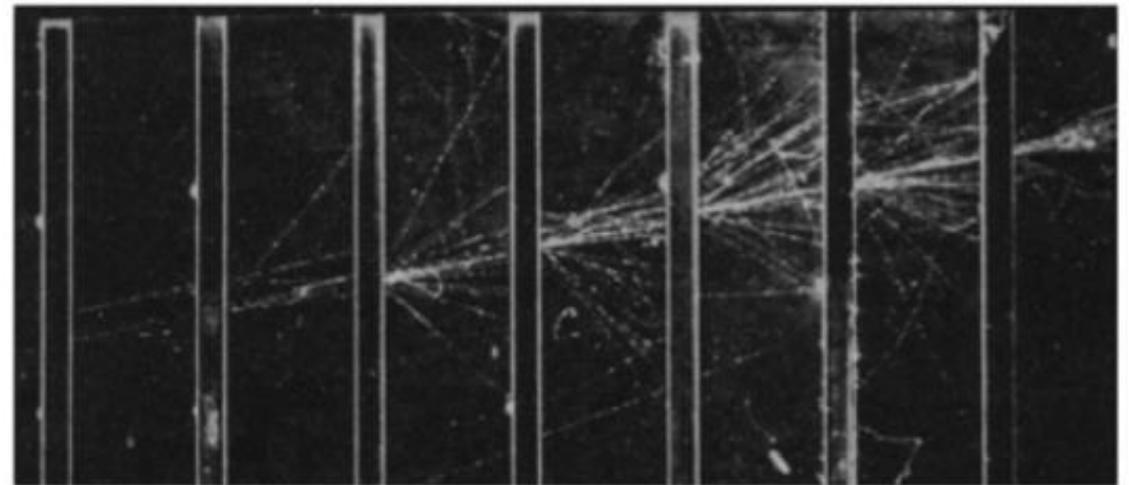
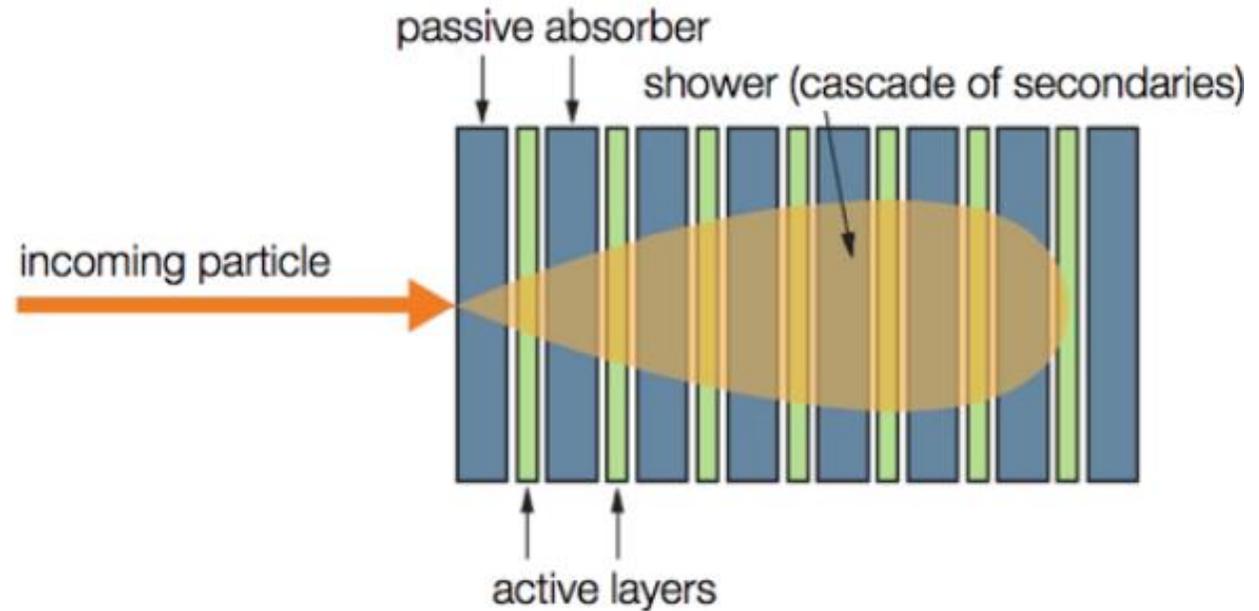
Plastic scintillator

Silicon detectors

Liquid ionization chamber

Gas detectors

Scheme of a sandwich calorimeter



Electromagnetic shower

# SAMPLING CALORIMETERS

## ★ Advantages:

By separating passive and active layers the different layer materials can be optimally adapted to the corresponding requirements ...

By freely choosing high-density material for the absorbers one can build very compact calorimeters ...

Sampling calorimeters are simpler with more passive material and thus cheaper than homogeneous calorimeters ...

## ★ Disadvantages:

Only part of the deposited particle energy is actually detected in the active layers; typically a few percent [for gas detectors even only  $\sim 10^{-5}$ ] ...

Due to this sampling-fluctuations typically result in a reduced energy resolution for sampling calorimeters ...

# Homogenous vs Sampling EM calorimeter Resolution

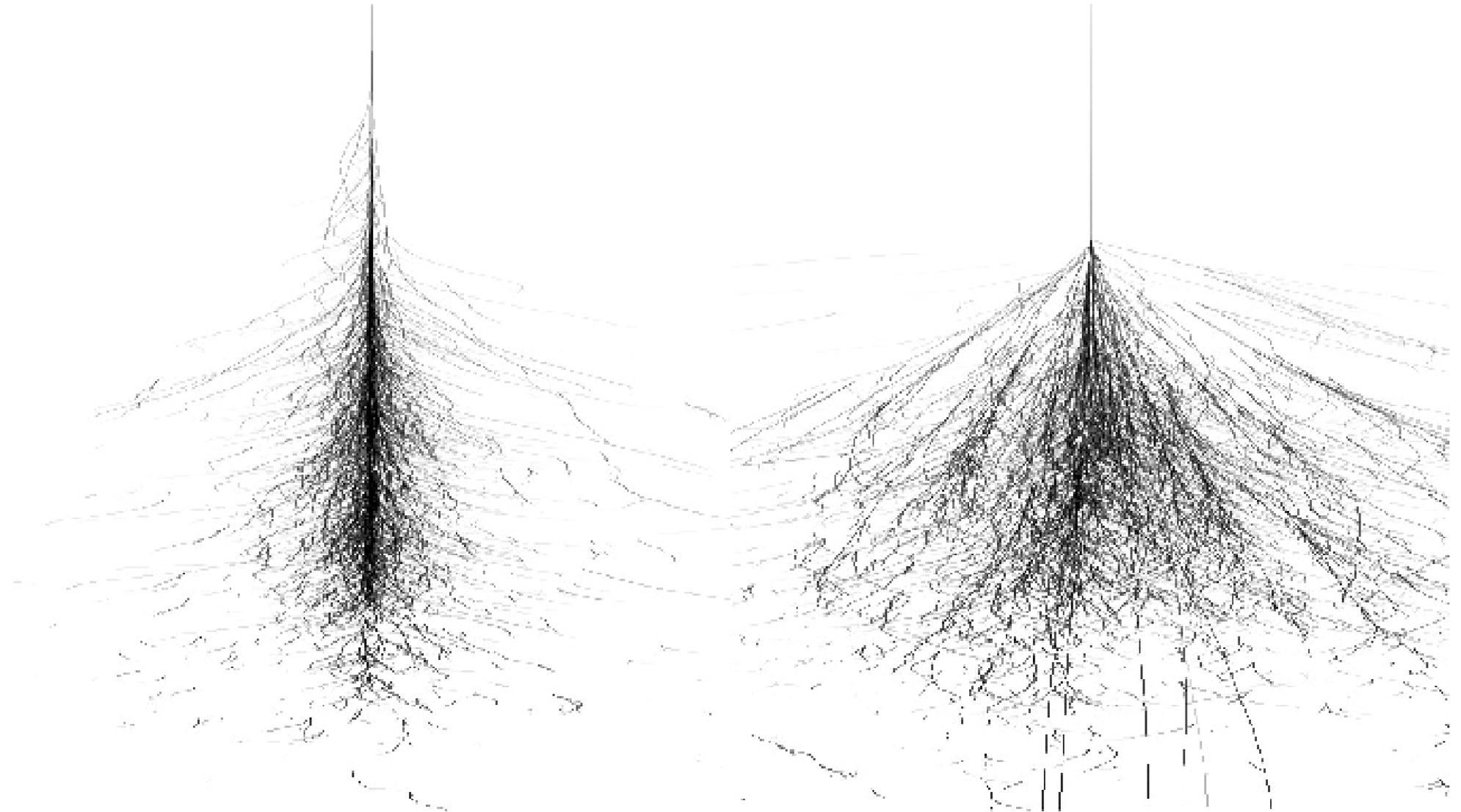
Table 33.8: Resolution of typical electromagnetic calorimeters.  $E$  is in GeV.

Technology (Experiment)	Depth	Energy resolution	Date
NaI(Tl) (Crystal Ball)	$20X_0$	$2.7\%/E^{1/4}$	1983
Bi <sub>4</sub> Ge <sub>3</sub> O <sub>12</sub> (BGO) (L3)	$22X_0$	$2\%/\sqrt{E} \oplus 0.7\%$	1993
CsI (KTeV)	$27X_0$	$2\%/\sqrt{E} \oplus 0.45\%$	1996
CsI(Tl) (BaBar)	$16\text{--}18X_0$	$2.3\%/E^{1/4} \oplus 1.4\%$	1999
CsI(Tl) (BELLE)	$16X_0$	1.7% for $E_\gamma > 3.5$ GeV	1998
PbWO <sub>4</sub> (PWO) (CMS)	$25X_0$	$3\%/\sqrt{E} \oplus 0.5\% \oplus 0.2/E$	1997
Lead glass (OPAL)	$20.5X_0$	$5\%/\sqrt{E}$	1990
Liquid Kr (NA48)	$27X_0$	$3.2\%/\sqrt{E} \oplus 0.42\% \oplus 0.09/E$	1998
Scintillator/depleted U (ZEUS)	$20\text{--}30X_0$	$18\%/\sqrt{E}$	1988
Scintillator/Pb (CDF)	$18X_0$	$13.5\%/\sqrt{E}$	1988
Scintillator fiber/Pb spaghetti (KLOE)	$15X_0$	$5.7\%/\sqrt{E} \oplus 0.6\%$	1995
Liquid Ar/Pb (NA31)	$27X_0$	$7.5\%/\sqrt{E} \oplus 0.5\% \oplus 0.1/E$	1988
Liquid Ar/Pb (SLD)	$21X_0$	$8\%/\sqrt{E}$	1993
Liquid Ar/Pb (H1)	$20\text{--}30X_0$	$12\%/\sqrt{E} \oplus 1\%$	1998
Liquid Ar/depl. U (DØ)	$20.5X_0$	$16\%/\sqrt{E} \oplus 0.3\% \oplus 0.3/E$	1993
Liquid Ar/Pb accordion (ATLAS)	$25X_0$	$10\%/\sqrt{E} \oplus 0.4\% \oplus 0.3/E$	1996

Homogenous

Sampling

# Physics of Hadronic Showers



Gamma shower

Hadronic shower

1. Particles interact with matter  
depends on particle and material

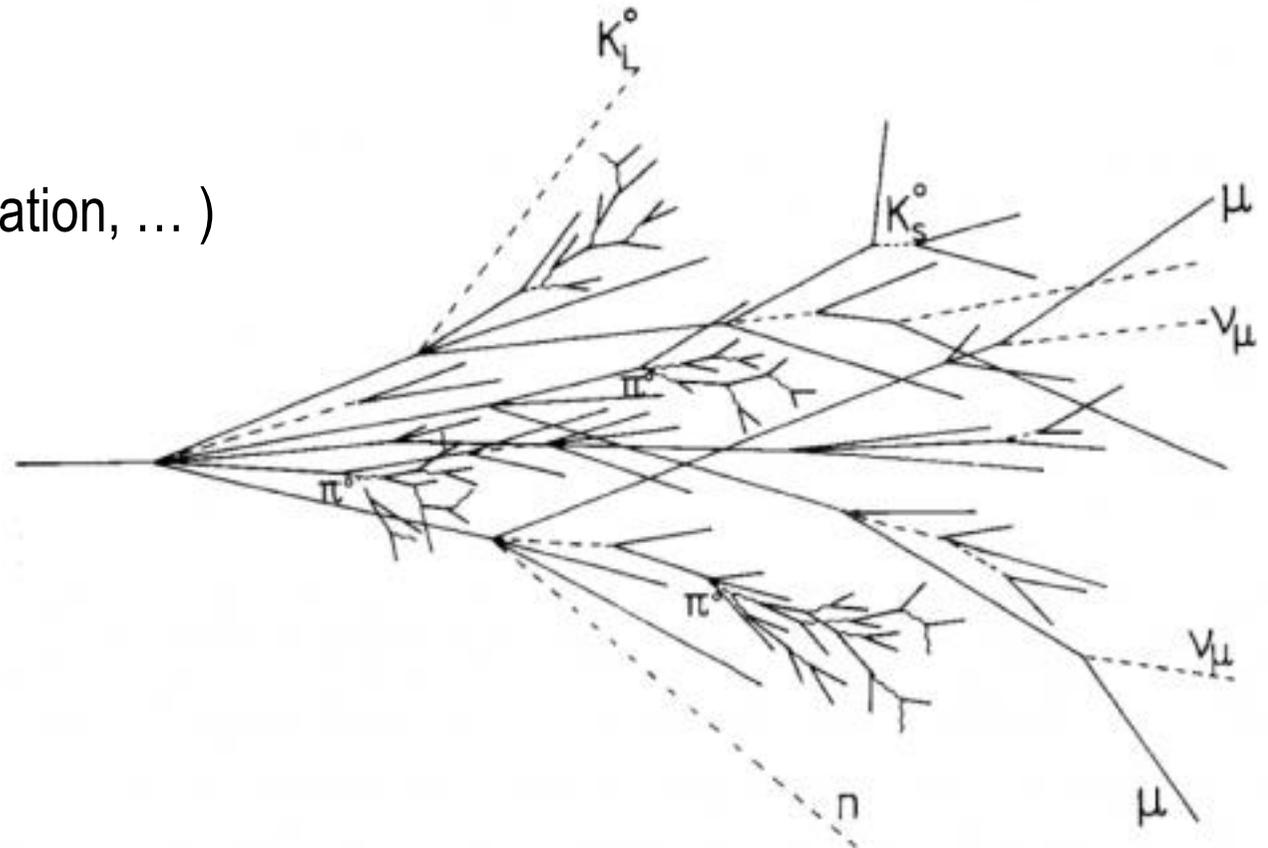
# Hadronic Showers

An **Hadronic (HAD) shower** is a **cascade** of secondary particles initiated by the interaction with matter (ie, energy loss) of an incoming hadron.

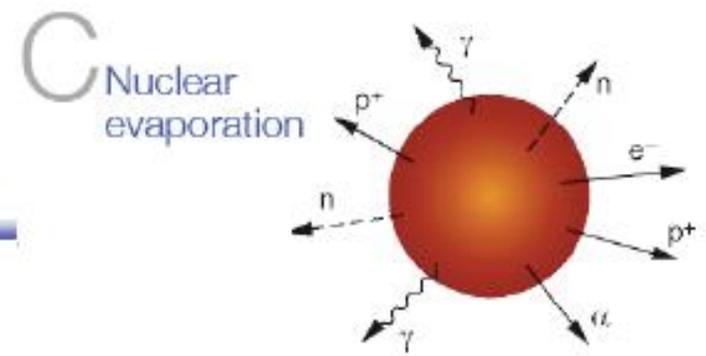
➤ HAD showers are like EM showers... but **more complicated**, due to **strong interaction** of hadrons with absorber.

➤ Many processes involved:

- Ionization,
- hadron production (fragmentation, ... )
- Charge exchange  
 $\pi^{+/-}n \rightarrow \pi^0 p/pbar$
- nuclear de-excitation,
- nuclear breakup,  
→ spallation neutrons,
- muon and pion decay,
- ...



# Hadronic showers

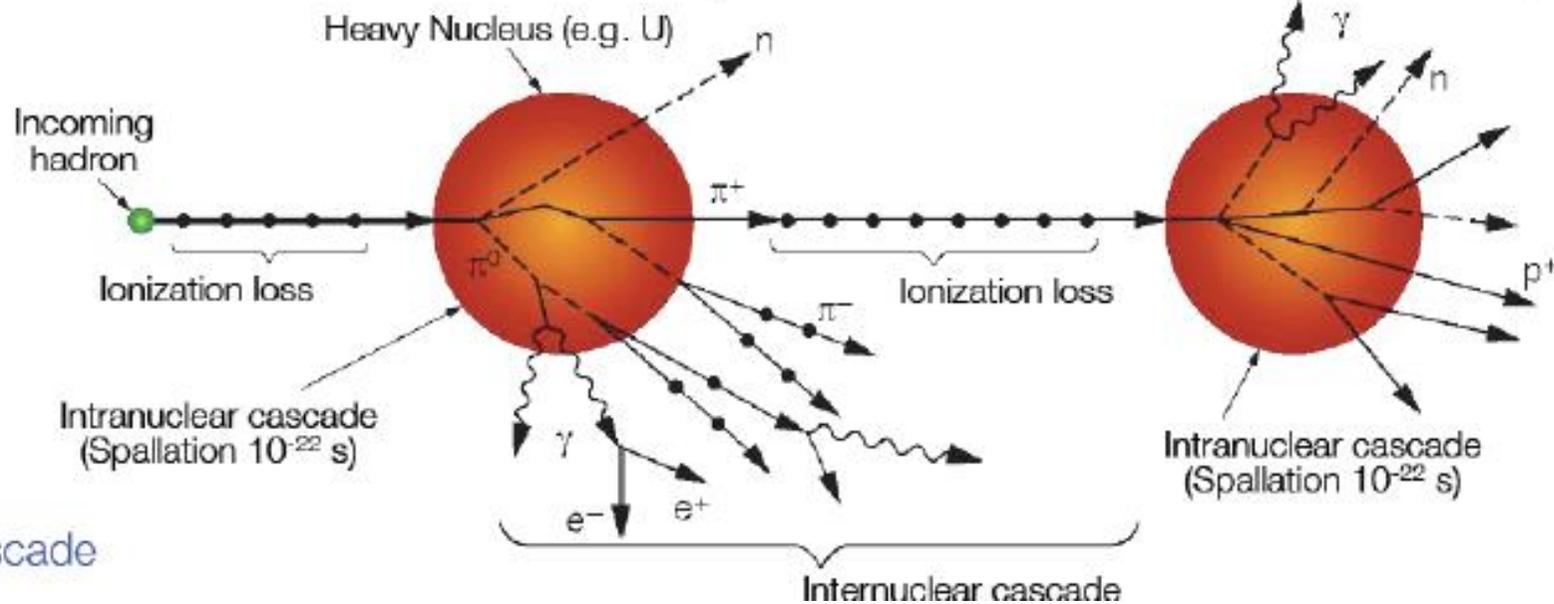
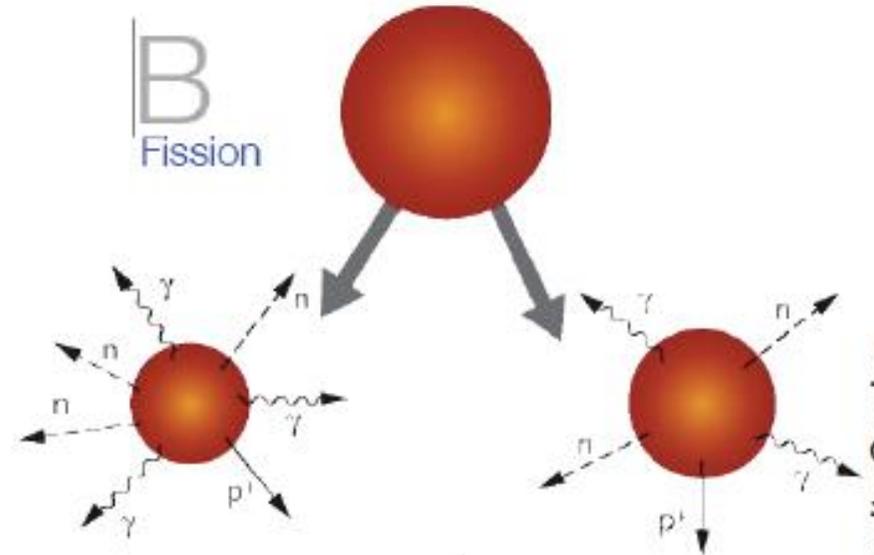
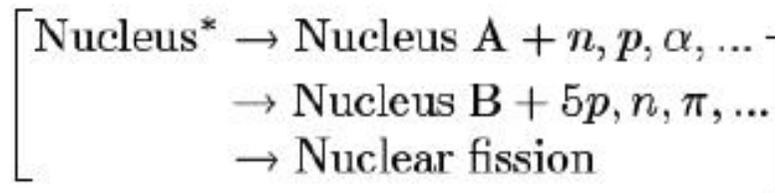
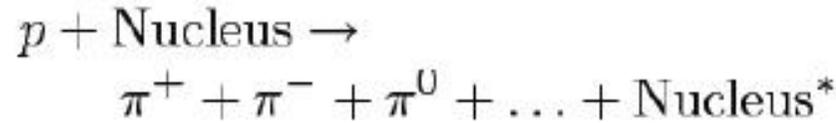


Hadronic interaction:

Elastic:



Inelastic:

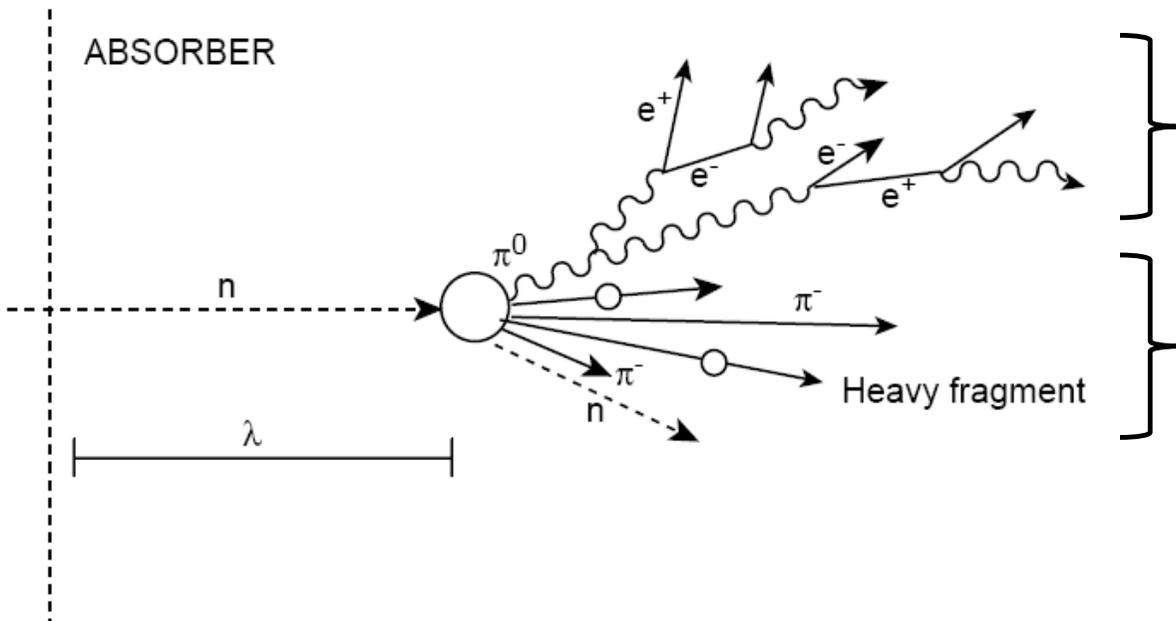


A Inter- and intranuclear cascade

Courtesy of H. C. Scholtz Coulon

# Hadronic Showers

HAD showers have thus **two components**:



## Electromagnetic component:

- Electrons, photons (from excitation, radiation, decay of hadrons, photo-effect, ...)
- Neutral pions (eg,  $\pi^0 \rightarrow \gamma\gamma$ ,  $\eta \rightarrow \gamma\gamma$ )

## Hadronic component:

- Charged hadrons  $\pi^\pm$ ,  $K^\pm$ ,  $p$ , ...
  - ionization, excitation, nuclei interaction (spallation p/n production, evaporation n, spallation products)
- Neutrons,
  - Elastic collisions, thermalization+capture ( $\Rightarrow \gamma$ 's)
- Break-up of nuclei

➤ Part of the energy is lost in breaking nuclei (nuclear binding energy)

⇒ **Invisible part** of the shower ! **Only part of the shower energy is sampled !**

- Large, **non-Gaussian** fluctuations of each component (EM vs non-EM)
- Large, **non-Gaussian** fluctuations in “invisible” energy losses.

# Interaction Length

- The hadronic shower is governed by the interaction length  $\lambda_{\text{int}}$ 
  - $\lambda_{\text{int}}$ : Mean free path between inelastic interaction

$$\lambda_{\text{int}} \approx 35 A^{1/3} (\text{g.cm}^{-2})$$

	Z	$\rho$ (g.cm <sup>-3</sup> )	$E_c$ (MeV)	$X_0$ (cm)	$\lambda_{\text{int}}$ (cm)
Air				30 420	~70 000
Water				36	84
PbWO <sub>4</sub>		8.28		0.89	22.4
C	6	2.3	103	18.8	38.1
Al	13	2.7	47	8.9	39.4
L Ar	18	1.4		14	84
Fe	26	7.9	24	1.76	16.8
Cu	29	9	20	1.43	15.1
W	74	19.3	8.1	0.35	9.6
Pb	82	11.3	6.9	0.56	17.1
U	92	19	6.2	0.32	10.5

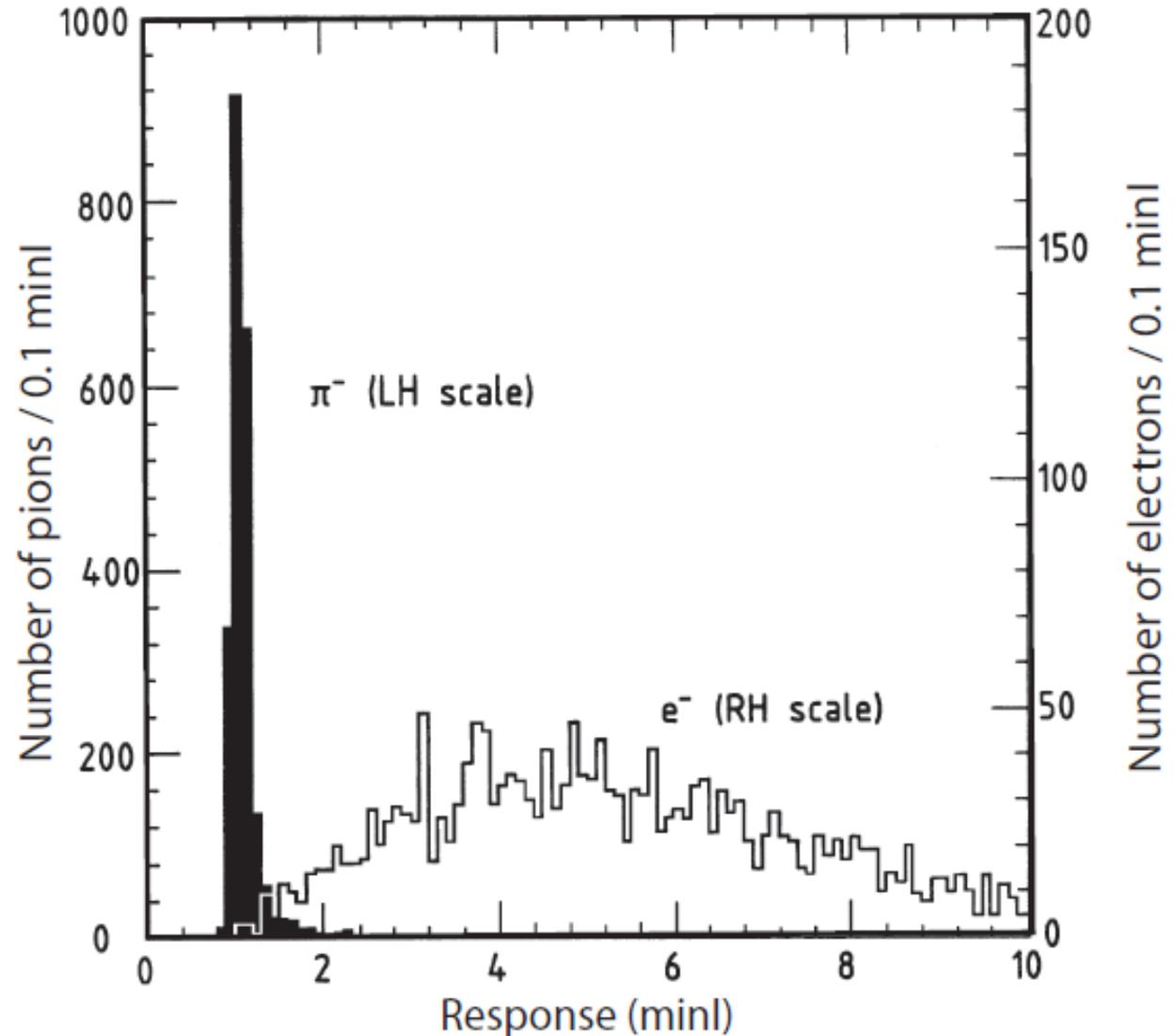
Hadronic shower are longer than EM shower...

# Particle ID

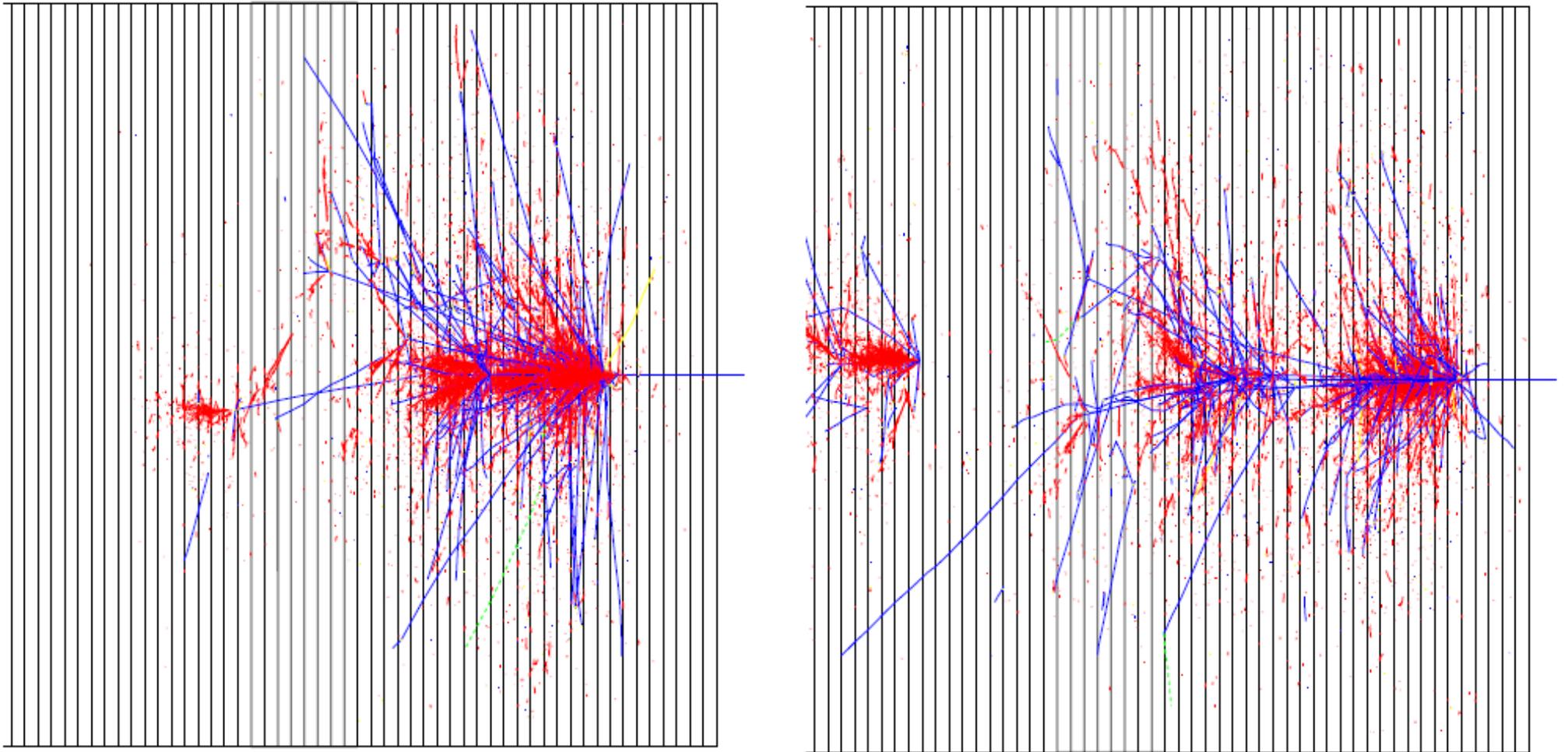
The ratio  $R = \lambda_{\text{int}} / X_0$  is important for Particle Identification

In high-Z material,  $R \sim 30 \Rightarrow$  excellent  $e/\pi$  separation !

1 cm Pb + scintillator plates makes  
an excellent "Pre-Shower"



# Hadron shower in Cu



red - e.m. component  
blue - charged hadrons

# HAD showers: intrinsic fluctuations

## 270 GeV Incident Pions in Copper

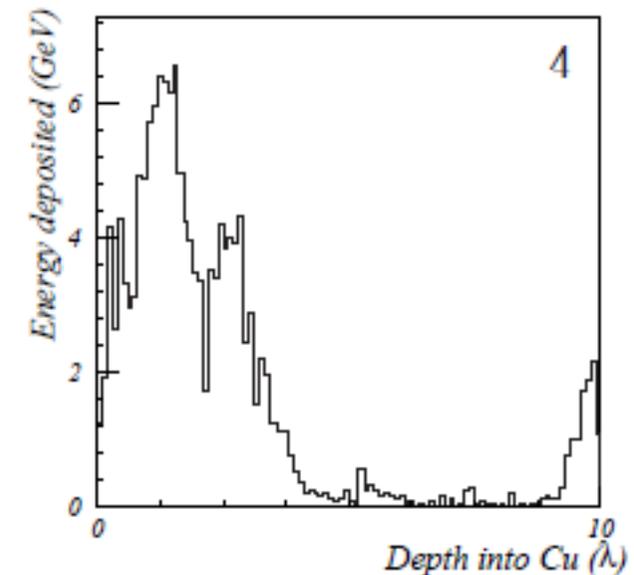
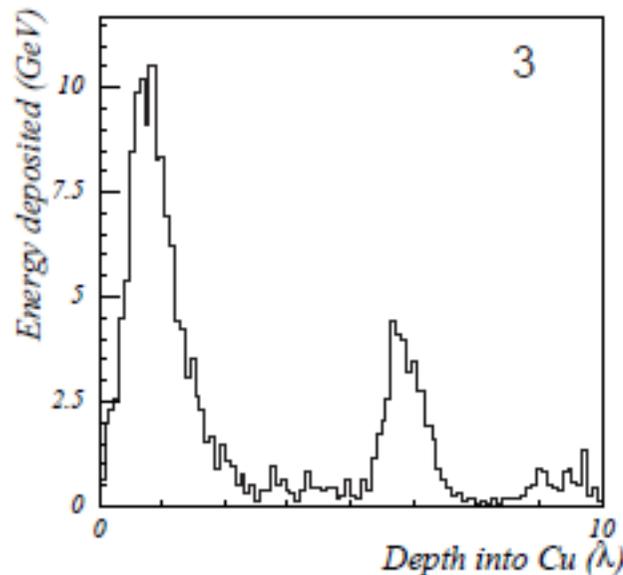
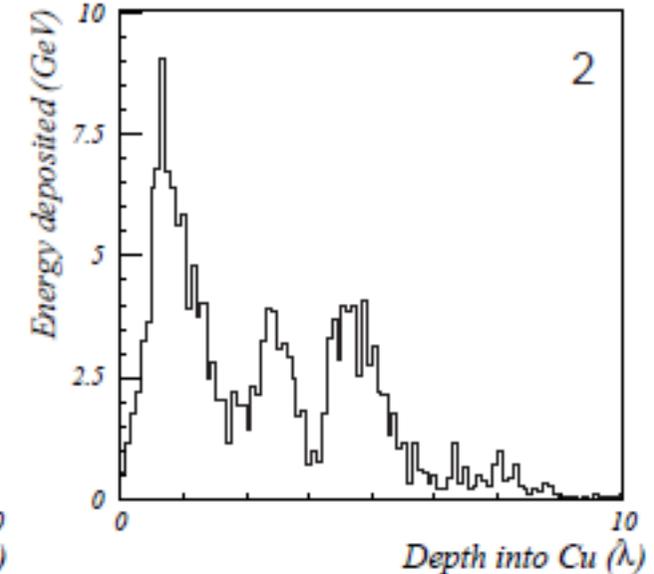
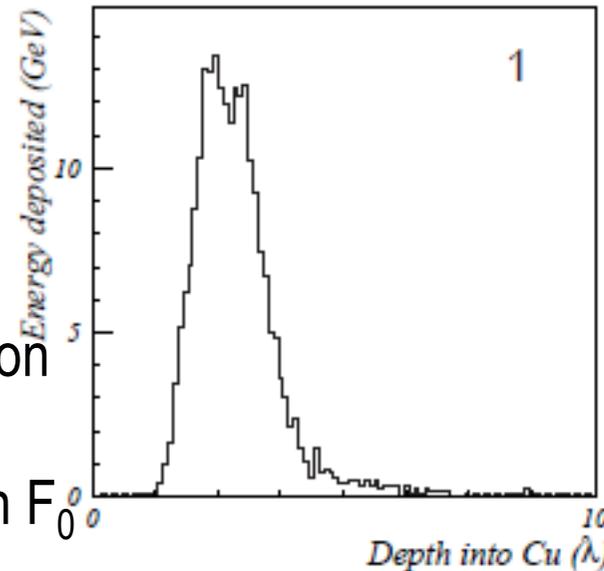
No “characteristic” profile...

Size of the EM component ( $F_0$ ) is essentially determined by the 1<sup>st</sup> interaction

Considerable event-to-event fluctuation in  $F_0$

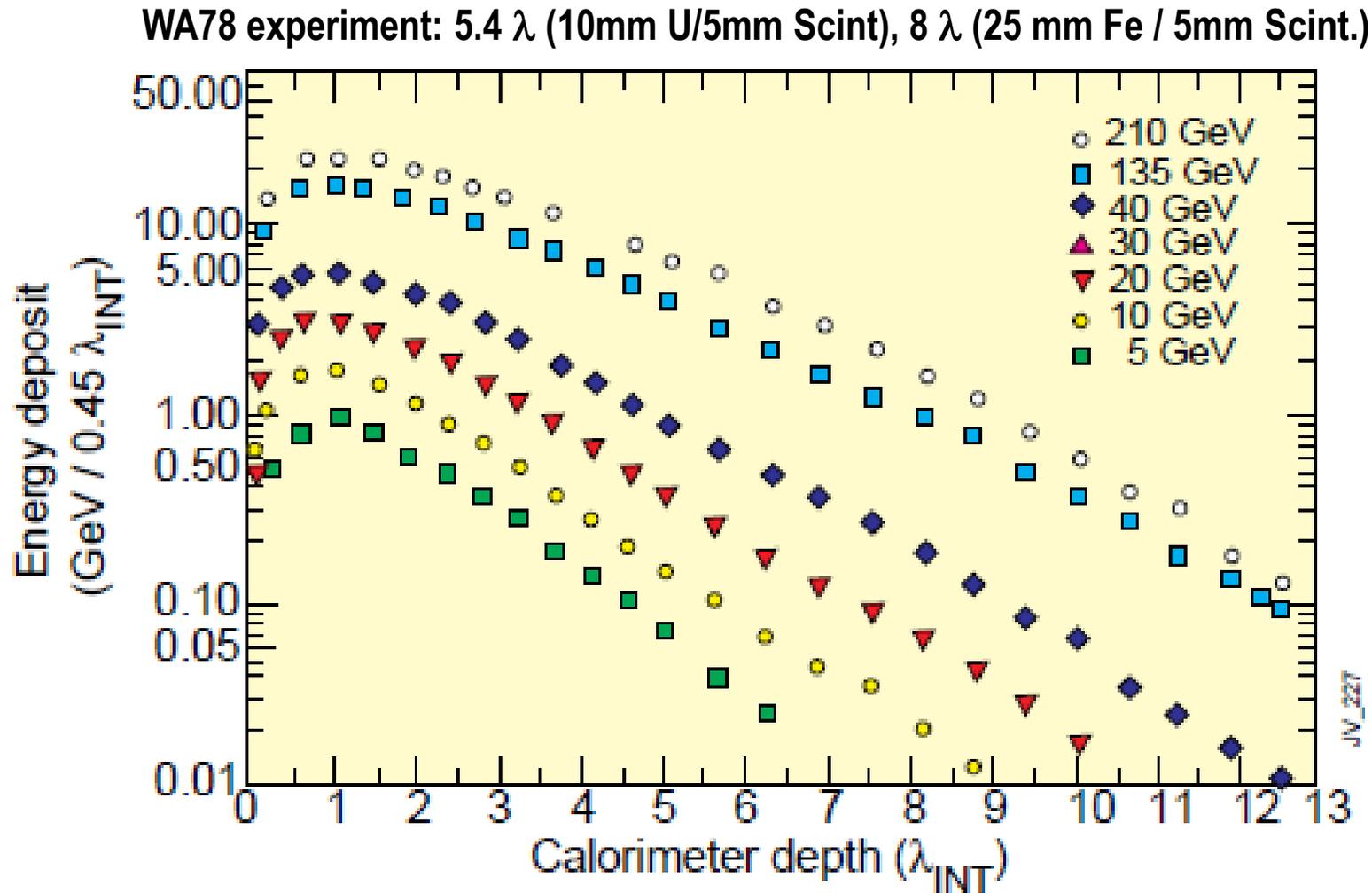
On average 1/3 of mesons produced in the first interaction will be  $\pi^0$ 's

The 2<sup>nd</sup> generation  $\pi^\pm$ 's also produced  $\pi^0$ 's if sufficiently energetic.



# HAD showers: Longitudinal Profile

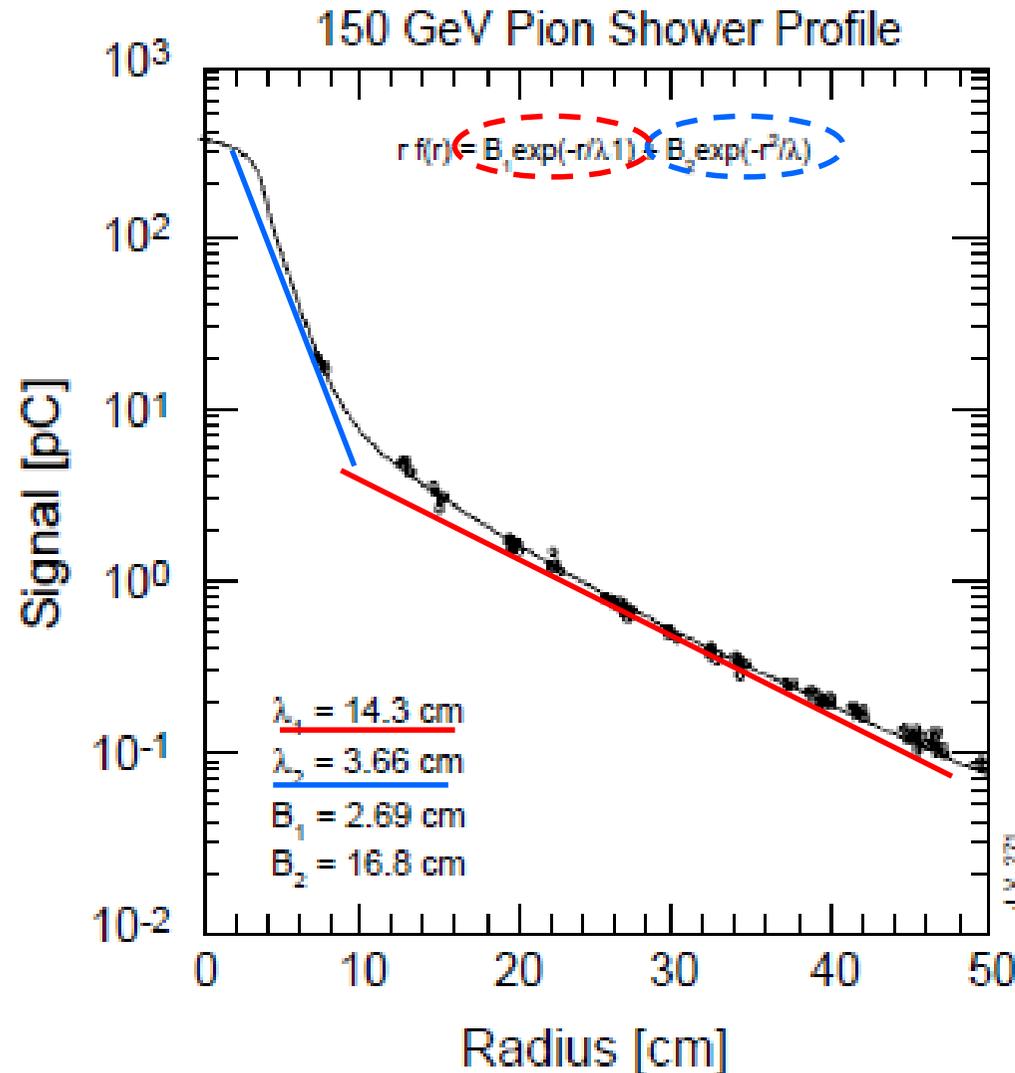
- As for EM showers, **depth to contain an HAD shower increase with  $\ln(E)$**



- sharp peak near the 1st interaction point (from  $\pi^0$ 's produced in the 1st interaction)
- Then more gradual falloff (characterized by  $\lambda_{int}$ )

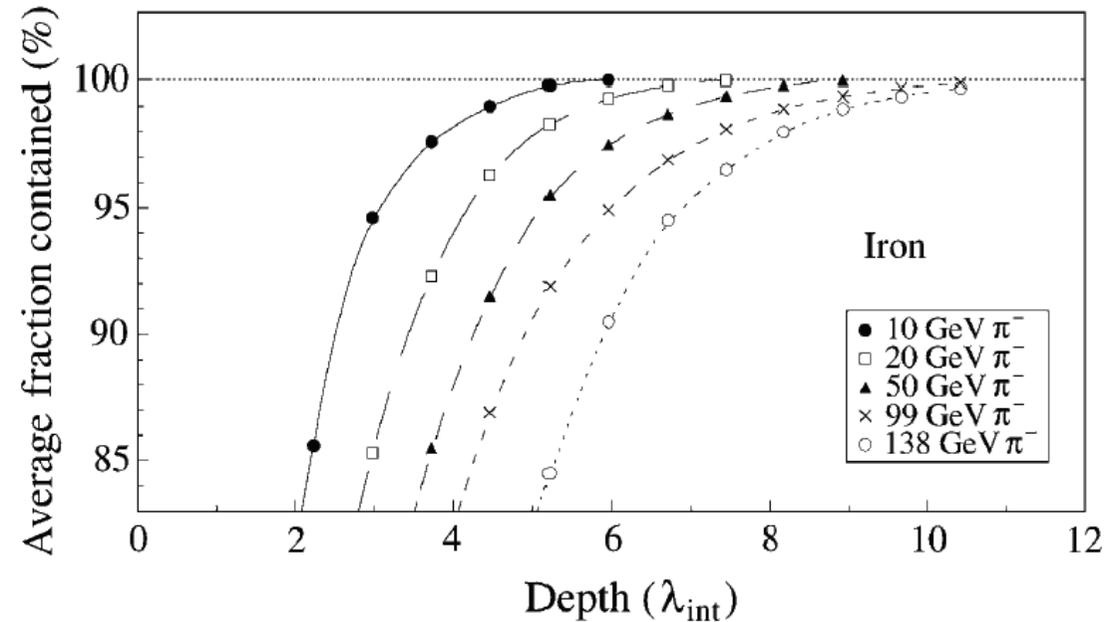
# HAD showers: Lateral Profile

- Lateral shower profile has two components:
  - **Electromagnetic core** (from  $\pi^0 \rightarrow \gamma\gamma$ )
  - **Non-EM halo** (mainly non-relativistic shower particles)

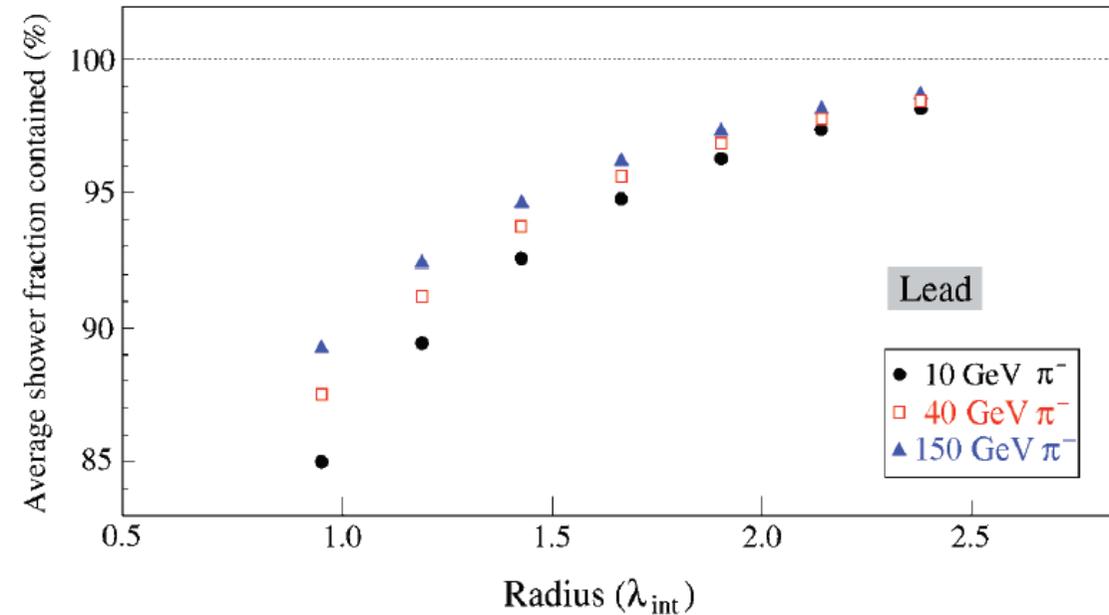


# HAD showers: containment

## Longitudinal



## Lateral



Need about  **$\sim 10 \lambda_{\text{int}}$**  to contain most of the hadronic showers

Lateral containment increases with energy ! (\*)  
**Transverse radius for 95% containment  $\sim 1.5 \lambda_{\text{int}}$**

(\*)  $f_{\text{EM}}$  increase with E, and  $\gamma$  from  $\pi^0$  emitted along the  $\pi^0$  axis.

# Non-EM fraction breakdown

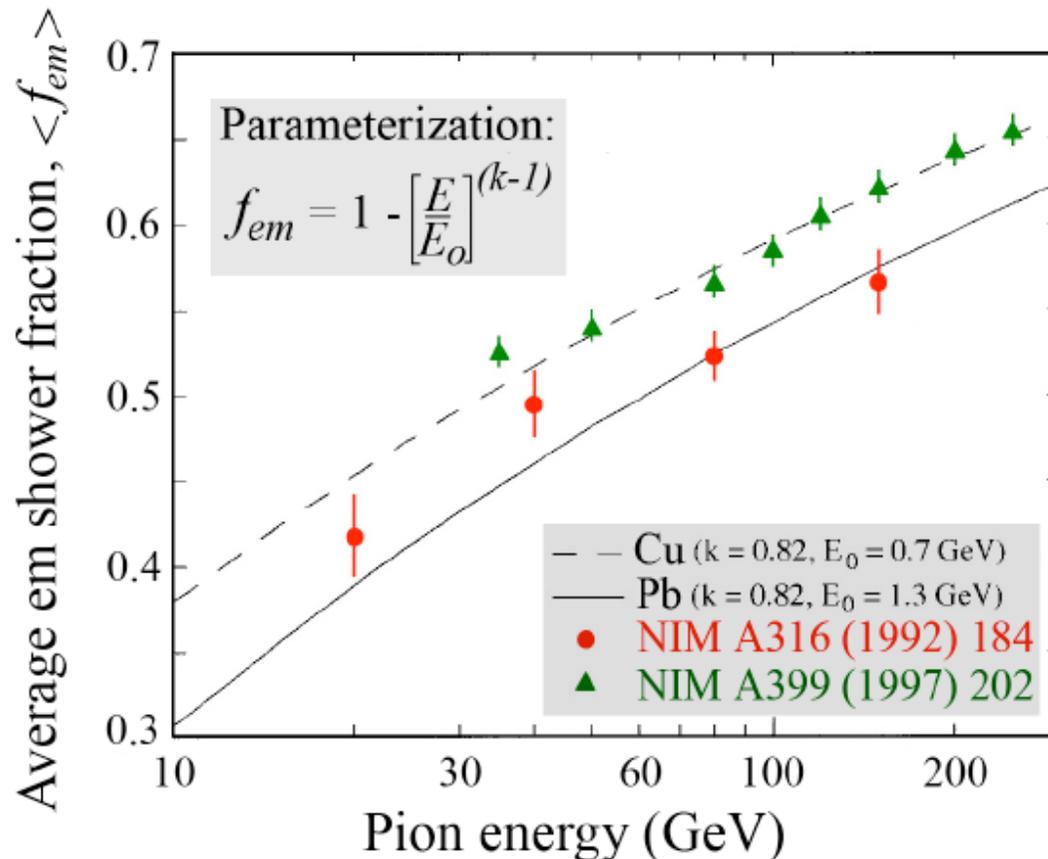
➤ In Lead, non-EM component energy breakdown:

- **~56% ionizing particle**
  - 2/3 are protons (from spallation).  $\langle E \rangle \sim 50\text{-}100$  MeV
- **~10% neutrons,**
  - very soft (3 MeV typically),
  - on average 37 n per deposited GeV !
- **~34% invisible**

	<i>Lead</i>	<i>Iron</i>
<b>Ionization by pions</b>	<b>19%</b>	<b>21%</b>
<b>Ionization by protons</b>	<b>37%</b>	<b>53%</b>
<i>Total ionization</i>	56%	74%
<b>Nuclear binding energy loss</b>	<b>32%</b>	<b>16%</b>
Target recoil	2%	5%
<i>Total invisible energy</i>	34%	21%
<b>Kinetic energy evaporation neutrons</b>	<b>10%</b>	<b>5%</b>
Number of charged pions	0.77	1.4
Number of protons	3.5	8
Number of cascade neutrons	5.4	5
Number of evaporation neutrons	31.5	5
<b>Total number of neutrons</b>	<b>36.9</b>	<b>10</b>
<b>Neutrons/protons</b>	<b>10.5/1</b>	<b>1.3/1</b>

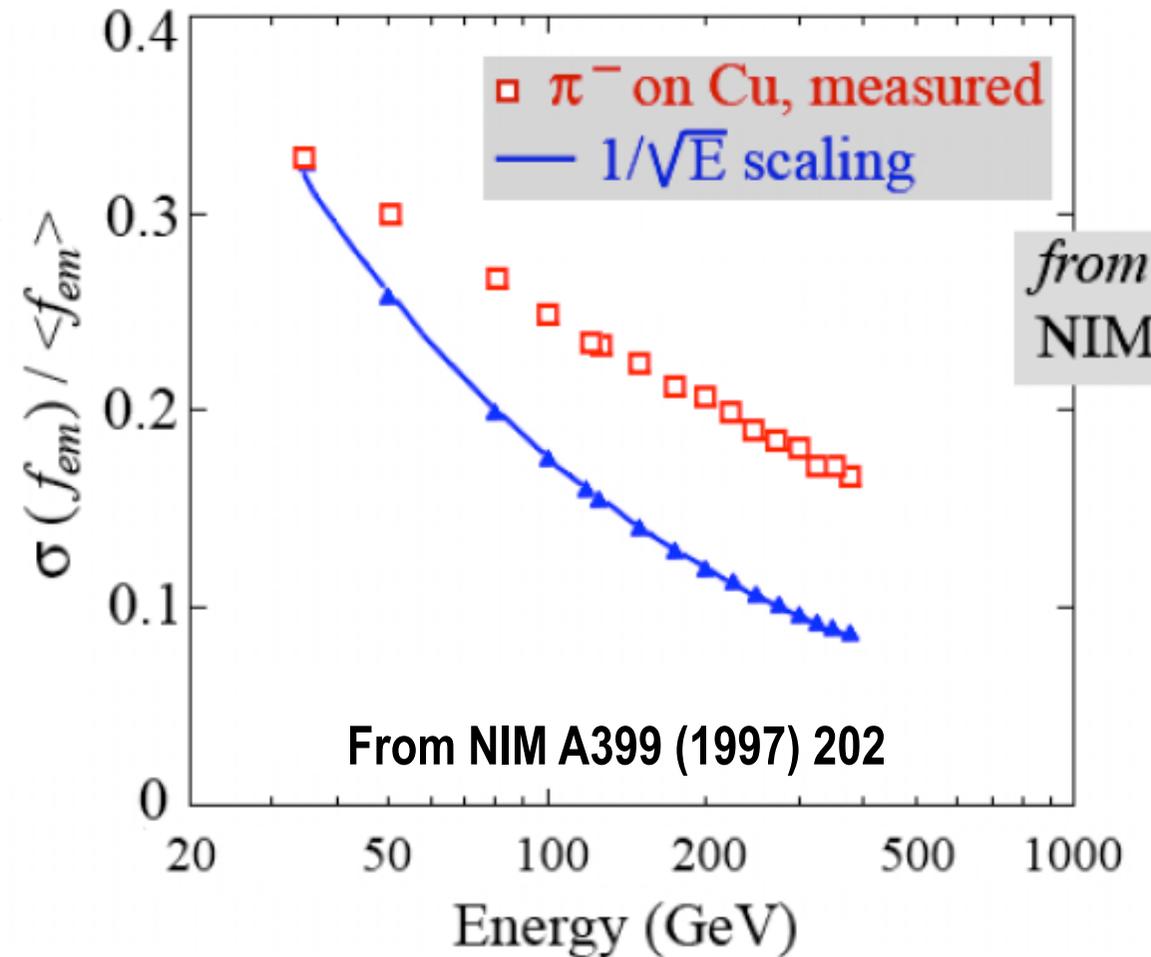
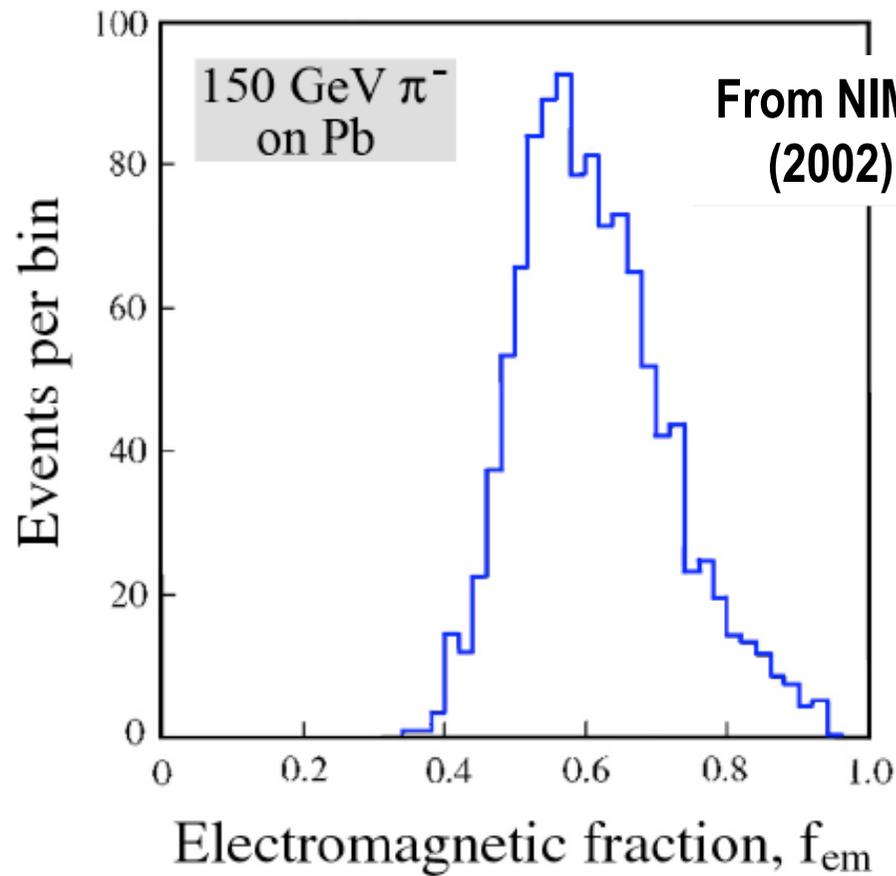
# EM fraction (1)

- **EM fraction ( $f_{EM} = E_{EM} / E_{tot}$ )** due to  $\pi^0/\eta \rightarrow \gamma\gamma$ .
  - In first interaction,  $\sim 1/3$  of produced particles are  $\pi^0$ .
  - Remaining hadrons may undergo neutral pions too.
- **Considerable variations from shower to shower**
- On average,  $f_{EM}$  increase with shower energy (typically  $\sim 30\%$  at 10 GeV,  $\sim 50\%$  at 100 GeV)



**$\langle f_{EM} \rangle$  is large, energy dependent and material dependent**

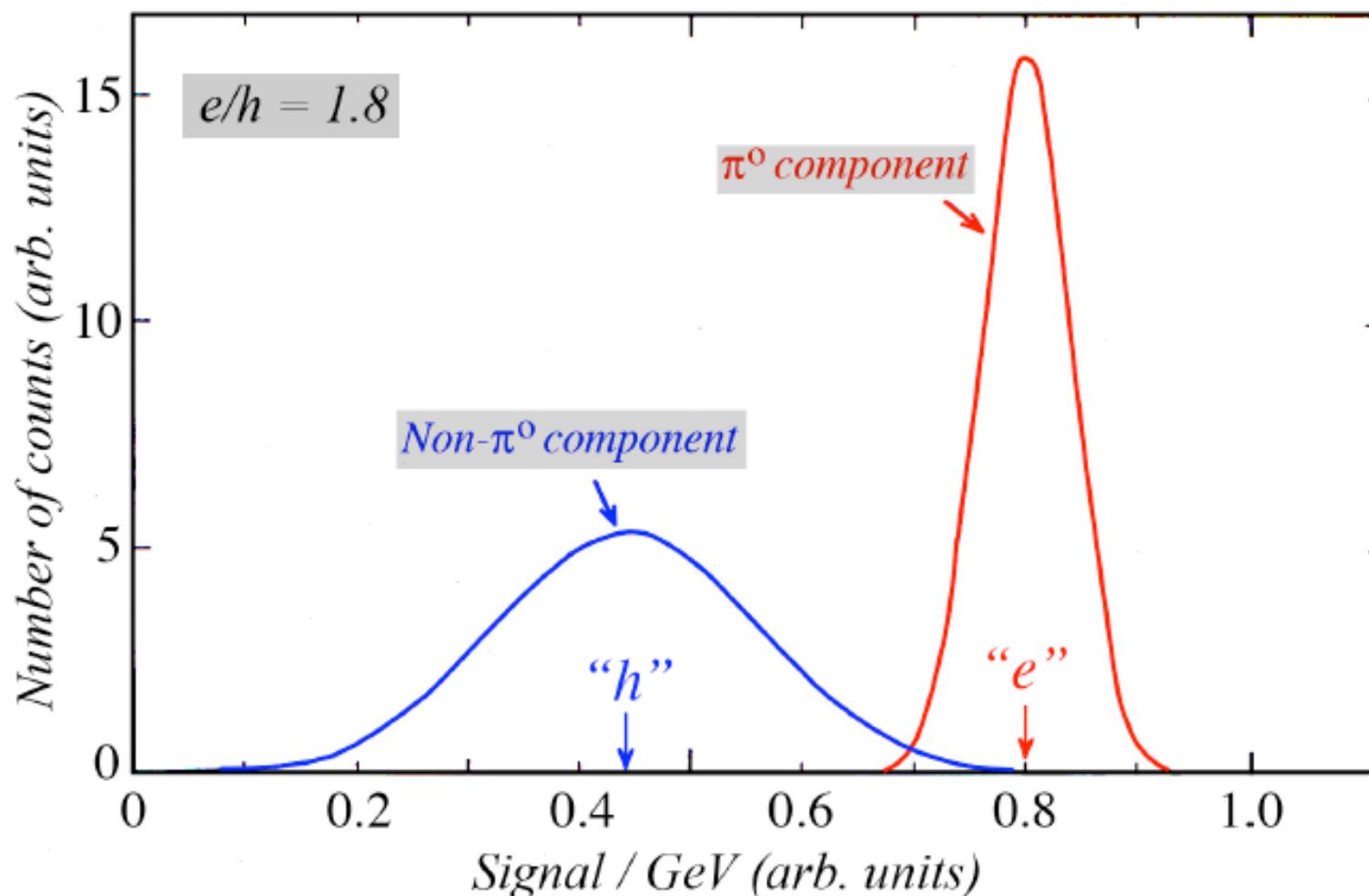
## EM fraction (2)



- Fluctuations in  $f_{EM}$  are non-Poissonian
- Deviations from  $E^{-1/2}$  scaling

# HAD shower response (1)

- The response to the **HAD part (h)** of a hadron-induced shower is usually smaller than that of the **EM part (e)** (due to invisible energy: energy used to release nucleons from nuclei, neutrinos, ...) ⇒ “**non-compensation**” (see next)
- Moreover, as  $\langle f_{EM} \rangle$  varies with energy, **hadron calorimeters are non-linear**.



## HAD shower response (2)

$$\pi = f_{EM} e + (1 - f_{EM}) h$$

$\pi$ : response to pions-induced showers

$e$ : response to em shower component

$h$ : response to non-em shower component

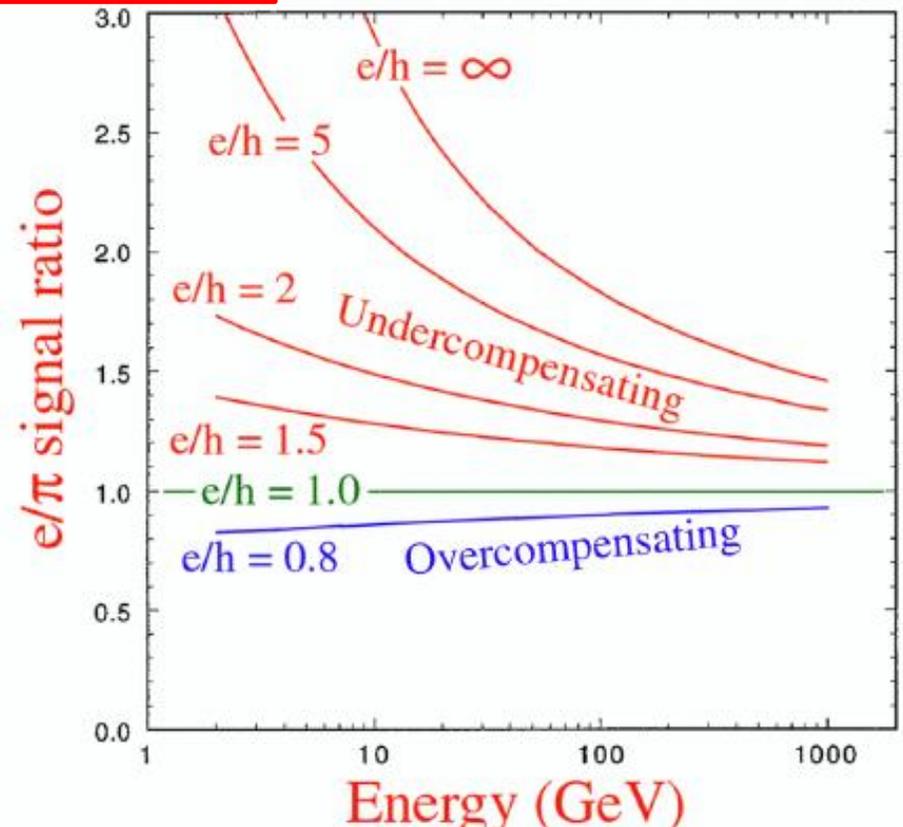
$$\frac{e}{\pi} = \frac{e}{f_{EM} e + (1 - f_{EM}) h}$$

$$\frac{e}{\pi} = \frac{(e/h)}{1 - f_{EM} (1 - e/h)}$$

- **$e/h$** : energy independent way to characterize hadron calorimeters
- Cannot be measured directly (inferred by  $e/\pi$  at several energies)

Calorimeters can be:

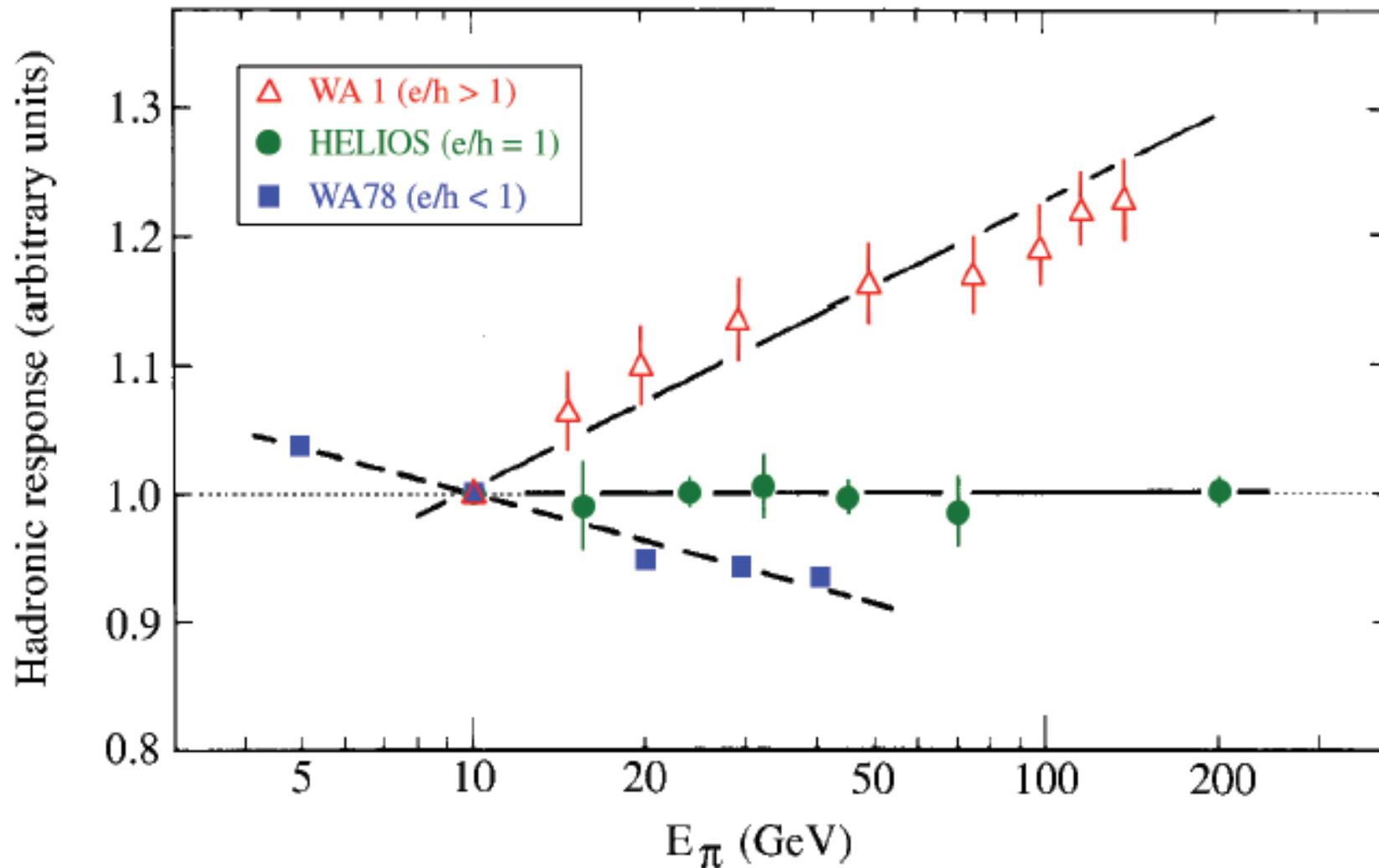
- under-compensating ( $e/h > 1$ )
- over-compensating ( $e/h < 1$ )
- **Compensating ( $e/h = 1$ )**



# Consequences of (non-)compensation

## ➤ (some) Consequences of non-compensation:

- Non-linearity of the hadronic calorimeter response
- Degradation of the energy resolution
  - Event-by-event, fluctuations in em and non-EM fraction creates event-by-event signal fluctuations



# How to achieve compensation ?

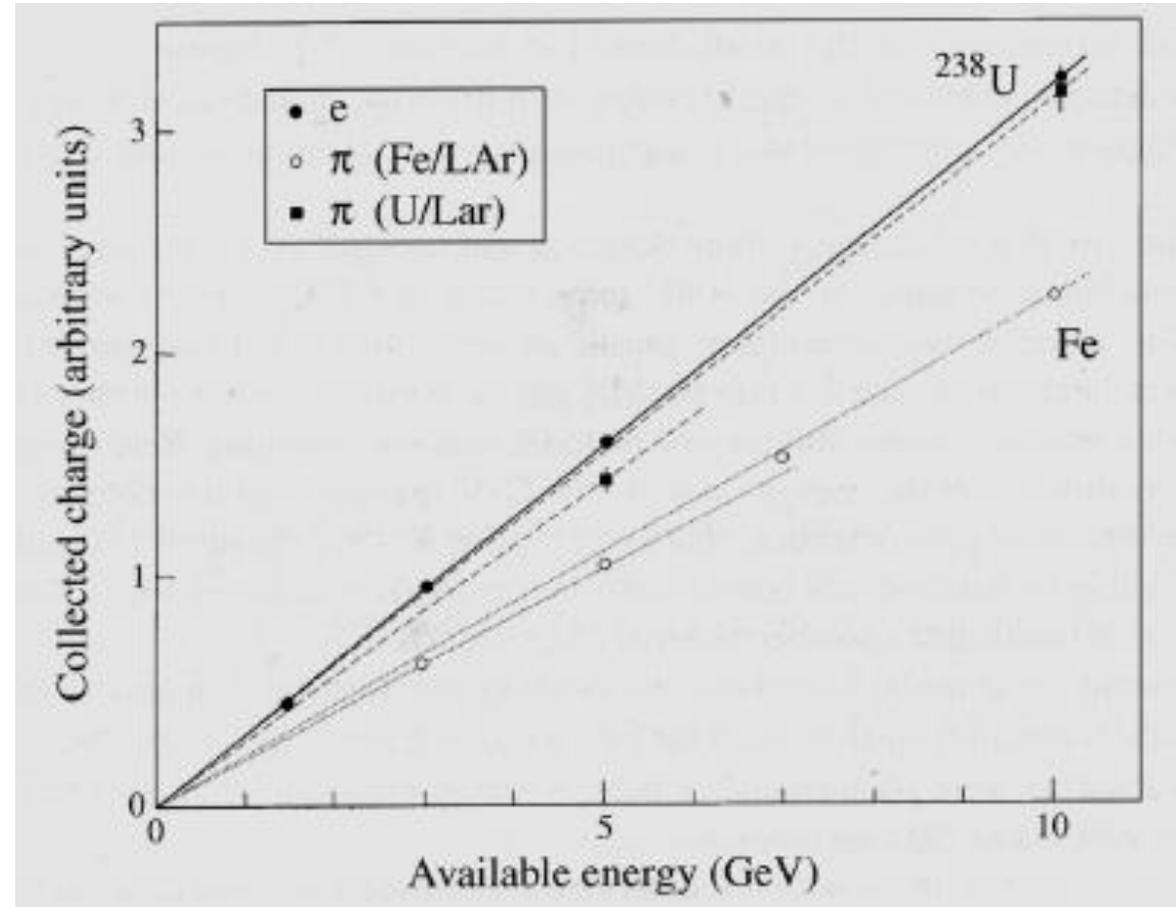
- Long-story... it took a lot of R&D to understand the underlying mechanisms of hadron calorimetry and identify several ways to achieve compensation:
  - **Build a sampling calorimeter**
    - Compensation can never be achieved with homogenous calorimeter !
  - **Boost the non-EM response**
    - Amplify neutron and soft photons component by:
      - Fission: usage of  $^{238}\text{U}$  plates (depleted).
      - hydrogenous detector: optimize sampling fraction, integrate signal over a large enough window, ...
  - **Suppress EM response**
    - Usage of high-Z absorber (Pb, Ur,...) and low-Z active.
      - Photo-electric effect dominates ( $\sigma_{\text{photo-e}} \propto Z^5$ )
      - Suppress low energy photon detection ( $\gamma < 1 \text{ MeV}$  captured in absorber)
    - Further suppression: shield active layers with thin sheets of passive low Z material.
      - e.g. Ur wrapped with Stainless Steel sheets in ZEUS.
  - **Offline compensation:**
    - Recognize, event-by-event, cells rich in EM and non-EM deposits, and weight their energy accordingly
      - Need fine segmentation

# First “compensating” calorimeter

- First Uranium calorimeter by Fabjan & Willis

250  $^{238}\text{U}$  plates (1.7mm thick)  
+ LAr (20mm gap between plates)

- Compensation almost achieved  
 $\Rightarrow e/h \sim 1.1 - 1.2$



## ➤ Mechanism: nuclear fission

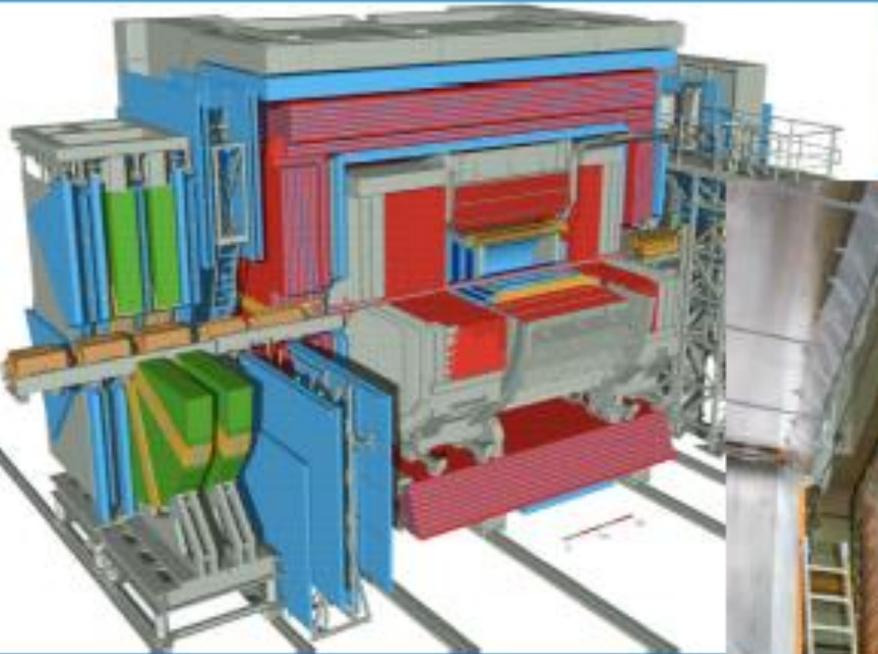
- Extra energy from fission fragment: carries a lot of energy (nuclear  $\gamma$ 's and soft evaporation neutrons).
- Should “compensate” for losses in nuclear binding energy

➤ For a long time, thought to be the solution to compensation...

# Compensated calorimeter: example

## ZEUS experiment (HERA e-p collider DESY, Germany)

ZEUS at HERA had an intrinsically compensated  $^{238}\text{U}$ /plastic scintillator calorimeter. The ratio of  $^{238}\text{U}$  thickness (3.3 mm) to scintillator thickness (2.6 mm) was tuned such that  $e/p = 1.00 \pm 0.03$  (implying  $e/h = 1.00 \pm 0.045$ )  
For this calorimeter the intrinsic energy resolution was:  $\sigma / E = 26\%/\sqrt{E}$



BCAL 20x20 cm<sup>2</sup> cells  
EM 25 X<sub>0</sub>  
HAD ~5 λ<sub>I</sub>



excellent overall energy resolution for hadrons:

$$\sigma / E (\text{HAD}) \sim 35\%/\sqrt{E}$$

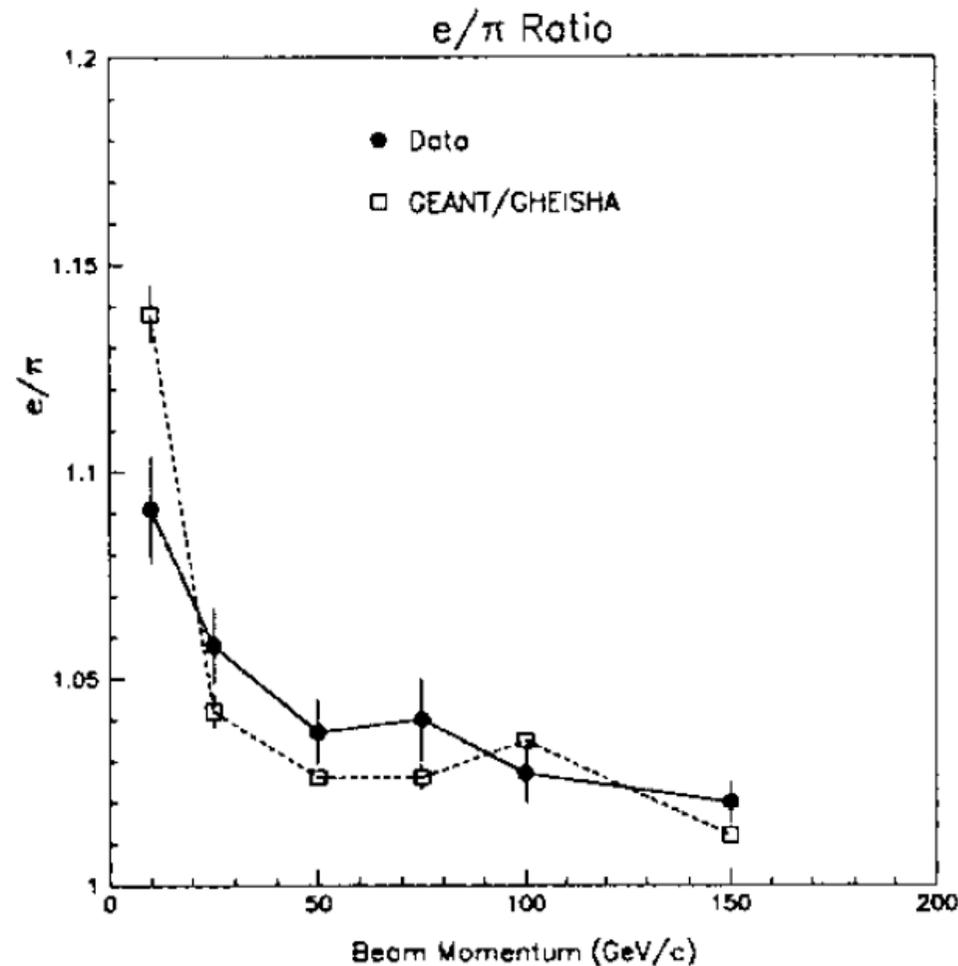
The downside is that the  $^{238}\text{U}$  thickness required for compensation ( $\sim 1X_0$ ) led to a rather modest EM energy resolution:

$$\sigma / E (\text{EM}) \sim 18\%/\sqrt{E}$$

# Compensation: examples

## DØ Ur/LAr calorimeter

Almost compensated during Run I (1992-1996)



- During Tevatron Run II (2001-2011):
  - bunch crossing 3200 → 396 ns
  - ⇒ Smaller ~0.45ms (vs ~2ms) charge integration window

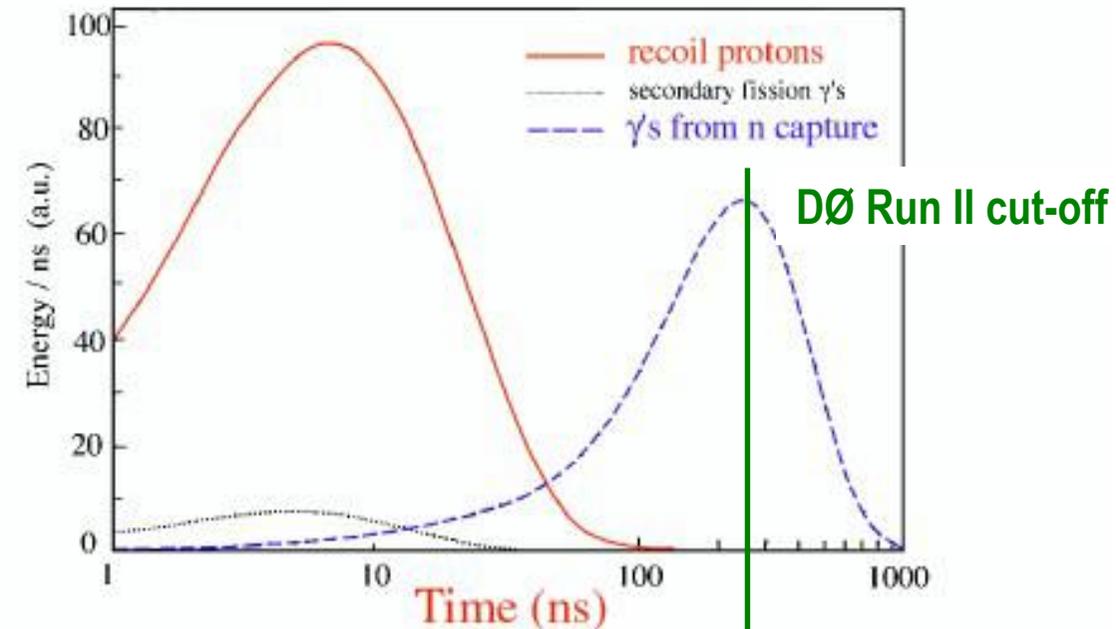


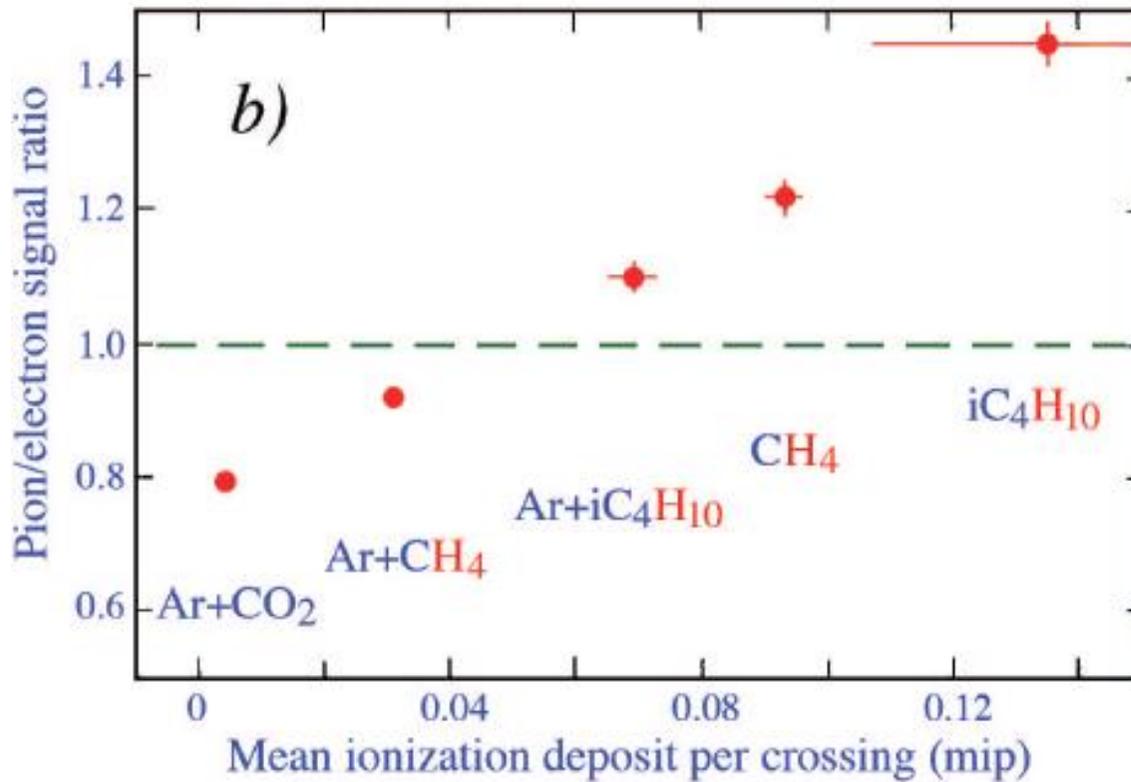
FIG. 3.22. Time structure of various contributions from neutron-induced processes to the hadronic signals of the ZEUS uranium/plastic-scintillator calorimeter [Bru 88].

Decays of excited uranium nuclei happen long after shower development and corresponding charge not captured with short integration time.

⇒ **Compensation deteriorated and thus resolution for Run II.**

# Compensation: examples

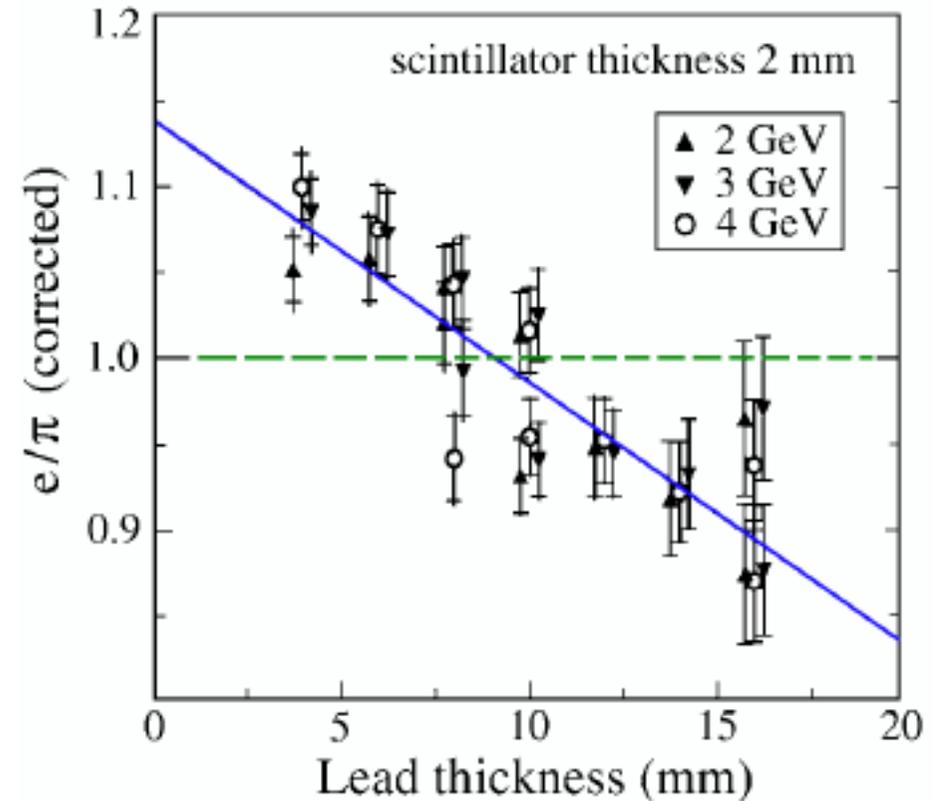
Hydrogen in active material (gas mixture)



Elastic n-p scattering:

Efficient sampling of neutrons through the detection of recoiling protons!

Lead / Scintillator



Sampling fraction can be tuned to achieve compensation

$e/h$  not determined by absorber but by active medium (and in particular its H-content)

# Pros & Cons of Compensating Calorimeters

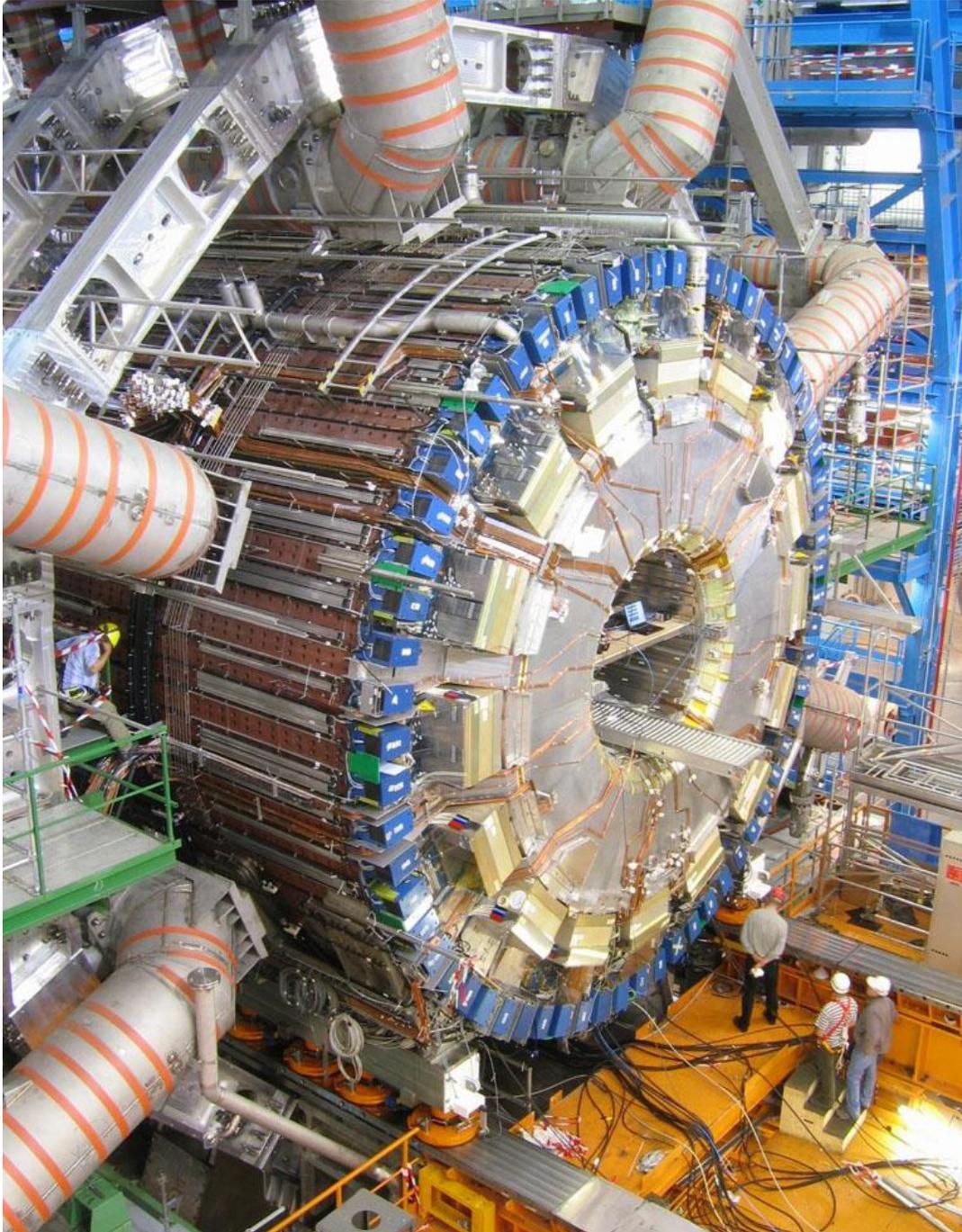
## Pros

- Same *energy scale* for electrons, hadrons and jets. No ifs, ands or buts.
- *Calibrate* with electrons and you are done.
- Excellent hadronic *energy resolution* (SPACAL:  $30\%/ \sqrt{E}$ ).
- *Linearity*, Gaussian *response function* and all that good stuff.
- Compensation fully understood.  
*We know how to build these things, even though GEANT doesn't*

## Cons

- Small sampling fraction (2.4% in Pb/plastic)  
→ *em energy resolution limited* (SPACAL:  $13\%/ \sqrt{E}$ , ZEUS:  $18\%/ \sqrt{E}$ )
- Compensation relies on detecting neutrons  
→ Large *integration volume*  
→ Long *integration time* ( $\sim 50$  ns)

# ATLAS & CMS calorimeters



# The CMS detector

## Inner tracker

75M silicon pixels and strips

## Electromagnetic calorimeter (ECAL)

76,000 PbWO<sub>4</sub> crystals

## Hadronic calorimeter (HCAL)

brass / plastic scintillator

## Superconducting solenoid

providing 3.8 T magnetic field

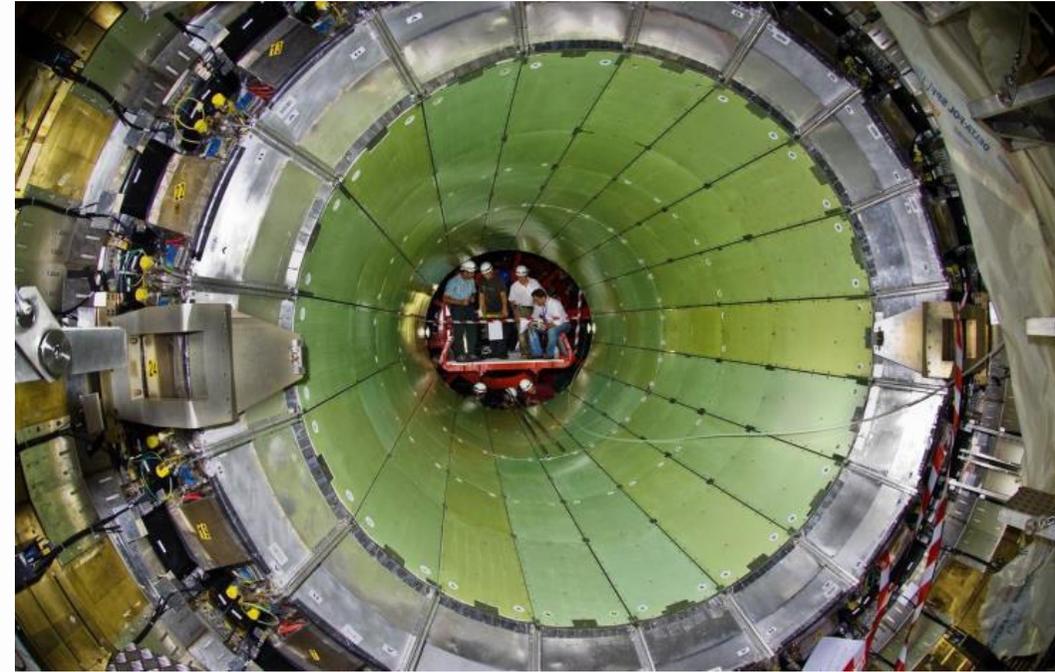
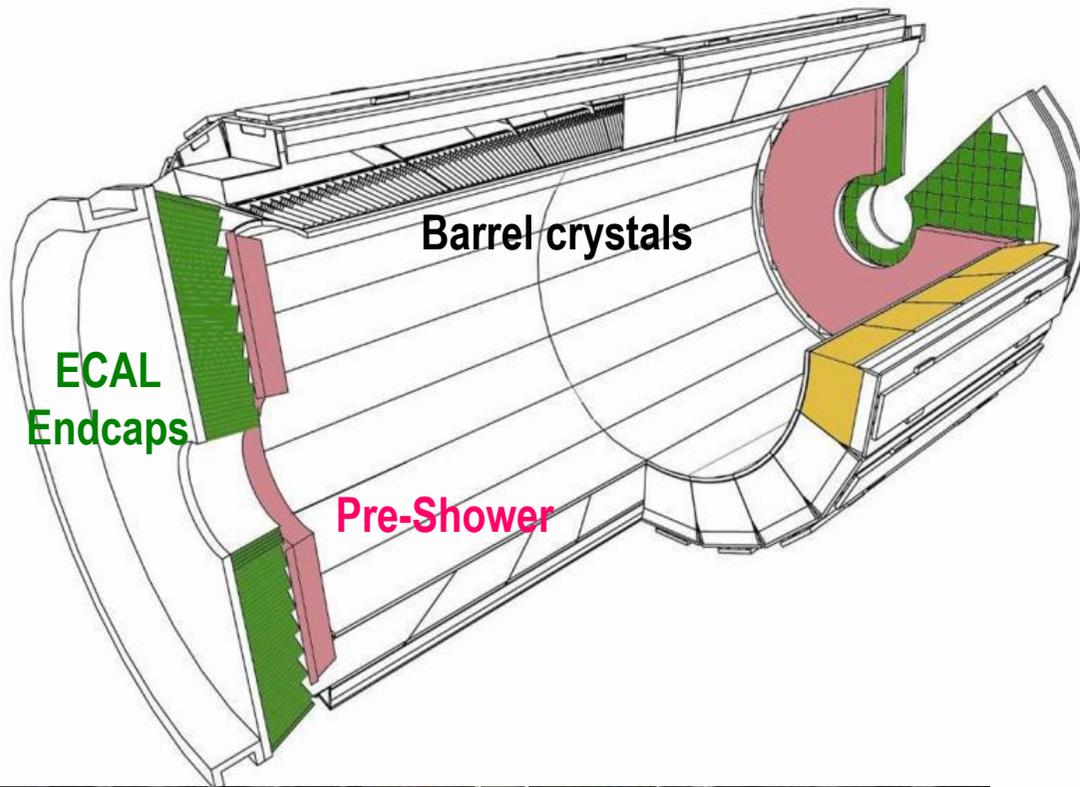
## Muon chambers

embedded in the steel return yoke

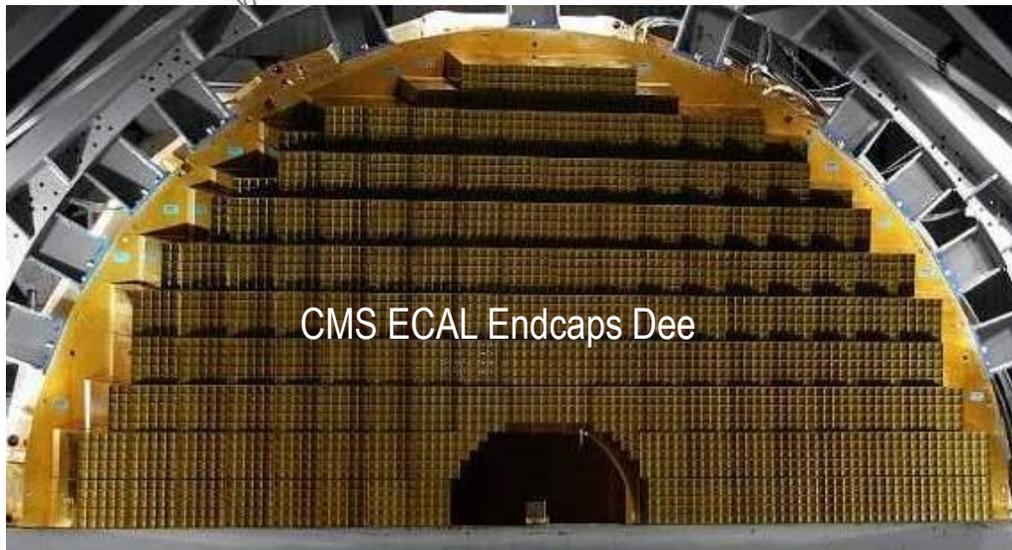
outside the calorimeter  
=> compact calorimeters !

# CMS ECAL

Homogenous calorimeter made from 75848  $\text{PbWO}_4$  scintillating crystals



- Barrel ( $|\eta| < 1.48$ ), ~67 t
- 61200 crystals over 36 super-modules



- Endcaps ( $1.48 < |\eta| < 3$ ), ~23 t
- 14648 crystals over 4 Dees (2 per endcap)
- Preceded by Pb/Si Pre-Shower

## CMS crystals: $\text{PbWO}_4$

Excellent energy resolution

$X_0 = 0.89\text{cm} \rightarrow$  compact calorimeter (23cm for 26  $X_0$ )

$R_M = 2.2\text{ cm} \rightarrow$  compact shower development

Fast light emission (80% in less than 15 ns)

Radiation hard ( $10^5\text{Gy}$ )

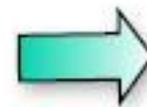
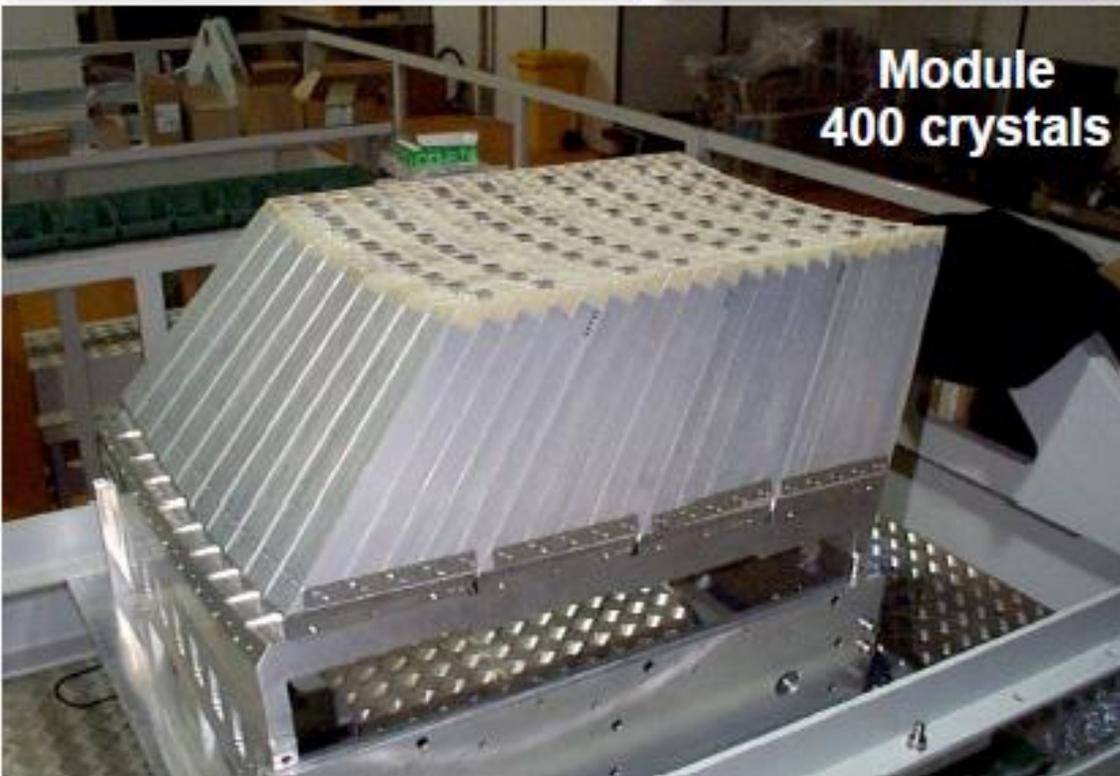
But

Low light yield (150  $\gamma/\text{MeV}$ )

Response varies with dose

Response temperature dependence

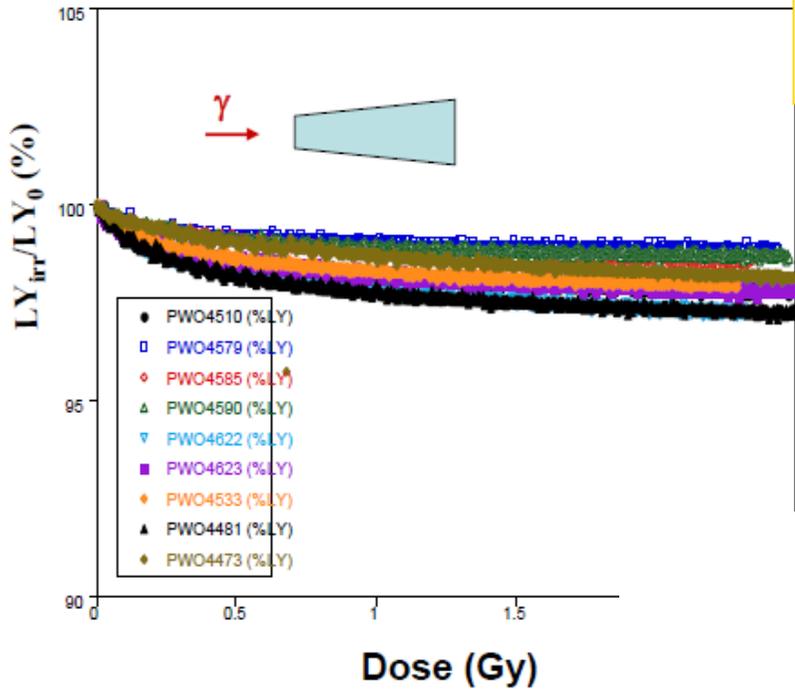
# CMS ECAL Construction



Total 36 Supermodules

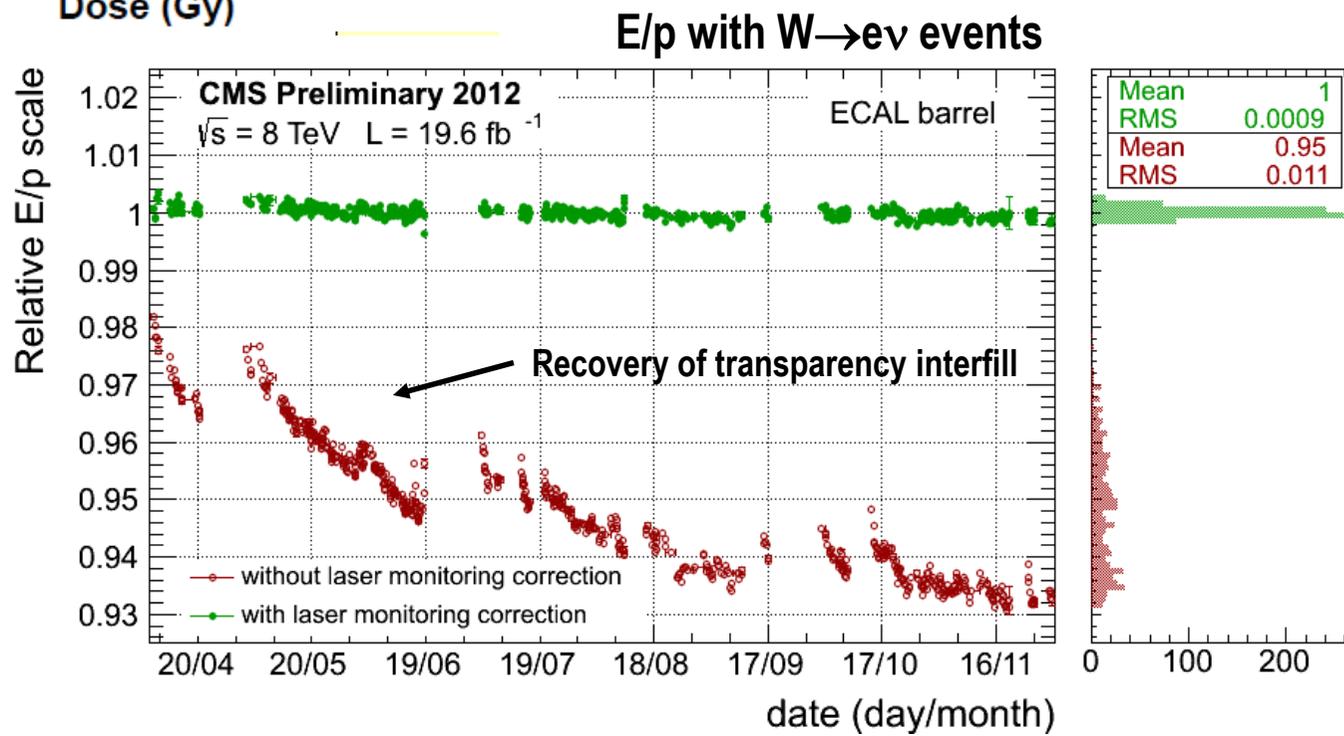
# CMS ECAL: monitoring

Front irradi., 1.5Gy, 0.15Gy/h



- Response of PbWO4 crystal change with irradiation
  - Loss of transparency

- Damage and recovery during LHC cycles tracked with a laser monitoring system

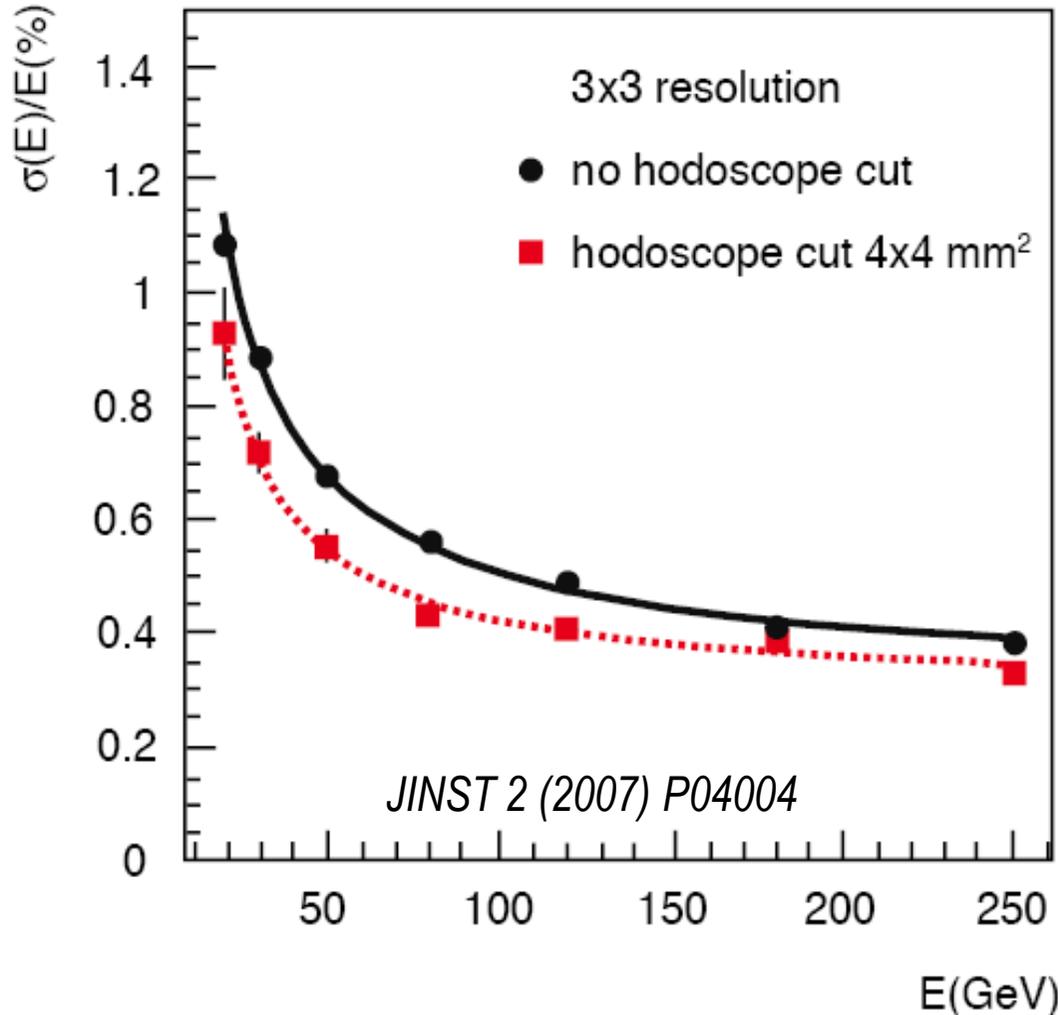


After laser correction  
Before laser correction

# CMS ECAL: performance

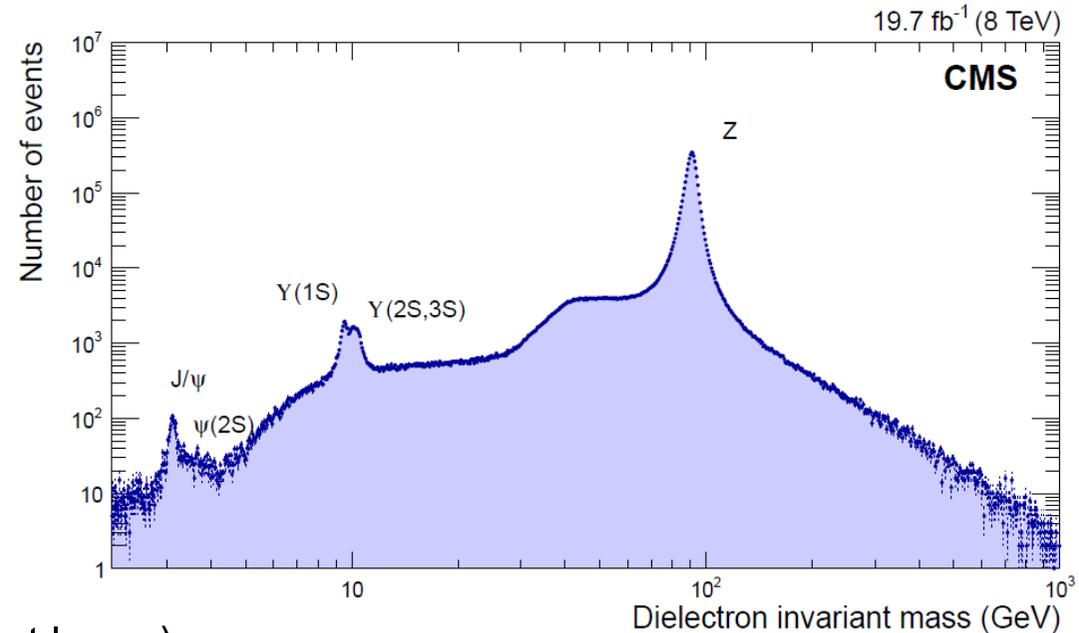
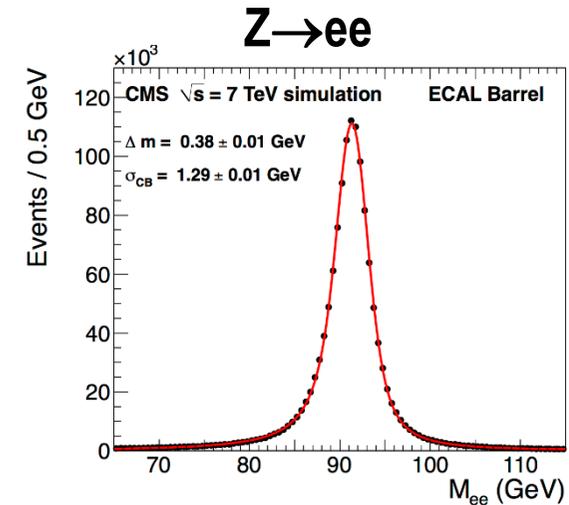
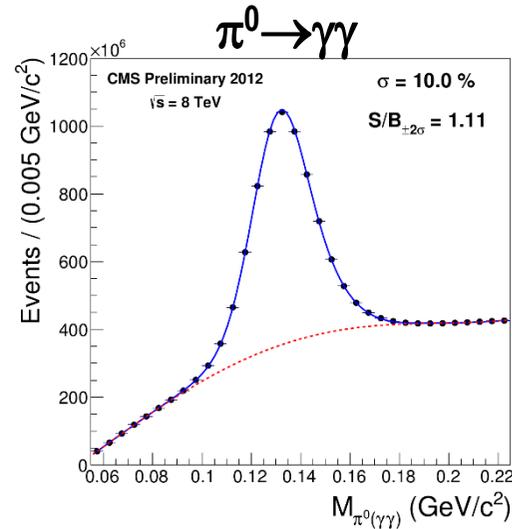
Stand-alone performance assessed during extensive test Beam campaigns at CERN...

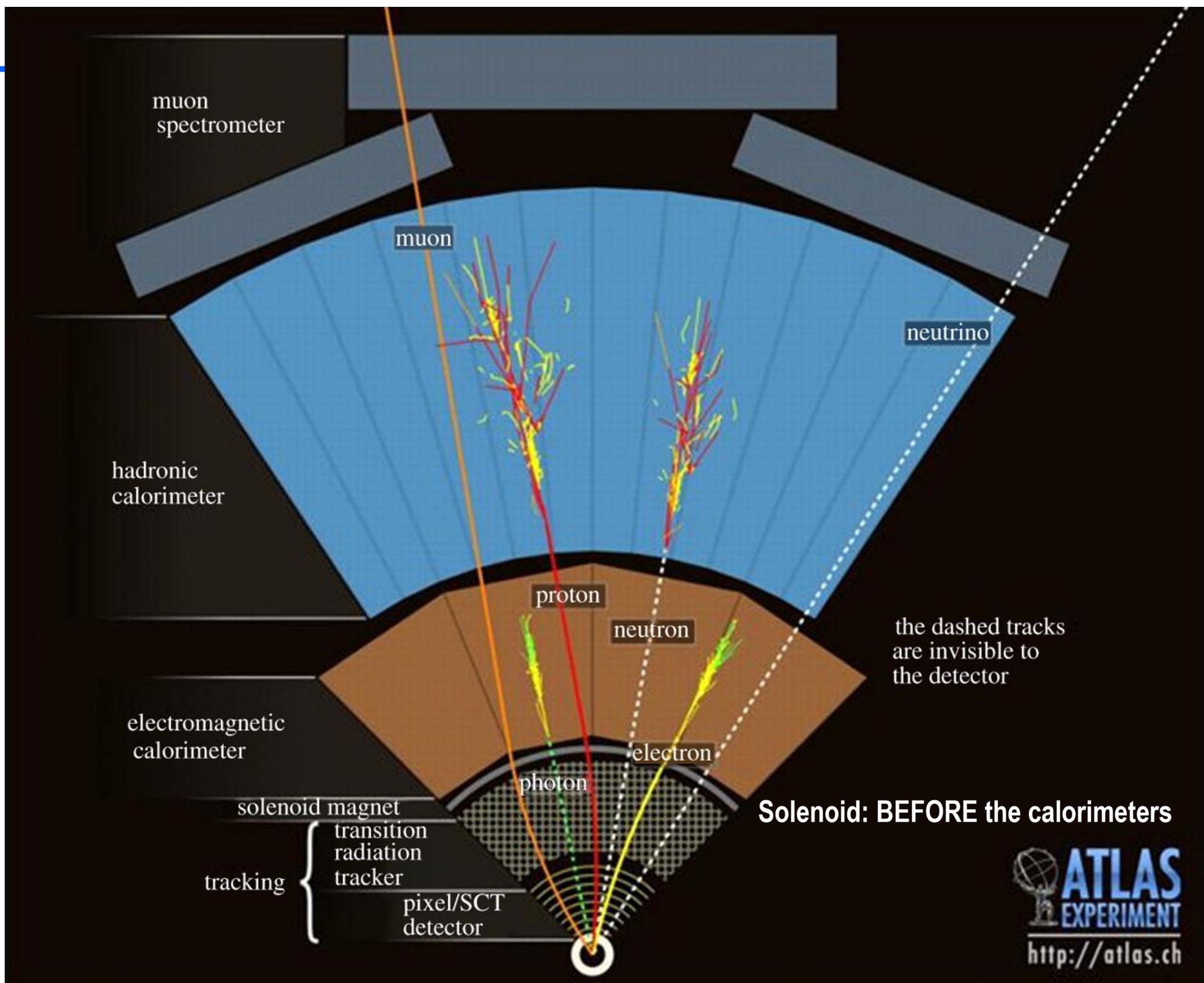
Combined performance measured in-situ



$$\frac{\sigma}{E} = \frac{2.8\%}{\sqrt{E(\text{GeV})}} \oplus \frac{125}{E(\text{MeV})} \oplus 0.3\%$$

(test beam)





# The ATLAS ECAL

## Sampling Pb/LAr calorimeter with innovative “accordion” geometry

➤ Longitudinal dimension  $\sim 25 X_0$ , 47 cm (vs 22 cm for CMS)

3 layers up to  $|\eta|=2.5$  + presampler  $|\eta|<1.8$

2 layers  $2.5<|\eta|<3.2$

Layer 1 ( $\gamma/\pi^0$  rej. + angular meas.)

$\Delta\eta \cdot \Delta\phi = 0.003 \times 0.1$

Layer 2 (shower max)

$\Delta\eta \cdot \Delta\phi = 0.025 \times 0.025$

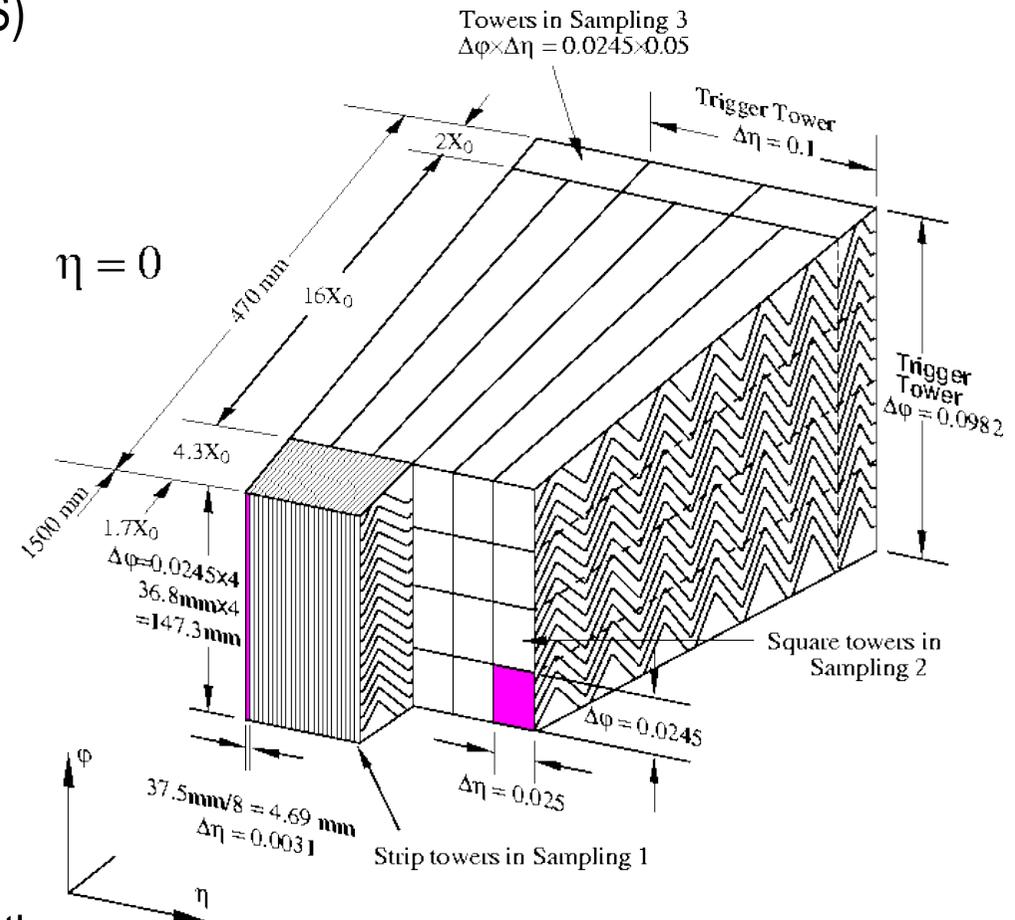
Layer 3 (Hadronic leakage)

$\Delta\eta \cdot \Delta\phi = 0.05 \times 0.025$

➤  $\sim 170\,000$  channels

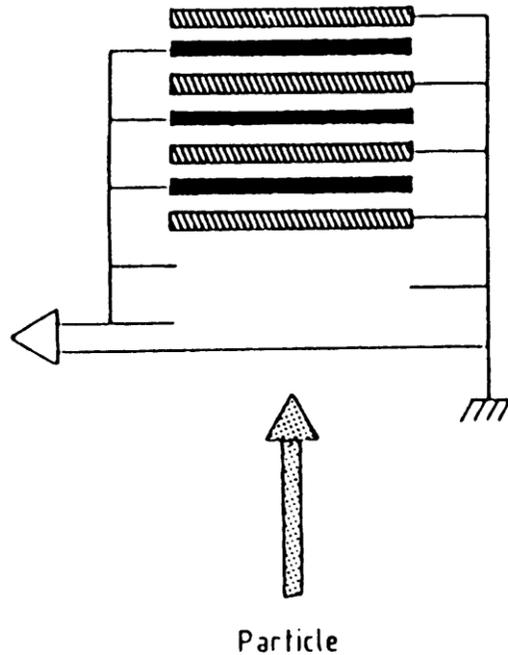
### ➤ Usage of Liquid Argon

- Radiation Hard
- High number of electron-ion pair produced by ionization  
(1 GeV deposit  $\rightarrow 5 \cdot 10^6$  e $^-$ )
- Stable vs time
- **BUT:**
  - Need a cryostat (90K)
  - Slow time response (400 ns vs 25 ns LHC bunch crossing)



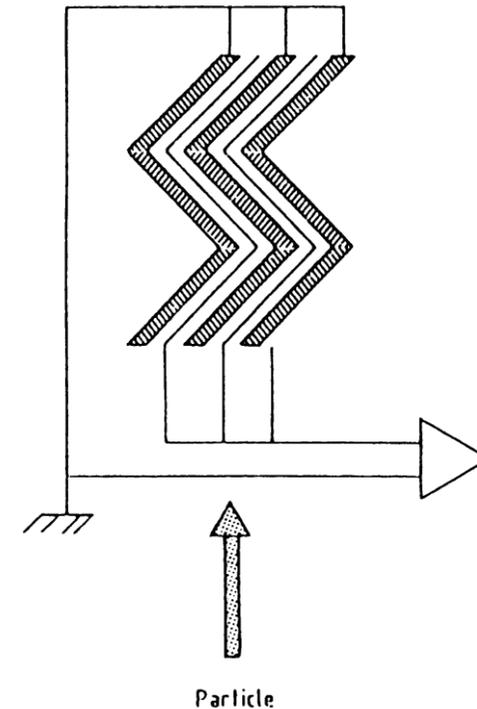
# ATLAS ECAL: accordion geometry (1)

## Standard Liquid Argon



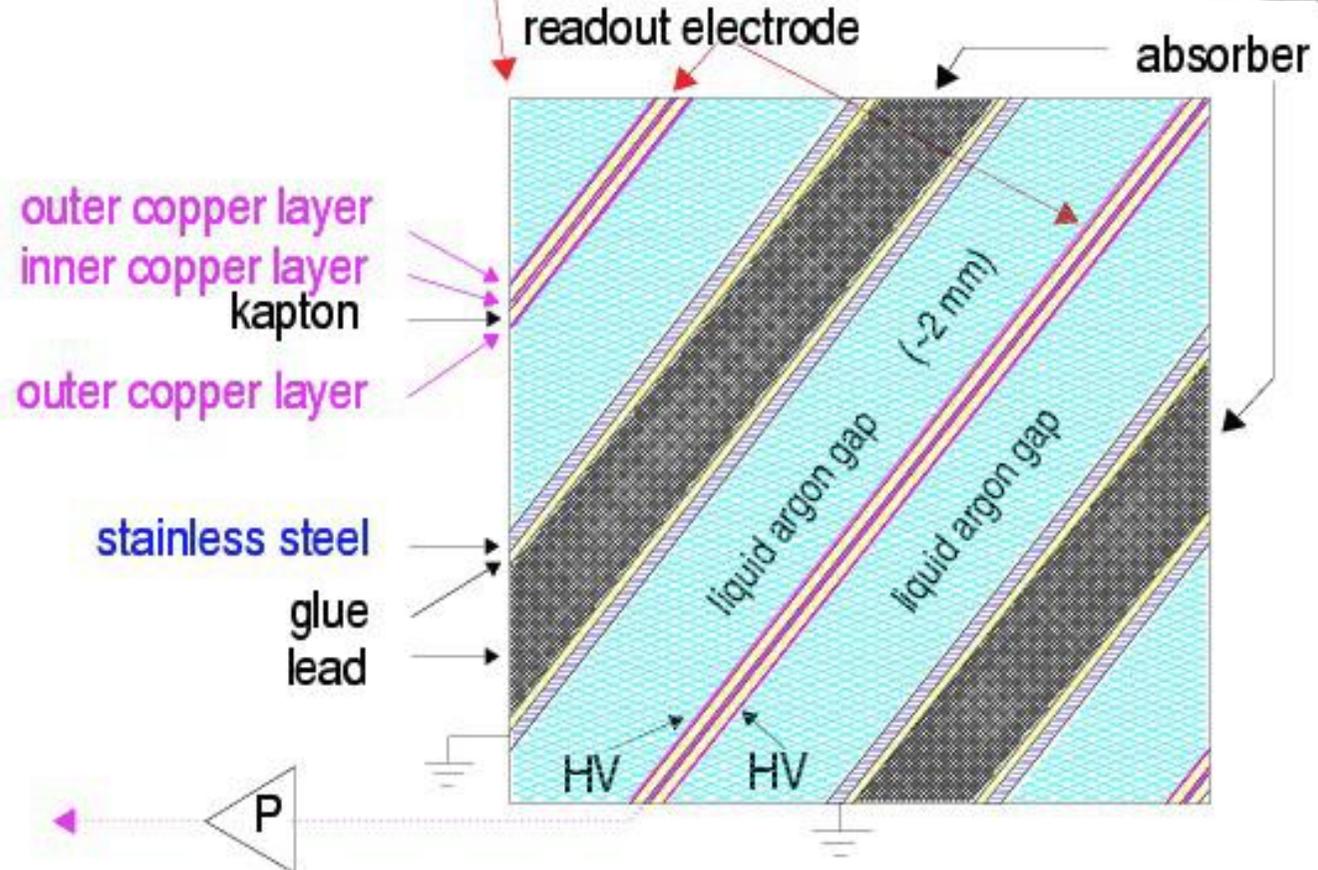
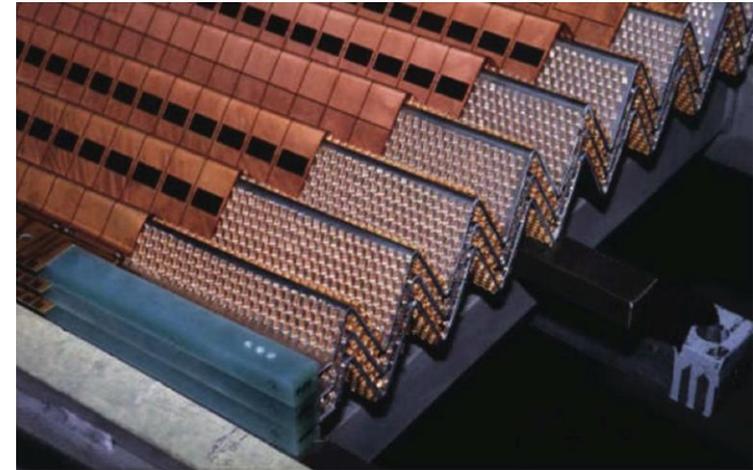
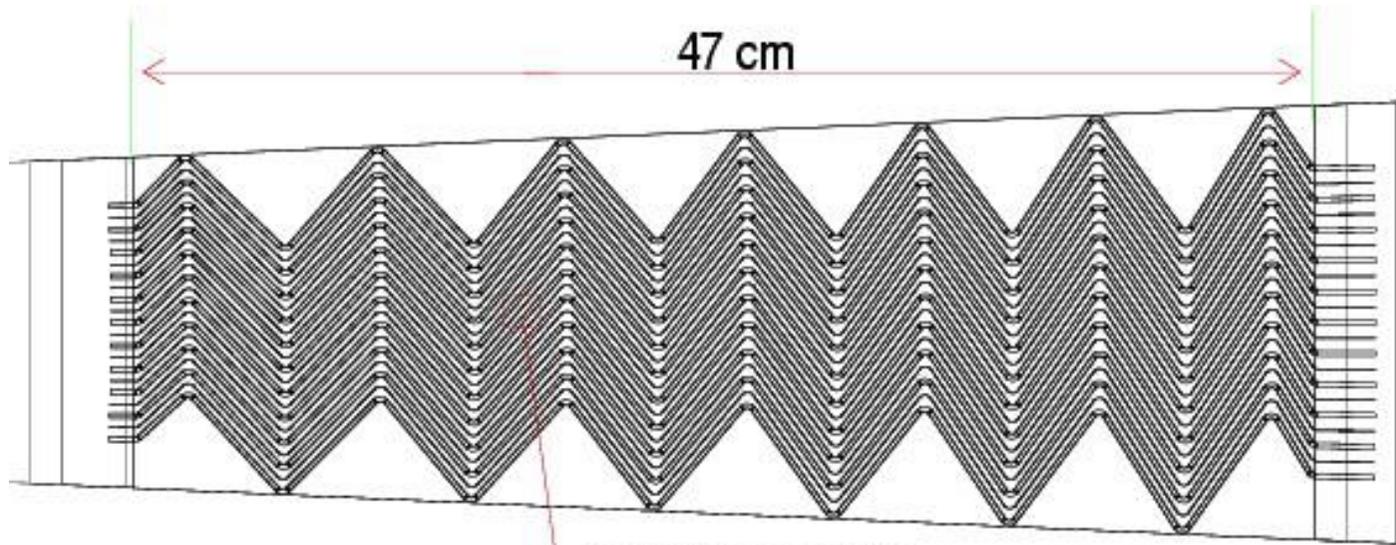
- Slow response (long integration time)
- Electrodes  $\perp$  particles
- Long cables
  - To bring signal to pre-amplifiers
  - Regroup gaps
- Dead zones due to cables

## Accordion Liquid Argon



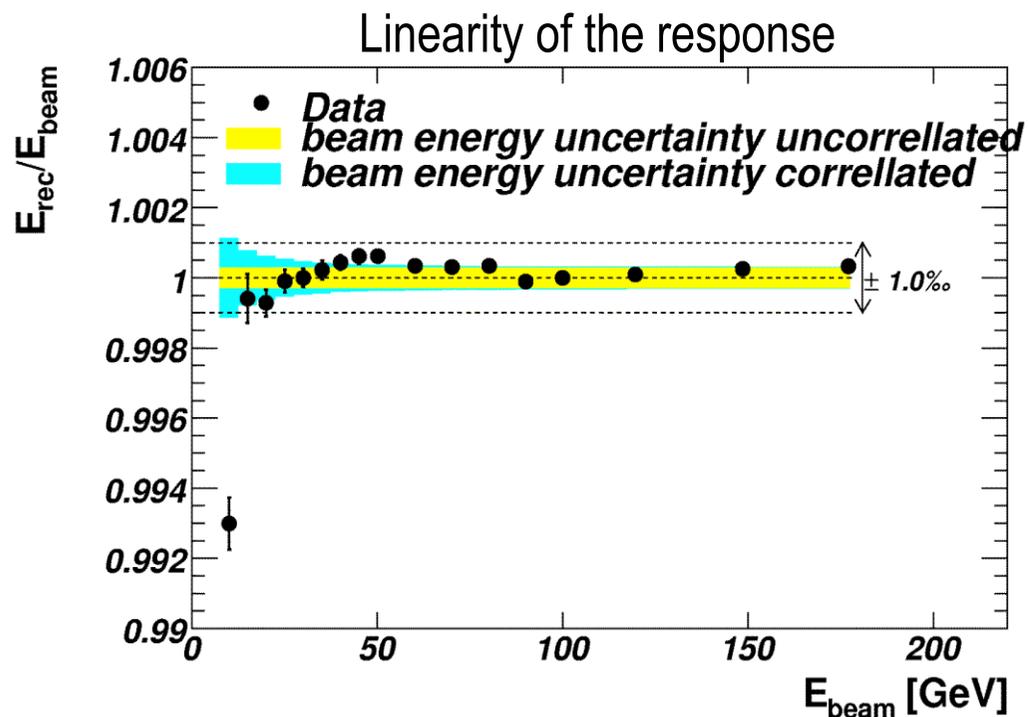
- Accordion geometry: **fast**
- Electrodes  $\parallel$  to incident particles
  - Signal read out forward & backward
  - No long connection
- **No cracks (in azimuth)**

# ATLAS ECAL: accordion geometry (2)



# ATLAS ECAL: Performance

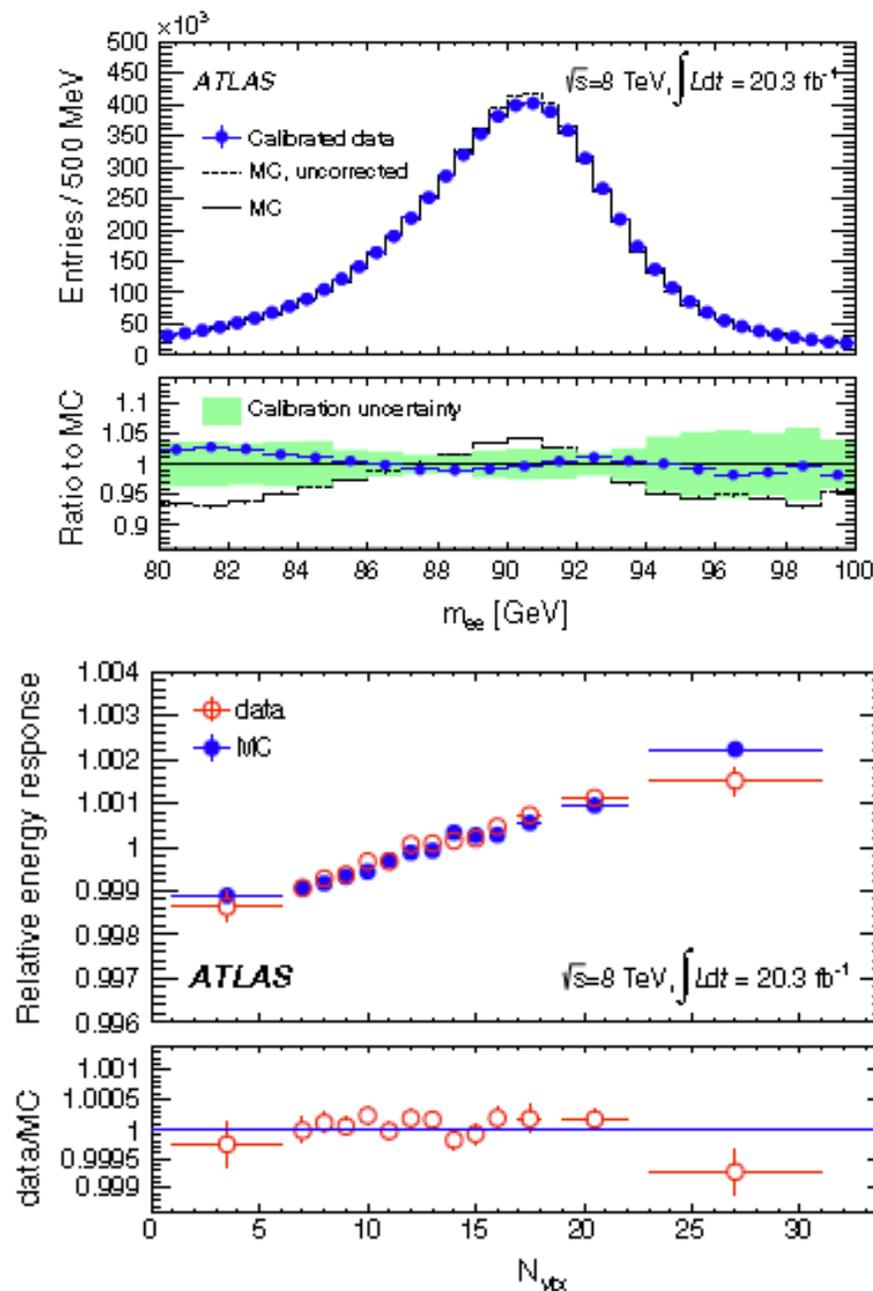
Stand-alone performance assessed during extensive test Beam campaigns at CERN...



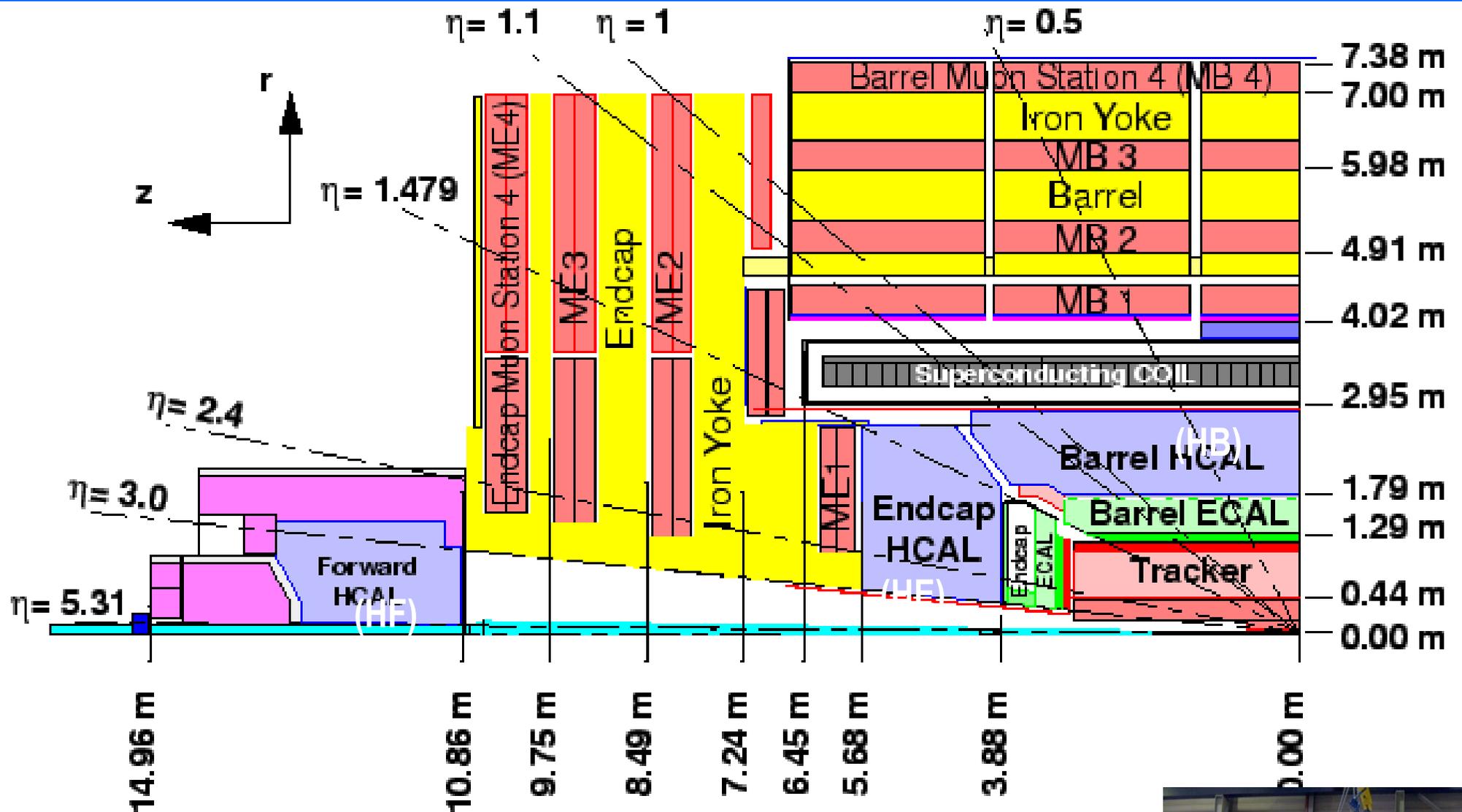
$$\frac{\sigma}{E} = \frac{10\%}{\sqrt{E}} \oplus \frac{0.3}{E} \oplus 0.7\%$$

(test beam)

Combined performance measured in-situ



# CMS HCAL



- HCAL Barrel (HB):  $|\eta| < 1.3$
- HCAL Endcap (HE):  $1.3 < |\eta| < 3$
- Forward HCAL (HF):  $3 < |\eta| < 5$ , Fe+Quartz Fiber

See next



HB/HE: Sampling Brass/plastic scintillator calorimeter

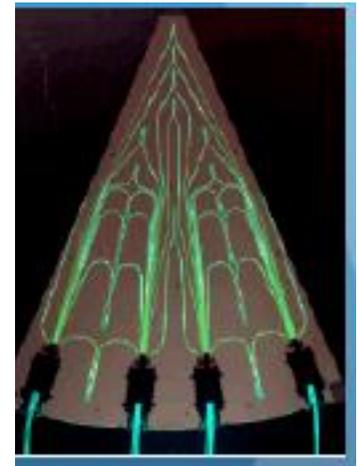
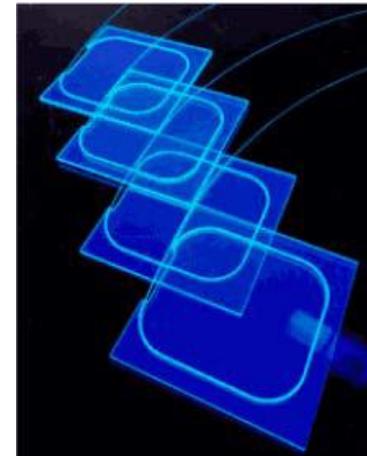
**HB (17 longitudinal layers)**



**HE (19 longitudinal layers)**



- Segmentation:  $\Delta\eta \times \Delta\phi = 0.087 \times 0.087$  (larger at high  $\eta$ )
- $18 \times 20^\circ$  “wedges” with alternate brass plates (5-8 cm) and “tiles” embedded with Wave Length Shifter (WLS).
  - Light from scintillator: blue-violet
  - WLS: absorb light then fluorescence in green
  - Green light read by Hybrid Photo Diode (HPD)



# CMS HCAL: Brass absorber preparation

Workers in Murmansk sitting on brass casings of decommissioned shells of the Russian Northern Fleet

Explosives previously removed!

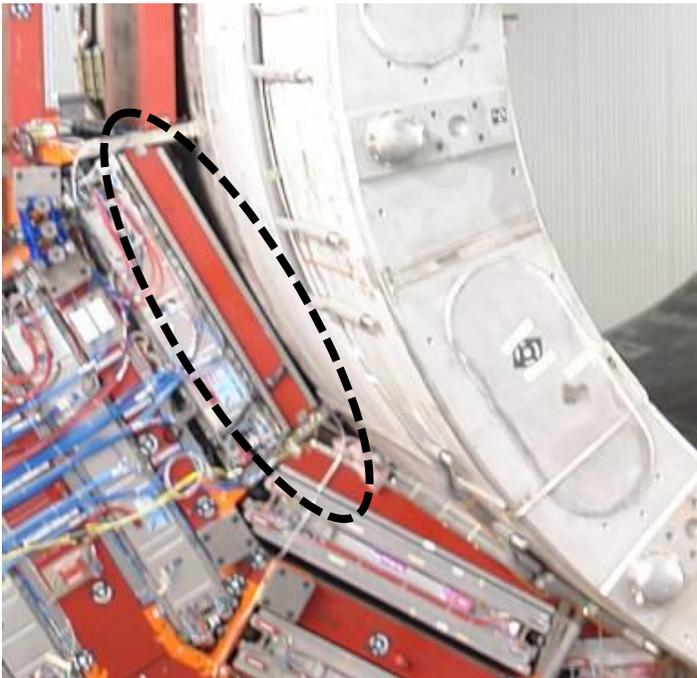
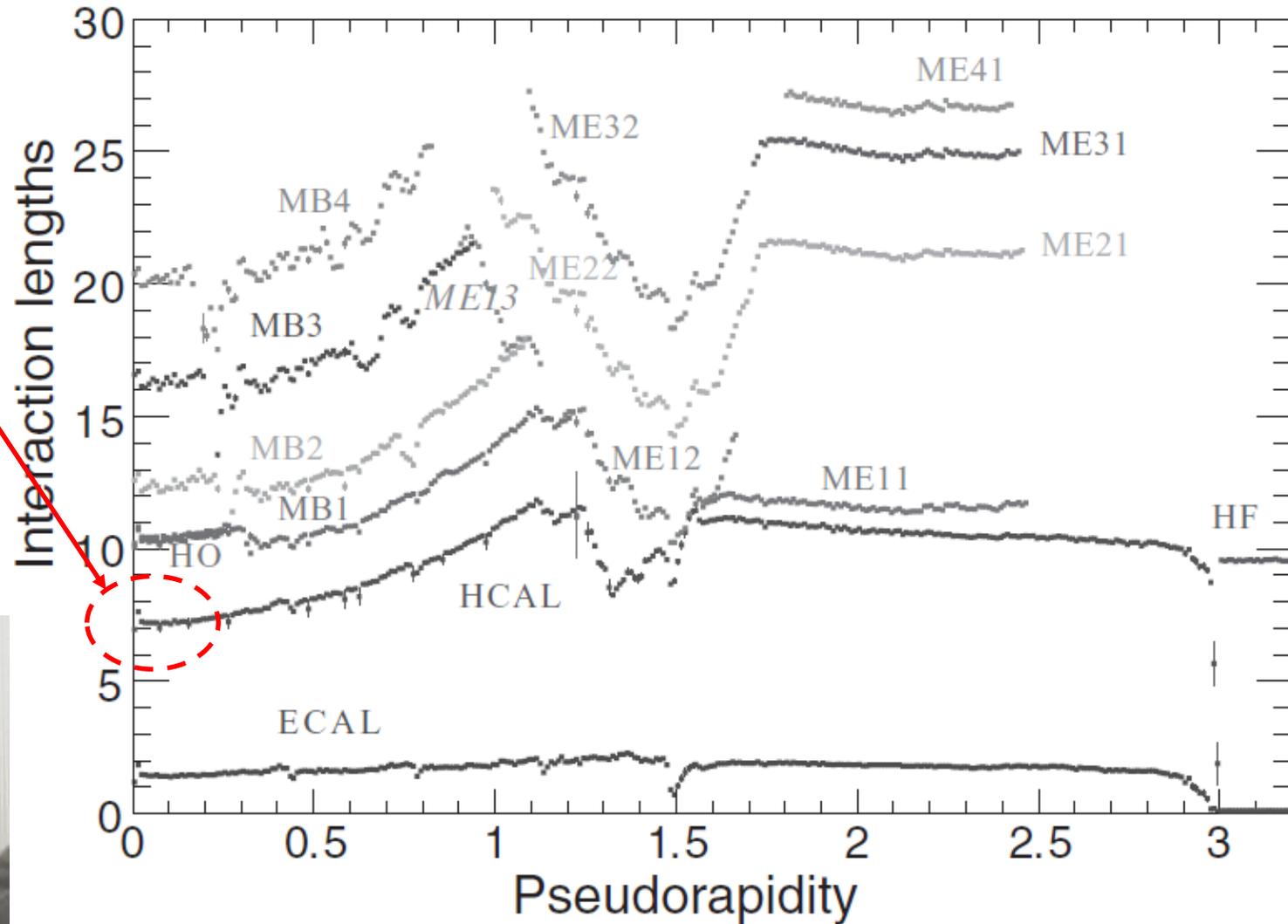
Casings melted in St Petersburg and turned into raw brass plates

Machined in Minsk and mounted to become absorber plates for the CMS Endcap Hadron Calorimeter



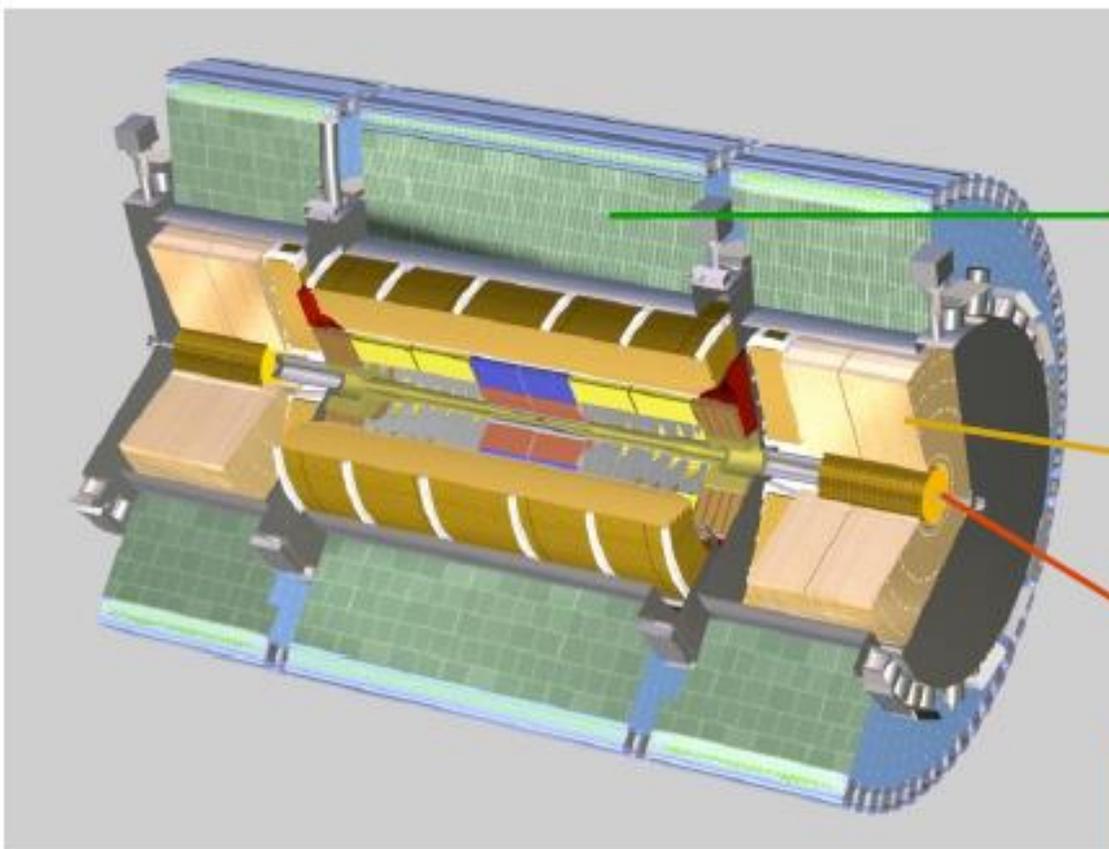
# CMS HCAL: Containment

- At  $|\eta|=0$ ,  $\lambda_{\text{int}}$  from HB = 5.8 !  
(7.2 with ECAL)
  - Large leakage...
- CMS adds HCAL Outer (HO):
  - Scintillator + WLS outside coil acting as “tail catcher”.



Poor Resolution:  $\sim 100\% / \sqrt{E}$

# ATLAS HCAL



Tiles Calorimeter  $|\eta| < 1.7$   
Fe / Scintillator  
3 layers in depth

LAr/Cu  $1.7 < |\eta| < 3.2$   
4 layers in depth

Forward: 1 layer EM, 2 HAD  
LAr/Cu or W  $3.2 < |\eta| < 4.9$

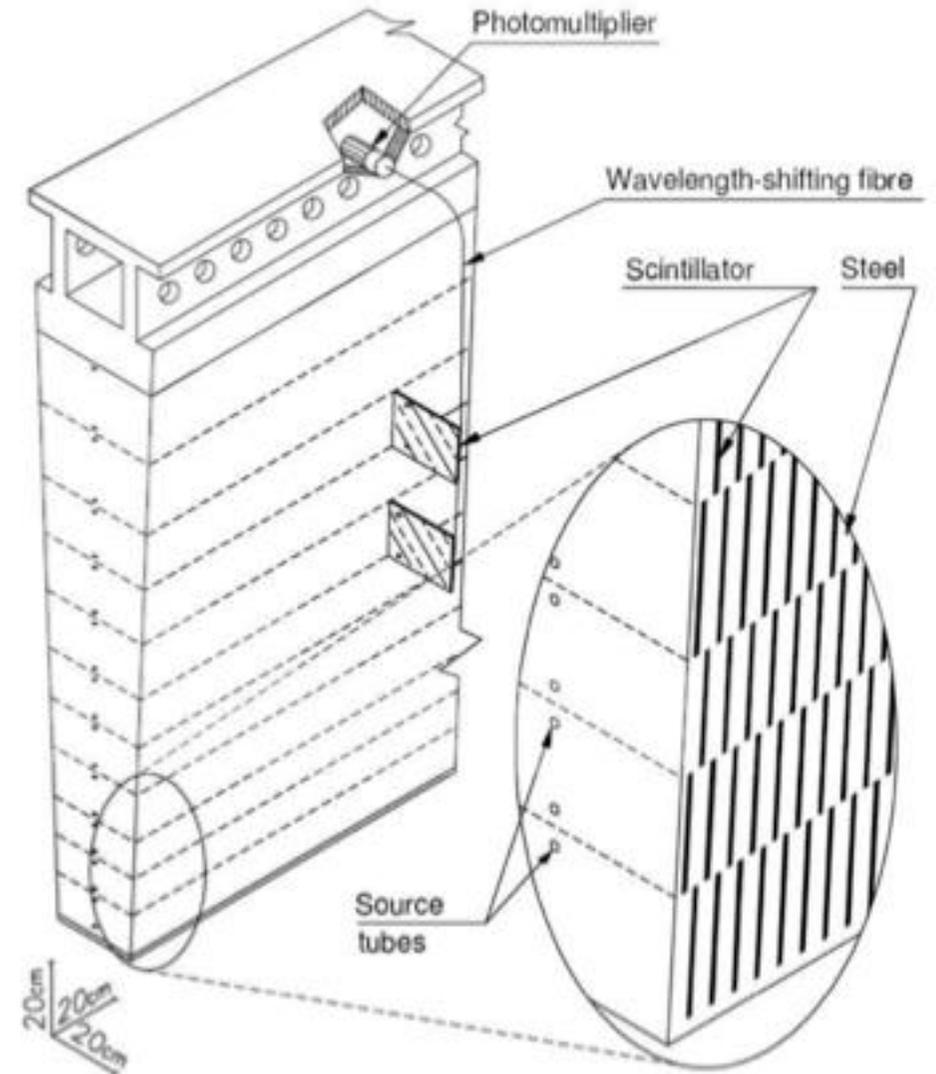
Total thickness:  $\sim 8 - 10 \lambda$   
Use of different technics: cope with radiations in forward region

# ATLAS TileCal

## TileCal: Sampling Fe/plastic scintillator calorimeter

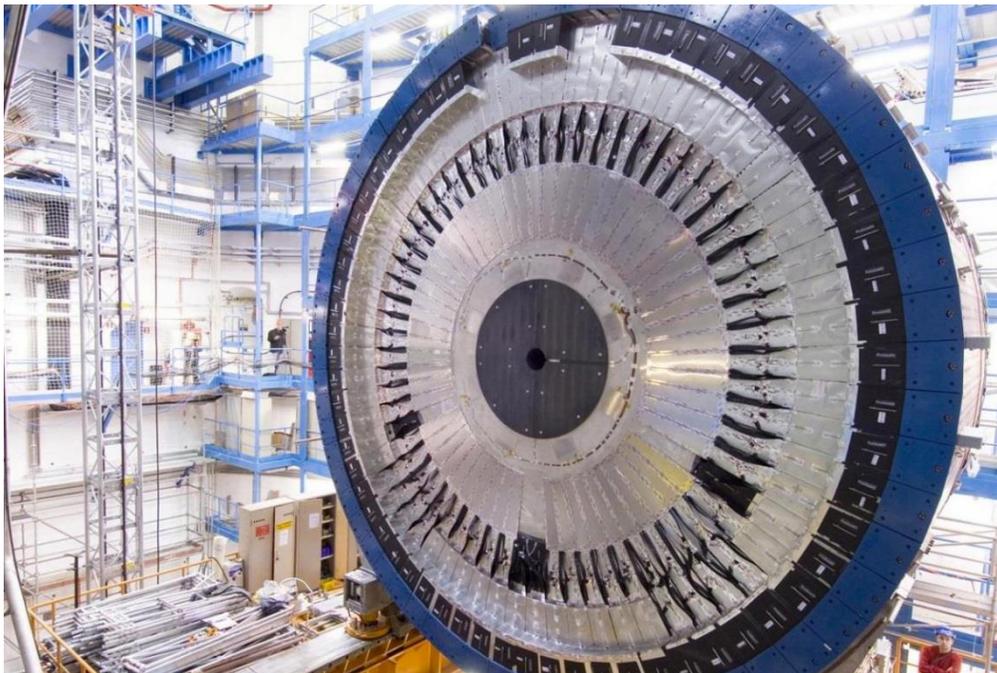
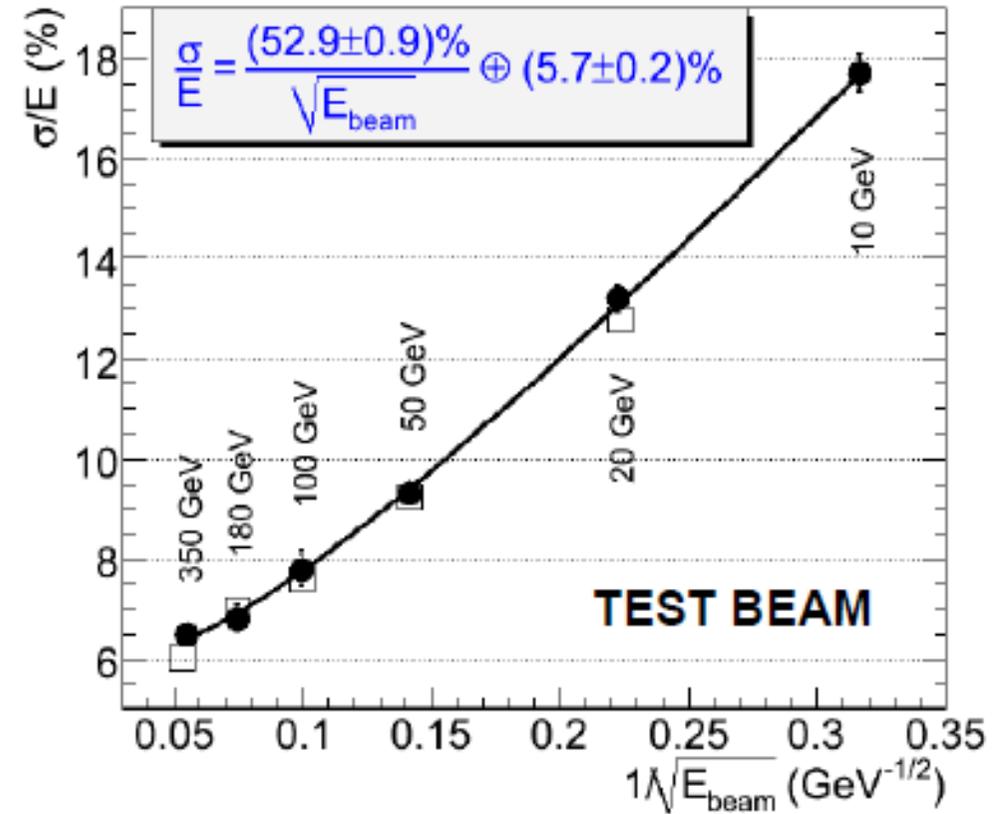
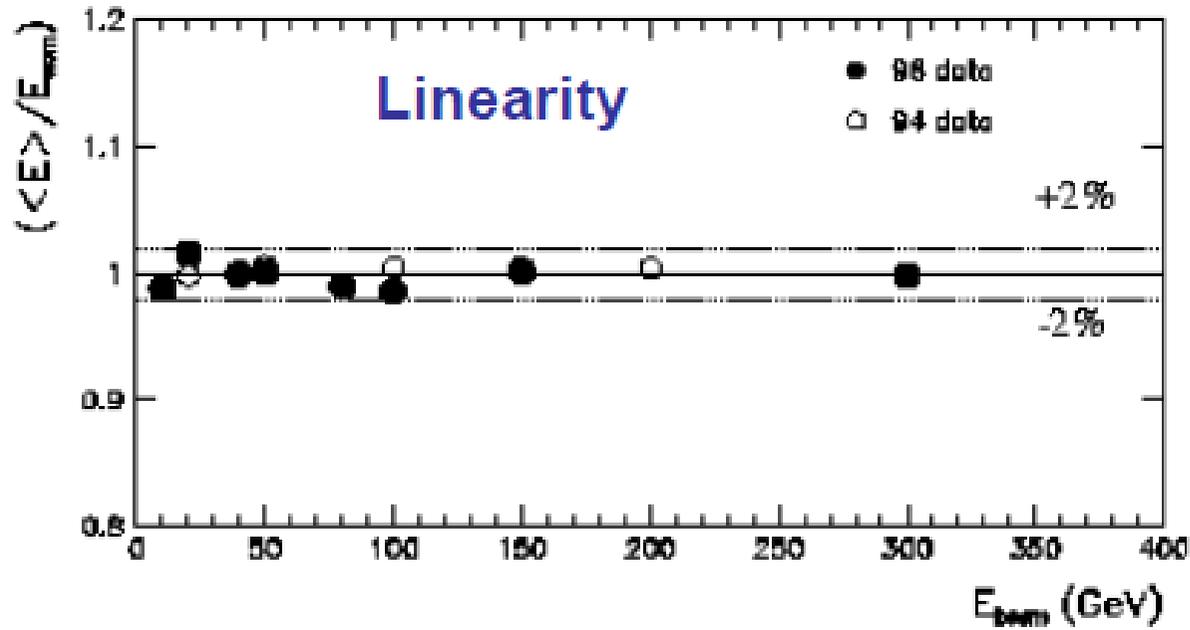


- Coverage:  $|\eta| < 1.7$
- 3 cylinders (1 barrel, 2 extended barrel)
- 3 longitudinal sampling
- Segmentation:  $\Delta\eta \times \Delta\phi = 0.1 (0.2) \times 0.1$
- ~10 000 channels



- Key element: Tile
  - Perpendicular to beam axis
  - WLS carry light to PMT

# ATLAS TileCal: Performance



Resolution:  $\sim 50\% / \sqrt{E}$

# ATLAS/CMS ECAL Resolution

TABLE 8 Main parameters of the ATLAS and CMS electromagnetic calorimeters

	ATLAS		CMS	
Technology	Lead/LAr accordion		PbWO <sub>4</sub> scintillating crystals	
Channels	Barrel 110,208	End caps 63,744	Barrel 61,200	End caps 14,648
Granularity	$\Delta\eta \times \Delta\phi$		$\Delta\eta \times \Delta\phi$	
Presampler	$0.025 \times 0.1$	$0.025 \times 0.1$		
Strips/ Si-preshower	$0.003 \times 0.1$	$0.003 \times 0.1$ to $0.006 \times 0.1$		$32 \times 32$ Si-strips per 4 crystals
Main sampling	$0.025 \times 0.025$	$0.025 \times 0.025$	$0.017 \times 0.017$	$0.018 \times 0.003$ to $0.088 \times 0.015$
Back	$0.05 \times 0.025$	$0.05 \times 0.025$		
Depth	Barrel	End caps	Barrel	End caps
Presampler (LAr)	10 mm	$2 \times 2$ mm		
Strips/ Si-preshower	$\approx 4.3 X_0$	$\approx 4.0 X_0$		$3 X_0$
Main sampling	$\approx 16 X_0$	$\approx 20 X_0$	$26 X_0$	$25 X_0$
Back	$\approx 2 X_0$	$\approx 2 X_0$		
Noise per cluster	250 MeV	250 MeV	200 MeV	600 MeV
Intrinsic resolution	Barrel	End caps	Barrel	End caps
Stochastic term $a$	10%	10 to 12%	3%	5.5%
Local constant term $b$	0.2%	0.35%	0.5%	0.5%

Note the presence of the silicon preshower detector in front of the CMS end-cap crystals, which have a variable granularity because of their fixed geometrical size of  $29 \times 29$  mm<sup>2</sup>. The intrinsic energy resolutions are quoted as parametrizations of the type  $\sigma(E)/E = a/\sqrt{E} \oplus b$ . For the ATLAS EM barrel and end-cap calorimeters and for the CMS barrel crystals, the numbers quoted are based on stand-alone test-beam measurements.

# ATLAS/CMS HCAL Resolution

**TABLE 10** Main performance parameters of the different hadronic calorimeter components of the ATLAS and CMS detectors, as measured in test beams using charged pions in both stand-alone and combined mode with the ECAL

	ATLAS					
	Barrel LAr/Tile		End-cap LAr		CMS	
	Tile	Combined	HEC	Combined	Had. barrel	Combined
Electron/hadron ratio	1.36	1.37	1.49			
Stochastic term	$45\%/\sqrt{E}$	$55\%/\sqrt{E}$	$75\%/\sqrt{E}$	$85\%/\sqrt{E}$	$100\%/\sqrt{E}$	$70\%/\sqrt{E}$
Constant term	1.3%	2.3%	5.8%	< 1%		8.0%
Noise	Small	3.2 GeV		1.2 GeV	Small	1 GeV

The measured electron/hadron ratios are given separately for the hadronic stand-alone and combined calorimeters when available, and the contributions (added quadratically except for the stand-alone ATLAS tile calorimeter) to the pion energy resolution from the stochastic term, the local constant term, and the noise are also shown, when available from published data.

How can CMS can compete with ATLAS on the jet physics given these numbers ?  
 => **Particle Flow** (see next lecture)

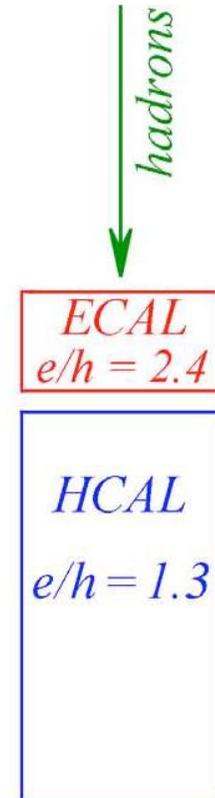
## ATLAS and CMS are NON-compensating calorimeters

### ➤ Numbers (\*):

- ATLAS Tile Barrel  $e/h \sim 1.4$
- CMS ECAL:  $e/h \sim 2.4$
- CMS HCAL:  $e/h \sim 1.3$
- CMS HF:  $e/h \sim 4.7$

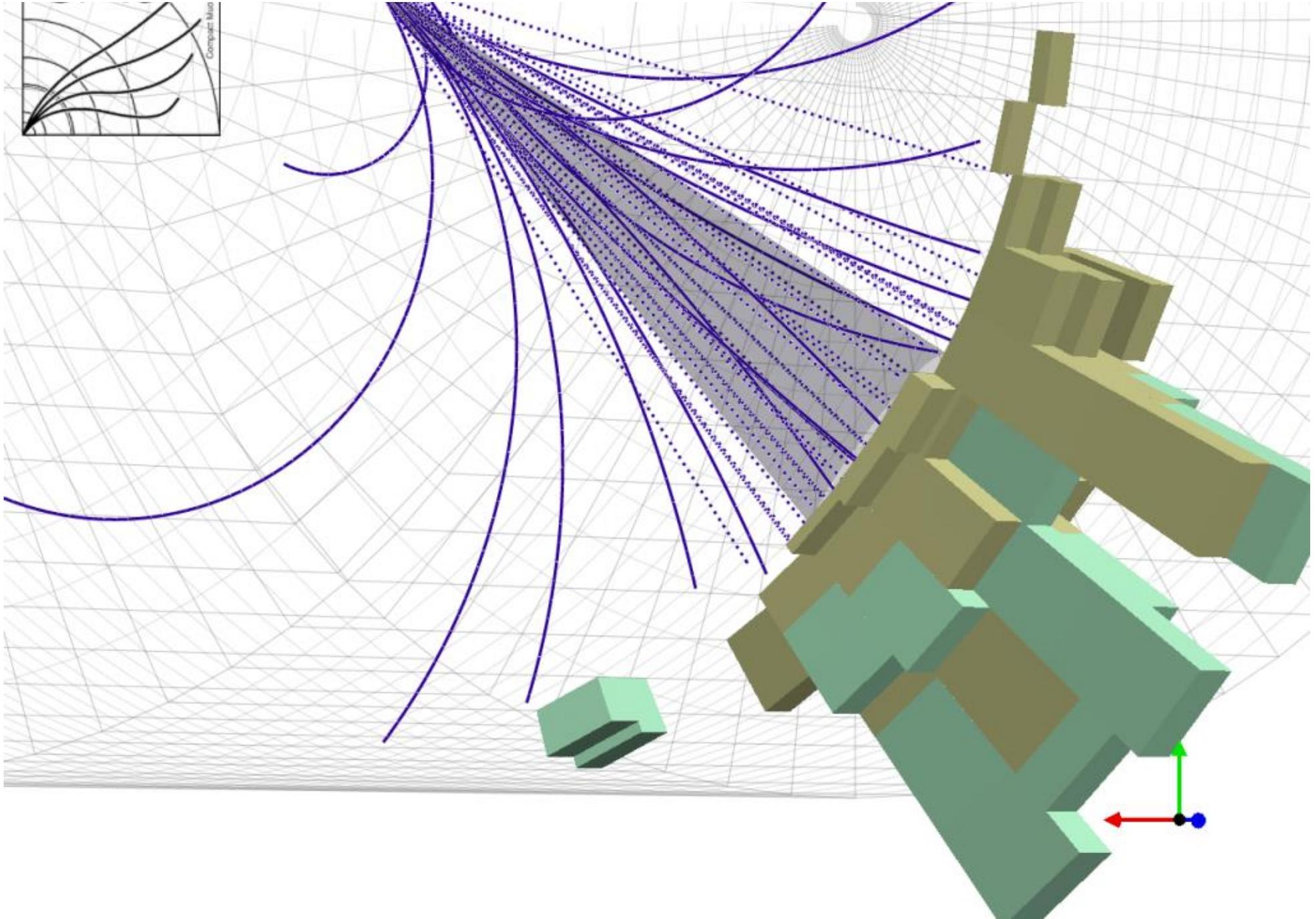
### ➤ Ex: CMS calibrates:

- ECAL for electrons/photons
- HCAL with pions non-interacting in ECAL
- But pions DO interact with ECAL. And thus get wrong calibration.
- Degrades the resolution.



Again, **Particle Flow technics will help there** (by separating charged and neutral pions). **See Lecture 3.**

# Calorimeter Objects



# Calorimeter objects

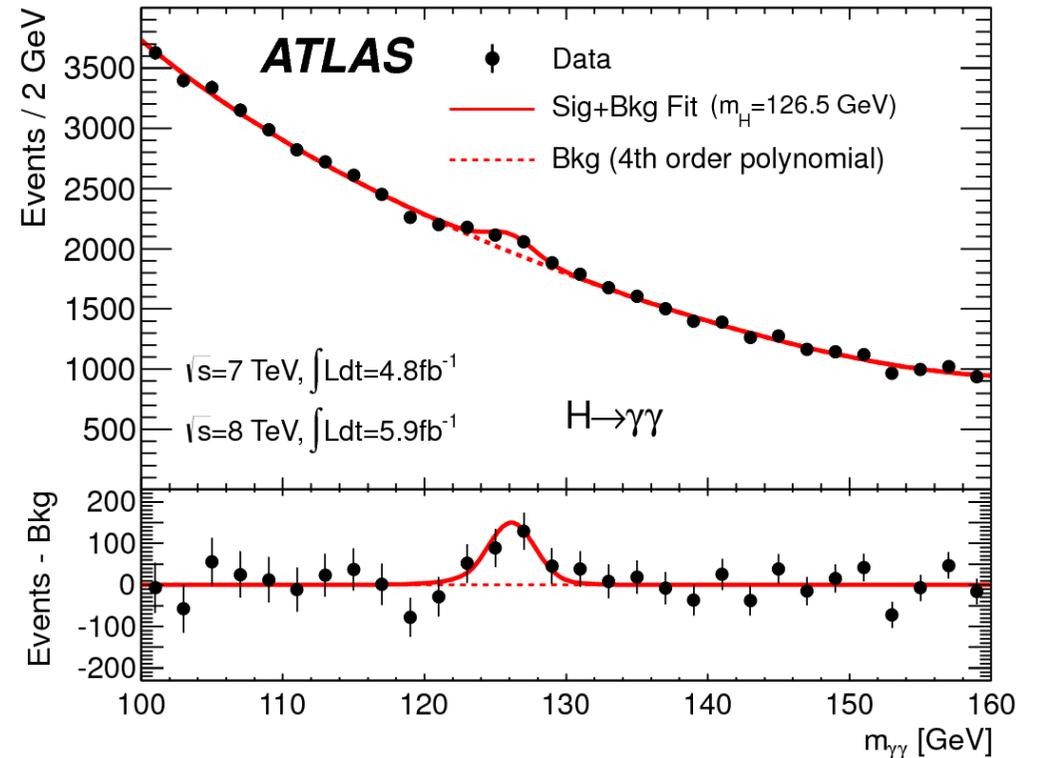
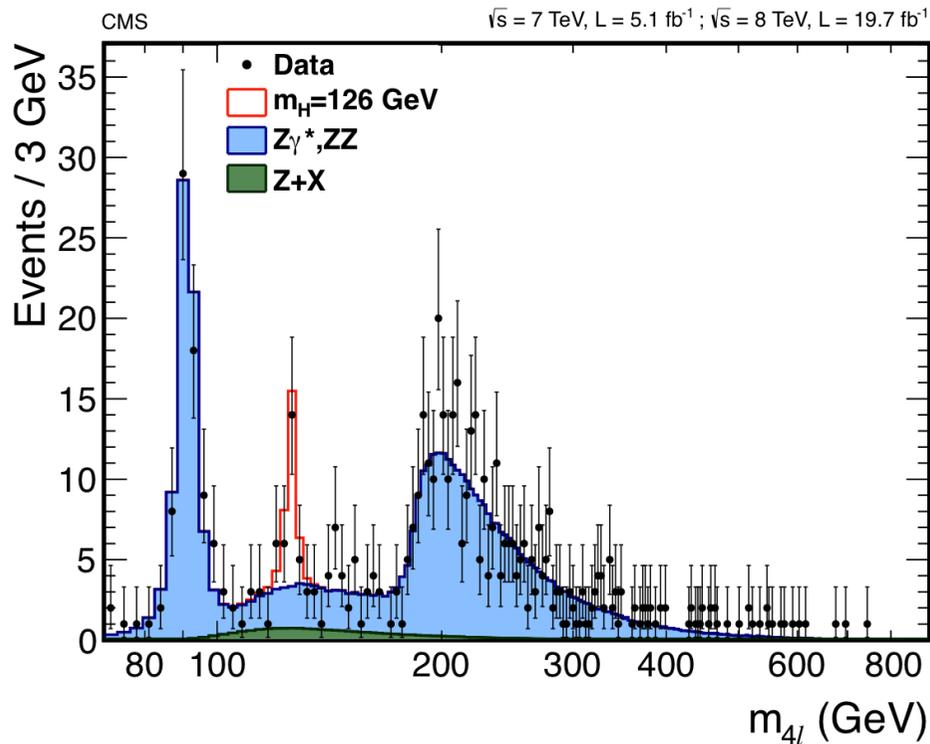
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- In hadron colliders, calorimeters are meant to trigger, reconstruct, identify and measure energy of charged and neutral particles produced during the collisions:
    - Electrons & photons
    - Jets
    - Neutrinos (and other invisible particles)
  
  - Real conditions are different from standalone device or test beams:
    - Magnetic field (constraint for the readout electronics, photodetectors, ...)
    - Material in front of the calorimeter
    - Radiations,
    - (inter-)calibrations,
    - Pile-up,
    - ...
- => Degrade ultimate performance.

# Electrons/Photons at LHC (1)

➤ Final states with electrons and photons are **major experimental signatures at LHC:**

- $H \rightarrow \gamma\gamma$
- $H \rightarrow ZZ^* \rightarrow 4 \text{ leptons (e, } \mu)$
- SUSY  $\rightarrow$  multileptons cascade
- ...



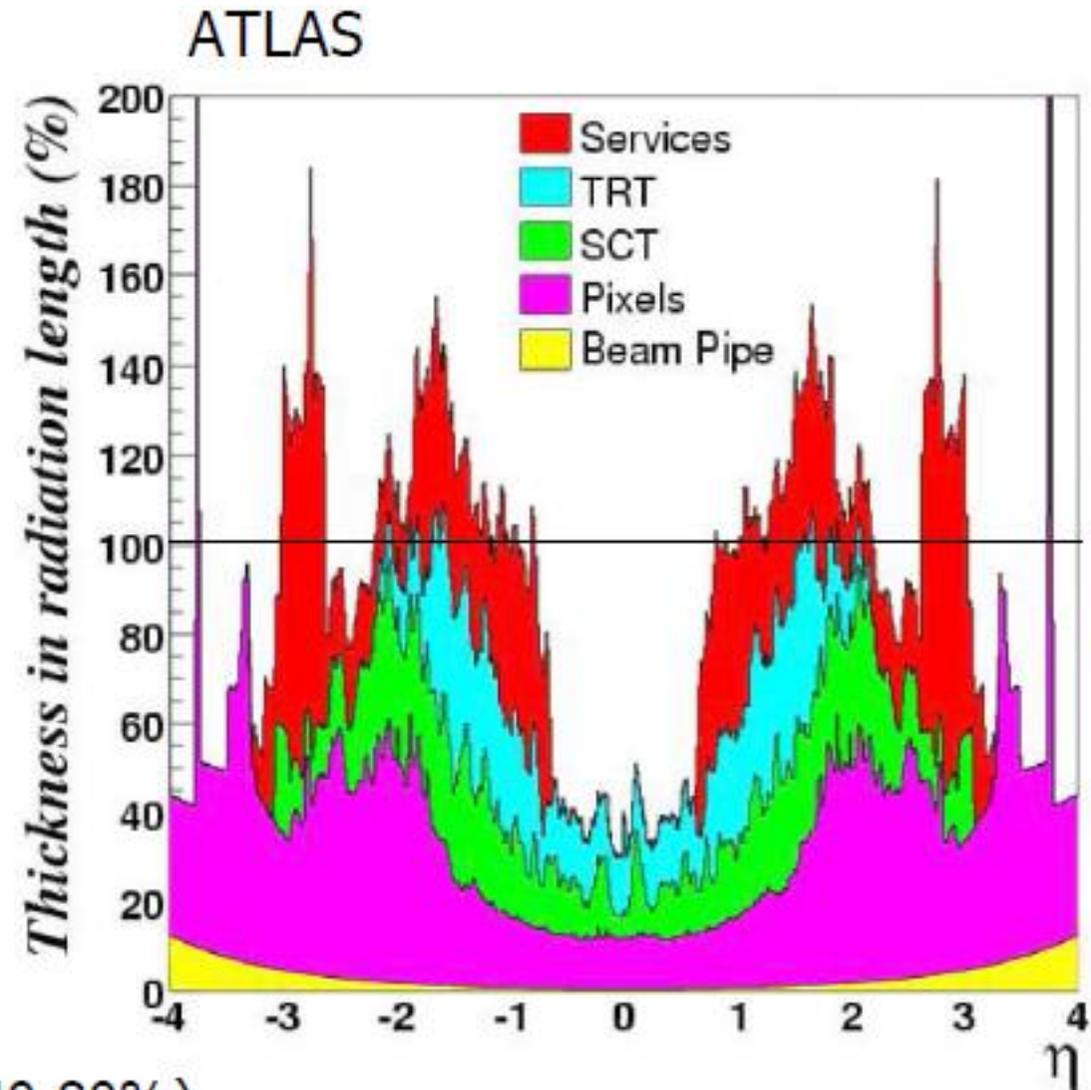
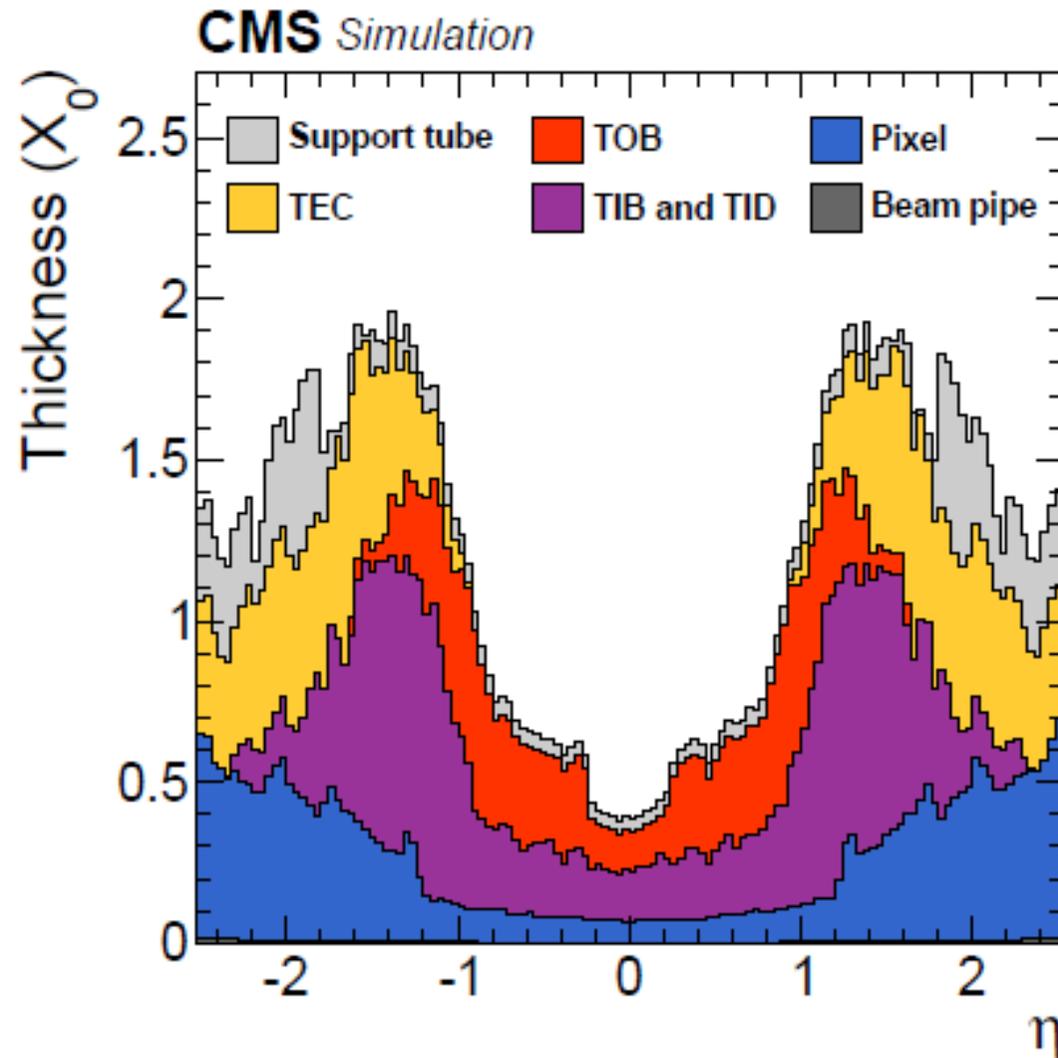
➤ **Naively:**

Photon = (isolated) energy deposited in ECAL only (not leakage in HCAL), no track

Electrons = (isolated) energy deposited in ECAL only + associated track (from Tracking detector)

# Electrons/Photons at LHC (2)

- **Material in front of calorimeter:** cables, cooling, mechanical support, ...  
+ **B-field** (radiated energy spread in  $\varphi$ )



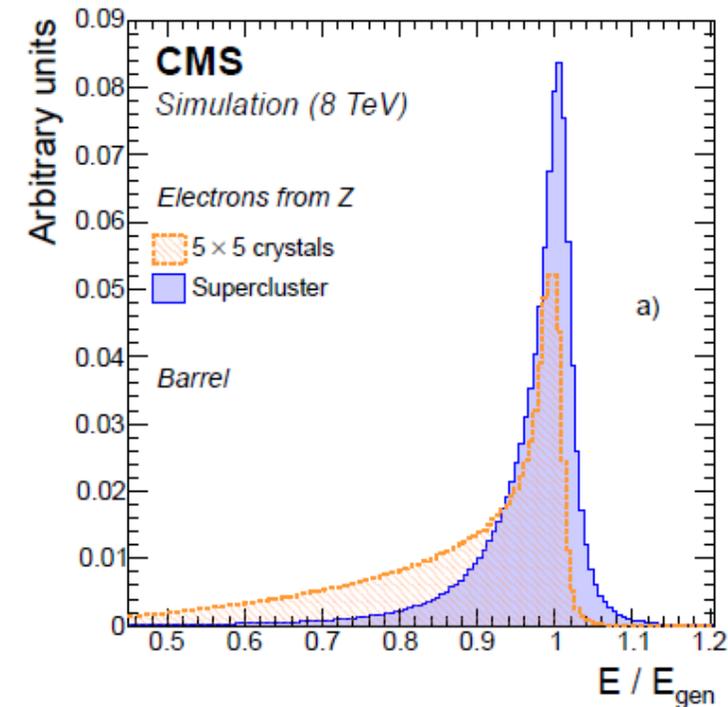
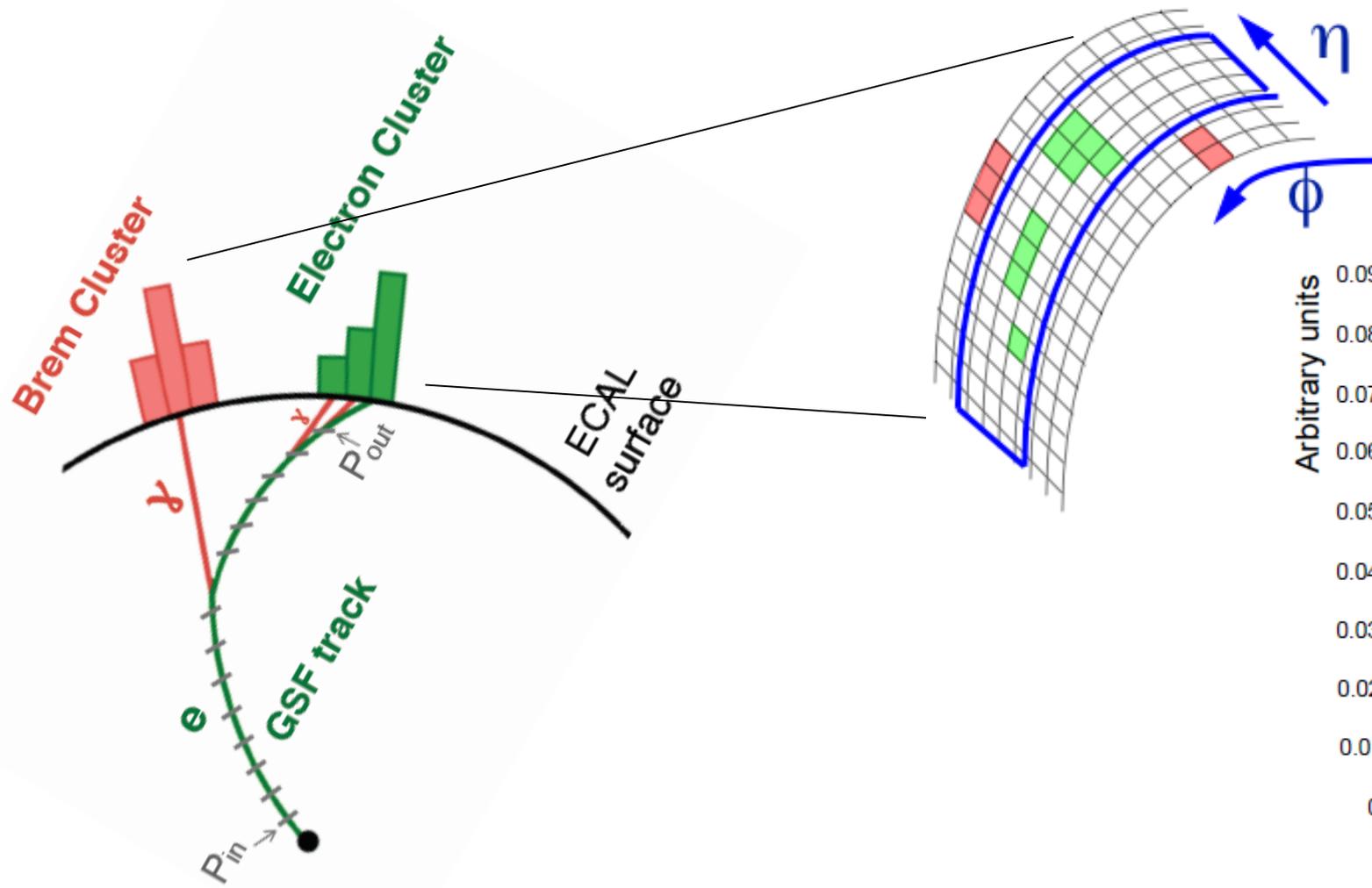
The electrons initiate showers (e.g. 40-80%)

⇒ Identification and efficiency problems, charge mis-identification

The photons convert (e.g. 20-40%) in  $e^+e^-$  pairs before reaching the ECAL

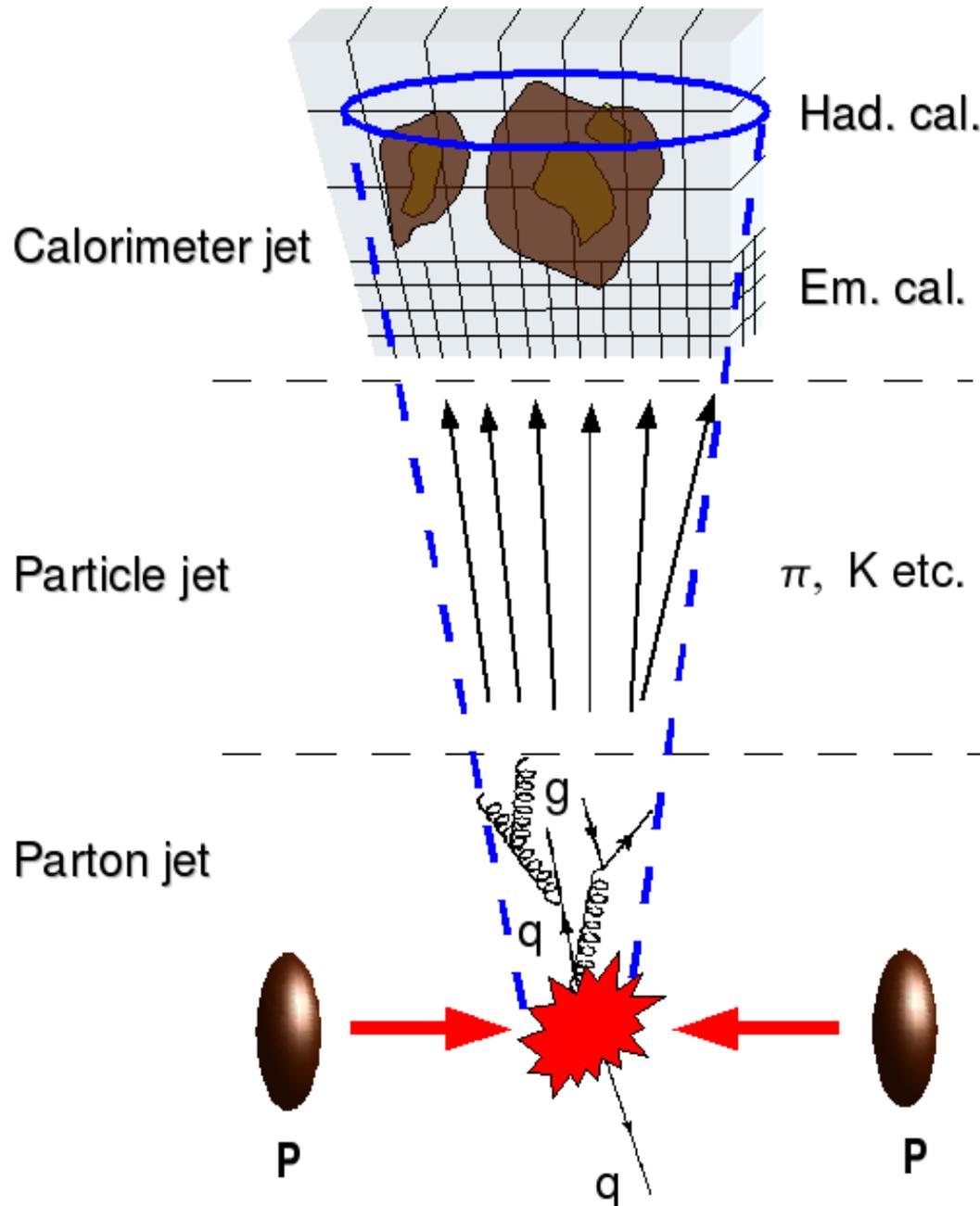
# Electrons/Photons at LHC (3)

- Electrons (and photons) undergo **complicated pattern**:
  - electrons radiates brem photons, which may convert in  $e^+e^-$ , possibly also “breeming”, and subsequent photon convert, ... **BEFORE** reaching the ECAL surface



- Need to develop **complex reconstruction algorithm** to collect brem/conversion: super-clustering, extension of Kalman filter, ...

# From single hadrons to Jets

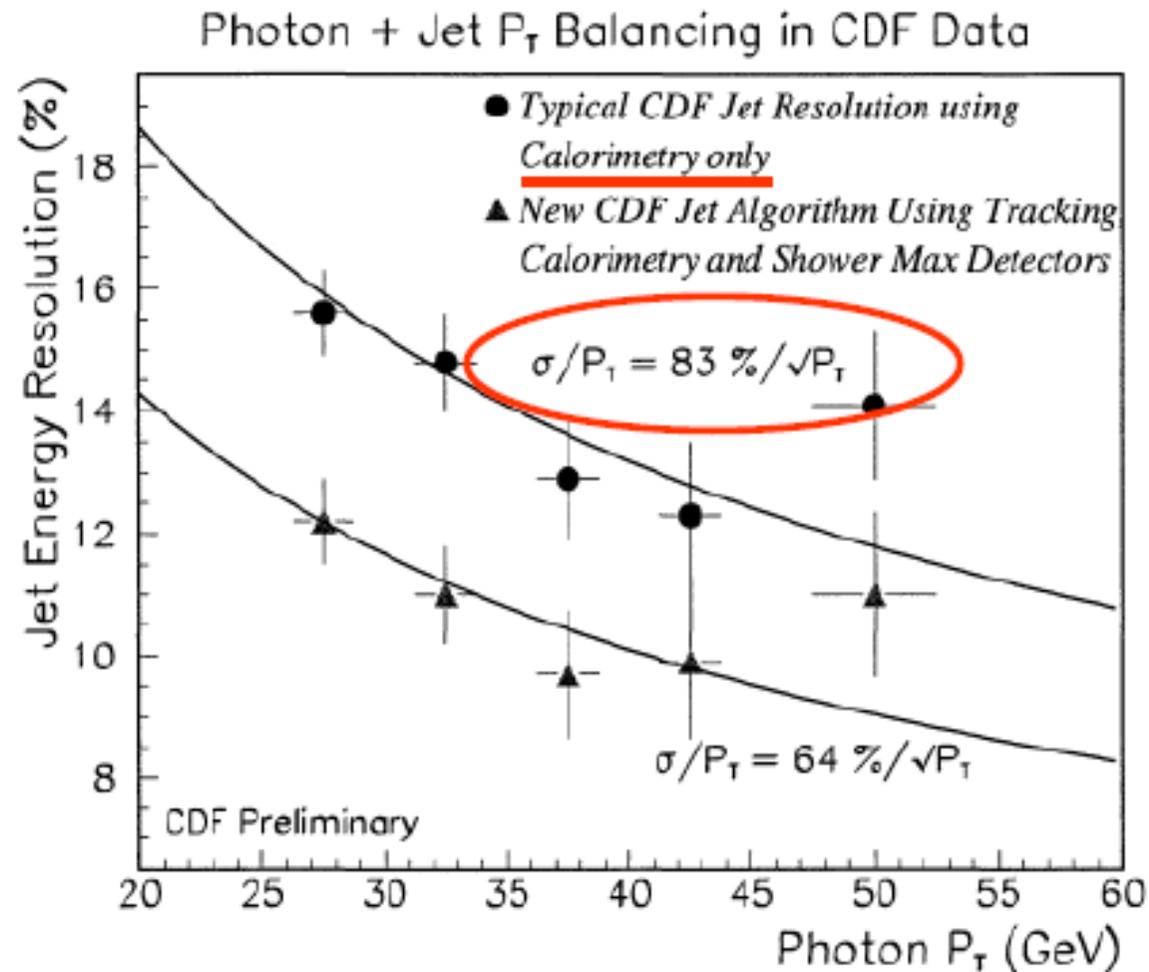


- At (hadrons) colliders, quarks & gluons produced a collection of particles via fragmentation.
- This **(collimated) sum of particles** (pions, kaons, p, n, electron/ $\gamma$ , ..) is **called a jet**.
- Reconstructed with “cone” algorithms
  - Various flavors...
- Jets are important signatures at LHC too (dijet resonance, VBF, ...)

# Jets vs single particle resolution

## Jets at CDF @ TeVatron

		Central	Plug
EM	thickness	19 $X_0$ , 1 $\lambda$	21 $X_0$ , 1 $\lambda$
	sample(Pb)	0.6 $X_0$	0.8 $X_0$
	sample(scint.)	5 mm	4.5 mm
	wavelength sh.	sheet	fiber
	resolution	$\frac{13.5\%}{\sqrt{E_T}} \oplus 2\%$	$\frac{14.5\%}{\sqrt{E}} \oplus 1\%$
HAD	thickness	4.5 $\lambda$	7 $\lambda$
	sample(Fe)	25-50 mm	50 mm
	sample(scint.)	10 mm	6 mm
	wavelength sh.	finger	fiber
	resolution	$\frac{50\%}{\sqrt{E_T}} \oplus 3\%$	$\frac{70\%}{\sqrt{E}} \oplus 4\%$

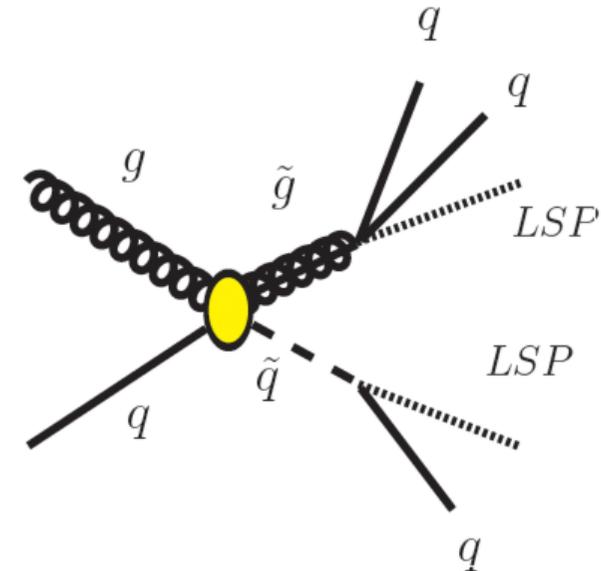
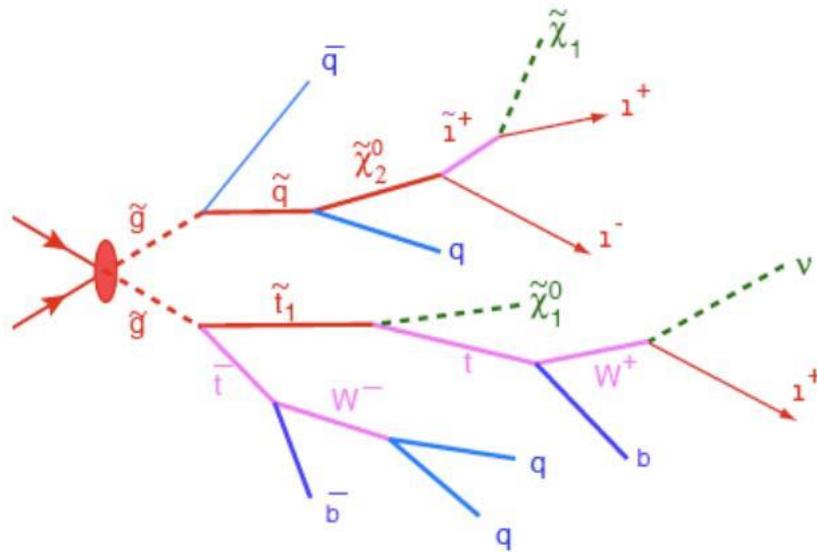


**Jets performance in calorimeter worst than single hadron performance**

Contribution from physics (parton shower/fragmentation, ISR/FSR, Underlying Event, ...), detector (granularity, resolution, ...) and clustering algorithm (out of "cone" energy losses) !

# Measuring the invisible...

- Neutrinos produced in collisions escape detection:  $W \rightarrow e\nu$ ,  $Z \rightarrow \nu\nu$ , ...
- Many BSM processes involves “invisible” particles: Dark Matter, Neutralinos from SUSY, ...



- Way to quantify these “invisible” particles, Missing Transverse Energy (MET):

$$\vec{E}_T^{miss} = -\sum_i \vec{E}_T^i$$

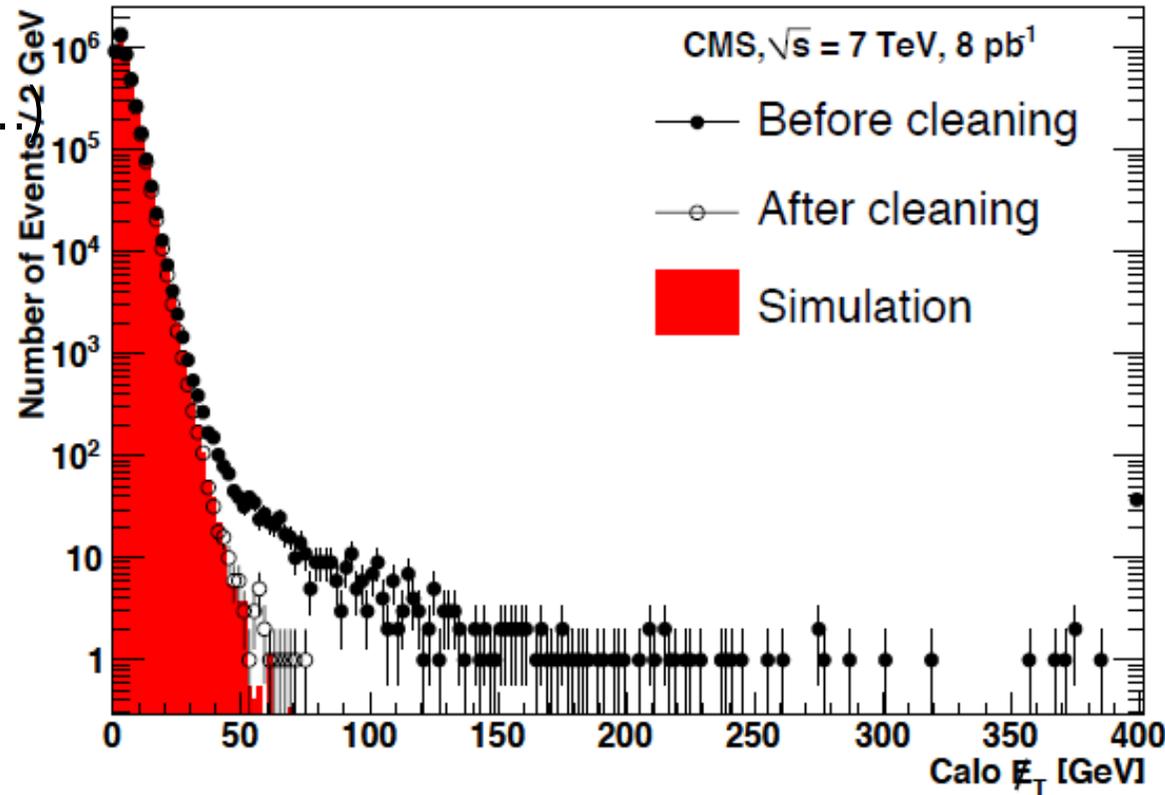
final states particles transverse momenta  
(or the way they are reconstructed in a given  
device: calo cluster/tower, ...)

# Missing Transverse Energy (1)

➤ In practice, very difficult quantity to understand, calibrate, ...

➤ **Affected by:**

- Mis-reconstructed objects (e/g, jets, ...)
- Instrumental effects:
  - Noise
  - Dead or hot calorimeters cells
- Cosmic ray brem,
- beam halo,
- Poorly instrumented area
- Pile-up (PU),
- .....

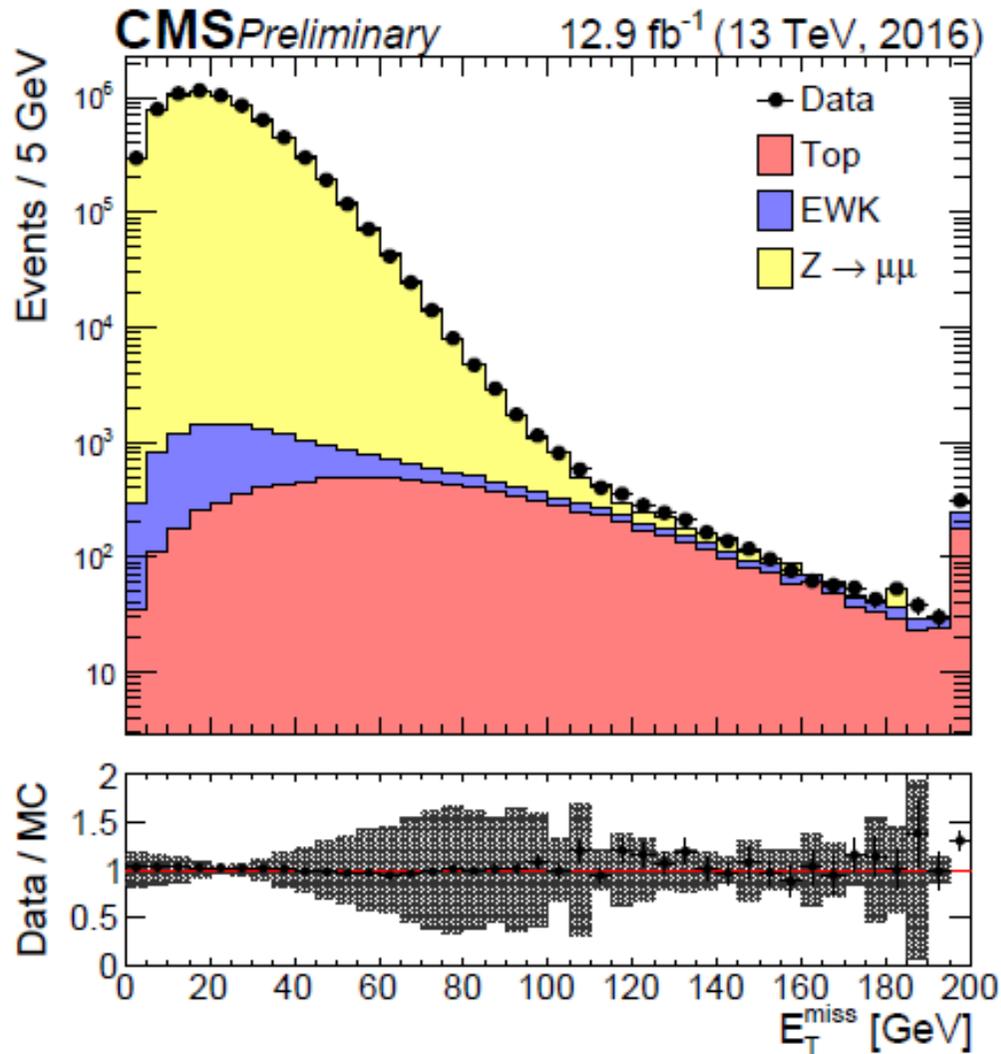


➤ Fake MET thus appears naturally from various sources.

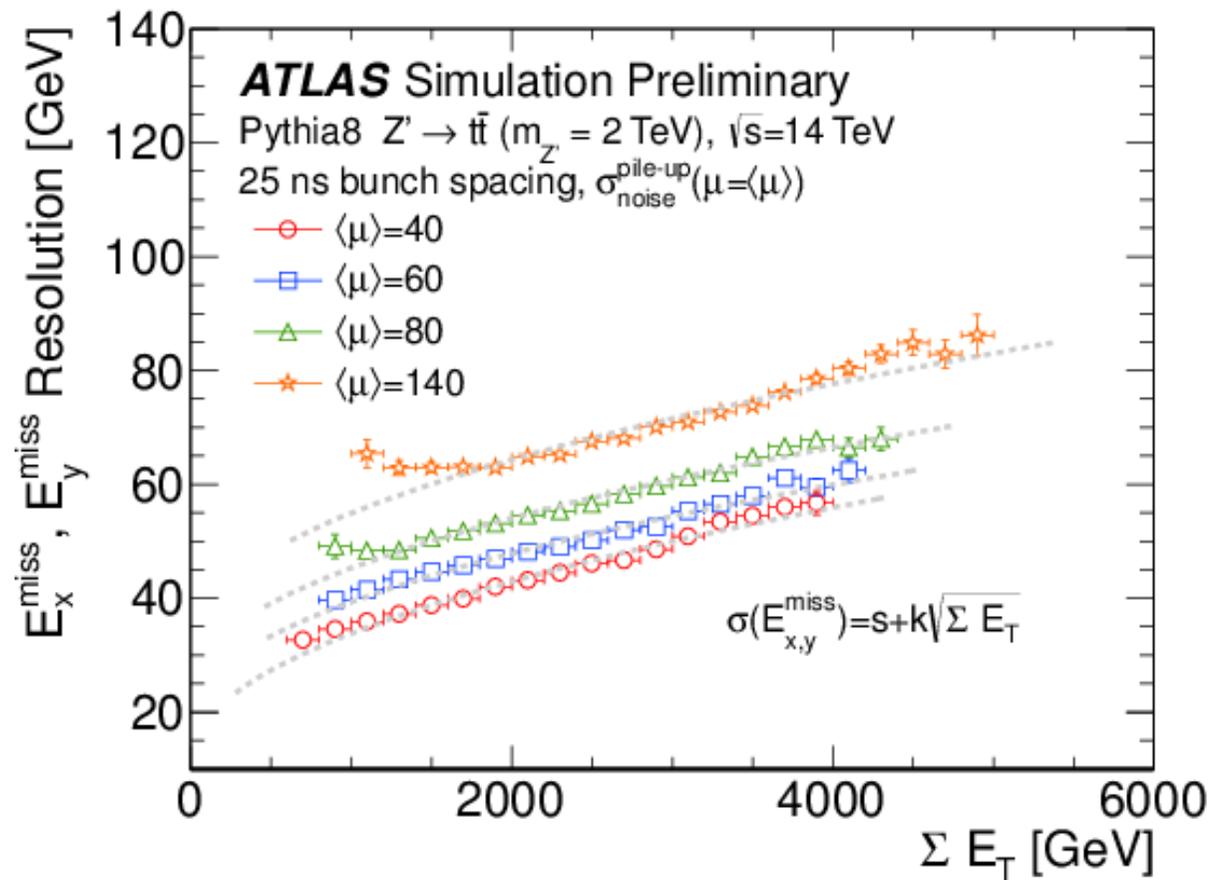
- **Need dedicated cleaning in order NOT to make fake discoveries**  
(e.g., BSM models tends to produced very high MET signals)

# Missing Transverse Energy (2)

MET well understood



Effect of Pile-Up on MET resolution



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# **BACK UP SLIDES**

## CMS ECAL: collecting the light

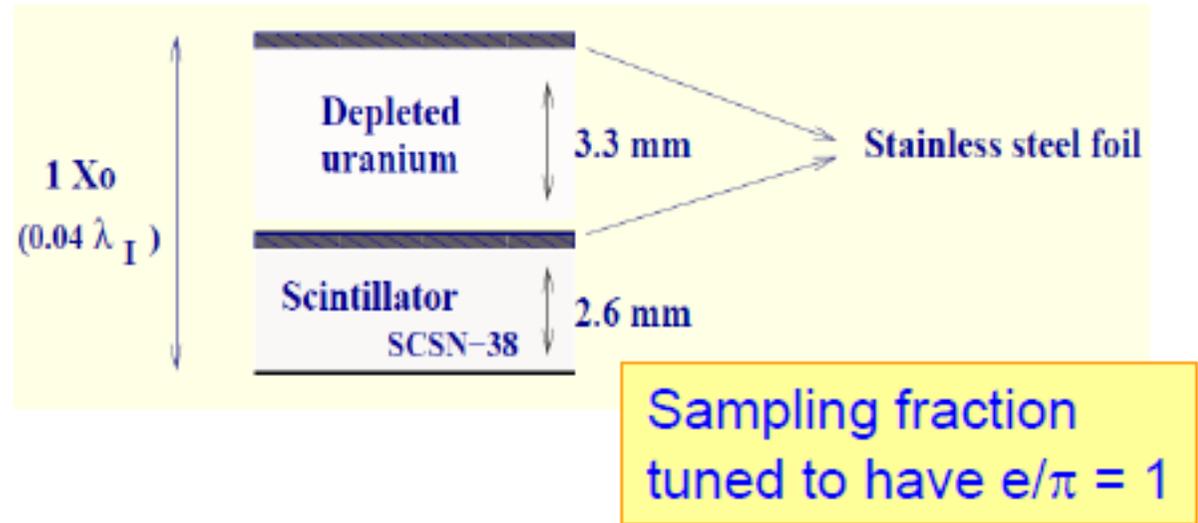
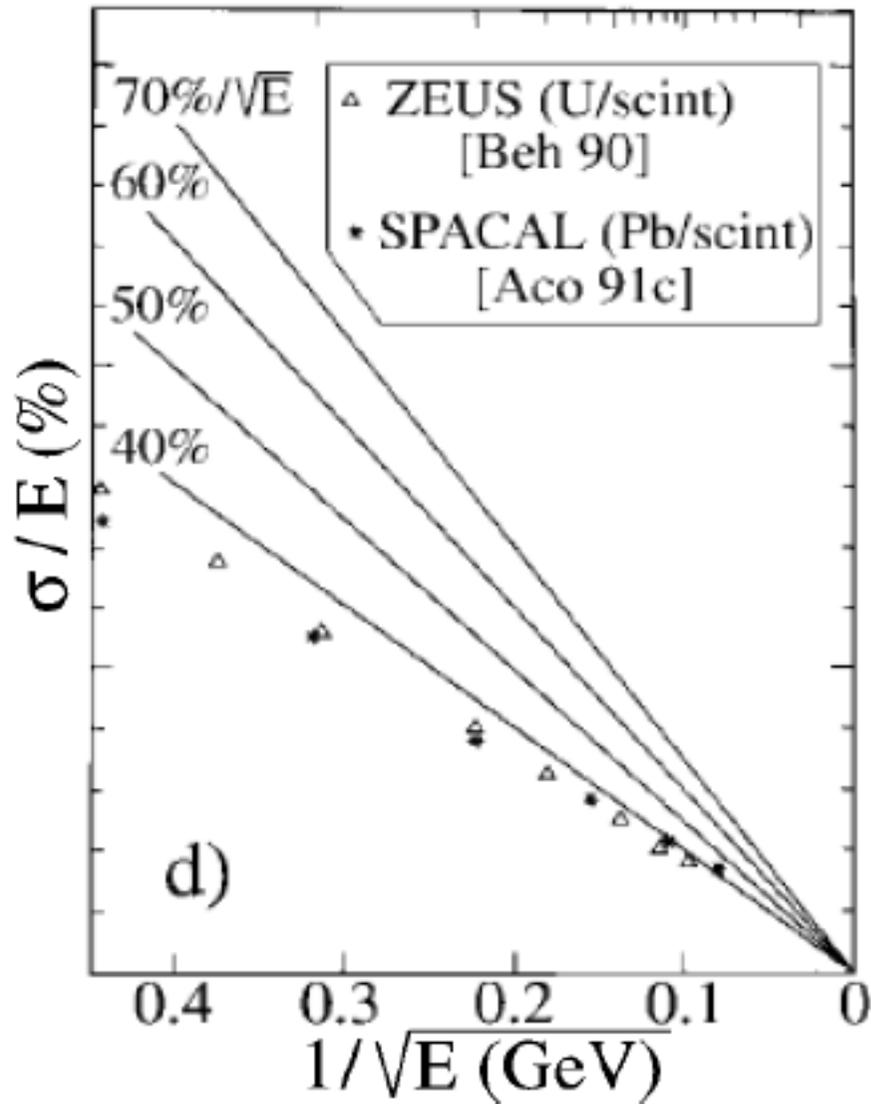
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- Cannot use PMT (affected by magnetic field) or PIN photodiodes (no internal amplification, too sensitive to charged particles)

Barrel crystals read by  
Avalanche Photo Diode

Endcap crystals read by  
Vacuum Photo Triode

# ZEUS calorimeter



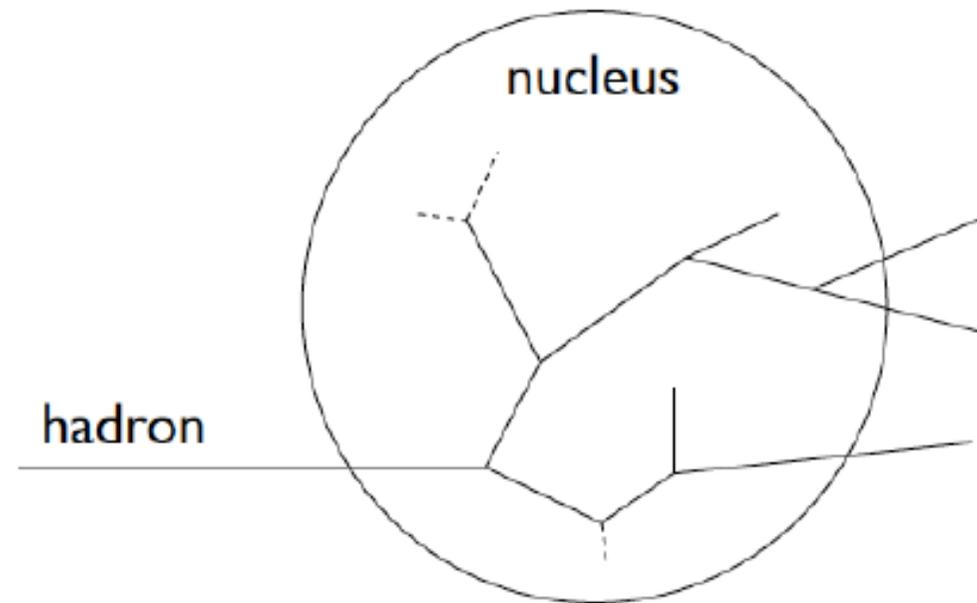
Excellent hadron resolution:

$$\sigma/E \text{ (hadrons)} = 0.35/\sqrt{E(\text{GeV})}$$

$$\sigma/E \text{ (electrons)} = 0.18/\sqrt{E(\text{GeV})}$$

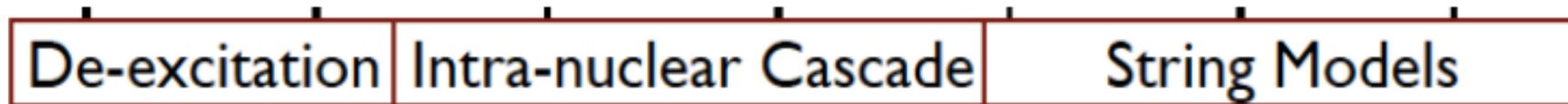
# “naïve” model (simulation programs)

Interaction of hadrons with  $10 \text{ MeV} < E < 10 \text{ GeV}$  via intra-nuclear cascades



- $\lambda_{\text{deBroglie}} \leq d \text{ nucleon}$
- nucleus = Fermi gas (all nucleons included)
- Pauli exclusion: allow only secondaries above Fermi energy

For  $E < 10 \text{ MeV}$  only relevant are fission, photon emission, evaporation, ...



1 MeV 10 MeV 100 MeV 1 GeV 10 GeV 100 GeV 1 TeV → 48