

# Neutrino oscillations

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GraSPA

26/07/17

# Overview

Non historical approach: minimal effort

- Atmospheric neutrinos: SK
- The saga of Solar neutrinos
- The trilogy: reactor neutrinos
- Fourth parts couldn't be better: accelerator neutrinos
- Teaser: DUNE

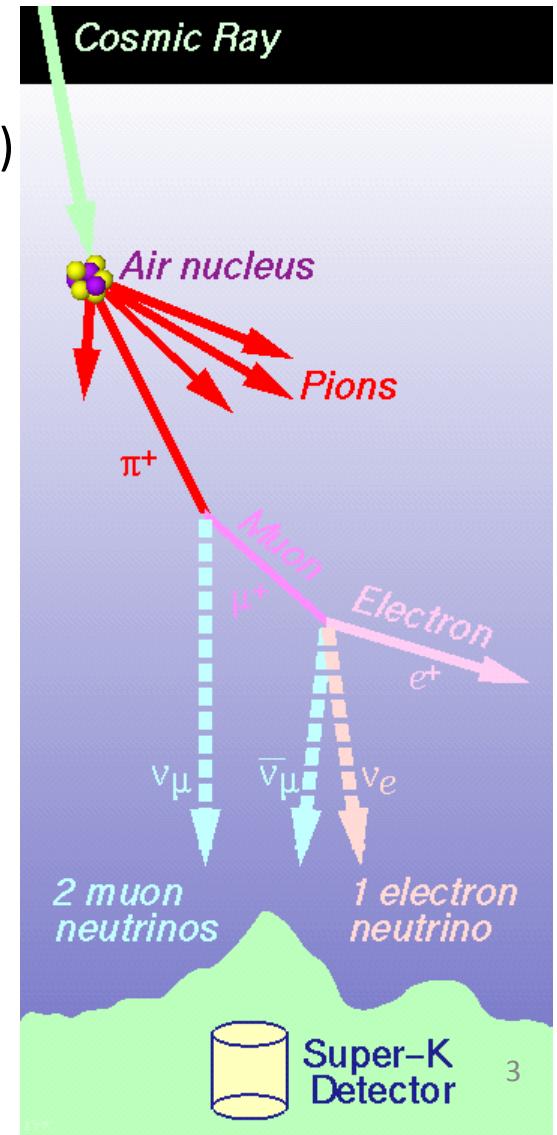
# Atmospheric neutrinos

- Cosmic rays collisions in upper atmosphere (15 km)
- Plenty of pions from hadronic interactions
- $\pi^+ \rightarrow \mu^+ \nu_\mu$  and  $\mu^+ \rightarrow e^+ \nu_e \bar{\nu}_\mu$

SO

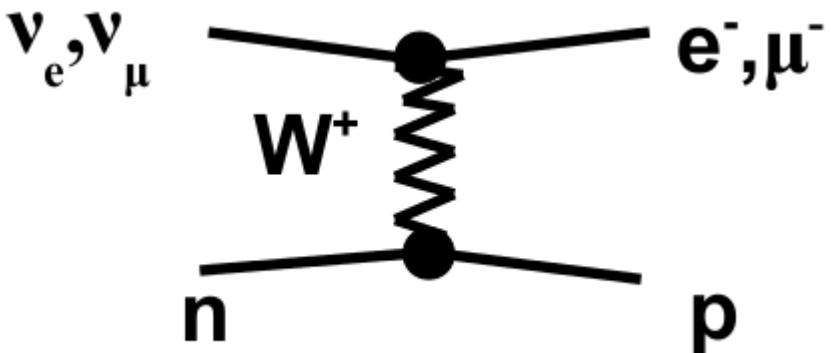
$$\nu_\mu : \nu_e = 2 : 1$$

(known better than 3% below 5 GeV)

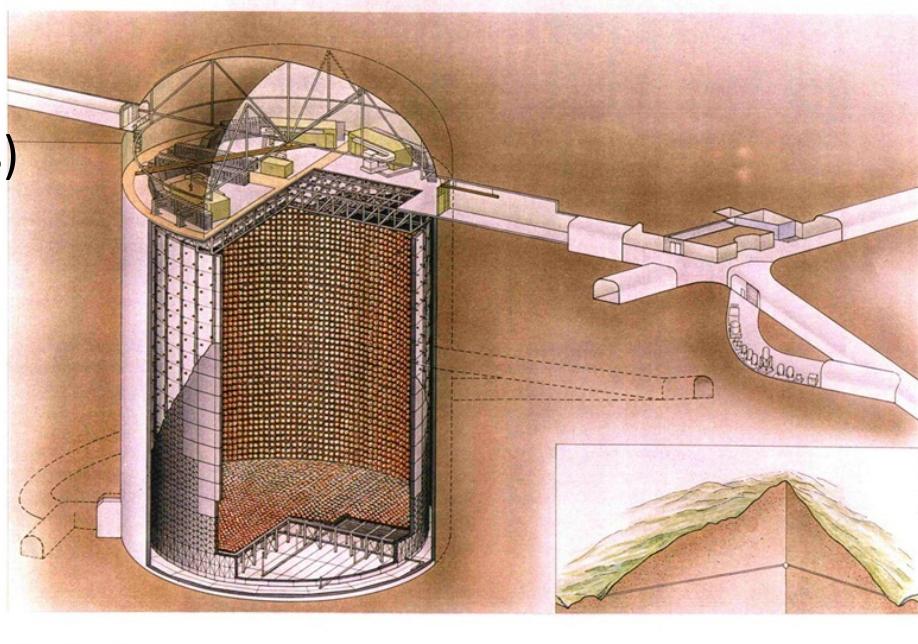


# Water Cerenkov detectors

- Huge underground water tanks surrounded by photomultiplier tubes (PMTs)

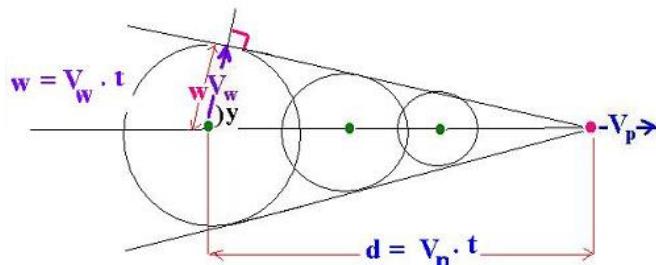


- Interacting particles produce light, light gives electrical signal in PMTs



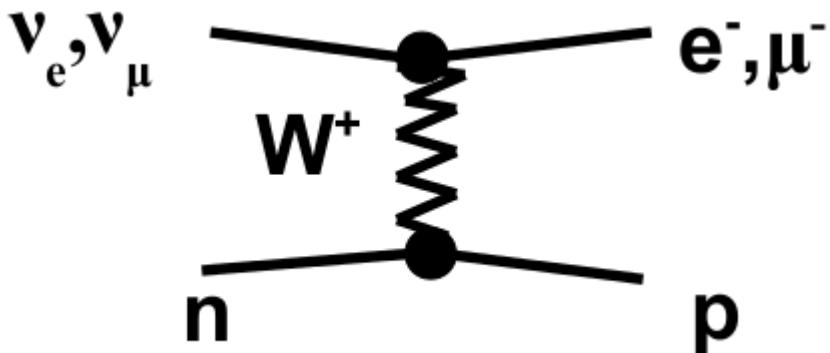
**Cerenkov effect:** particles faster than speed of light in medium radiate light (e.g. **blueish light** in nuclear reactors)

- Ex: (Super-)KamiokaNDE et SNO

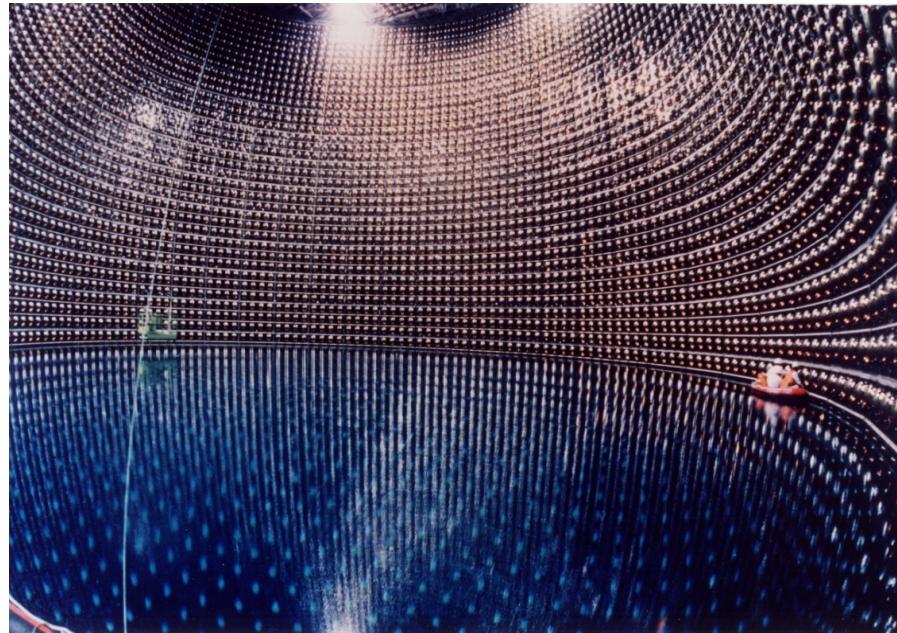


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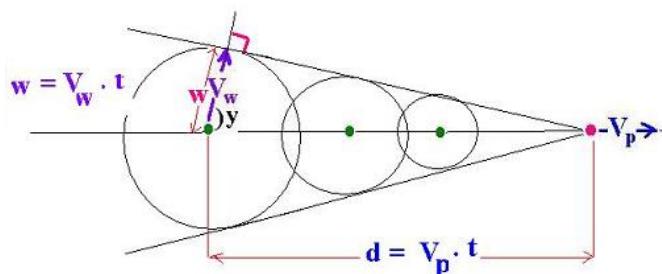


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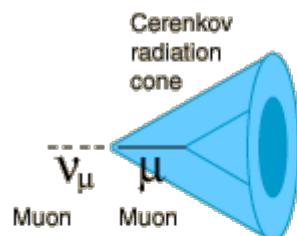
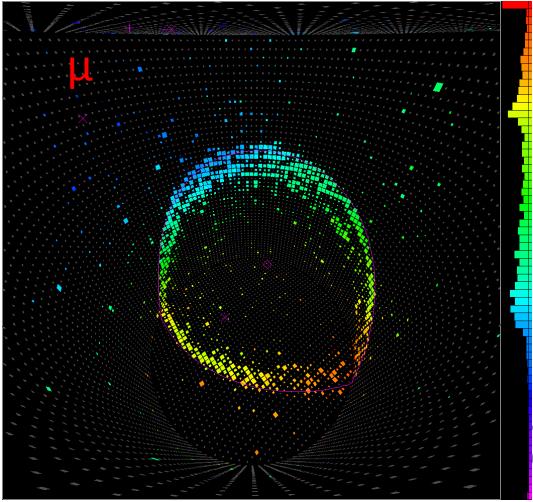
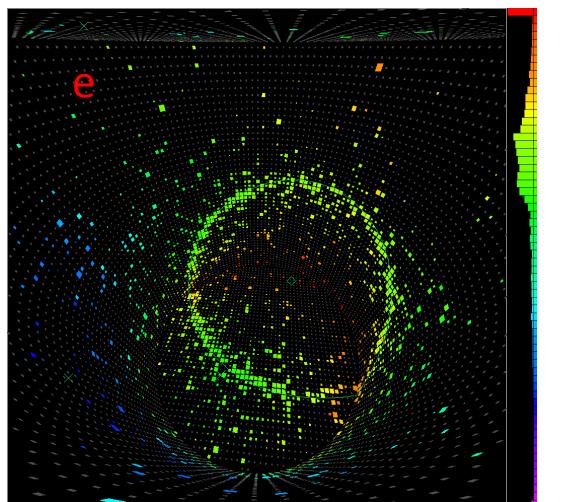
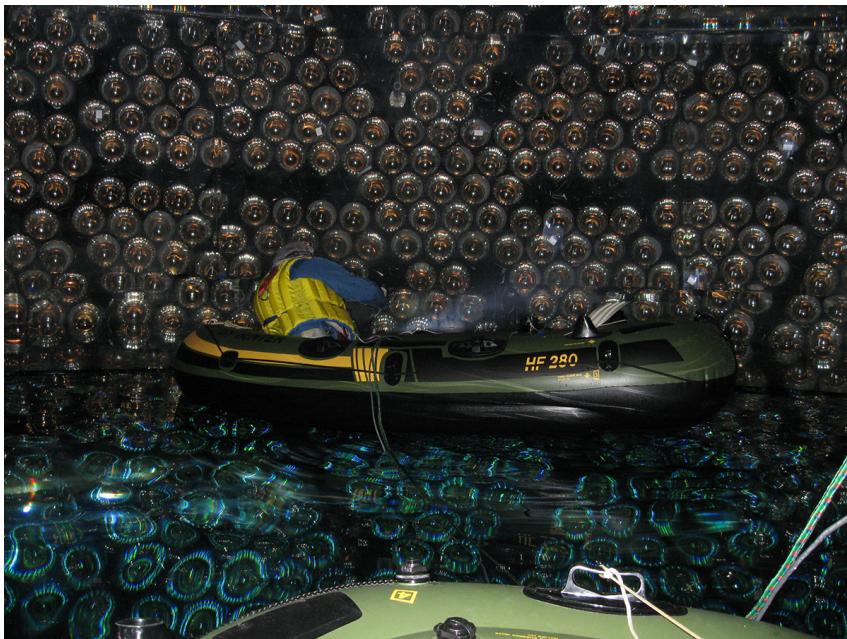
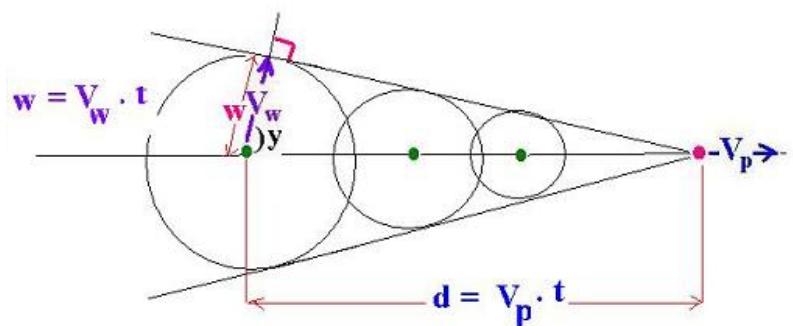
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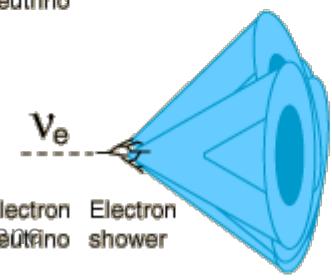


# Water Cerenkov detectors

- SNO et (Super-)KamiokaNDE
- Directionality from Cerenkov cone
- Energy from total collected light
- Distinction between electrons and muons



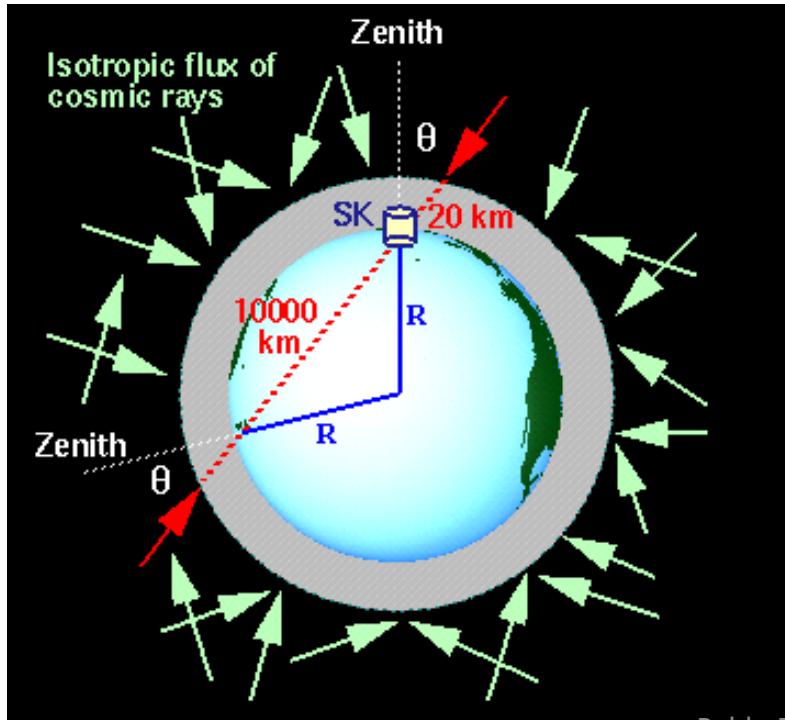
The Cerenkov radiation from a muon produced by a muon neutrino event yields a well defined circular ring in the photomultiplier detector bank.



The Cerenkov radiation from the electron shower produced by an electron neutrino event produces multiple cones and therefore a diffuse ring in the detector array.

# Super-KamiokaNDE

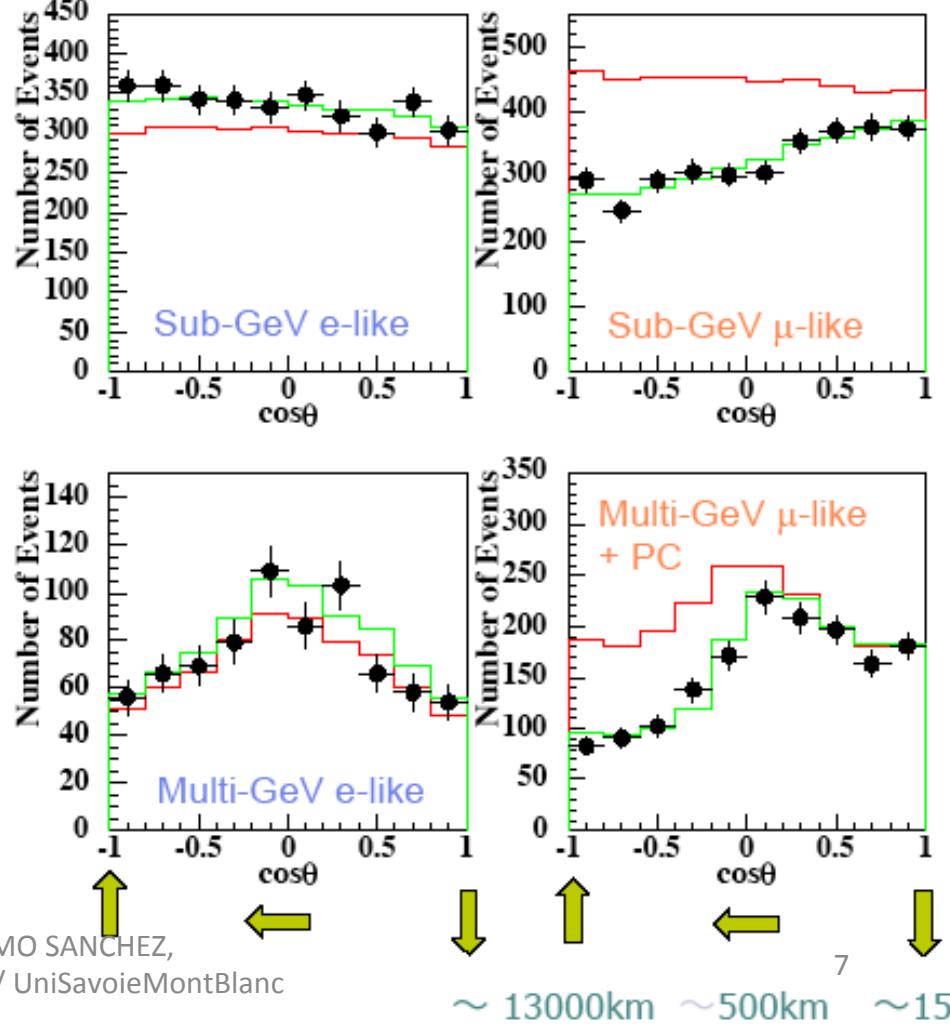
- 1000m deep, 50000 tons of water, 11000 PMTs
- Observed expected number of downgoing  $\nu_\mu$ , deficit in upgoing
- No excess in  $\nu_e$ , so  $\nu_\mu \rightarrow \nu_\tau$  ?



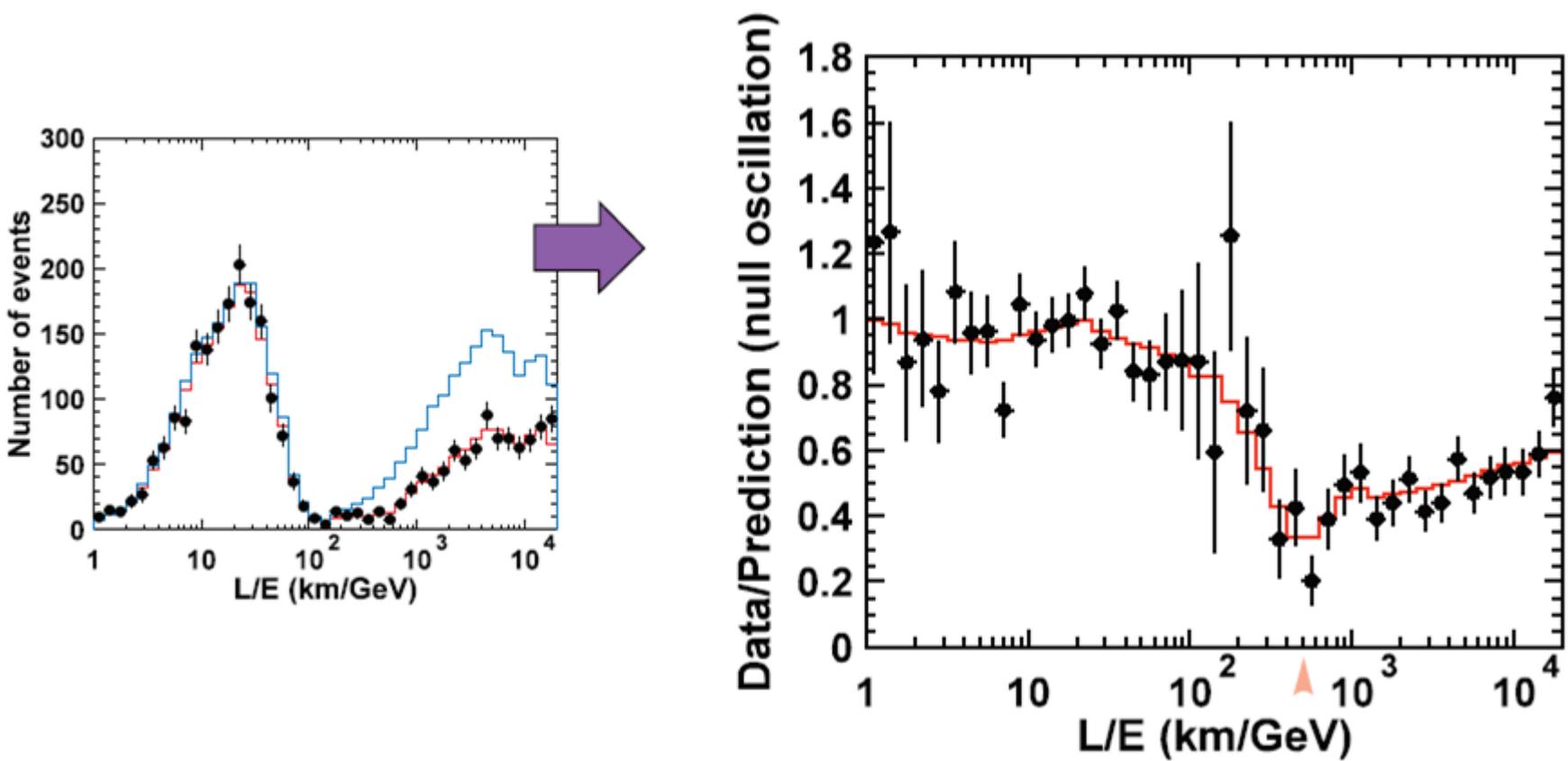
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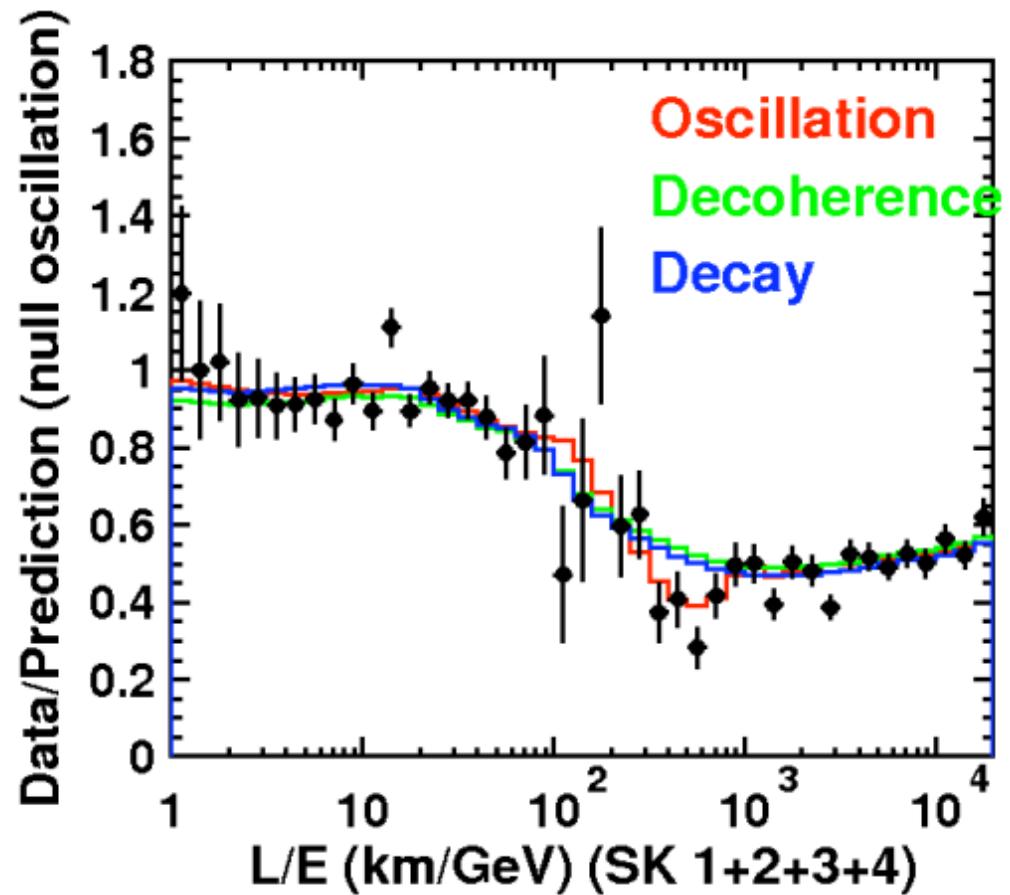
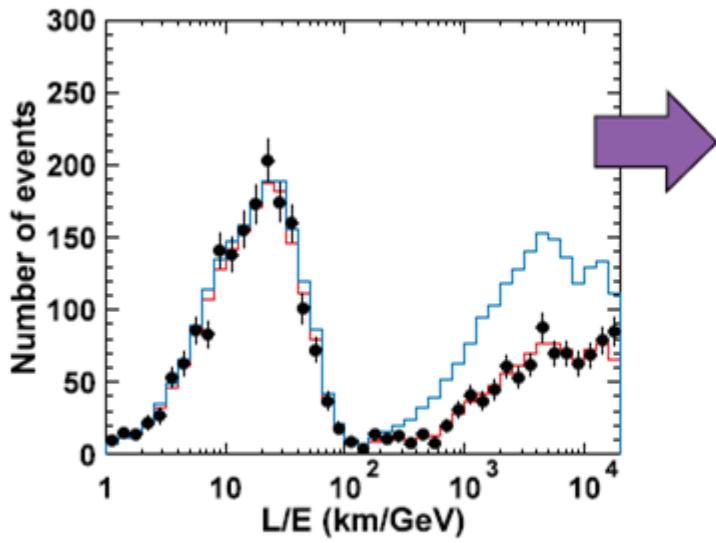
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# Atmospheric neutrinos disappear?

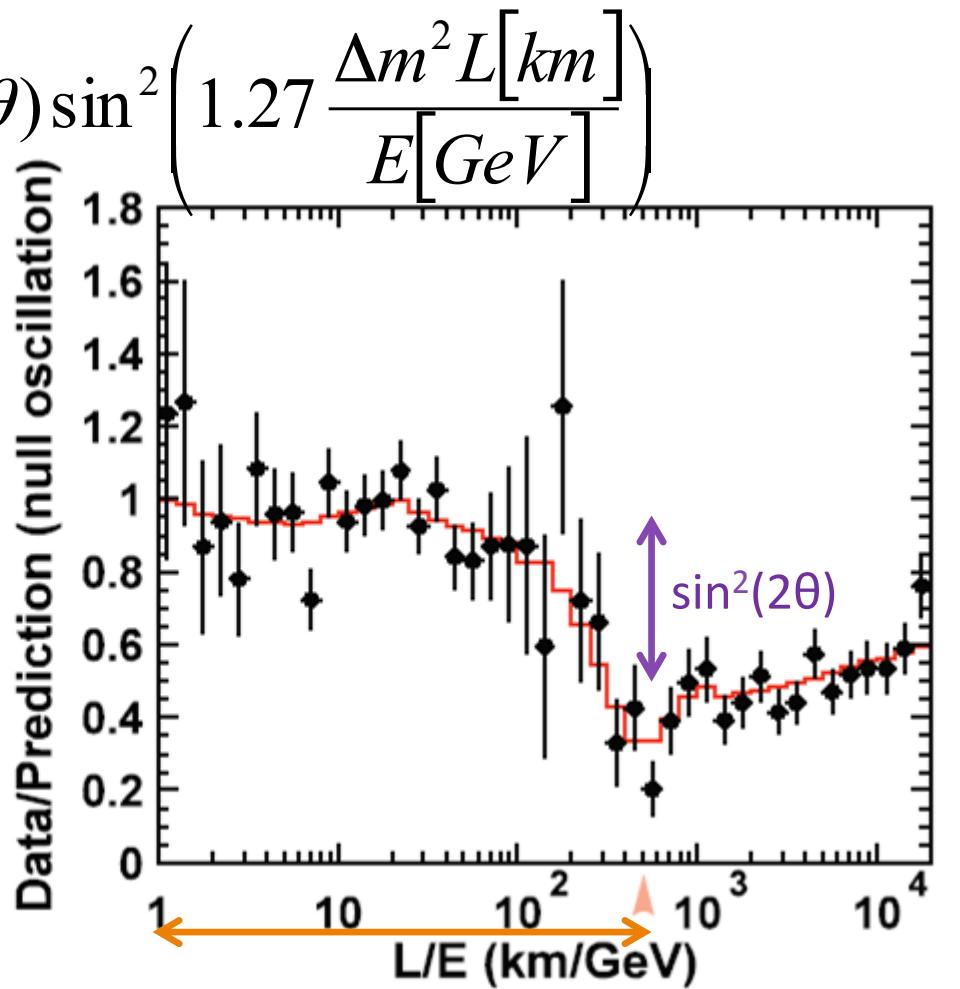
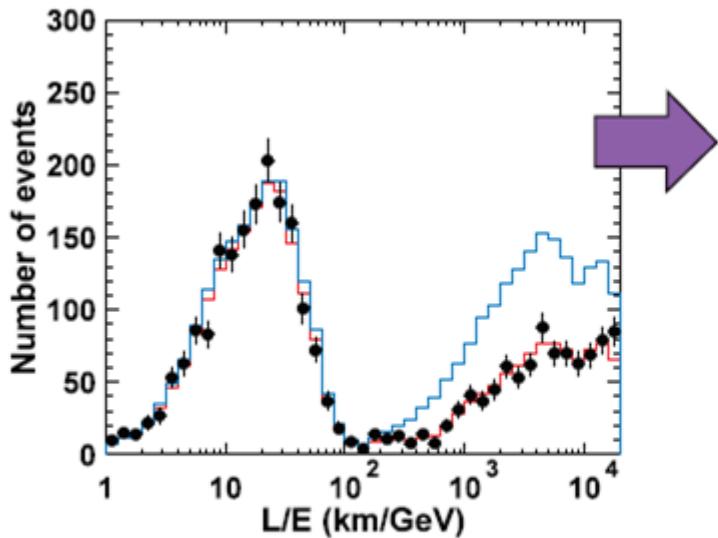


# Atmospheric neutrinos disappear?



# Atmospheric neutrinos oscillate!

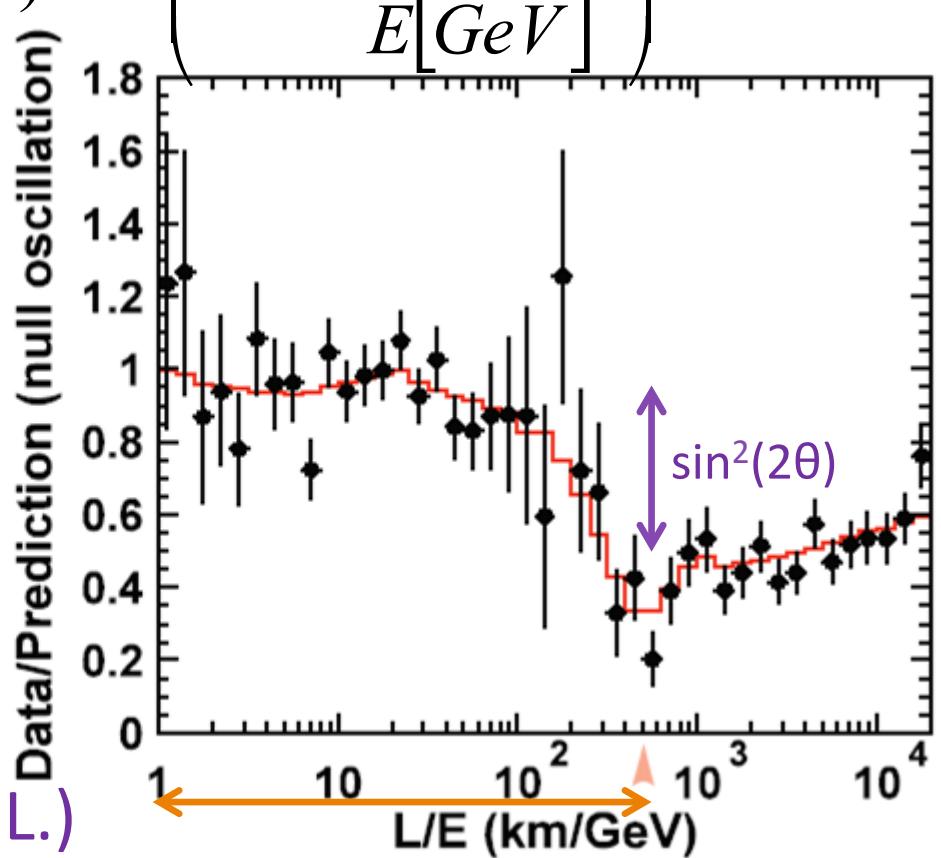
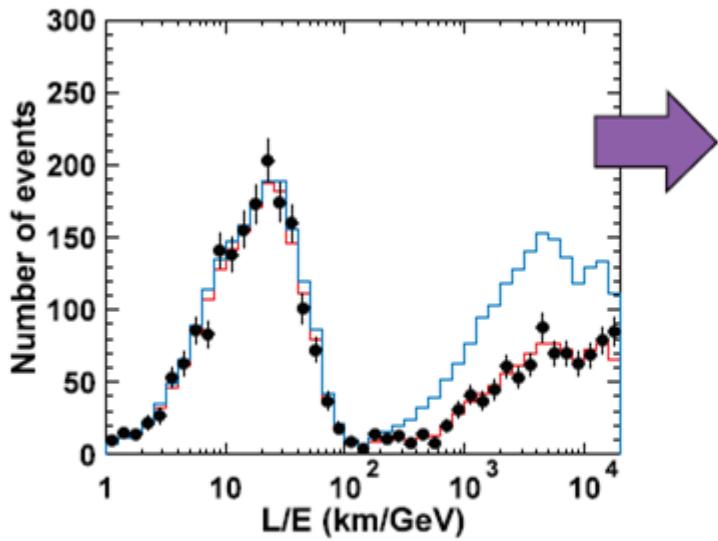
$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2(2\theta) \sin^2\left(1.27 \frac{\Delta m^2 L [km]}{E [GeV]}\right)$$



$$L/E \sim 500 \text{ km/GeV} \leftrightarrow \Delta m^2 \sim 2.3 \times 10^{-3} \text{ eV}^2$$

# Atmospheric neutrinos oscillate!

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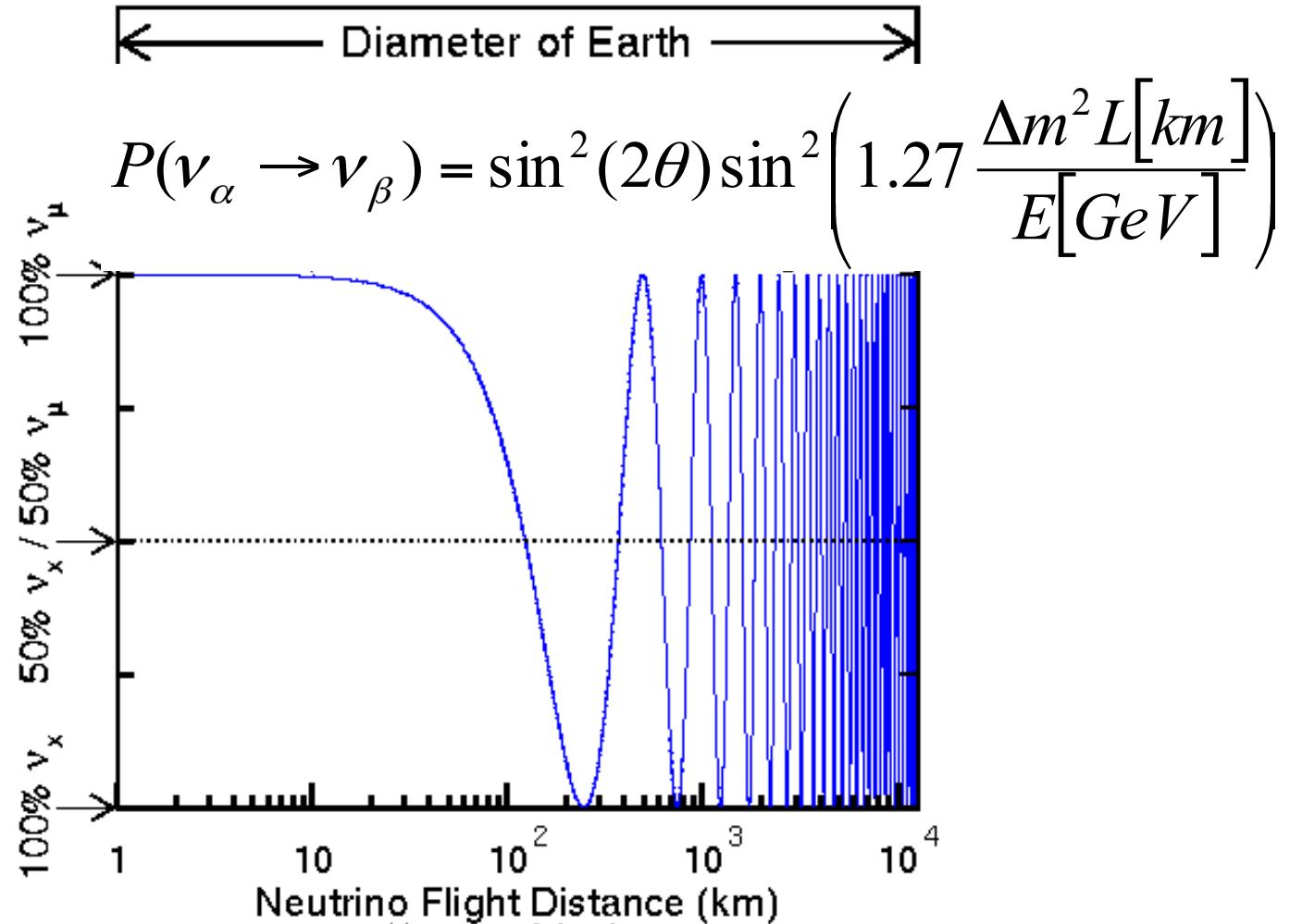
$$\sin^2(2\theta) = 1.00 (>0.93 \text{ 90% C.L.})$$

$$\Delta m^2 = (2.50 \pm 0.27) \times 10^{-3} \text{ eV}^2 \quad L/E \sim 500 \text{ km/GeV} \leftrightarrow \Delta m^2 \sim 2.3 \times 10^{-3} \text{ eV}^2$$

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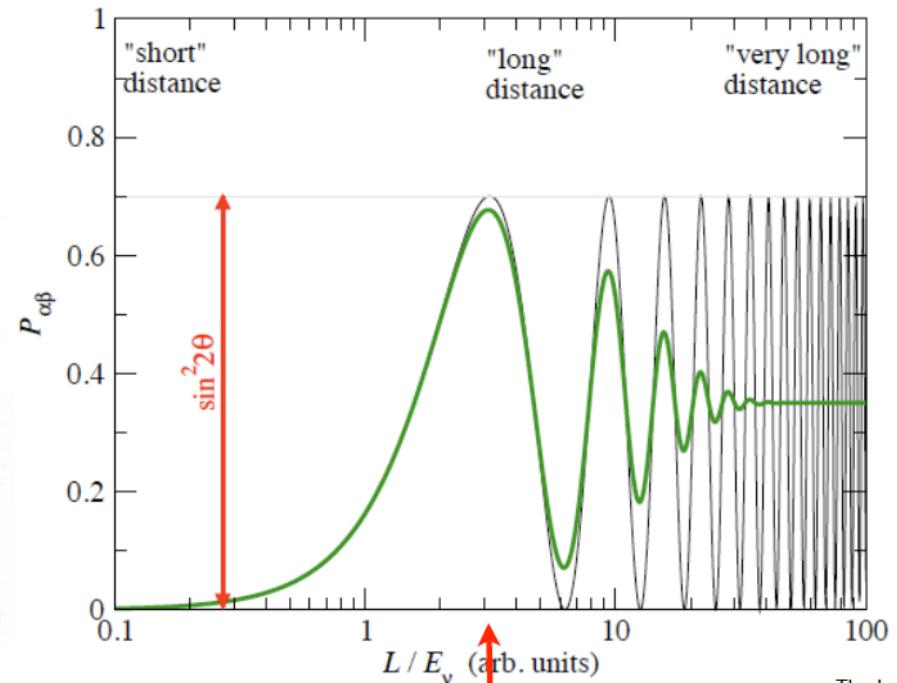
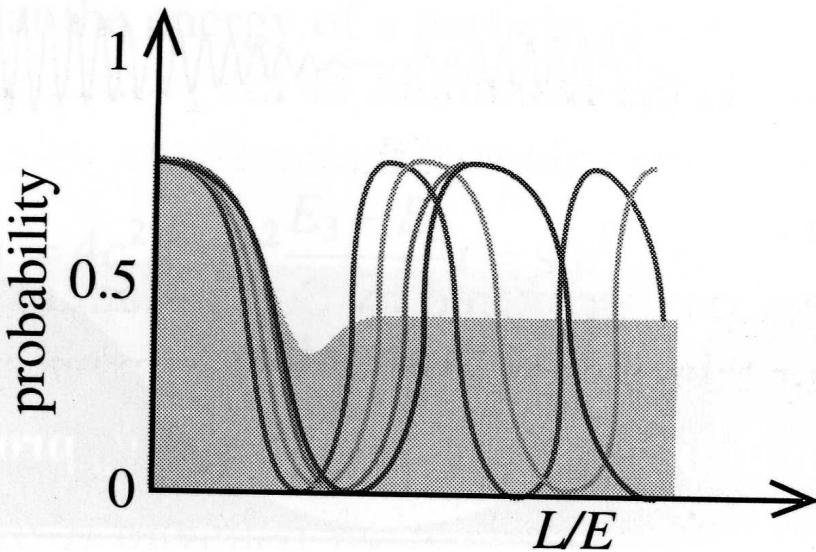
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# But why don't we see this?



# Because...

- Two effects:
  - Neutrinos not monochromatic  $\rightarrow$  different oscillation lengths
  - Experimental resolution: if too close, maxima and minima blurred

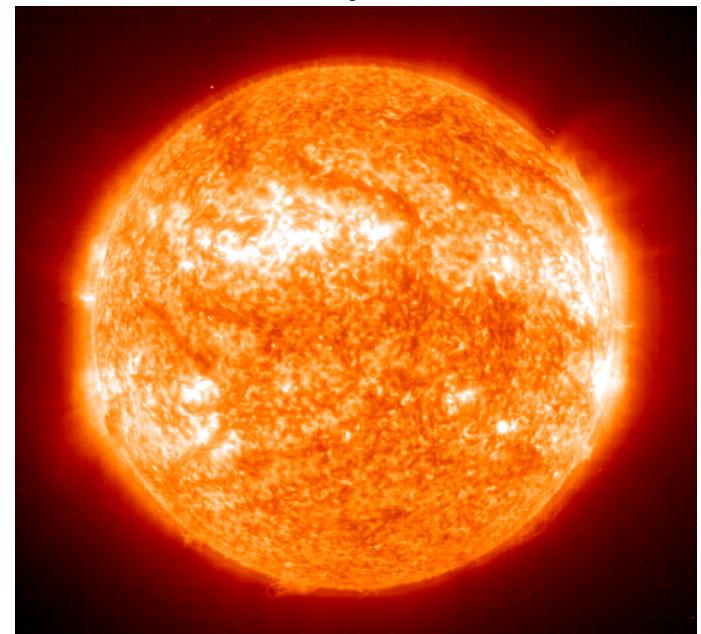
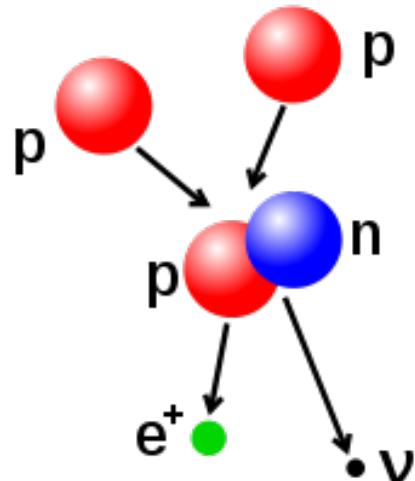
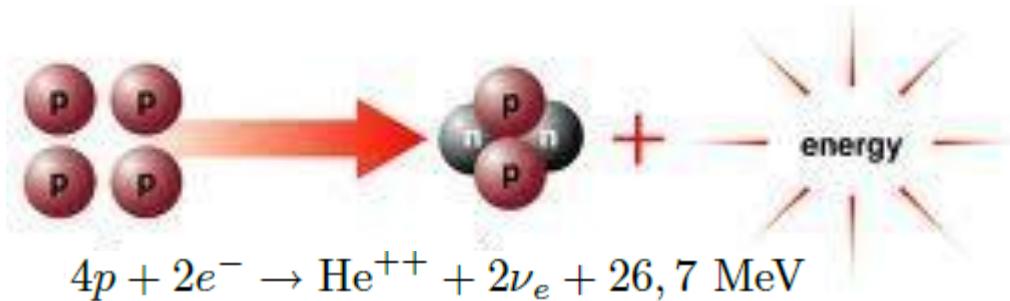


Thanks to T. Schwetz

# The solar neutrino saga

# Neutrinos from the Sun

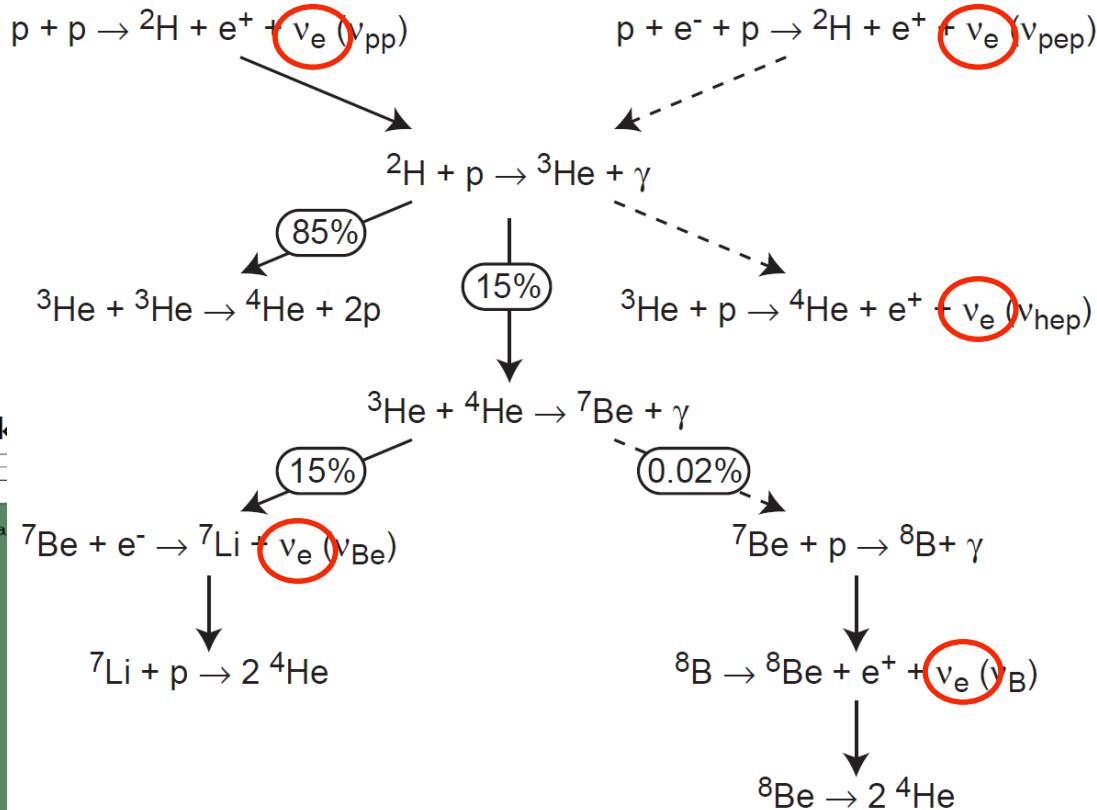
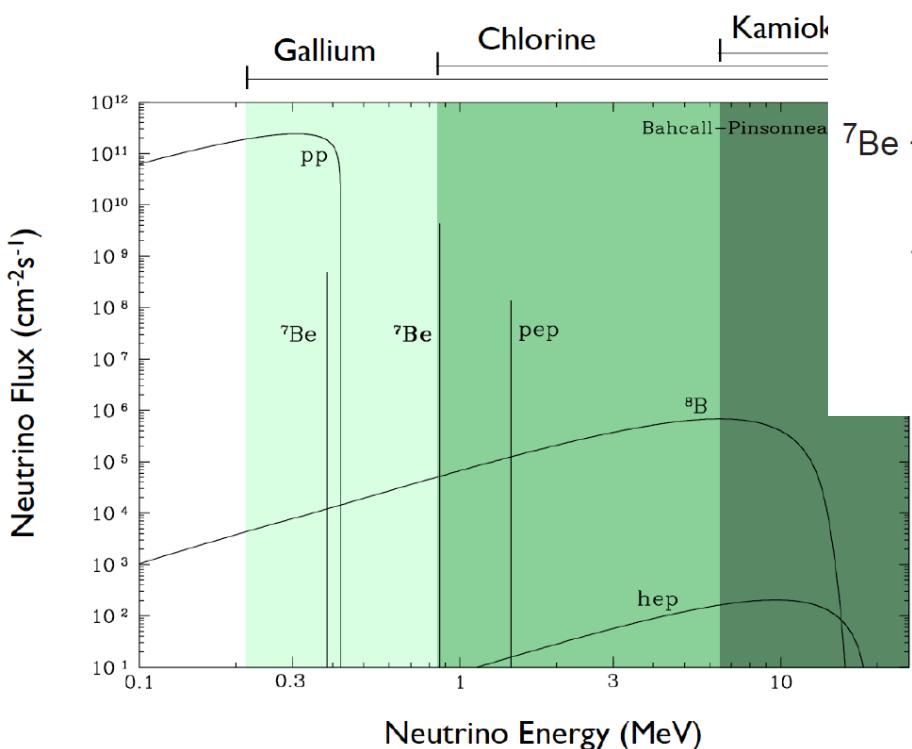
- Hydrogen fusion in the Sun requires inverse beta decay:



$$\begin{aligned}\text{Solar constant} &= 1361 \text{ J/s m}^2 \\ \Phi_{\nu_e}^{\text{sun}} &= 6.4 \times 10^{14} \nu_e / \text{s m}^2\end{aligned}$$

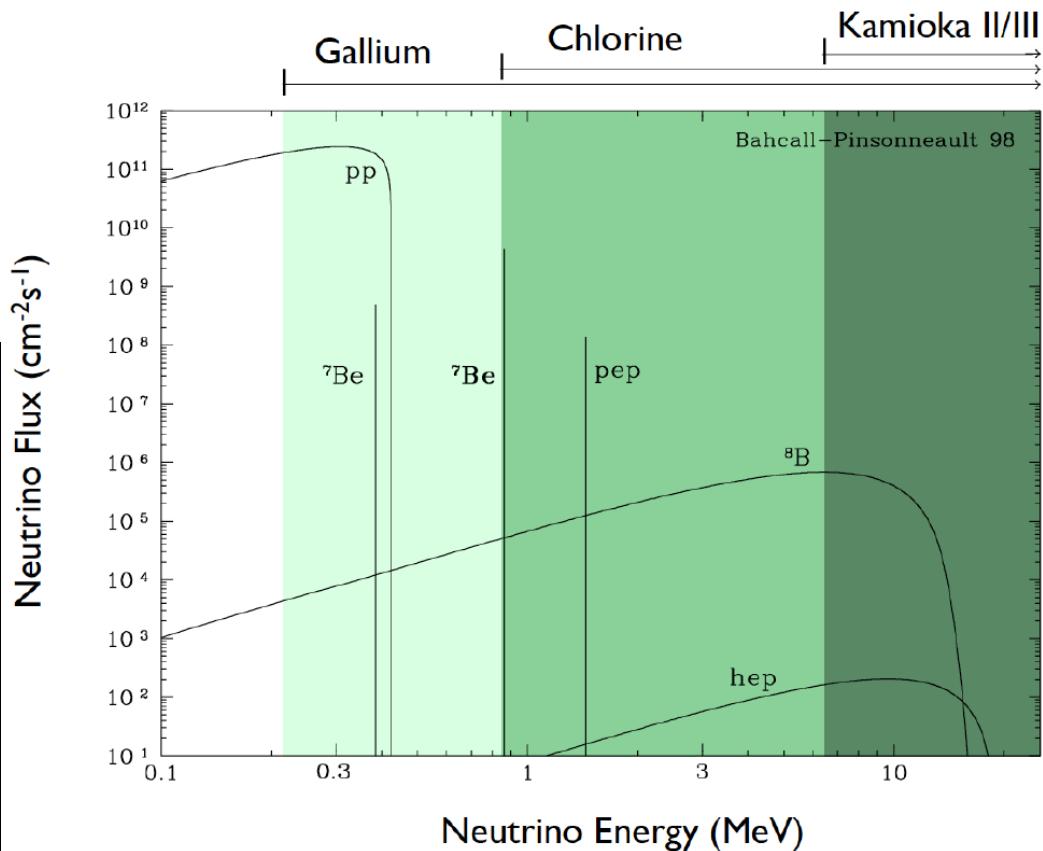
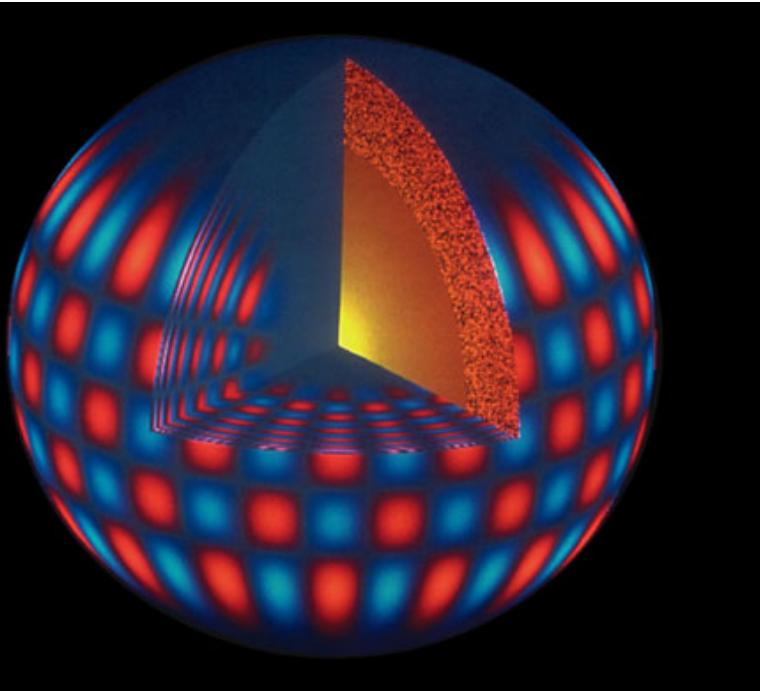
# Neutrinos from the Sun

- Neutrino flux from the Sun  
accurately predicted (Bahcall et al)



# Neutrinos from the Sun

- Neutrino flux from the Sun accurately predicted (Bahcall et al)
- Model in good agreement with results from helioseismology



# Homestake experiment

Late 1960s: Ray Davis set to test  $\nu_e$  flux predictions in underground mine (under 1500m of rock)

Experiment run for 30 years (till 1994):

observed  $2.56 \pm 0.23$  SNU  
expected  $8.2 \pm 1.8$  SNU

} ~30%

1 Solar Neutrino Unit =  $10^{-36}$  interactions/s atom

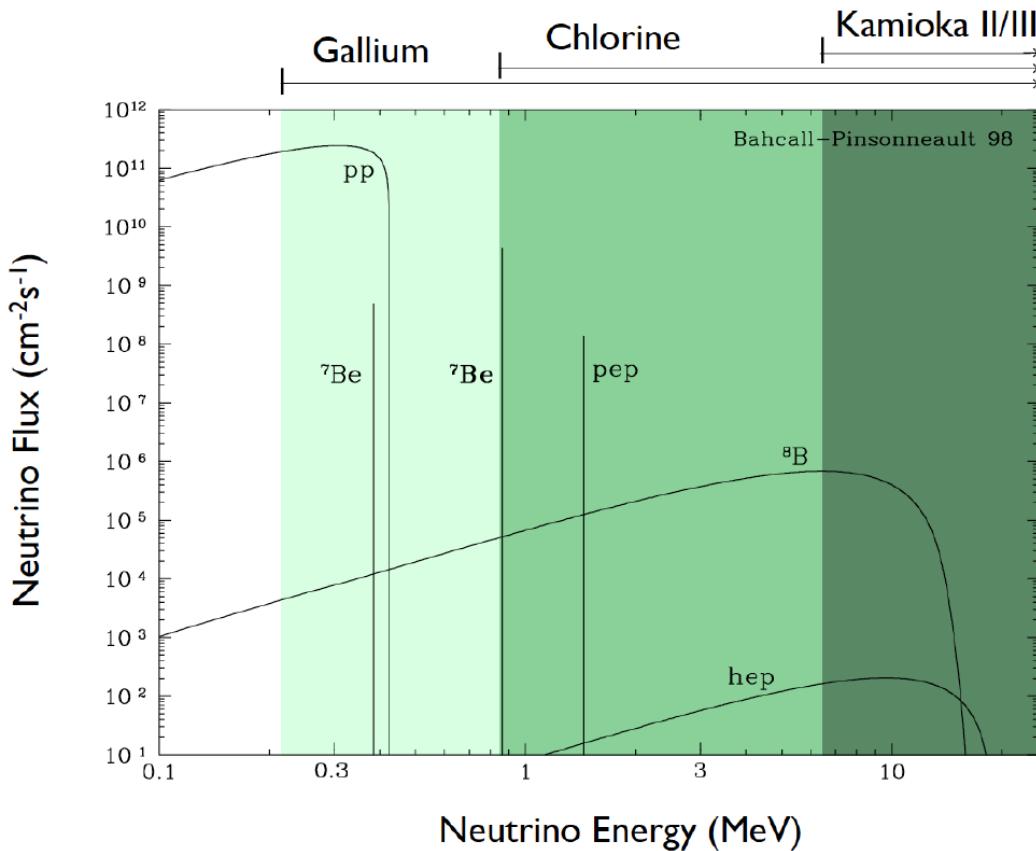


- **Homestake:**  $\nu_e + ^{37}\text{Cl} \rightarrow ^{37}\text{Ar} + e^-$ 
  - Located in Lead, SD
  - 615 tons of  $\text{C}_2\text{Cl}_4$  (Cleaning fluid)
  - Extraction method:
    - Pump in He that displaces Ar
    - Collect Ar in charcoal traps
    - Count Ar using radioactive decay
  - Never Calibrated with source

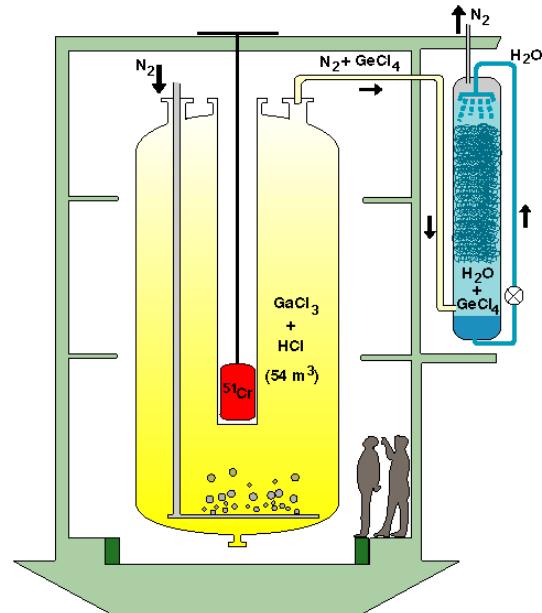


# Problems?

- Problems with experiment? With  $\nu_e$  flux predictions?
- Test other parts of the  $\nu_e$  spectrum with different experimental techniques

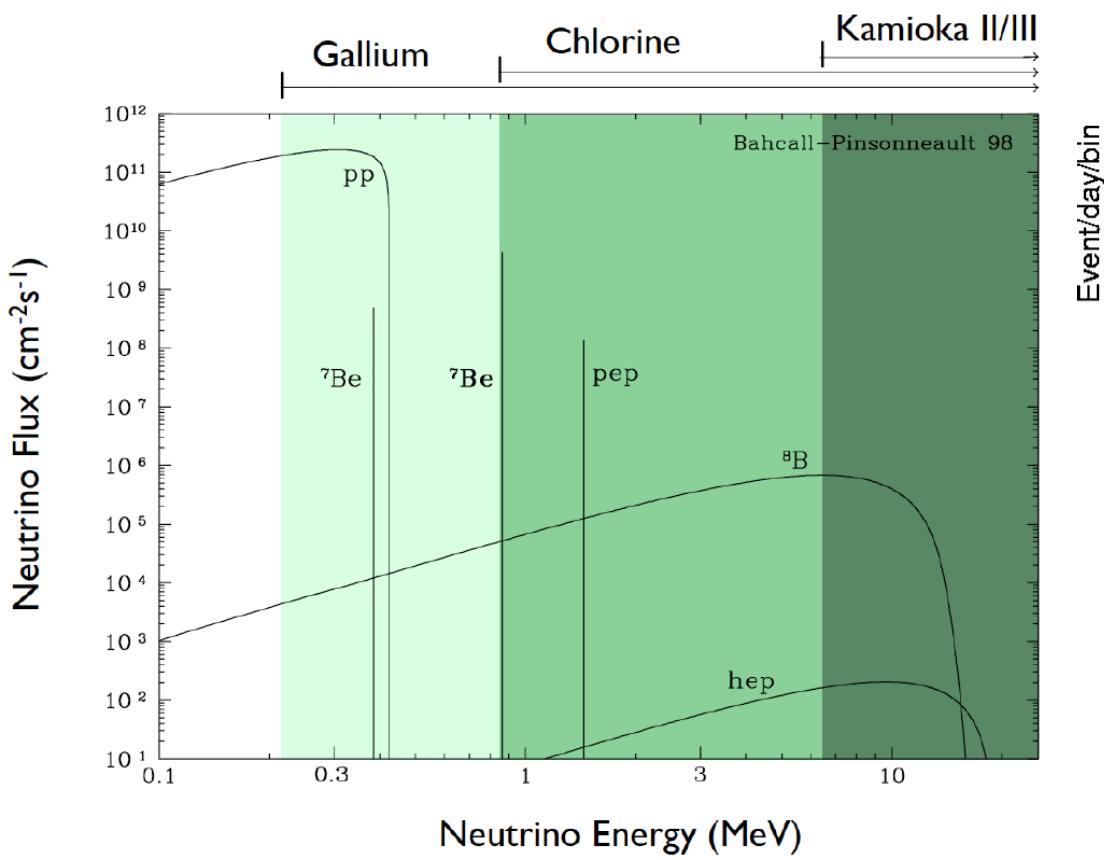


Gallex:  $\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$   
Observed  $68.1 \pm 3.75 \text{ SNU}$   
Expected  $127 \pm 12 \text{ SNU}$  }  $\sim 50\%$

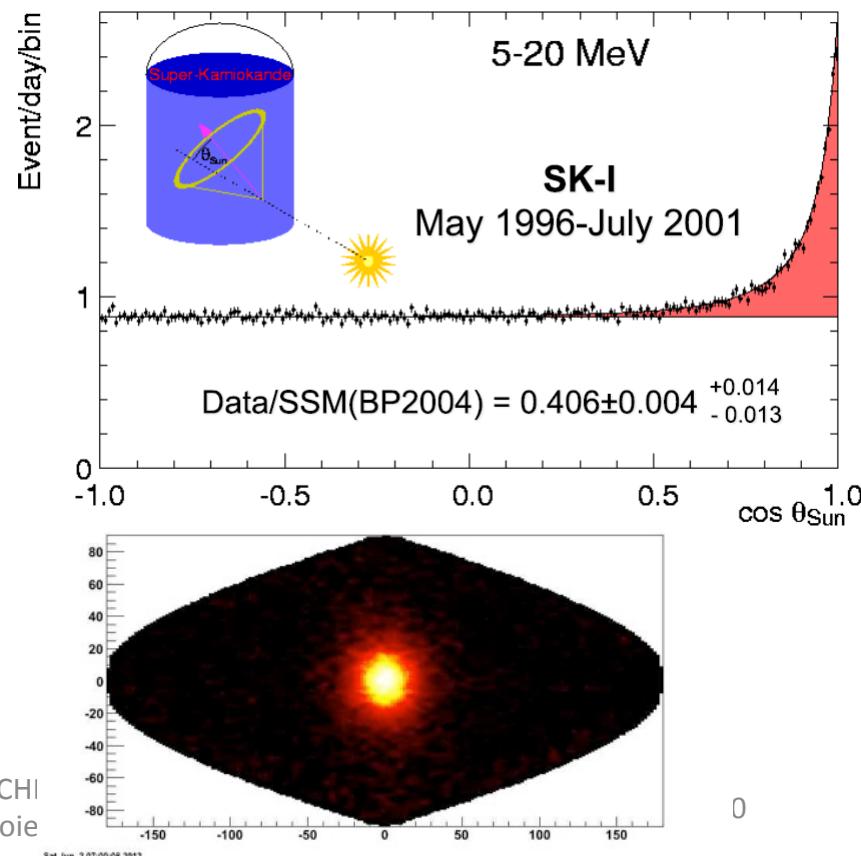


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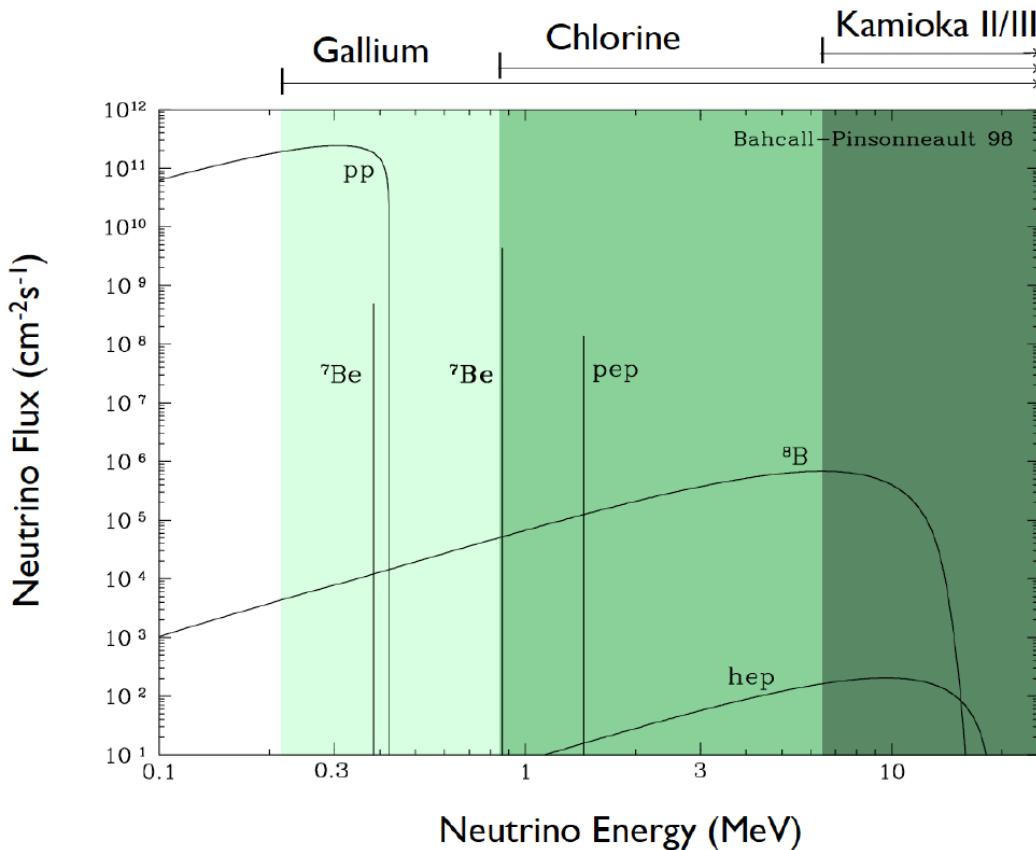


KamiokaNDE:  $\nu_e + e^- \rightarrow \nu_e + e^-$   
Observed  $\sim 40\%$  of expectation



# Problems?

- Problems with experiment? With  $\nu_e$  flux predictions?
- Test other parts of the  $\nu_e$  spectrum with different experimental techniques



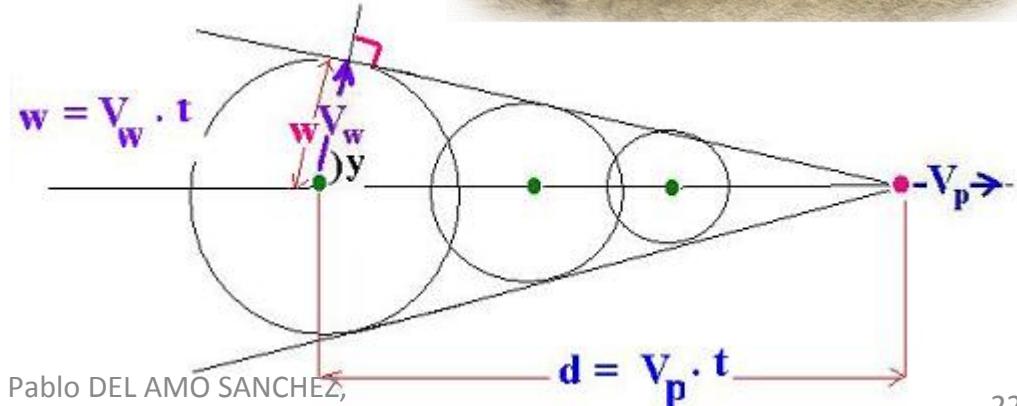
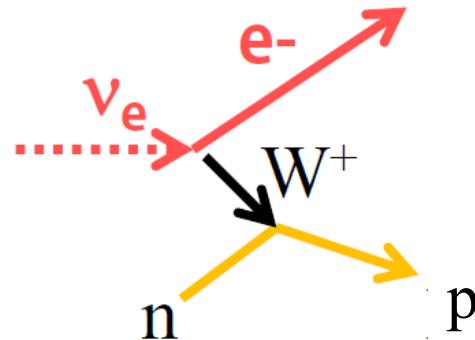
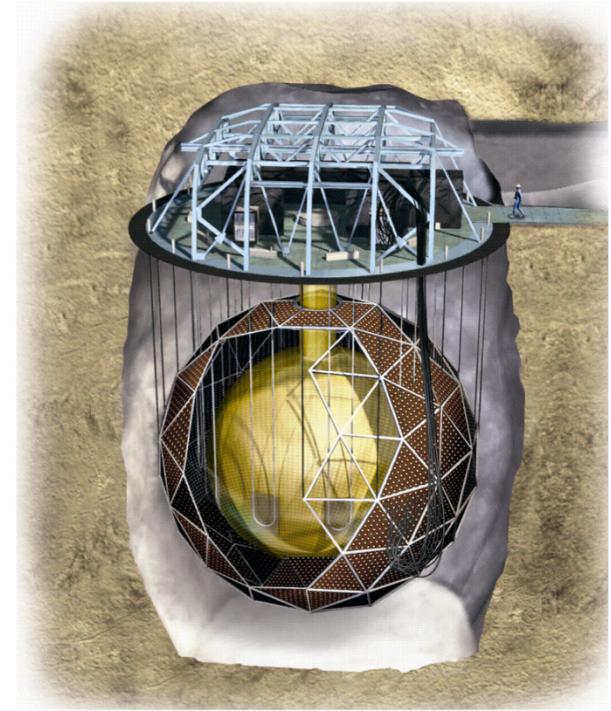
Experiment type	Observed/Expected
Chlorine	~30%
Gallium	~60%
KamiokaNDE	~40%

Perhaps neutrinos are oscillating after all, as suggested by Pontecorvo et al?  
These experiments only sensitive to  $\nu_e$  try and detect  $\nu_\mu$  and  $\nu_\tau$  too! → SNO

# Sudbury Neutrino Observatory (SNO)

- 2000 m deep (Sudbury, Ontario)
- Cosmics veto
- 1000 tons of Heavy water ( $D_2O$ ),  
shielded by 7000 tons light water ( $H_2O$ )  
seen by 9500 photomultiplier tubes (PMTs)
- So-called **Water Cerenkov detector**

Particles faster than speed of light in medium  
radiate light (e.g. blueish light in nuclear reactors)



# SNO

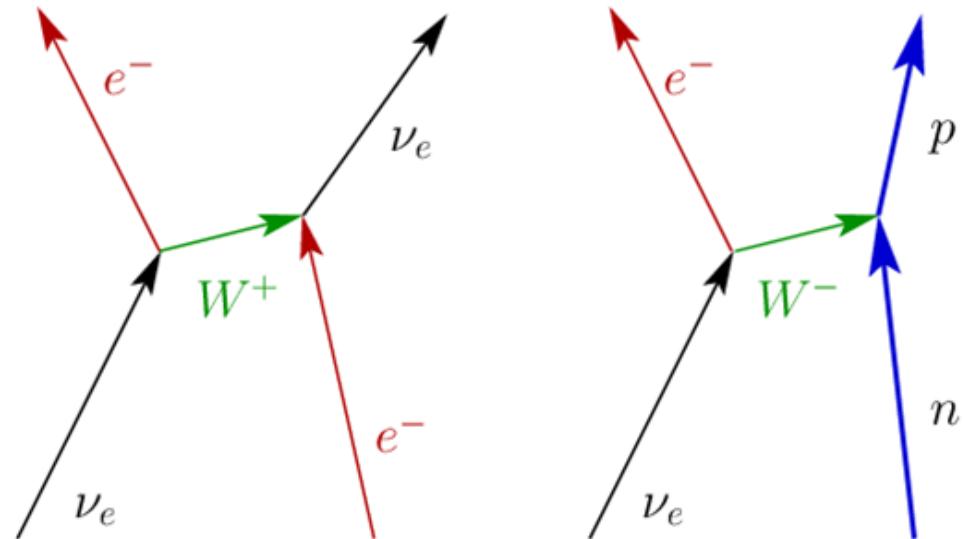
- SNO measures well  $\nu_e$  flux:

**CC** :  $\nu_e + d \rightarrow p + p + e^-$

- Good measurement of the  $\nu_e$  spectrum.
- Some directional information.
- Only sensitive to  $\nu_e$ .

**ES** :  $\nu_e + e^- \rightarrow \nu_e + e^-$

- Strong directional sensitivity.
- Low statistics.



Charged current

- Cannot see  $\nu_\mu$  /  $\nu_\tau$  flux in this way: neutrinos from Sun not energetic enough to produce heavy  $\mu$  or  $\tau$  particles in interactions

# SNO

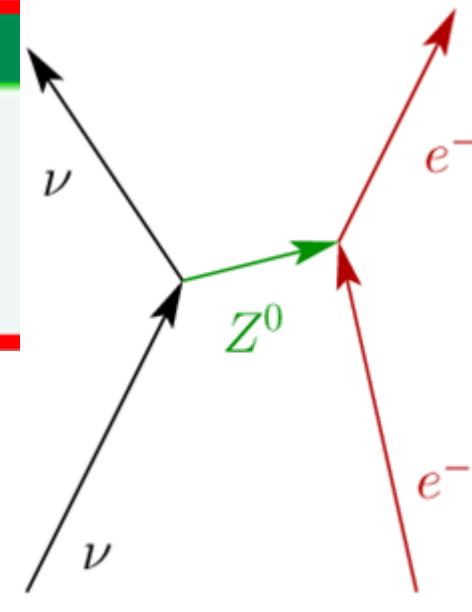
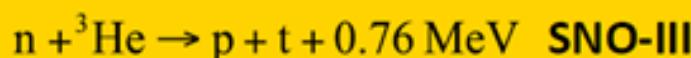
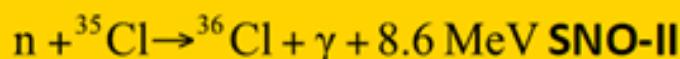
- But it measures the total  $\nu_e + \nu_\mu + \nu_\tau$  flux by means of Neutral Current interactions!

**NC** :  $\nu_x + d \rightarrow n + p + \nu_x$

- Measures total  ${}^8\text{B}$  flux from the Sun.
- Equal cross-section to all (active) neutrino flavours.

Signature event of SNO

**3 neutron detection methods:**



Neutral current

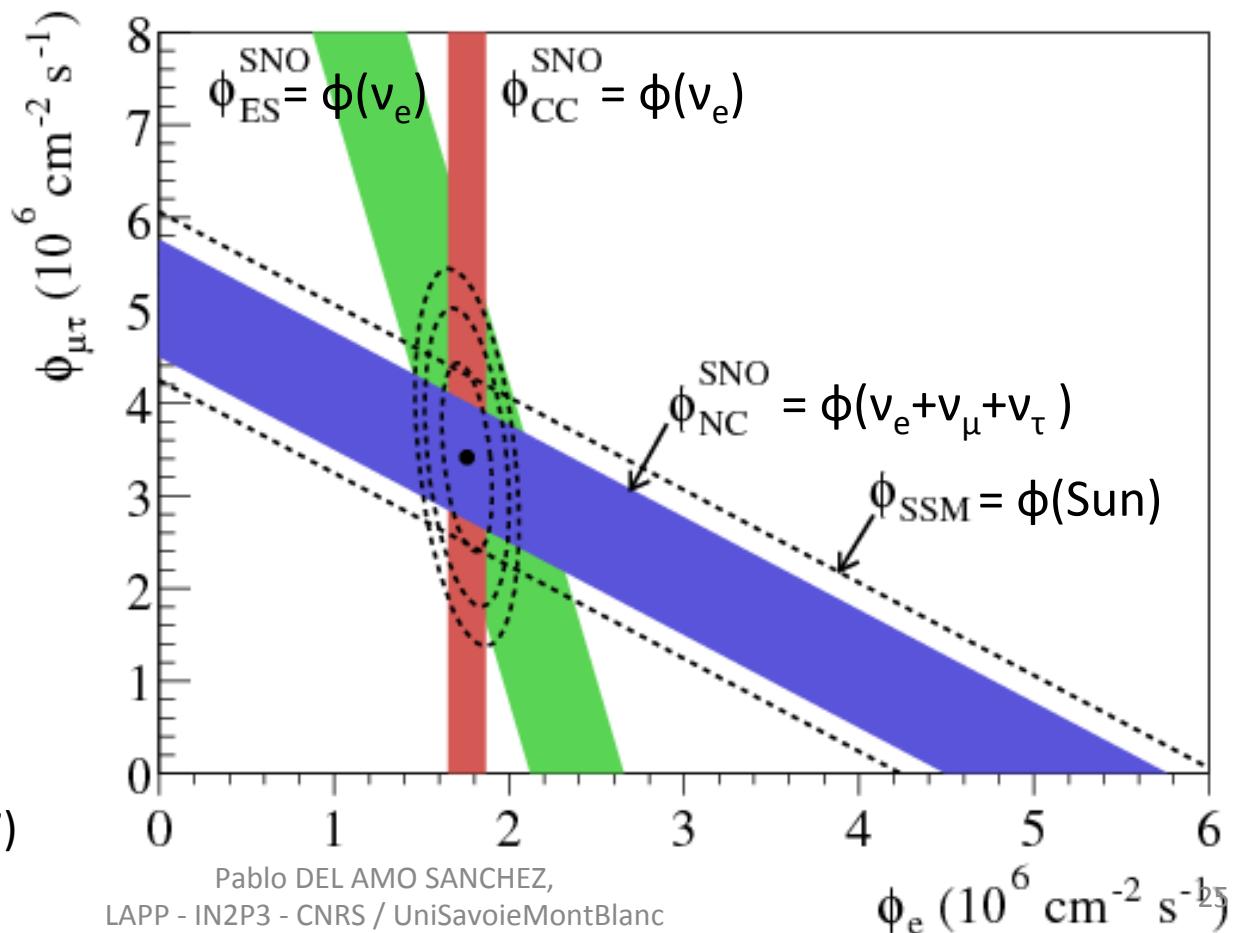
# Solar neutrinos oscillate!

Less  $\nu_e$  than predicted but total  $\nu_e + \nu_\mu + \nu_\tau$  correct!



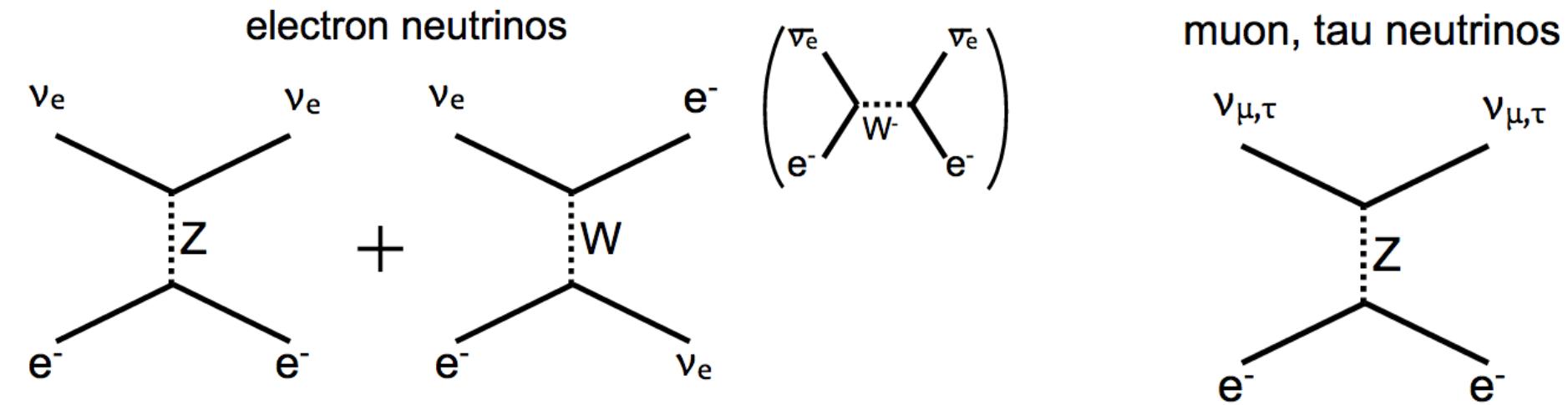
Бруно Понтецорво

Bruno Pontecorvo (1957)



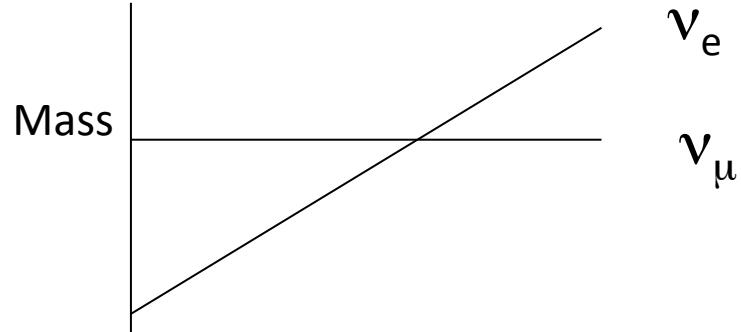
# Matter effects are important!

- High electron density in Sun  $\rightarrow$  matter effects!
- $\nu_e$  get heavier,  $\nu_\mu$  &  $\nu_\tau$  unaffected.

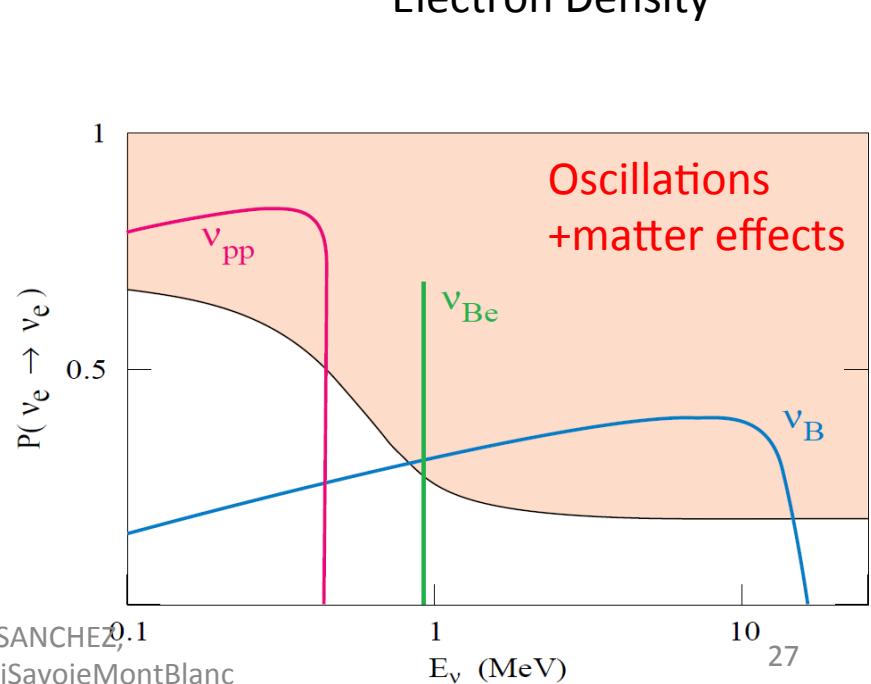
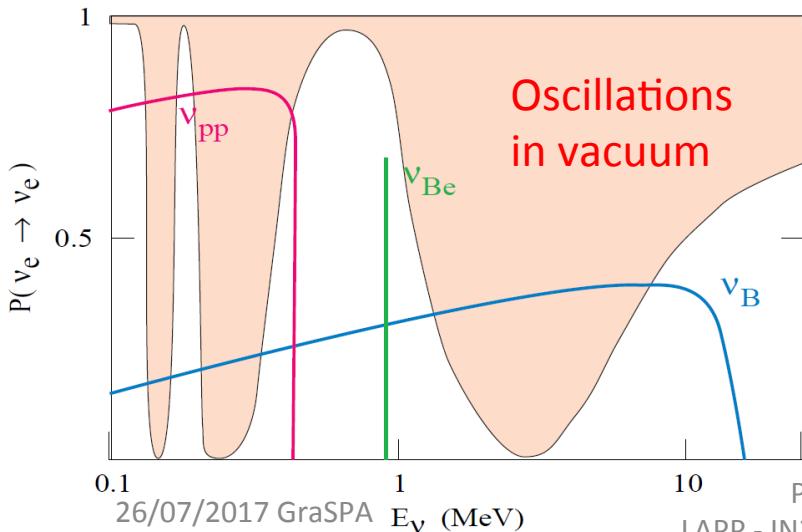


# Matter effects are important!

- High electron density in Sun → matter effects!
- $\nu_e$  get heavier,  $\nu_\mu$  &  $\nu_\tau$  unaffected.  
Resonance effects may enhance oscillation



$$P(\nu_e \rightarrow \nu_e) = 1 - \sin^2(2\theta) \sin^2 \left( 1.27 \frac{\Delta m^2 L [km]}{E [GeV]} \right)$$



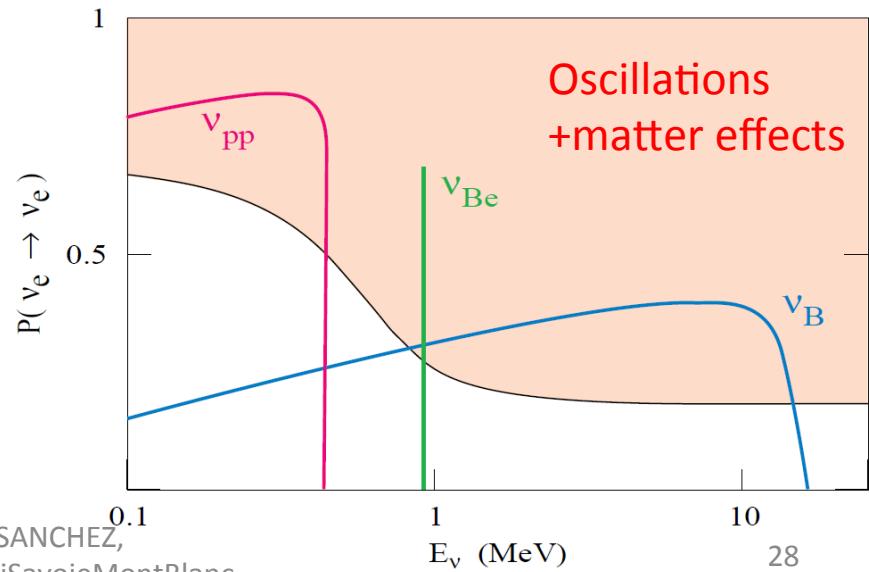
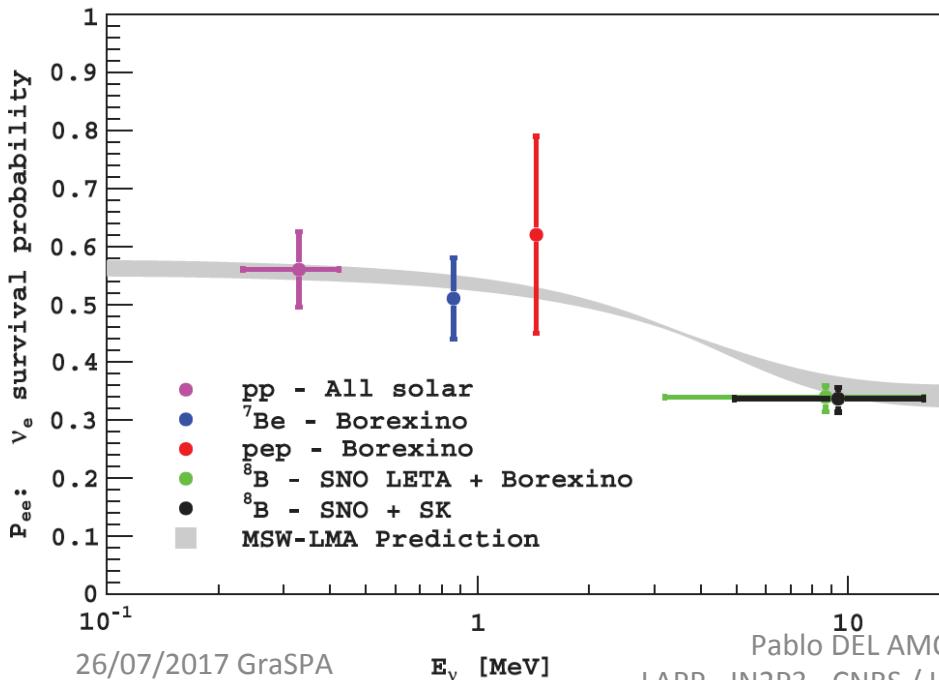
# Matter effects are important!

- Found oscillation parameters for solar neutrinos:

$$P(\nu_e \rightarrow \nu_e) = 1 - \sin^2(2\theta) \sin^2\left(1.27 \frac{\Delta m^2 L [km]}{E [GeV]}\right)$$

$$\sin^2(2\theta) = 0.857 \pm 0.024$$

$$\Delta m^2 = (7.5 \pm 0.20) \times 10^{-5} \text{ eV}^2$$



# The trilogy: reactor neutrino experiments

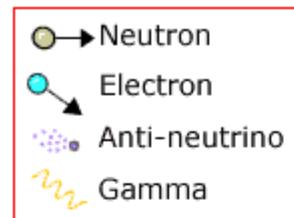
# Reactor neutrinos

- Nuclear reactors, source of abundant antineutrinos!  $\bar{\nu}_e$

Fission products are neutron rich

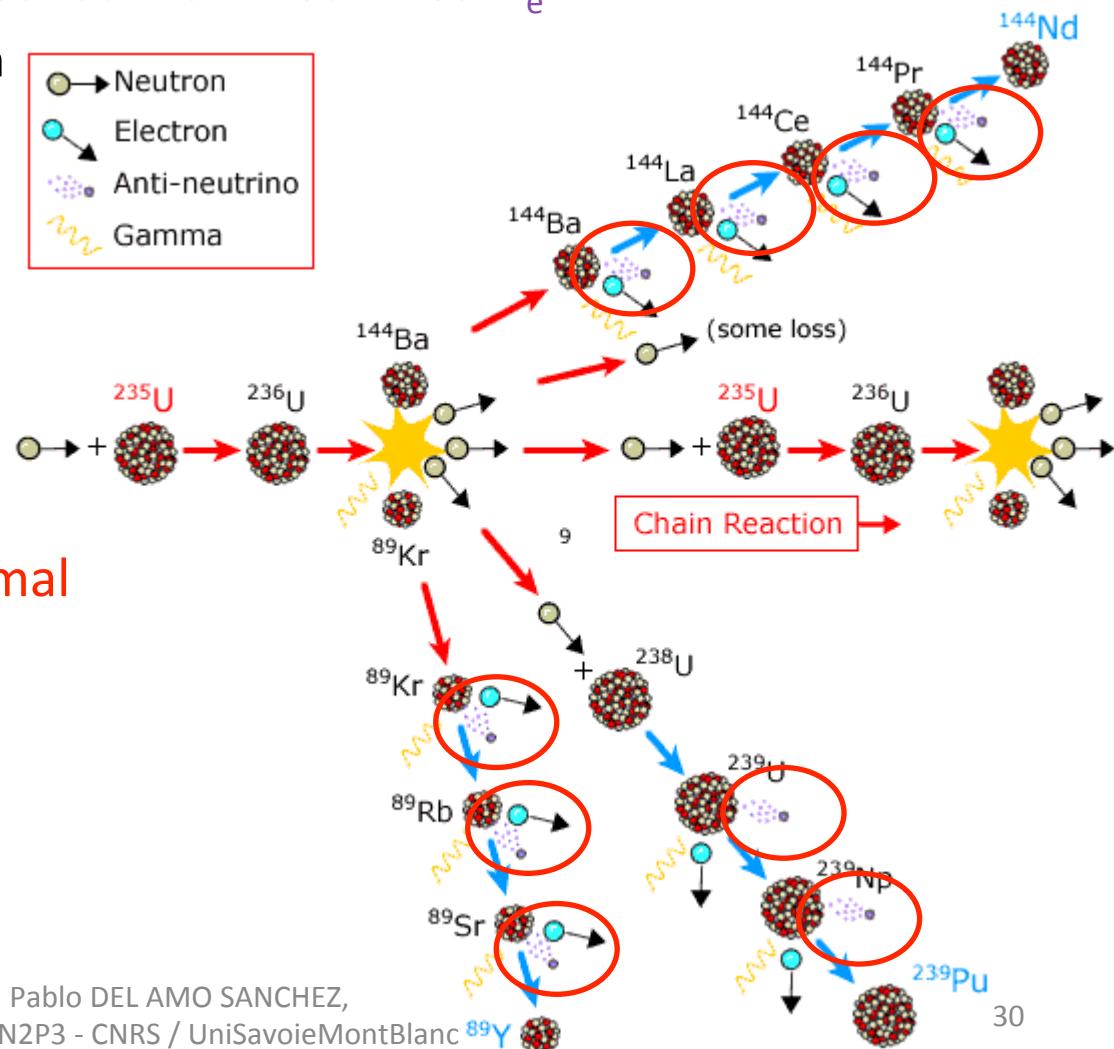
Too many neutrons to be stable

→ plenty of beta decays!



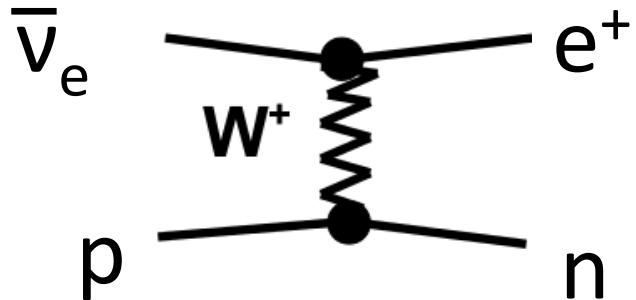
- $\sim 6 \bar{\nu}_e / \text{fission}$   
 $\sim 200 \text{ MeV/fission}$

$$2 \times 10^{20} \bar{\nu}_e / \text{GW}_{\text{thermal}}$$



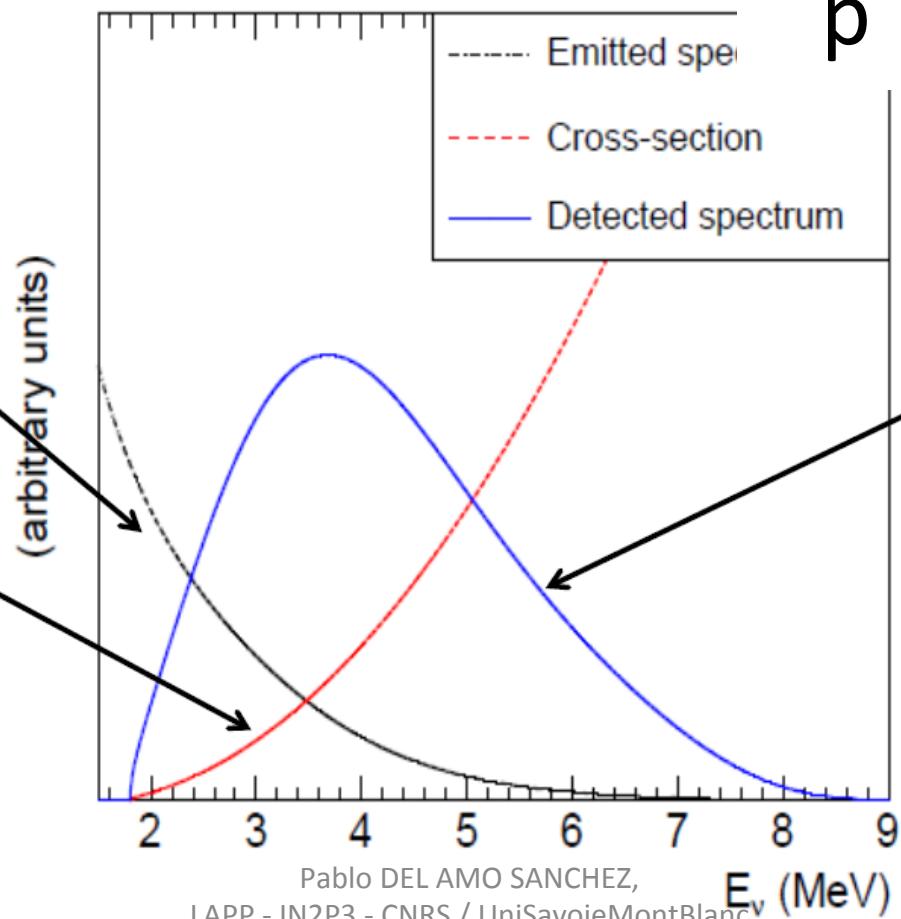
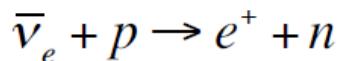
# Liquid scintillator detectors

- Detect reactor  $\bar{\nu}_e$  through inverse beta decay



Exponential decrease of emitted spectrum

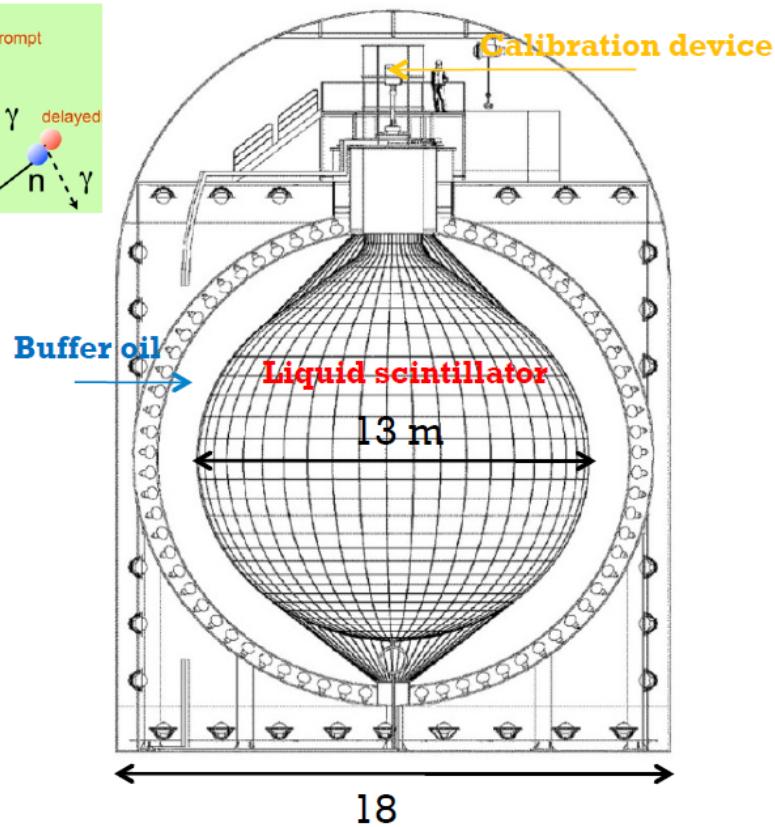
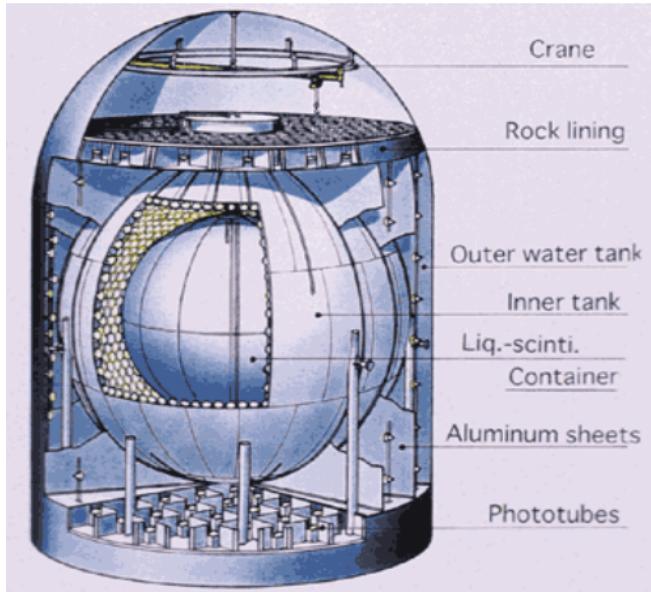
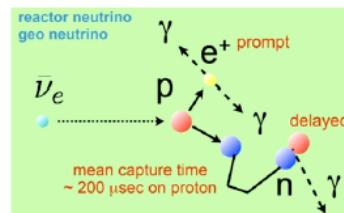
$\beta$ -inverse detection process



# Liquid scintillator detectors

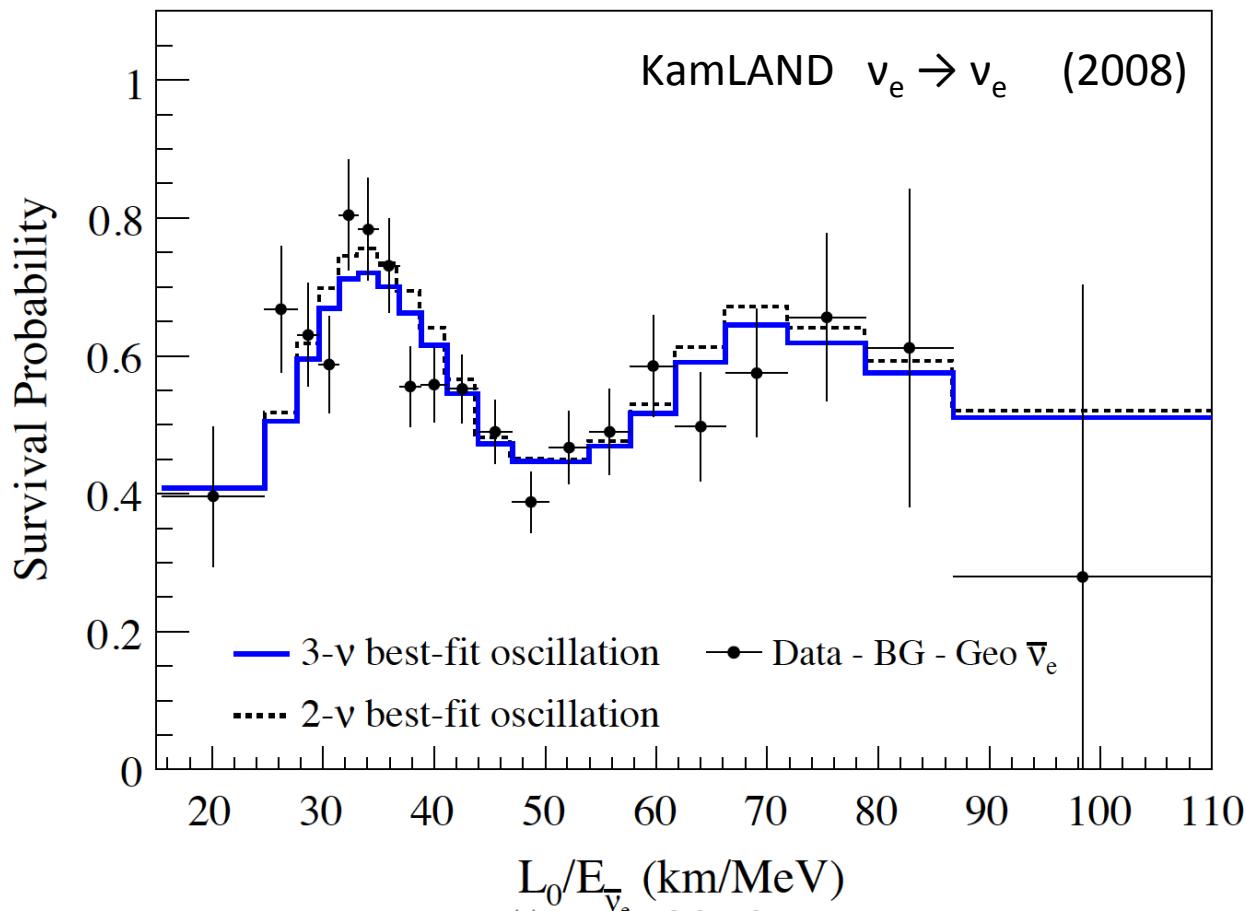
- KamLAND: Kamioka Liquid scintillator AntiNeutrino Detector

- 1000 ton liquid scintillator:
- Spherical plastic balloon
- 1325 17" + 554 20" PMTs
- Inverse  $\beta$  decay detection



# Reactor neutrinos oscillate!

- Confirm solar neutrino oscillations



# What have we learnt so far?

- **Neutrinos oscillate!**

$\nu_e, \nu_\mu, \nu_\tau$  different from  $\nu_1, \nu_2, \nu_3$

- Two different oscillation frequencies:

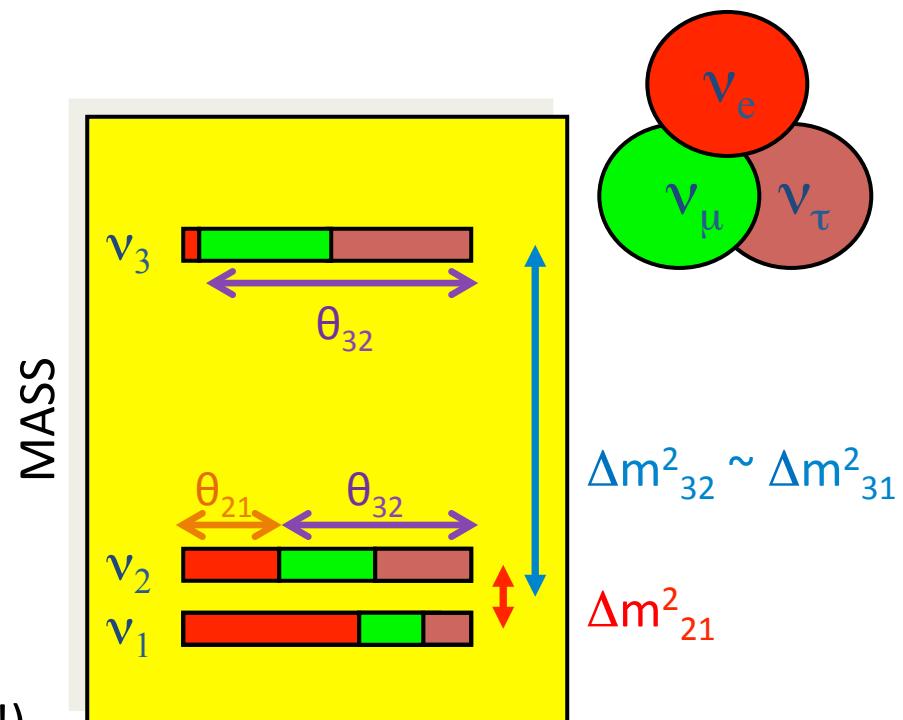
fast: **atmospheric**,  $\Delta m^2_{32} \sim \Delta m^2_{31}$

slow: **solar**,  $\Delta m^2_{21}$    atm  $\sim 20 \times$  **solar**

- Neutrinos mix a lot! (Mixing angles large!)

**atmospheric**, maximal  $\theta_{32} = 45^\circ \pm 6^\circ$

**solar**, large  $\theta_{21} = 34^\circ \pm 1^\circ$



Convention:  $\nu_1$  is state with most  $\nu_e$

# What have we learnt so far?

- Neutrinos oscillate!

$\nu_e, \nu_\mu, \nu_\tau$  different from  $\nu_1, \nu_2, \nu_3$

- Two different oscillation frequencies:

fast: atmospheric,  $\Delta m^2_{32} \sim \Delta m^2_{31}$

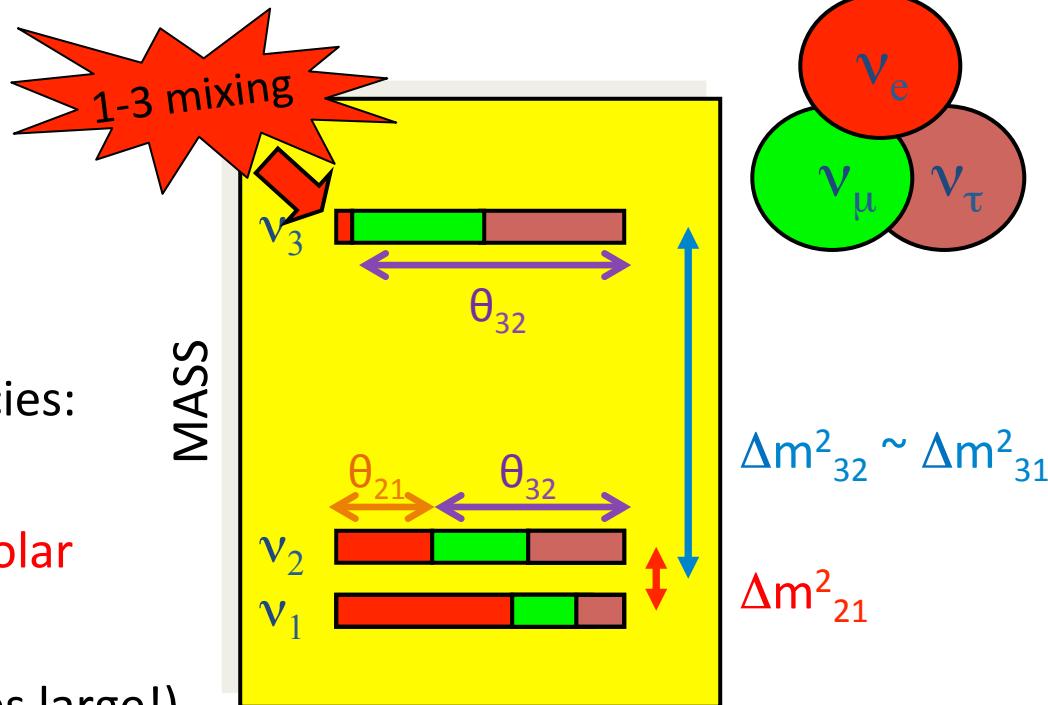
slow: solar,  $\Delta m^2_{21}$  atm  $\sim 20 \times$  solar

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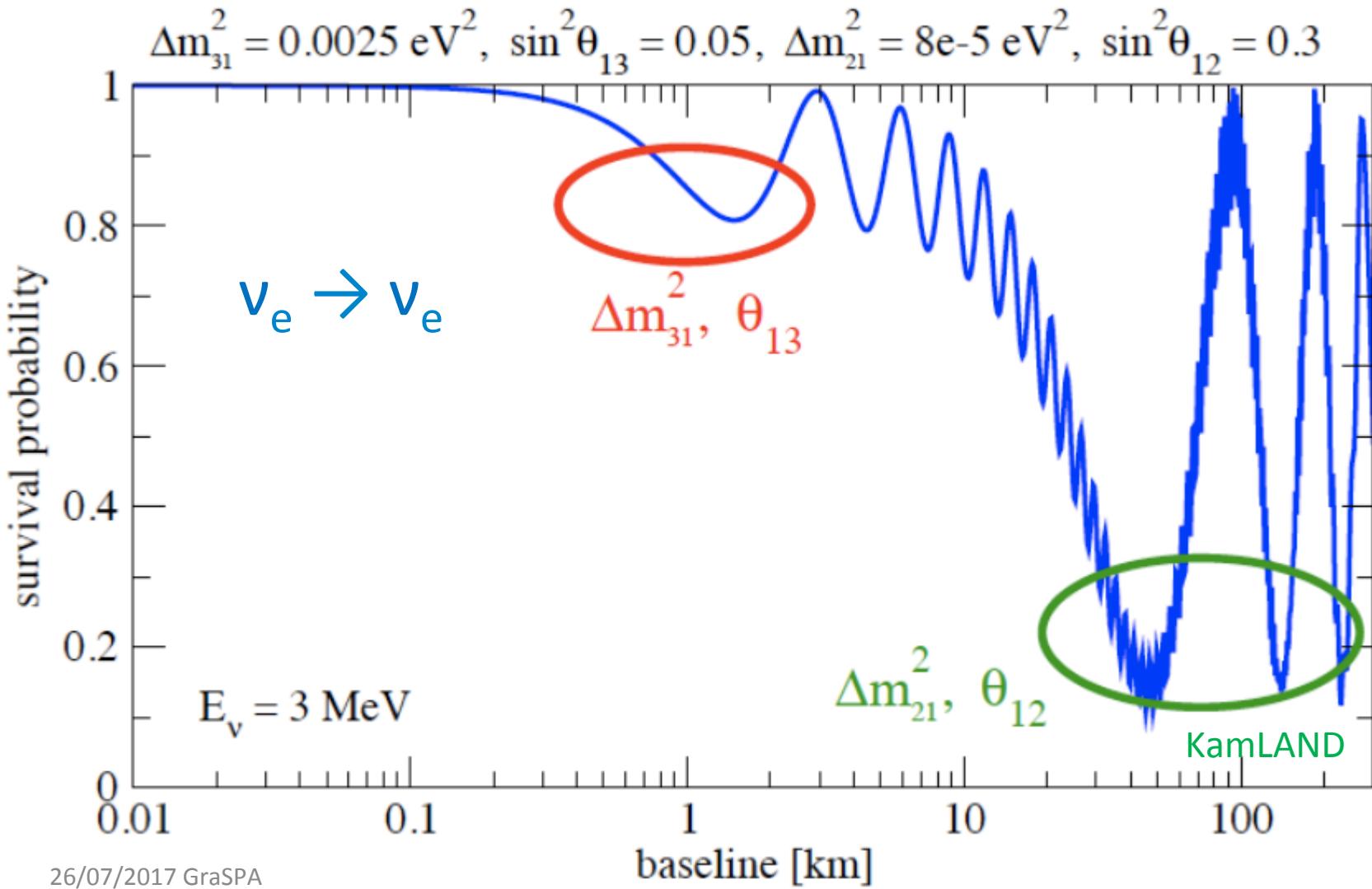
solar, large  $\theta_{21} = 34^\circ \pm 1^\circ$

- What is the amount of  $\nu_e$  in  $\nu_3$  ( $\theta_{13}$ )?



Convention:  $\nu_1$  is state with most  $\nu_e$

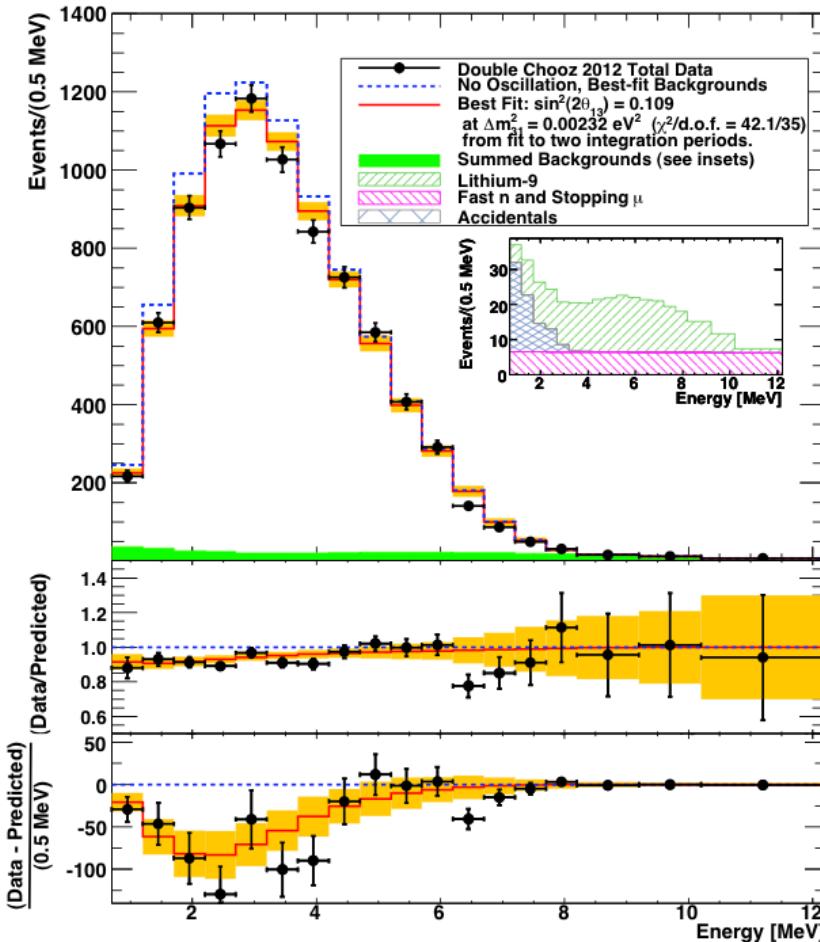
# Amount of $\nu_e$ in faster oscillations ( $\theta_{13}$ )



# Amount of $\nu_e$ in fast oscillations ( $\theta_{13}$ )

Oscillation probability depends on energy → search for energy-dependent depletion

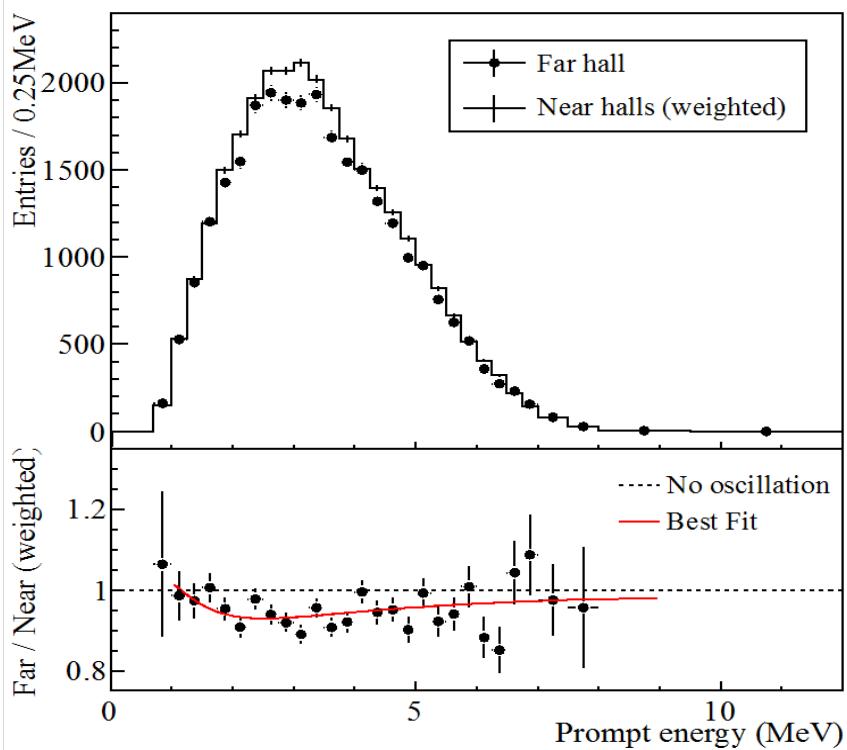
- Double Chooz: liquid scintillator detector, 1 km away from reactors



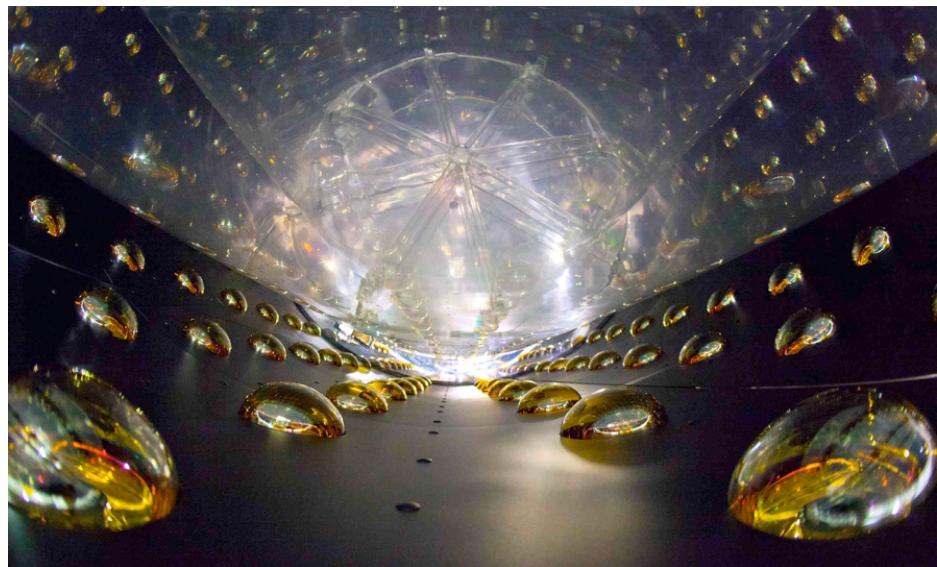
# Amount of $\nu_e$ in fast oscillations ( $\theta_{13}$ )

Oscillation probability depends on energy → search for energy-dependent depletion

- Daya Bay: very similar detector to Double Chooz and Reno, all 1-2 km away from reactors



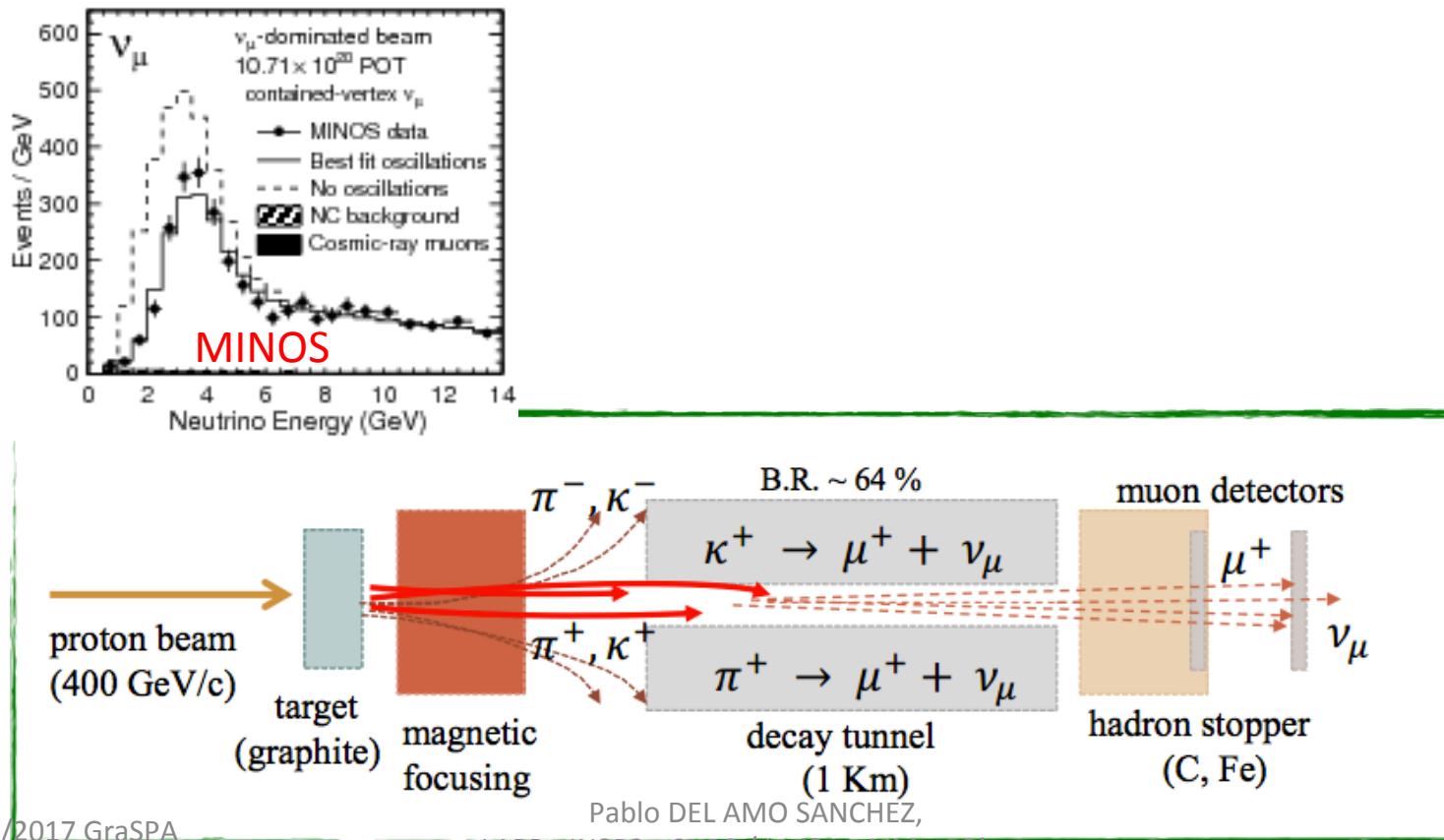
$$\sin^2(2\theta_{13}) = 0.089 \pm 0.012$$
$$\theta_{13} = 9.1^\circ \pm 0.6^\circ \quad (\approx \theta_{\text{Cabbibo}}!)$$



# Fourth parts couldn't be better: accelerator neutrinos

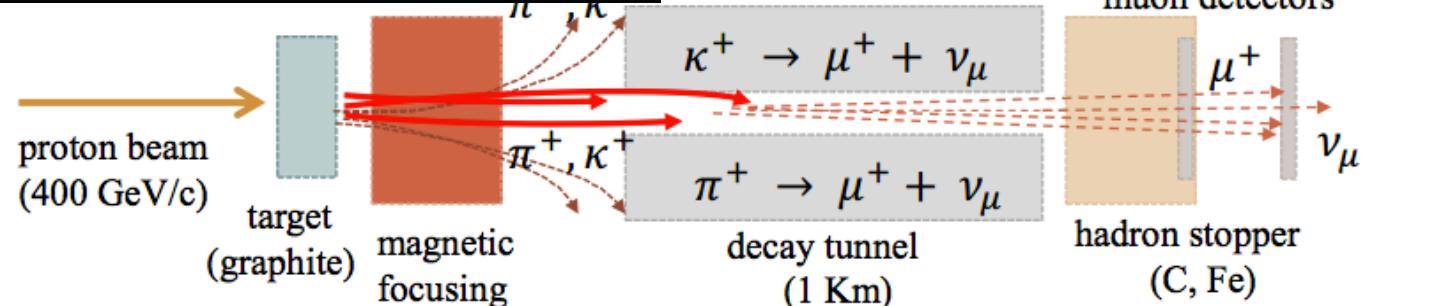
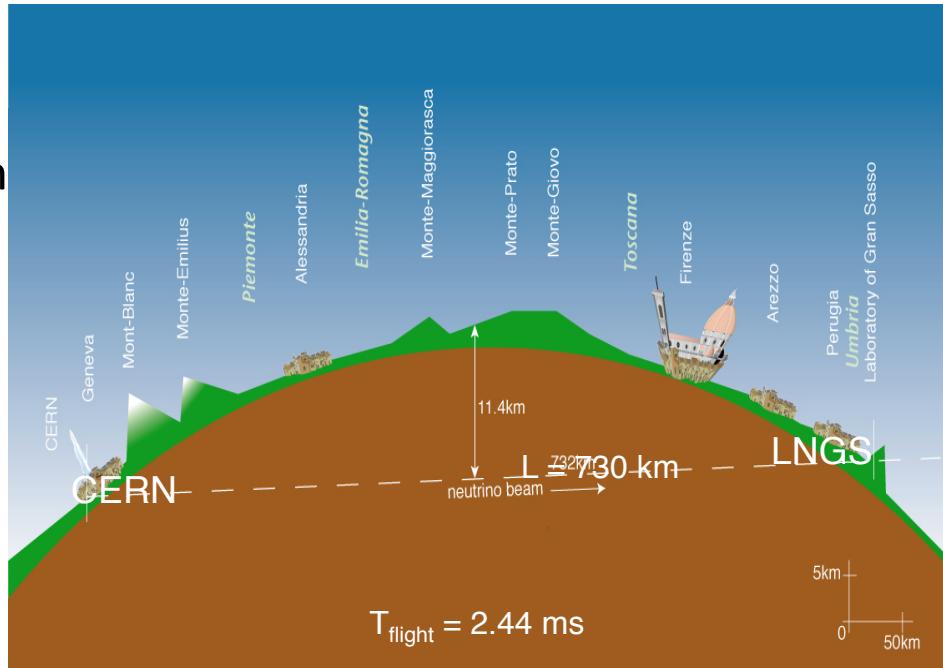
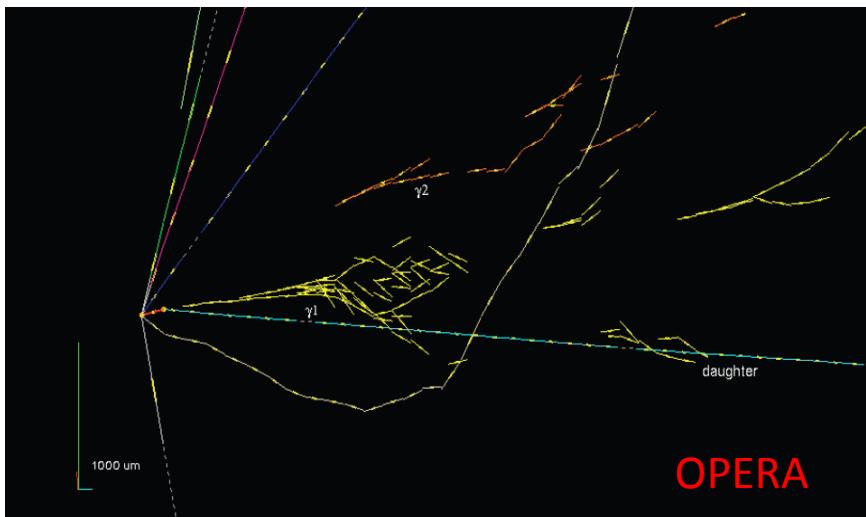
# Accelerator experiments

- Can also produce neutrino beams:
- Results in excellent agreement with other neutrino sources:



# $\nu_\mu \rightarrow \nu_\tau$ appearance

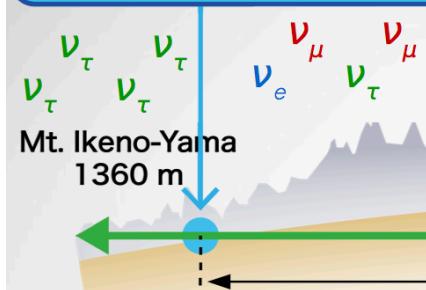
- Can also produce neutrino beams:
- Results in excellent agreement with other neutrino sources:



# Recent results: T2K

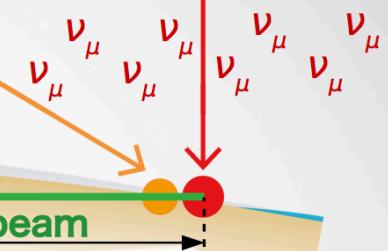
## The T2K experiment

### Super Kamiokande

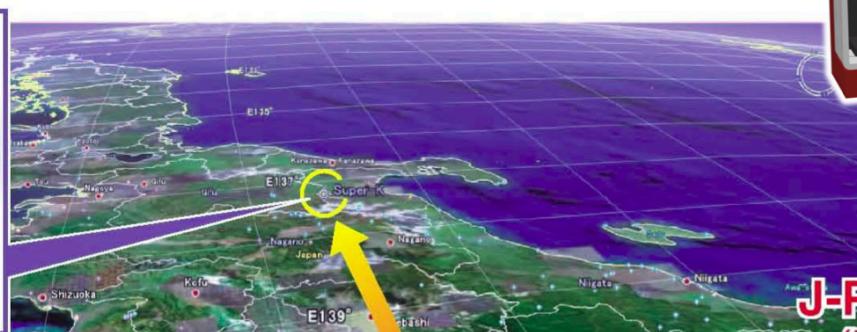
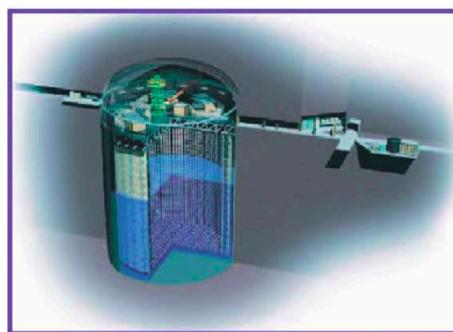


### Near Detector

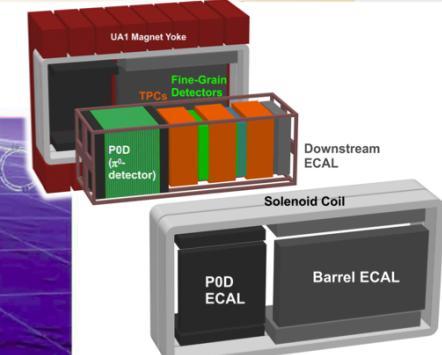
### J-PARC



Neutrino beam



Super-Kamiokande  
(ICRR, Univ. Tokyo)

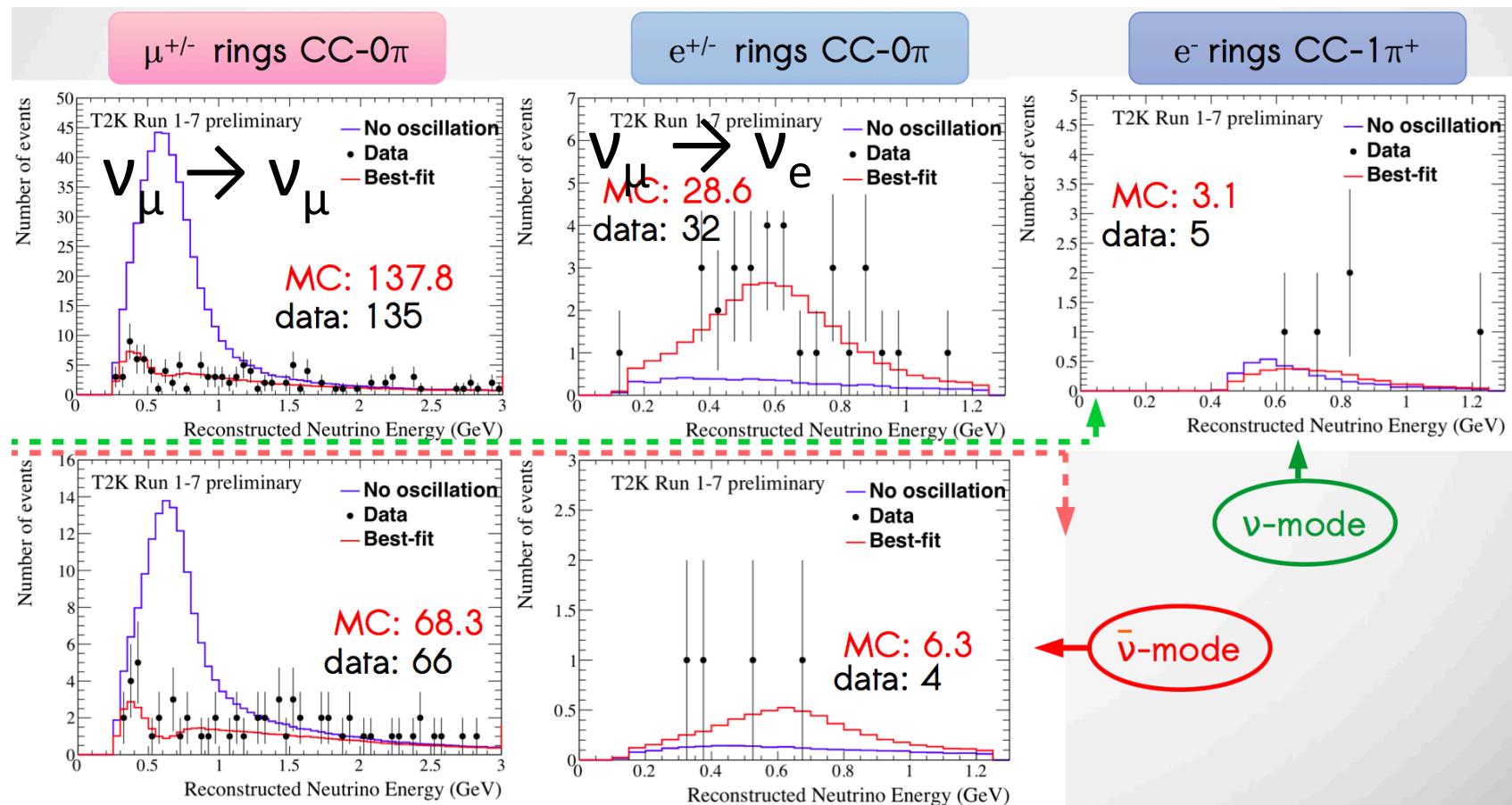


J-PARC Main Ring  
(KEK-JAEA, Tokai)



# Recent results: $\nu_\mu \rightarrow \nu_e$ appearance

- T2K observes 32  $\nu_e$  events, 5 background events expected
- Appearance of different flavour ( $\nu_\mu \rightarrow \nu_e$ ) at  $> 8\sigma$



# Neutrino mixing matrix

3 angles and 1 CP phase:

$\theta_{12}, \theta_{13}, \theta_{23}, \delta$

+ 2 phases

$$U_{PMNS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13} \cdot e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} \cdot e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha_1} & 0 \\ 0 & 0 & e^{i\alpha_2} \end{pmatrix}$$

**atmospheric  $\nu$**       **Dirac**      **solar  $\nu$**

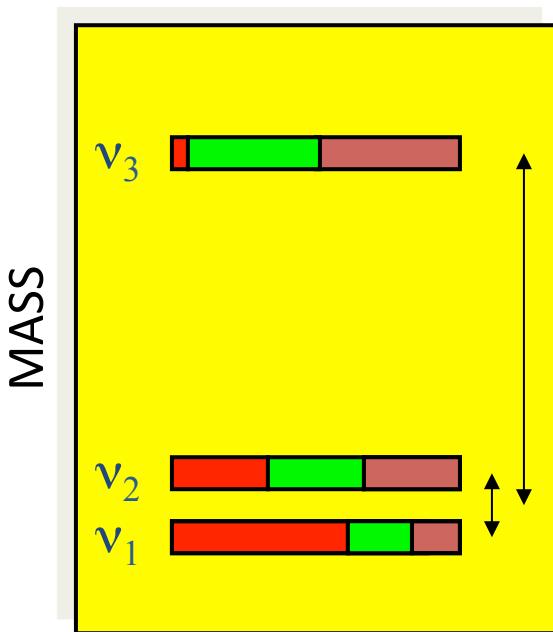
**Majorana**

$$c_{ij} = \cos \theta_{ij}$$

$$s_{ij} = \sin \theta_{ij}$$

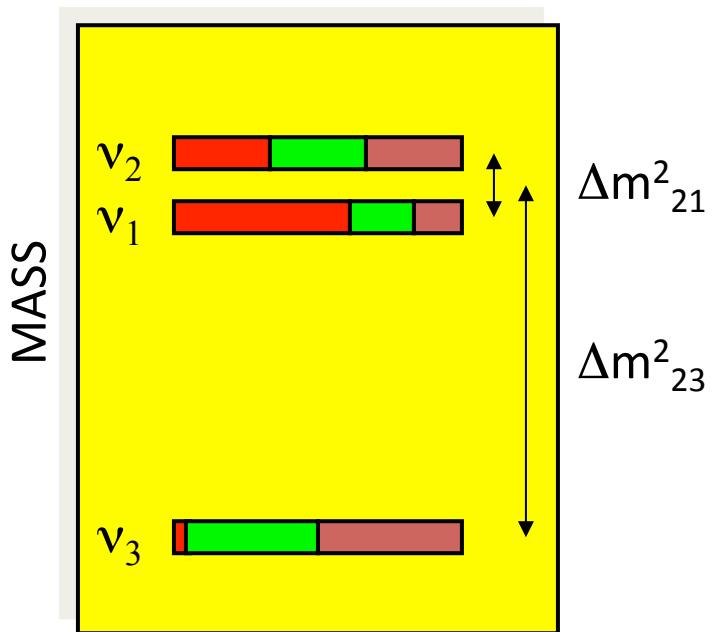
$\delta$ , matter-antimatter asymmetry in neutrinos?

# Mass ordering?



Normal mass ordering

?



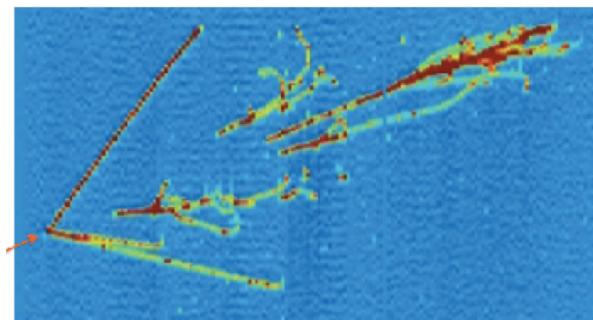
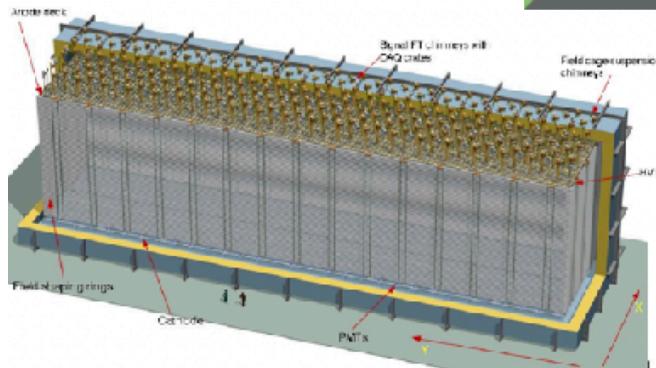
Inverted mass ordering

Which mass state is the lightest?

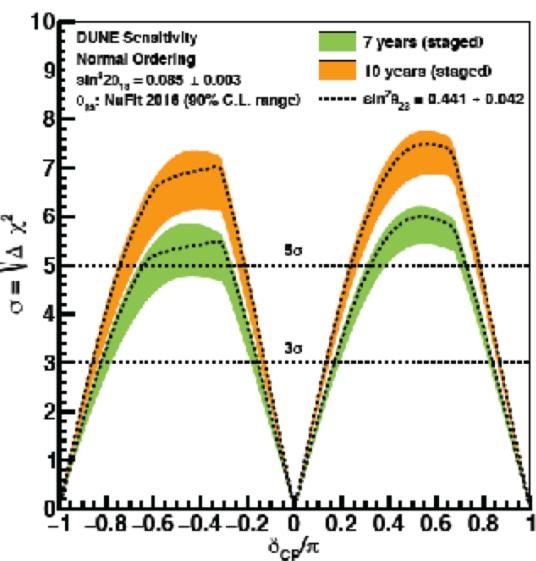
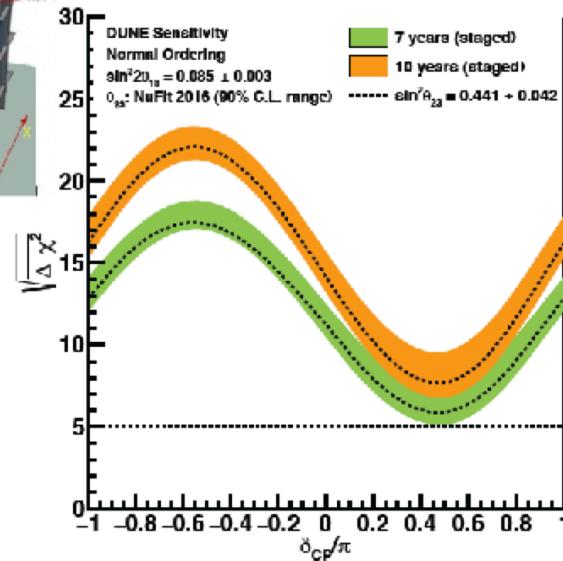
# Future long baseline projects...

# DUNE

40 kton Lq. Ar TPC  
Starting around 2026



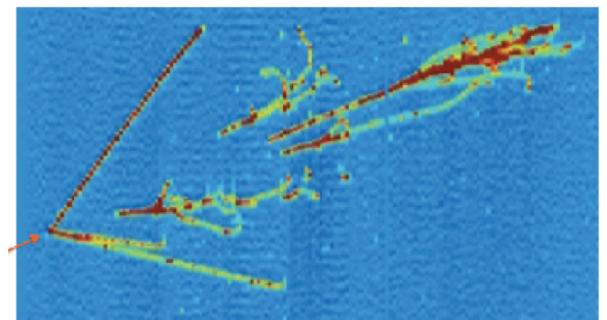
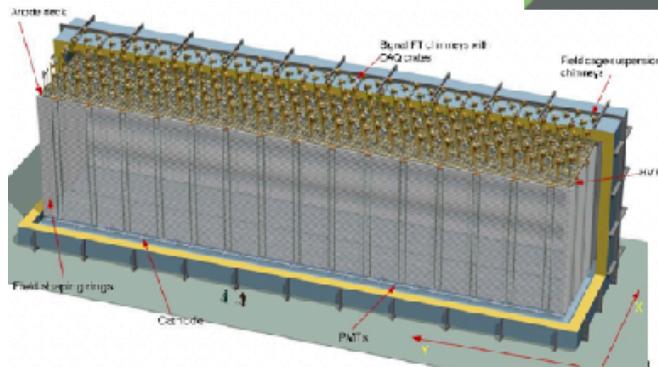
Sensitivity  
Mass Hierarchy      CP Violation



# Future long baseline projects...

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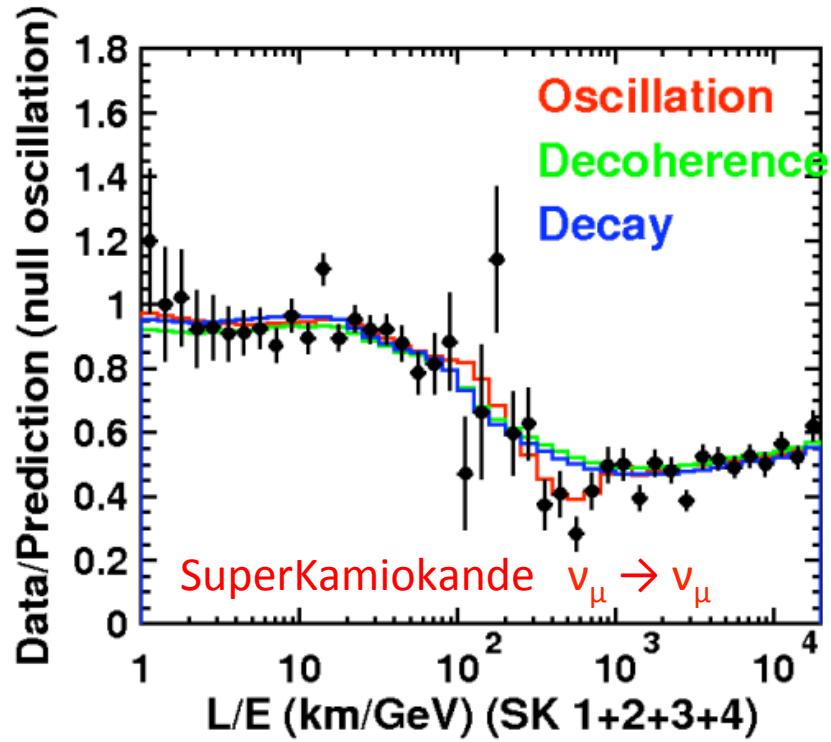
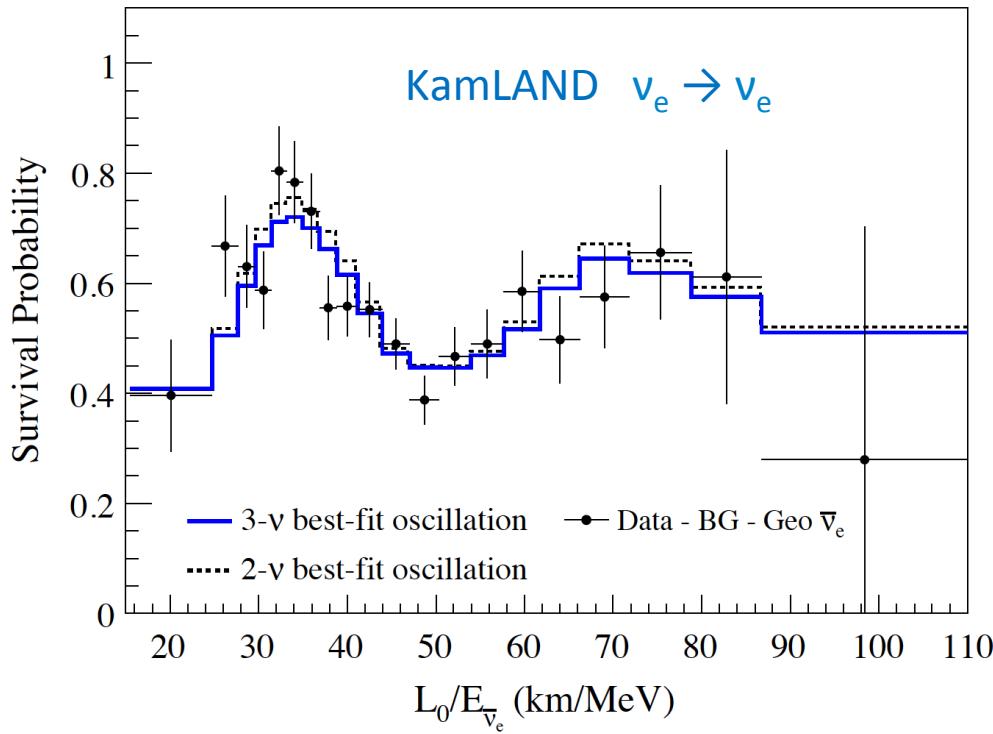


## Broad physics programme!

- Long baseline oscillations
- Mass ordering
- Matter-antimatter asymmetry
- SN neutrinos
- Proton decay
- And more...

# Conclusions

- Neutrinos oscillate! Masses  $\neq 0$  (2015 Nobel prize)  
 $\nu_e, \nu_\mu, \nu_\tau$  different from  $\nu_1, \nu_2, \nu_3$

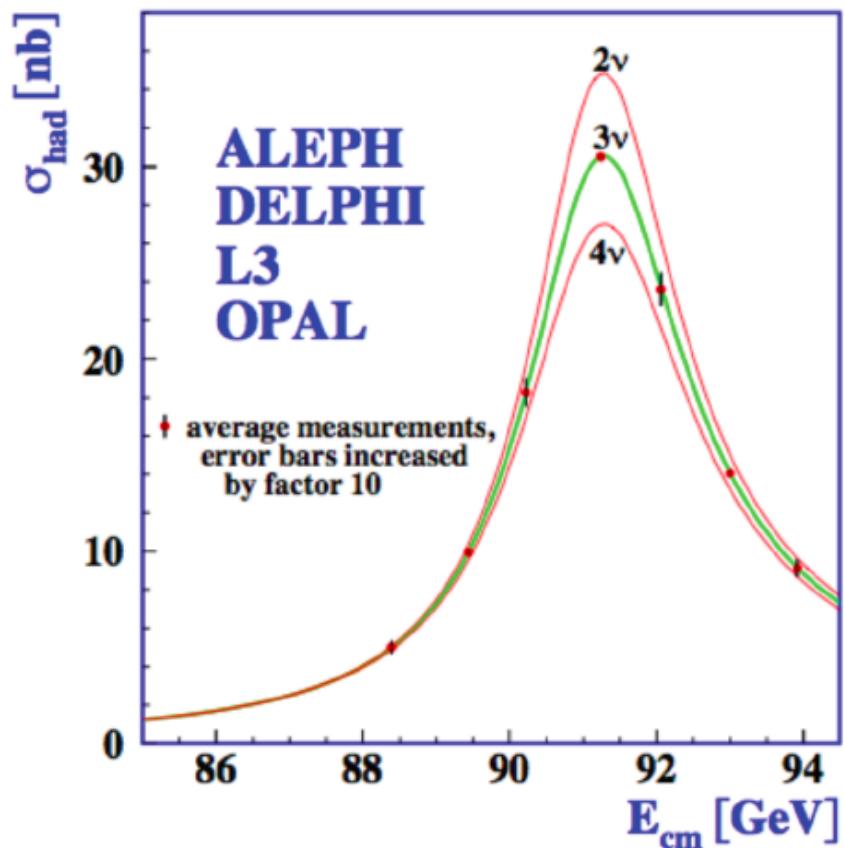


# Conclusions

- Neutrinos oscillate! Masses  $\neq 0$  (2015 Nobel prize)  
 $v_e, v_\mu, v_\tau$  different from  $v_1, v_2, v_3$
- Two different oscillation frequencies:  
fast: atmospheric,  $\Delta m^2_{32} \sim \Delta m^2_{31}$   
slow: solar,  $\Delta m^2_{21}$  atm  $\sim 20 \times$  solar
- Neutrinos mix a lot! (Mixing angles large!)  
atmospheric, maximal  $\theta_{32} = 45^\circ \pm 6^\circ$   
solar, large  $\theta_{21} = 34^\circ \pm 1^\circ$   
reactor, not so small  $\theta_{13} = 9.1^\circ \pm 0.6^\circ$
- For the future: matter-antimatter asymmetry in neutrinos?  
which is the lightest mass state?

# BACK UP SLIDES

# How many neutrinos are there?



$$\Gamma_{\text{inv}} = \Gamma_Z - \Gamma_{\text{had}} - 3\Gamma_l$$

$$\Gamma_{\text{inv}} = N_\nu \cdot \Gamma_\nu$$

PDG K. Nakamura et al., JPG 37, 075021 (2010)

Number  $N = 2.984 \pm 0.008$   
(Standard Model fits to LEP data)

Number  $N = 2.92 \pm 0.05$  ( $S=1.2$ )  
(Direct measurement of invisible Z width)

# Etats propres de saveur et de masse

- Matrice PMNS (Pontecorvo-Maki-Nakagawa-Sakata) relie états propres de masse ( $\nu_1, \nu_2, \nu_3$ ) et de saveur ( $\nu_e, \nu_\mu, \nu_\tau$ )

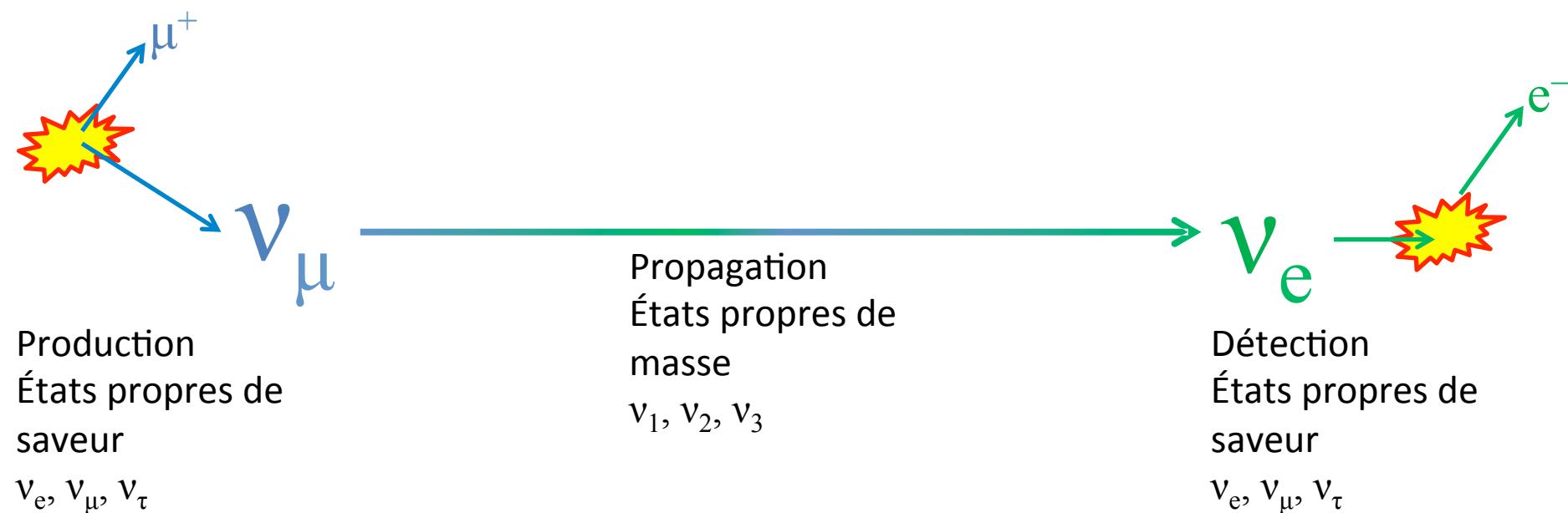
$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{PMNS} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix} \quad U_{PMNS} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

p.ex.

$$|\nu_\mu\rangle = U_{\mu 1} |\nu_1\rangle + U_{\mu 2} |\nu_2\rangle + U_{\mu 3} |\nu_3\rangle$$

# Oscillations des neutrinos

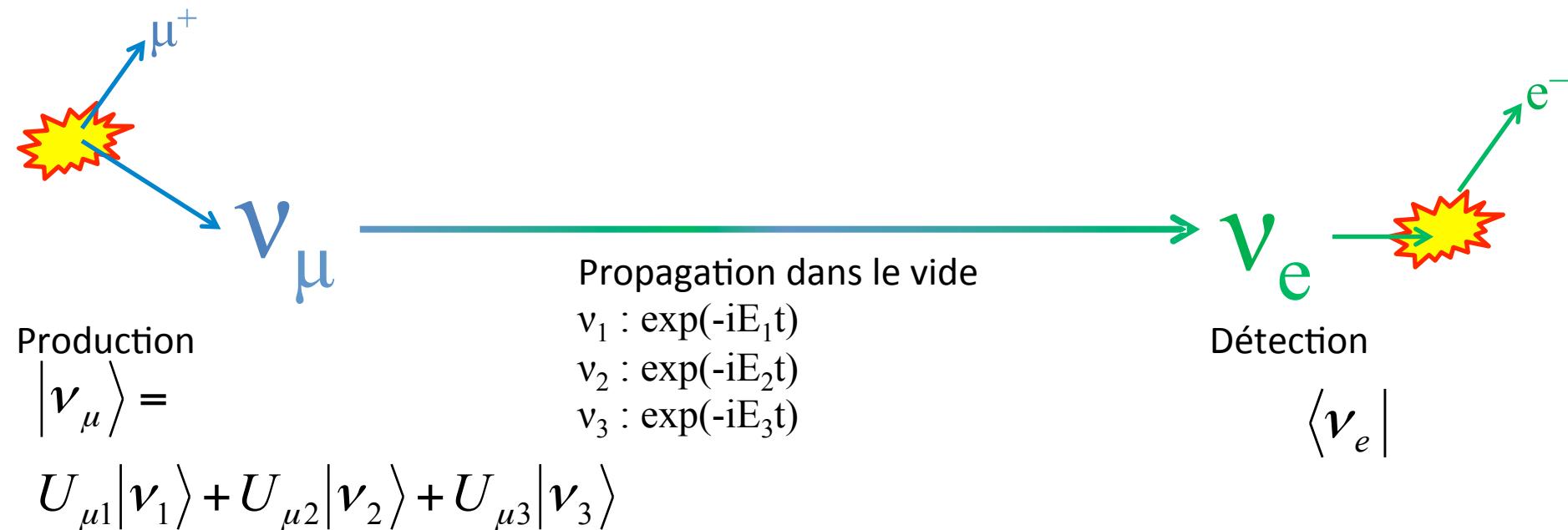
- Neutrinos sont créés dans des états propres de saveur, se propagent comme des états propres de masse, et sont détectés comme des états propres de la saveur : (ex : neutrinos atmosphériques, issus des désintégrations des pions)



(Analogue aux oscillations des kaons neutres : production et détection en termes des états de saveur  $K^0$  et  $\bar{K}^0$ , propagation en termes de  $K_{\text{short}}$  et  $K_{\text{long}}$ )

# Oscillations des neutrinos

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Masses  $m_1, m_2, m_3$  différentes  $\rightarrow$  phases  $-iE_1 t, -iE_2 t, -iE_3 t$  différentes  $\rightarrow$   
 $\rightarrow$  proportion des composantes  $e, \mu, \tau$  change avec le temps

# Un peu d'histoire

- Oscillation neutrino-antineutrino proposée par Bruno Pontecorvo (1957) par analogie avec les oscillations  $K^0$  et  $\bar{K}^0$
- Mélange entre les saveurs proposé par Maki, Nakagawa et Sakata (1962)
- Calcul de la probabilité d'oscillation entre saveurs par Gribov et Pontecorvo (1967, 1969)
- Etudes expérimentales expliquées en détail page 12 et suivantes