

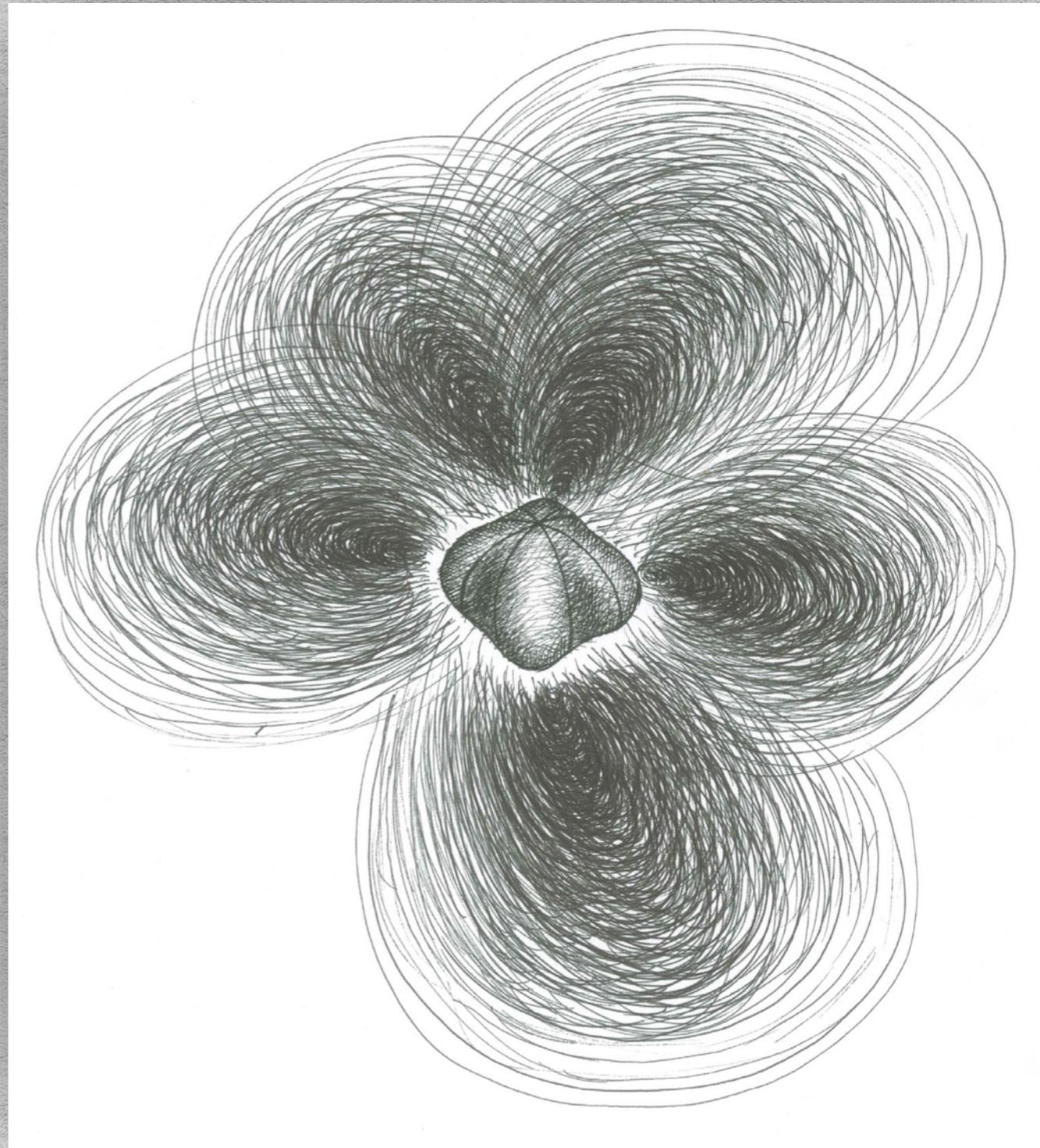
# Neutron star oscillations: dynamics & gravitational waves

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# A note on Notation

## Abbreviations:

NS = neutron star

BH = black hole

GW = gravitational waves

EM = electromagnetic waves

LMXB = low mass x-ray binary

SGR = soft gamma repeater

MSP = millisecond radio pulsar

EOS = equation of state

sGRB = short gamma-ray burst

## Basic parameters:

$M$  = stellar (gravitating) mass  $\rightarrow M_{1.4} = \frac{M}{1.4M_{\odot}}$

$R$  = stellar radius  $\rightarrow R_6 = \frac{R}{10^6 \text{ cm}}$

$\rho$  = density

$T$  = stellar core temperature

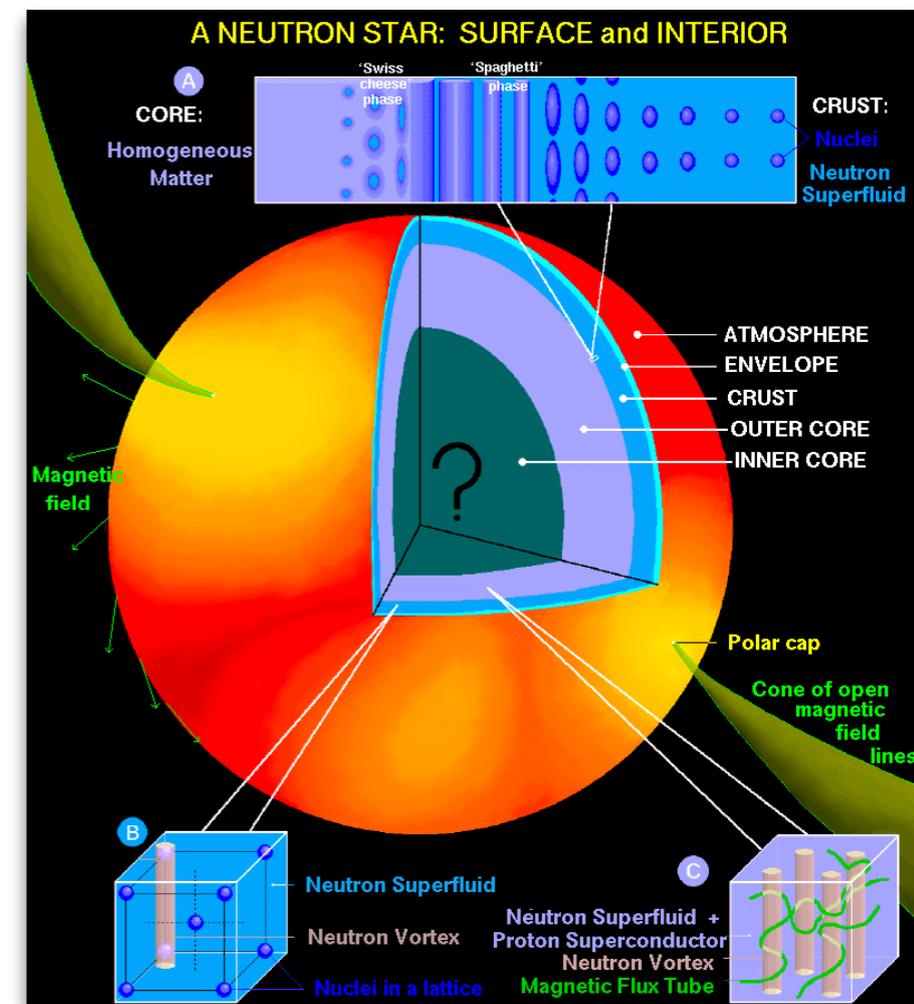
$\omega$  = mode's angular frequency

$\Omega$  = rotational angular frequency

$f_{\text{spin}} = \frac{1}{P}$  = rotational frequency & period

# A cosmic laboratory of matter & gravity

- Supranuclear equation of state (hyperons, quarks)
- Relativistic gravity
- Rotation (oblateness, various instabilities)
- Magnetic fields (configuration, stability)
- Elastic crust (fractures)
- Superfluids/superconductors (multi-fluids, vortices, fluxtubes)
- Viscosity (mode damping)
- Temperature profiles (exotic cooling mechanisms)



[ figure: D. Page]

# Neutron stars as GW sources (I)

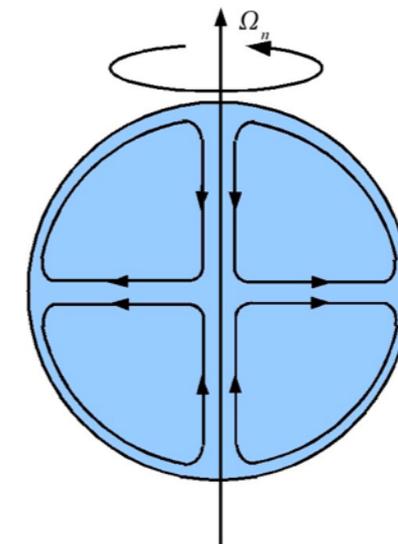
**“Burst” emission**



Binary neutron star mergers  
(our safest bet for detection)

Magnetar flares  
(likely too weak)

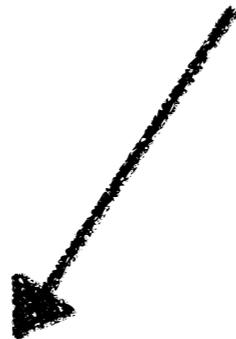
Pulsar glitches  
(likely too weak)



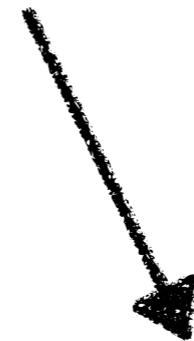
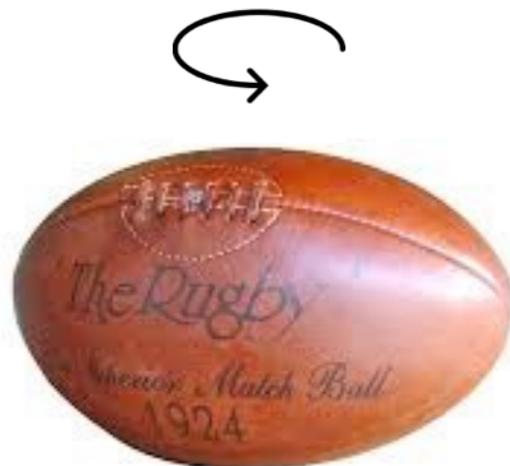
# Neutron stars as GW sources (II)

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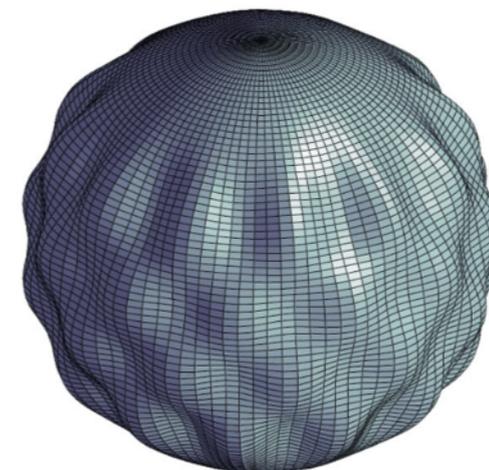
**Continuous emission**



Non-axisymmetric mass quadrupole (“mountains”)



Fluid part (oscillations)



# Taxonomy of NS oscillation modes (I)

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- **Pressure ( $p$ ) modes:** driven by pressure.
- **Fundamental ( $f$ ) mode:** (aka “Kelvin mode”) the first (nodeless)  $p$ -mode.
- **Gravity ( $g$ ) modes:** driven by buoyancy (thermal/composition gradients).
- **Inertial ( $i$ ) modes:** driven by rotation (Coriolis force).
- **Magnetic (Alfven) modes:** driven by the magnetic force.
- **Spacetime ( $w$ ) modes:** akin to BH QNMs, need dynamical spacetime (non-existent in Newtonian gravity)

# Taxonomy of NS oscillation modes (II)

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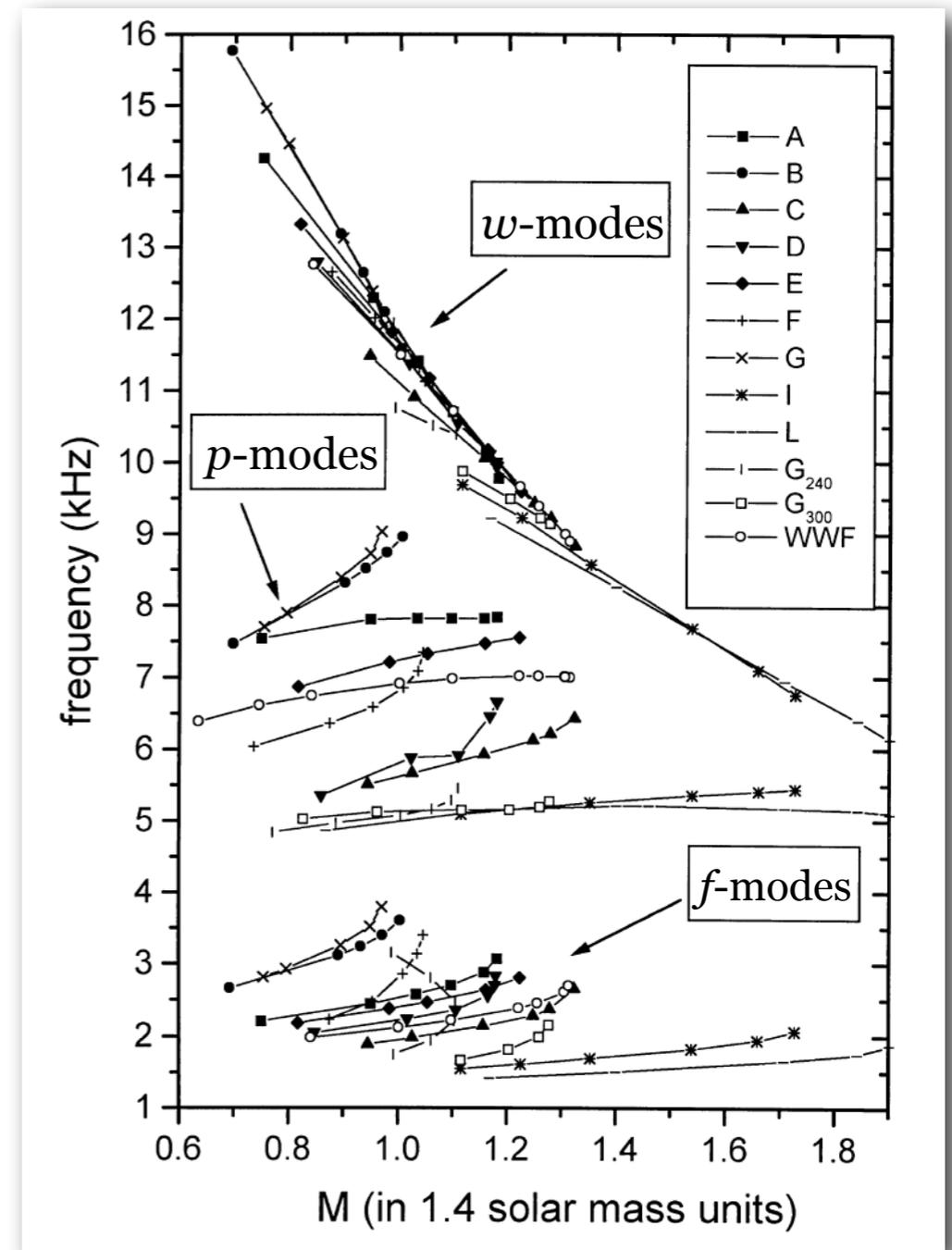
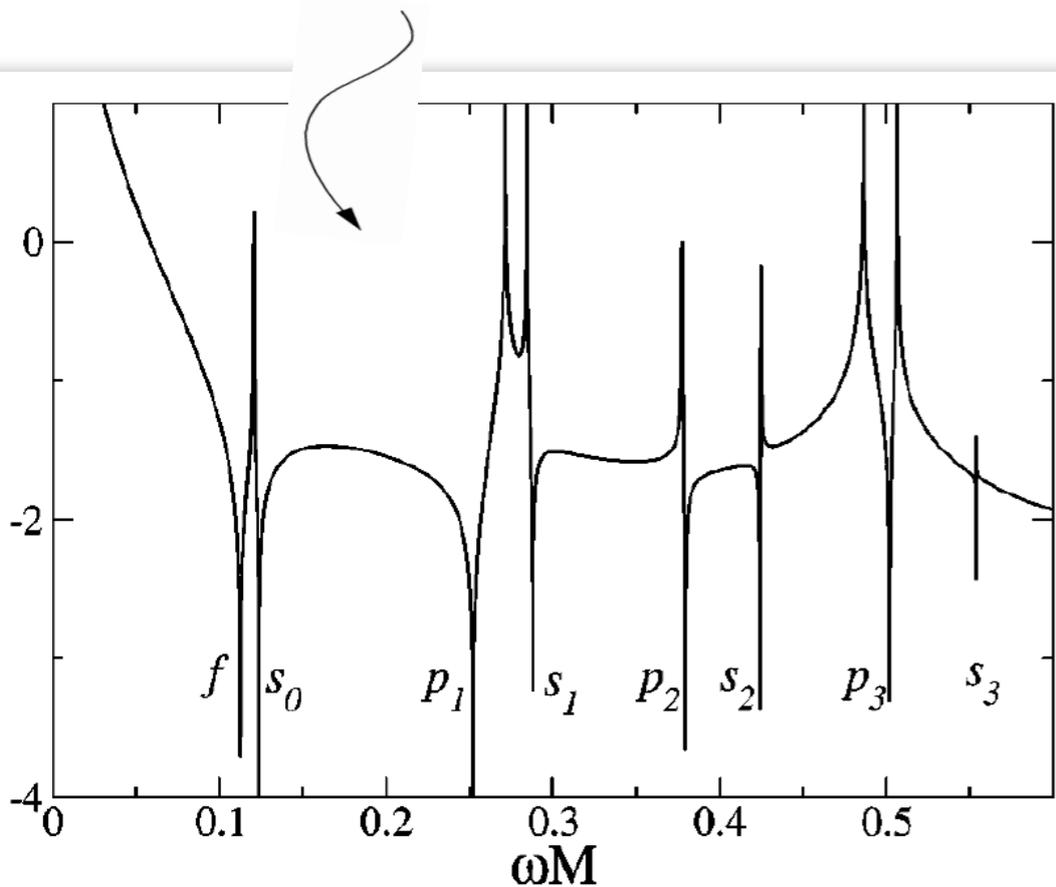
More physics in stellar model  $\Rightarrow$  richer mode spectrum

- **Shear ( $s,t$ ) modes:** driven by elastic forces in the crust.
- **Superfluidity:** the system becomes a multi-fluid (i.e. relative motion of one fluid with respect to the others). Modes are “doubled”, due to the “co-moving” and “counter-moving” degrees of freedom.
- **Tkachenko modes:** driven by tension of superfluid vortex array (never computed for NS, except from local plane waves).

# Taxonomy of NS oscillation modes (III)

Typical spectra of *non-rotating* NSs without stratification

A typical spectrum “doubling” in a non-rotating *superfluid* NS



[ Andersson et al. 2002 ]

[ Kokkotas et al. 2001 ]

# NS modes: geometry

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- The velocity perturbation associated with a mode can be decomposed in a standard way in radial and angular parts:

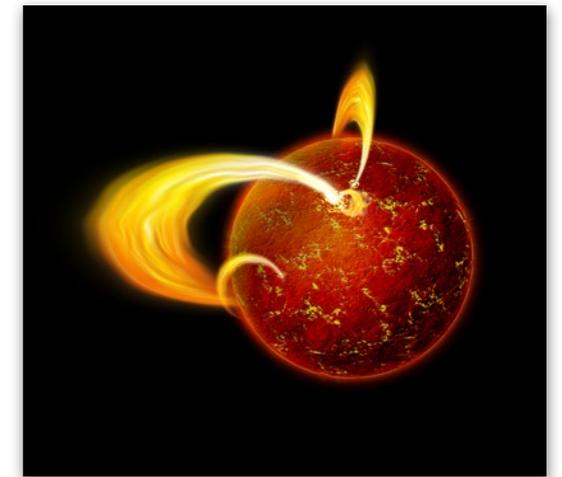
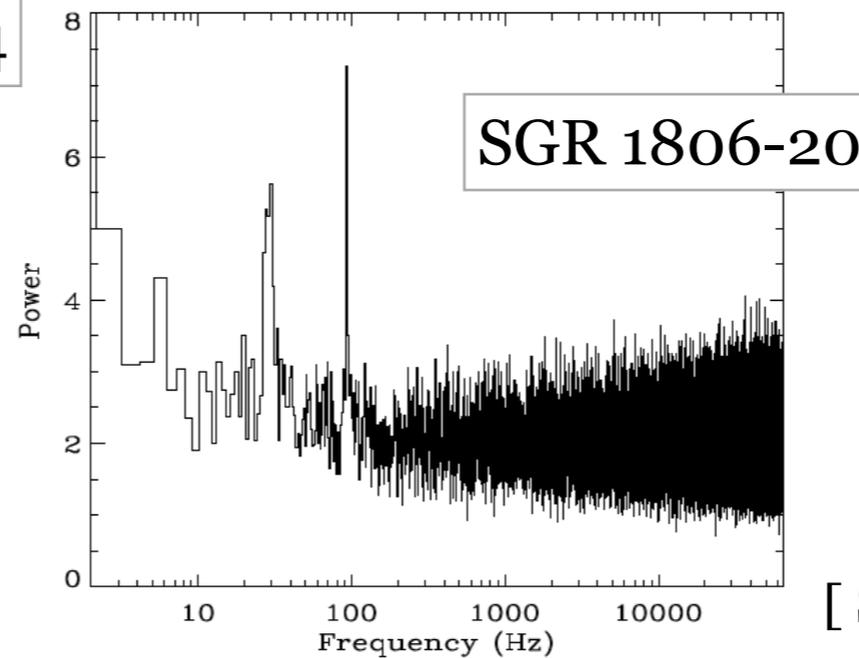
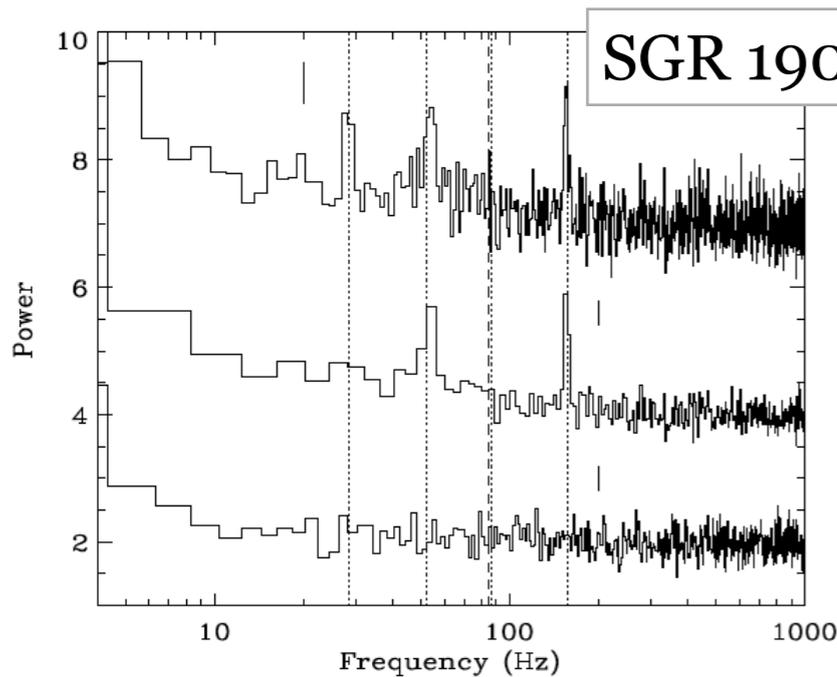
$$\delta \mathbf{v}(\mathbf{x}, t) = \sum_{\ell, m} \left[ \underbrace{W_\ell \hat{\mathbf{r}} + V_\ell \nabla Y_\ell^m}_{\text{polar part} = \text{parity}(-1)^\ell} + \underbrace{U_\ell (\hat{\mathbf{r}} \times \nabla Y_\ell^m)}_{\text{axial part} = \text{parity}(-1)^{\ell+1}} \right] e^{i\omega t}$$

radial eigenfunctions:  $W_\ell(r), V_\ell(r), U_\ell(r)$

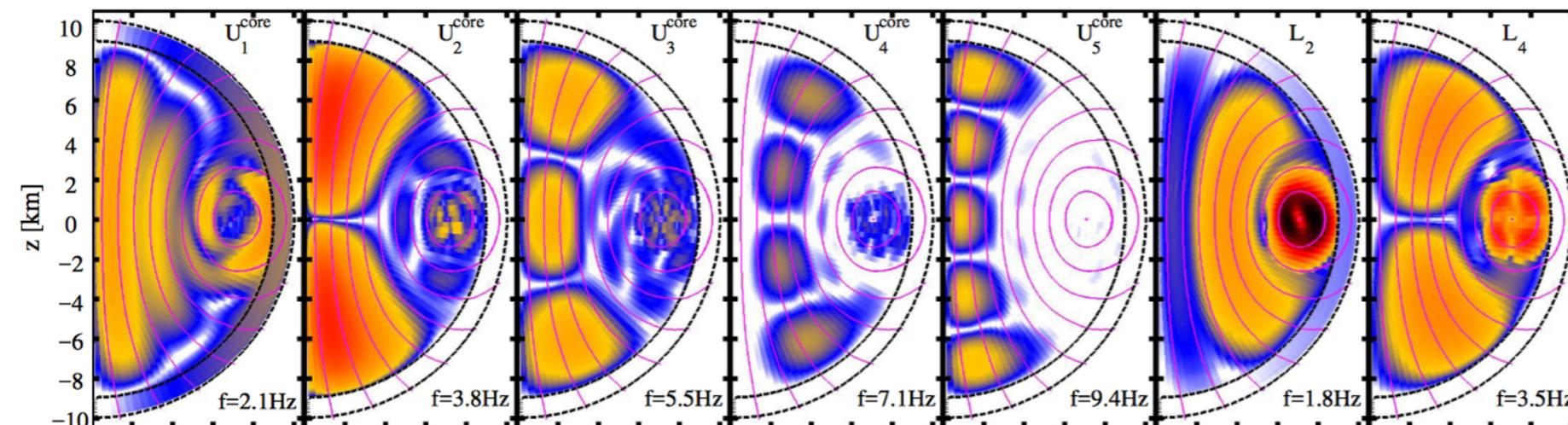
- In *spherical stars* (i.e. up to  $\mathcal{O}(\Omega)$ ), axial and polar sectors remain *decoupled*.
- *Purely polar*:  $f$ -mode,  $p$ -modes,  $g$ -modes, ...
- *Purely axial*:  $r$ -modes,  $t$ -crust modes, ...  $\Rightarrow \nabla \cdot \delta \mathbf{v} = 0$  & flow “horizontal”
- Coupling: with rotation ( $\mathcal{O}(\Omega^2)$  and higher), B-field, ...
- Similar decomposition in GR stars

# NS modes observed: magnetar flares

- Quasi-periodic oscillations in the x-ray light curve of giant flares in SGRs.
- These are believed to be global magnetic/magneto-elastic modes.



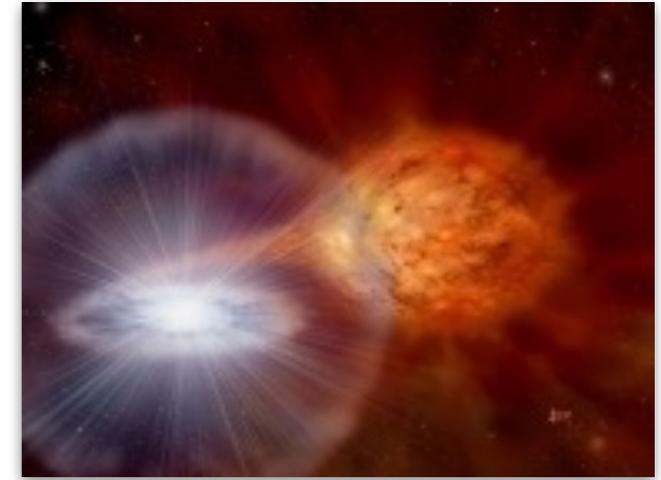
[ Strohmayer & Watts 2005, 2006 ]



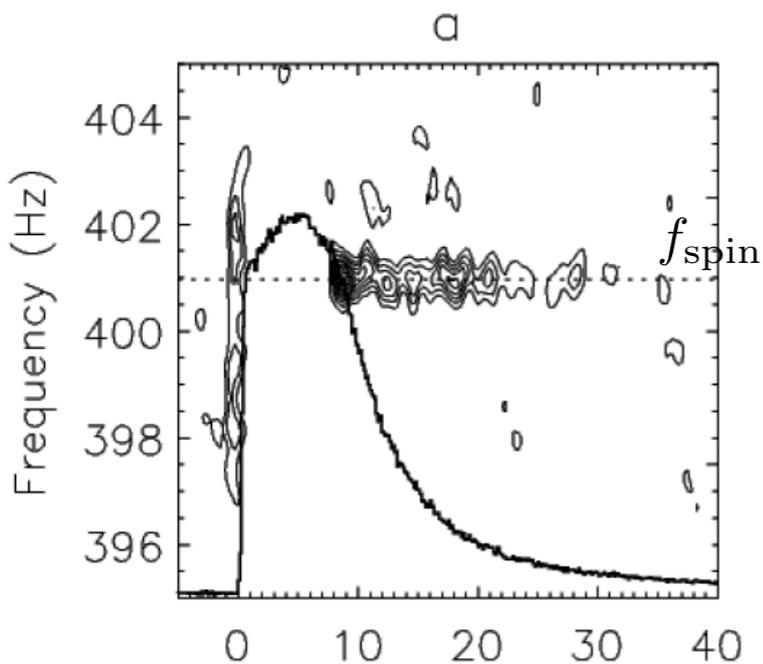
[ Gabler et al. 2016 ]

# NS modes observed: bursting LMXBs

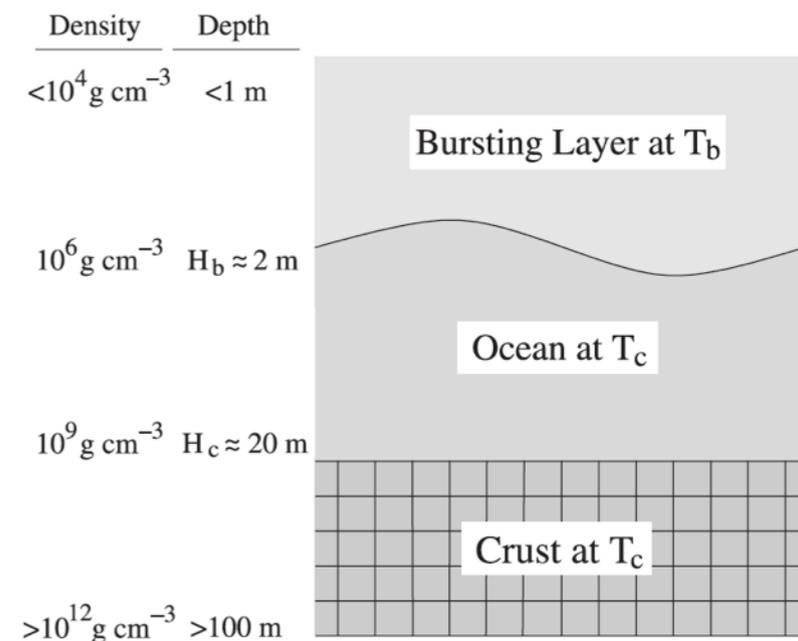
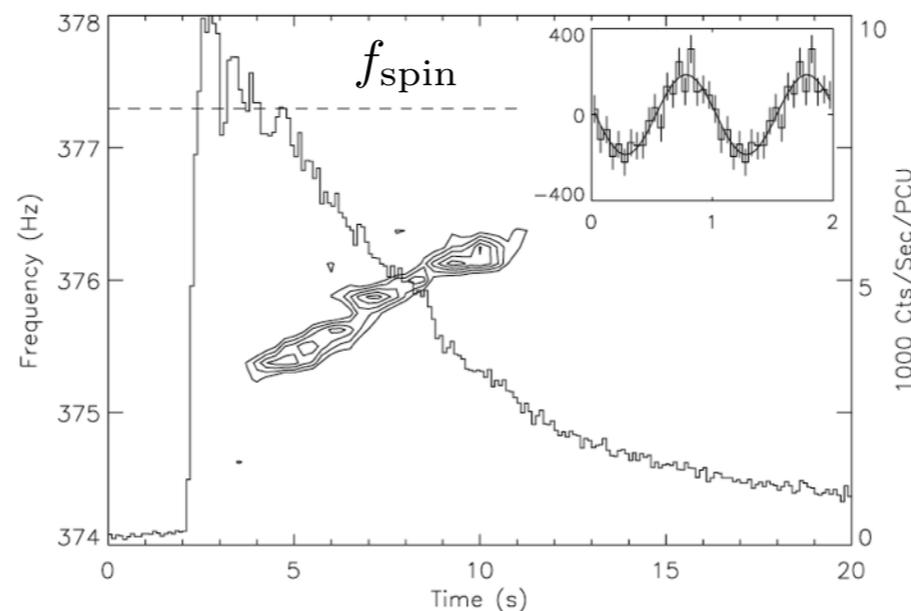
- NSs in LMXBs frequently undergo x-ray burst whose light curves are oscillatory. Two main models:
- Surface modes ( $r$ -modes,  $g$ -modes ...) in fluid ocean, excited by infalling matter and burning.
- Surface “hot spot” emission modulated by rotation.



[ Watts et al. 2009 ]



Seconds since start of burst [ Chakrabarty et al. 2003 ]



[ Piro & Bildsten 2005 ]

# NS modes: basic formalism (I)

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- Linearised equations, written in the stellar *rotating* frame.

- Mass continuity equation:  $\partial_t \delta\rho + \nabla \cdot (\rho \delta\mathbf{v}) = 0$

- Poisson equation:  $\nabla^2 \delta\Phi = 4\pi G \delta\rho$

- Euler (or Navier-Stokes) equation:

$$\partial_t \delta\mathbf{v} + 2\boldsymbol{\Omega} \times \delta\mathbf{v} + \nabla \left( \frac{\delta p}{\rho} + \delta\Phi_{\text{eff}} \right) = \frac{1}{\rho} \left( \mathbf{F}_{\text{sv}} + \mathbf{F}_{\text{bv}} + \mathbf{F}_{\text{GR}} \right) + \frac{1}{\rho} \mathbf{F}_{\text{mag}} + \{ \dots \}$$

GW radiation reaction force

shear & bulk viscous forces
magnetic force

- A *barotropic* EOS  $p = p(\rho)$  was assumed (realistic NSs are *not* barotropes).
- Superfluid NSs require a *multi-fluid* formalism, instead of a single-fluid one.
- In the presence of a magnetic field, the Maxwell equations have to be added.

# NS modes: basic formalism (II)

- The mode's total energy  $E_{\text{mode}}$  is conserved in the absence of dissipation.
- In the presence of dissipation  $E_{\text{mode}}$  is not conserved and the mode's frequency  $\omega$  becomes complex-valued.

- The Navier-Stokes equation leads to:
 
$$\begin{cases} \dot{E}_{\text{mode}} = -\frac{2E_{\text{mode}}}{\tau} & \text{where } \text{Im}(\omega) = \frac{1}{\tau} \\ \dot{E}_{\text{mode}} = \dot{E}_{\text{sv}} + \dot{E}_{\text{bv}} + \dot{E}_{\text{GRR}} \end{cases}$$

shear viscosity damping rate:

$$\dot{E}_{\text{sv}} = -2 \int dV \eta \delta\sigma^{ij} \delta\bar{\sigma}_{ij}$$

$$\delta\sigma^{ij} = \frac{1}{2} \left( \nabla^i \delta v^j + \nabla^j \delta v^i - \frac{2}{3} g^{ij} \nabla_k \delta v^k \right)$$

$$\frac{1}{\tau_{\text{sv}}} = \frac{\dot{E}_{\text{sv}}}{E_{\text{mode}}}$$

bulk viscosity damping rate:

$$\dot{E}_{\text{bv}} = - \int dV \zeta |\delta\sigma|^2$$

$$\delta\sigma = \nabla_j \delta v^j$$

$$\frac{1}{\tau_{\text{bv}}} = \frac{\dot{E}_{\text{bv}}}{E_{\text{mode}}}$$

# Calculating mode damping: basic strategy

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Solve directly the Navier-Stokes equation



daunting task due to complexity of dissipative forces  
mind causality of viscosity in GR!



realistic scenario:  
weak dissipation  
 $\text{Re}(\omega) \gg |\text{Im}(\omega)|$

Solve the non-dissipative Euler equation



use inviscid mode eigenfunctions & frequencies in  $\dot{E}_{sv}, \dot{E}_{bv}, \dot{E}_{GRR}$  to obtain approximate viscous and GW timescales.

# NS modes: GR formalism

- The formalism is considerably more complicated in GR:

$$\delta G^{\mu\nu} = 8\pi\delta T^{\mu\nu} \quad \delta(\nabla_\nu T^{\mu\nu}) = 0$$

$$\text{metric} = g_{\mu\nu} + \delta g_{\mu\nu}$$

Cowling approximation:  $\delta g_{\mu\nu} = 0$

$\Rightarrow$  switches off GWs but also  
“contaminates” mode

- Example: the symbolic form of polar perturbation equations in a spherical background star

$$-\frac{1}{c^2} \frac{\partial^2 S}{\partial^2 t} + \frac{\partial^2 S}{\partial^2 r_*} + L_1(S, F, \ell) = 0$$

$$-\frac{1}{c^2} \frac{\partial^2 F}{\partial^2 t} + \frac{\partial^2 F}{\partial^2 r_*} + L_2(S, F, H, \ell) = 0$$

$$-\frac{1}{(c_s)^2} \frac{\partial^2 H}{\partial^2 t} + \frac{\partial^2 H}{\partial^2 r_*} + L_3(H, H', S, S', F, F', \ell) = 0$$

+ constraint:

$$\frac{\partial^2 F}{\partial^2 r_*} + L_4(F, F', S, S', H, \ell) = 0$$

# $f$ -mode: back of the envelope

- The “minimal” (Newtonian) stellar model supporting  $f$ -modes:

uniform density

$$\delta\rho = 0$$

incompressible flow

$$\nabla \cdot \delta\mathbf{v} = 0$$

Cowling approximation

$$\delta\Phi = 0$$

Euler:  $\partial_t \delta\mathbf{v} + \frac{1}{\rho} \nabla \delta p = 0 \Rightarrow \begin{cases} \delta\mathbf{v} = \partial_t \boldsymbol{\xi} = \nabla \chi \text{ “potential flow”} \\ \nabla^2 \delta p = 0 \quad \& \quad \nabla^2 \chi = 0 \end{cases}$

$$\begin{cases} \chi = \chi_\ell(r) Y_\ell^m e^{i\omega t} \\ \delta p = \delta p_\ell(r) Y_\ell^m e^{i\omega t} \end{cases} \Rightarrow \begin{cases} \chi_\ell = \alpha_\ell r^\ell \\ \delta p_\ell = \beta_\ell r^\ell \end{cases} \quad \text{Euler: } i\omega \alpha_\ell + \frac{\beta_\ell}{\rho} = 0$$

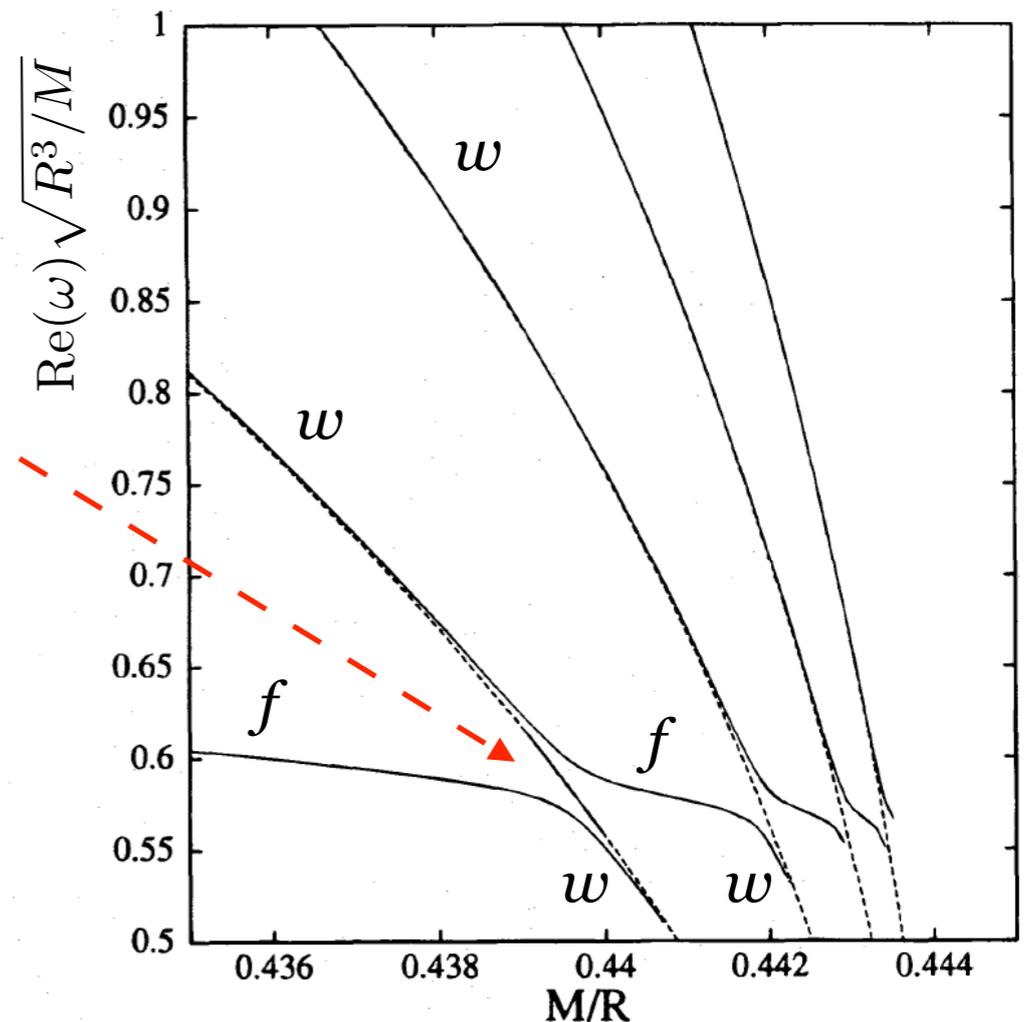
background  
pressure gradient

Surface boundary condition ( $r=R$ ):  $\Delta p = \delta p + \xi^r \partial_r p = 0$

$$\Rightarrow \beta_\ell = \frac{4\pi G \rho^2 \ell}{3i\omega} \alpha_\ell \Rightarrow \omega^2 = \frac{4\pi \ell}{3} G \rho \rightsquigarrow \omega \sim \sqrt{G \rho}$$

# A simple GR $f$ -mode calculation

- Ultracompact, uniform fluid ball (i.e. the Schwarzschild solution).
- The system can only support  $w$ -modes and the fluid  $f$ -mode (only the latter in Newtonian gravity).
- The figure provides a beautiful example of mode *avoided crossings*.
- At each crossing the two modes “transmute” by exchanging properties.
- In this particular example, the avoided crossings “produce” the  $f$ -mode.



# GW asteroseismology

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- *Key idea of asteroseismology:*

parametrise mode frequencies & decay rates (due to GW emission) in terms of the bulk stellar parameter:  $\{M, R, \Omega\}$

Once an oscillation is observed, use the parametrisation to infer the stellar parameters.

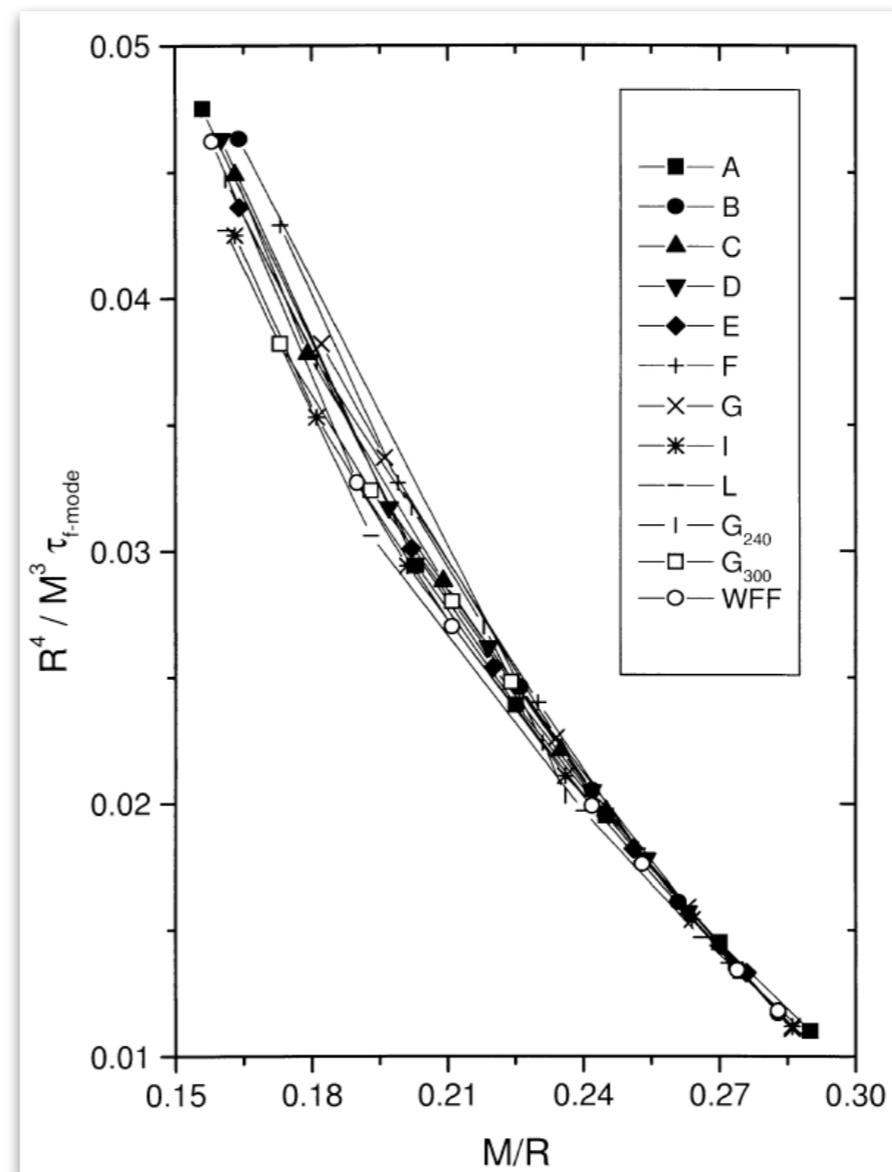
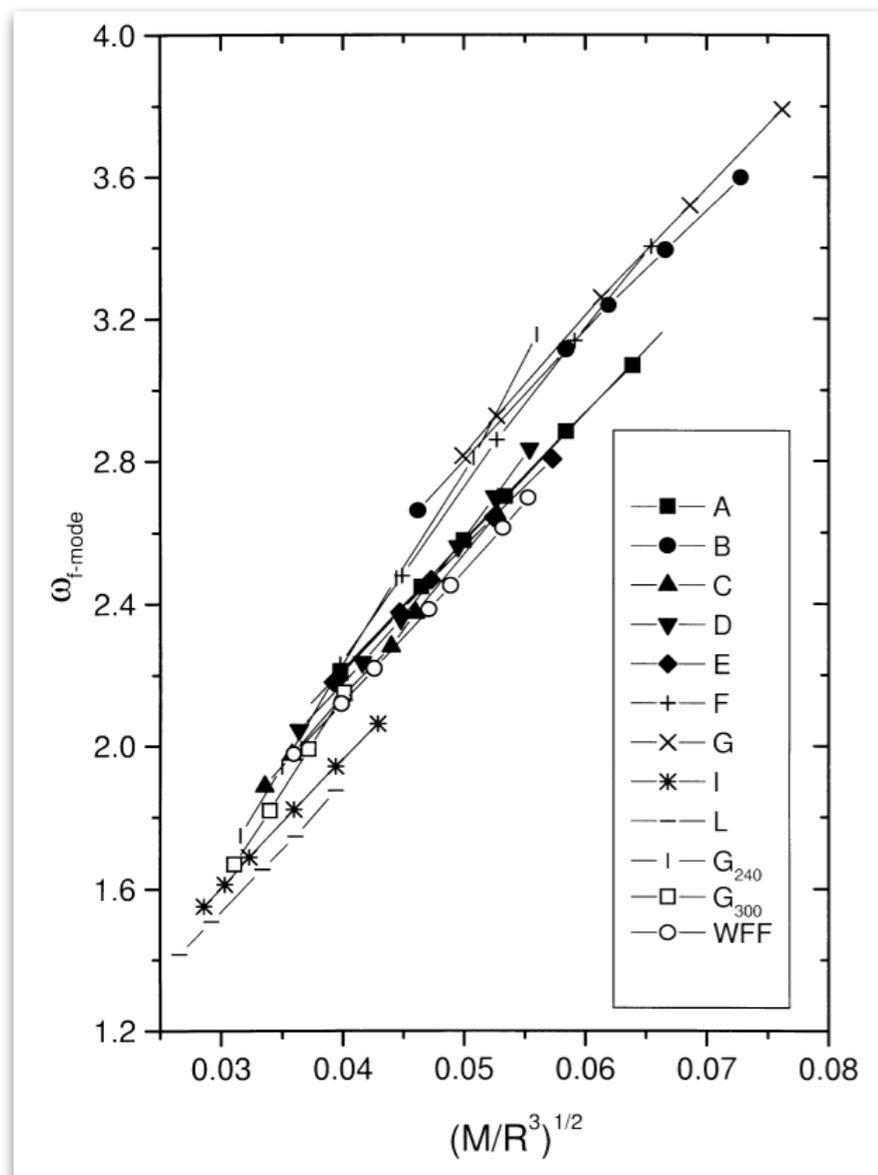
- A clever parametrisation can lead to “universal” (i.e. quasi EOS-independent) relations.
- As an example, we consider  $f$ -mode asteroseismology.

# $f$ -mode asteroseismology: *no* rotation

- Fitting formulae for mode frequency and GW decay time.

$$\omega_f (\text{kHz}) \approx 0.78 + 1.635 \left( \frac{M_{1.4}}{R_6^3} \right)^{1/2}$$

$$\tau_f (s) \approx \frac{R_6^4}{M_{1.4}^3} \left[ 22.85 - 14.65 \frac{M_{1.4}}{R_6} \right]^{-1}$$



[Andersson & Kokkotas 1998]

# *f*-mode asteroseismology: *with* rotation

- For rotating NSs we need to consider the prograde (stable) and retrograde (potentially unstable) *f*-modes.

$$\frac{\omega_r^u}{\omega_0} = 1 + 0.402 \frac{\Omega}{\Omega_K} - 0.406 \left( \frac{\Omega}{\Omega_K} \right)^2$$

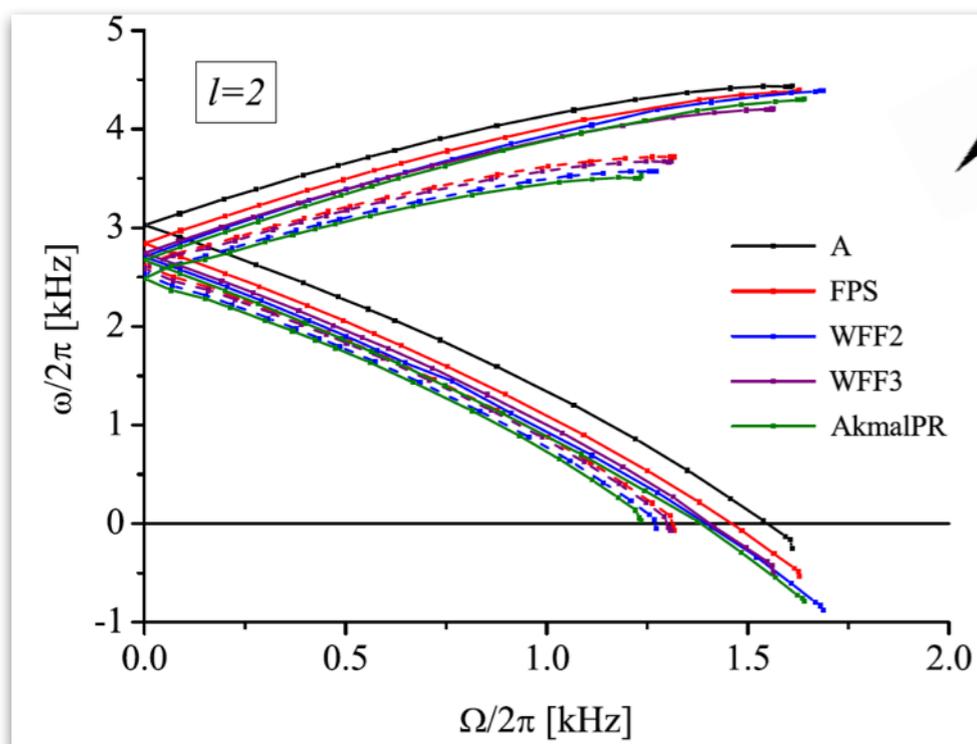
$$\frac{\omega_r^s}{\omega_0} = 1 - 0.235 \frac{\Omega}{\Omega_K} - 0.358 \left( \frac{\Omega}{\Omega_K} \right)^2$$

$\Omega_K$  = Kepler frequency

$\omega_0$  = non-rotating *f*-mode frequency

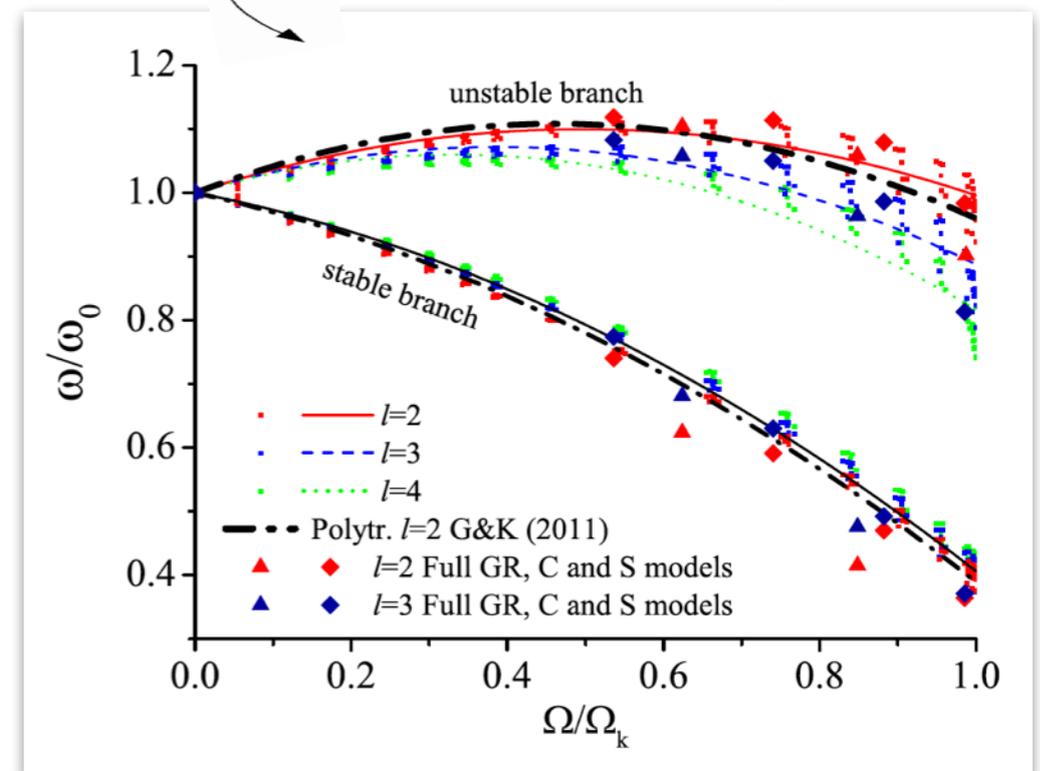
$$\omega_i = \omega_r - m\Omega$$

inertial frame



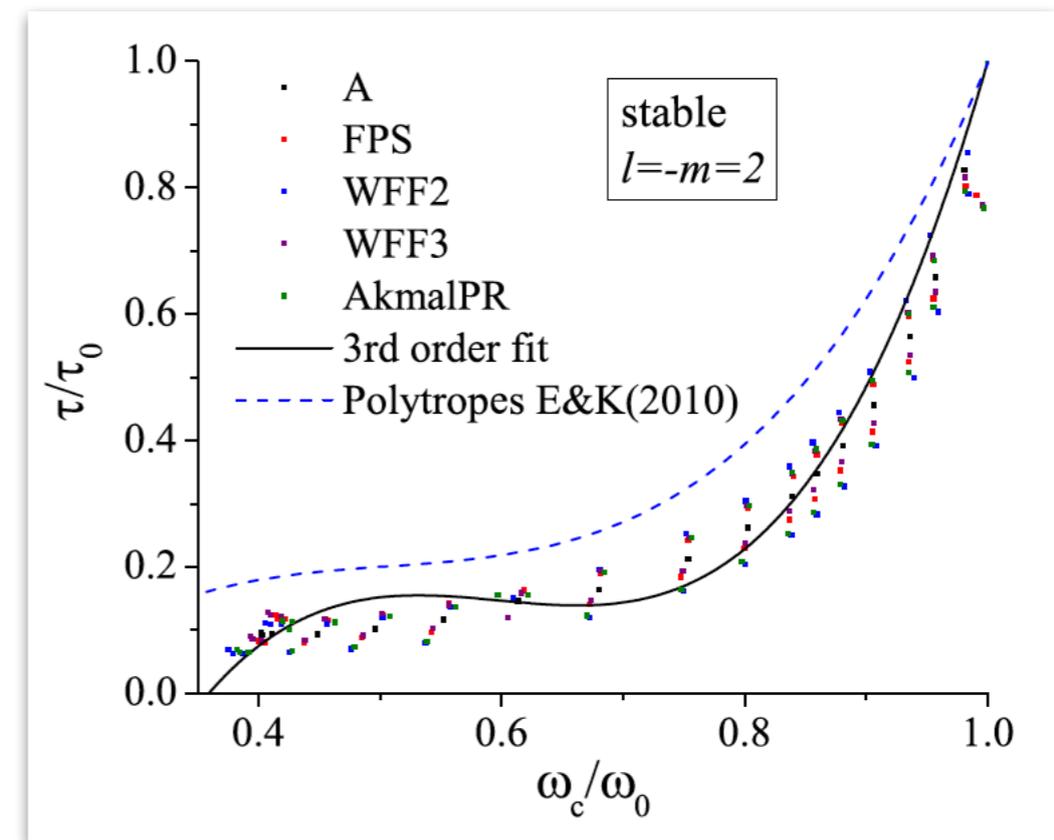
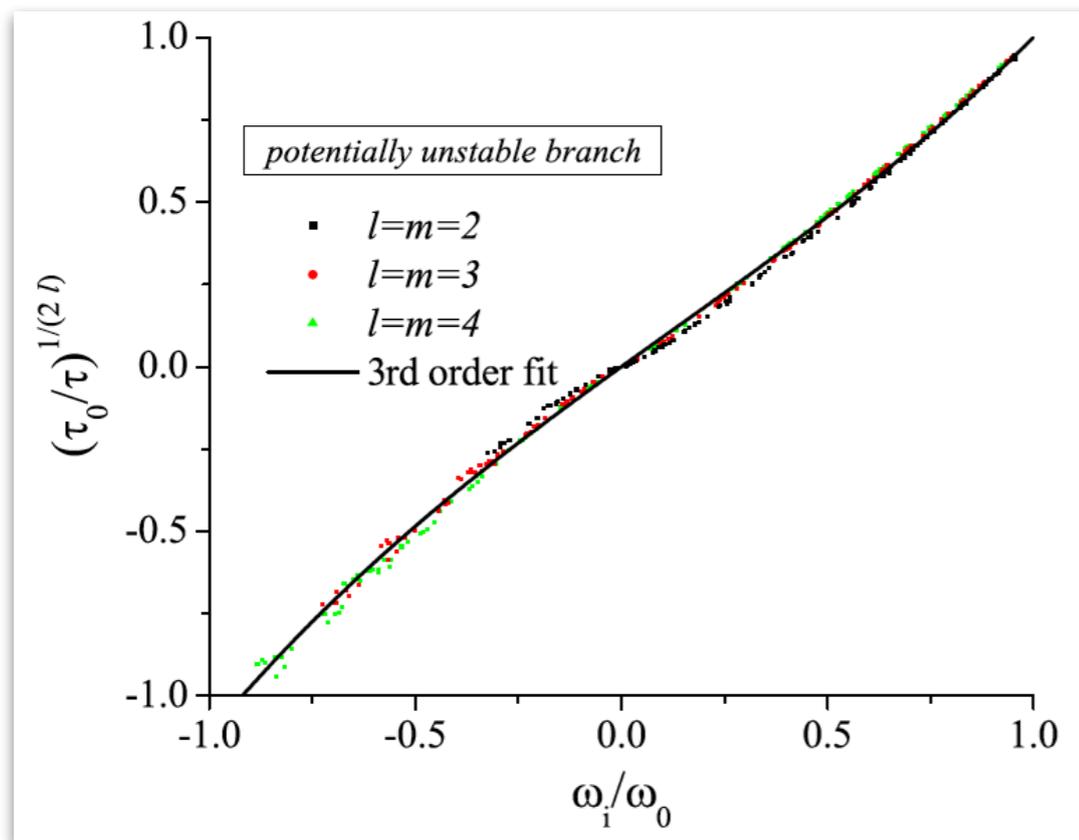
[ Doneva & Kokkotas 2013 ]

rotating frame



# $f$ -mode asteroseismology: *with* rotation

- Polynomial fitting formulae exist for the  $f$ -mode's GW damping timescales.



[ Doneva & Kokkotas 2013]

# Unstable modes & Ellipsoids (I)

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- A 300 years-old question:  
*what is the equilibrium shape of a rotating self-gravitating fluid body?*
- We consider homogenous & incompressible bodies.
- *Maclaurin* (1742): body is oblate and *biaxial*, the angular frequency  $\Omega$  and ellipticity  $e$  are related as:

$$\Omega^2 = 2\pi G\rho \left[ \frac{(1 - e^2)^{1/2}}{e^3} (3 - 2e^2) \sin^{-1} e - \frac{3(1 - e^2)}{e^2} \right] \quad \left| \quad e = \sqrt{1 - \left(\frac{a_3}{a_1}\right)^2} \right.$$

- *Jacobi* (1834): equilibrium shape can be *triaxial* ellipsoidal.

$$\frac{x^2}{a_1^2} + \frac{y^2}{a_2^2} + \frac{z^2}{a_3^2} = 1$$

- The fluid velocity for both configurations is a linear function of coordinates:

$$\mathbf{v} = \Omega( -y \hat{\mathbf{x}} + x \hat{\mathbf{y}} )$$

# Unstable modes & Ellipsoids (II)

- The Maclaurin sequence *bifurcates* at:

$$e \approx 0.813 \quad \beta \approx 0.14$$

where

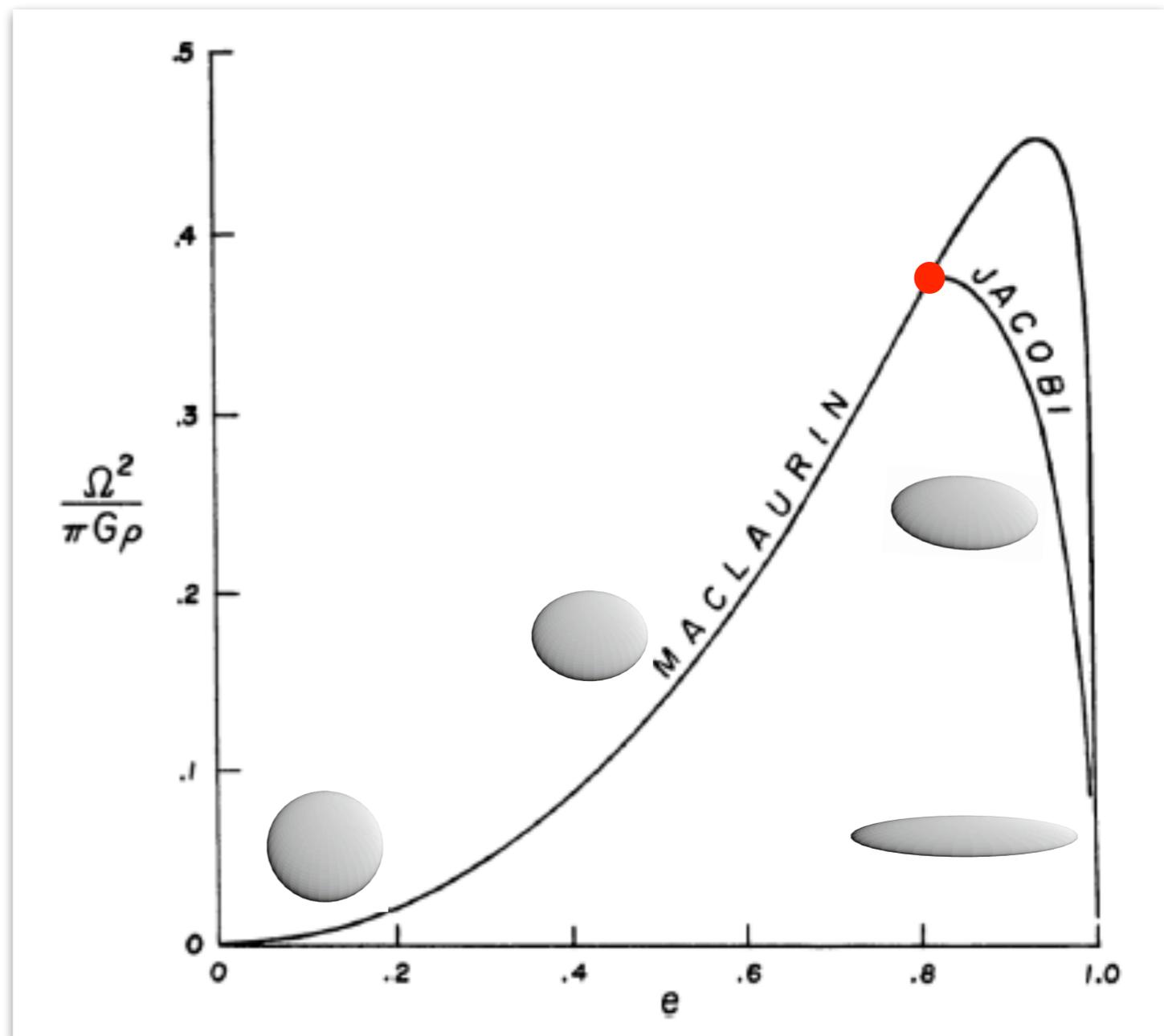
$$\beta = \frac{T}{W} = \frac{\text{kinetic energy}}{\text{grav. potential energy}}$$

Jacobi sequence ends in a “cigar”:

$$e \rightarrow 1 \Rightarrow \frac{a_3}{a_1} \rightarrow 0, \quad \frac{a_3}{a_2} \rightarrow 1$$

Maclaurin sequence ends in a “disk”:

$$e \rightarrow 1 \Rightarrow \frac{a_3}{a_1} \rightarrow 0, \quad J \rightarrow \infty$$



# Unstable modes & Ellipsoids (III)

- *Dirichlet-Dedekind* (1861): a new class of triaxial ellipsoids, with zero rigid body rotation and non-zero uniform vorticity

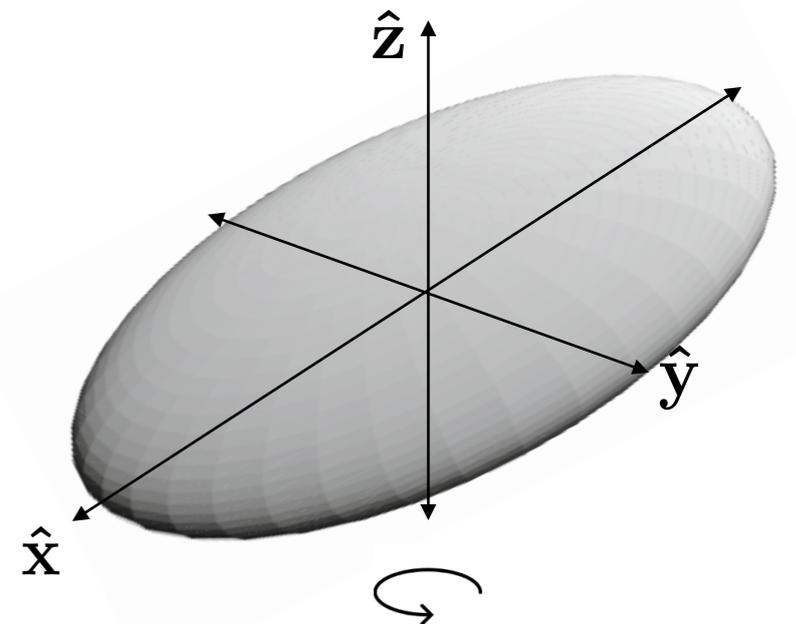
$$\Omega = 0 \quad \zeta = \nabla \times \mathbf{v} \neq 0 \quad \text{velocity: } \mathbf{v} = \frac{\zeta}{a_1^2 + a_2^2} (-a_2^2 y \hat{\mathbf{x}} + a_1^2 x \hat{\mathbf{y}})$$

- The previous solutions are special cases of the general *Riemann* family of ellipsoids.

- *S-type* ellipsoids:  $\left\{ \begin{array}{l} \Omega \parallel \zeta \text{ along a principal axis of the ellipsoid, } \frac{\zeta}{\Omega} = \text{const.} \\ \text{linear fluid flow } v^i = A^{ij} x_j \end{array} \right.$

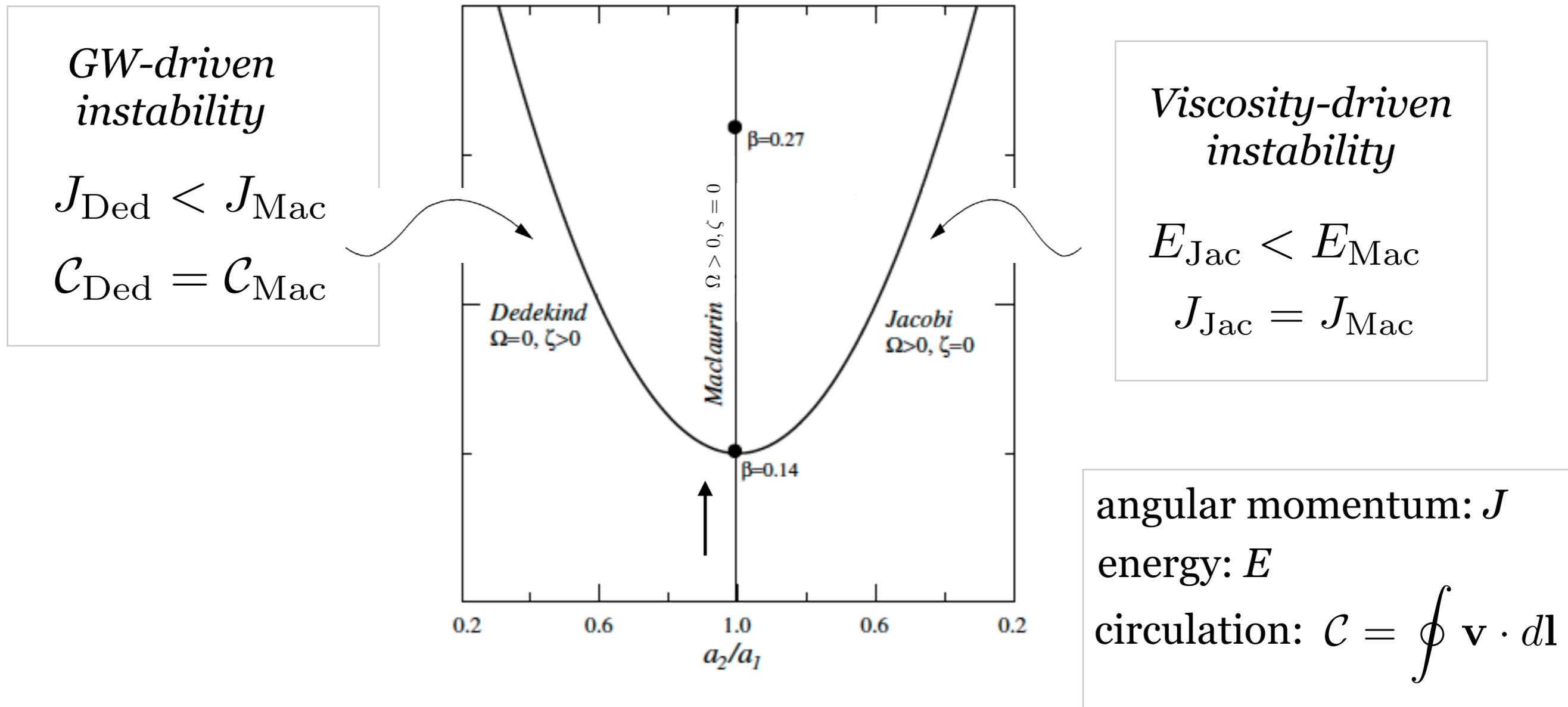
$$\parallel \hat{\mathbf{z}} \left\{ \begin{array}{l} \Omega = (0, 0, \Omega) \\ \zeta = (0, 0, \zeta) \end{array} \right.$$

$$\frac{\zeta}{\Omega} = \left\{ \begin{array}{l} 0 \text{ Jacobi} \\ \infty \text{ Dedekind} \end{array} \right.$$



# Unstable modes & Ellipsoids (IV)

[ Andersson 2003 ]



*Secular instability:* with dissipation, J & D sequences branch out at  $\beta_s \approx 0.14$

*Dynamical instability:* the Maclaurin sequence ends at  $\beta_d \approx 0.27$

# Unstable modes & Ellipsoids (V)

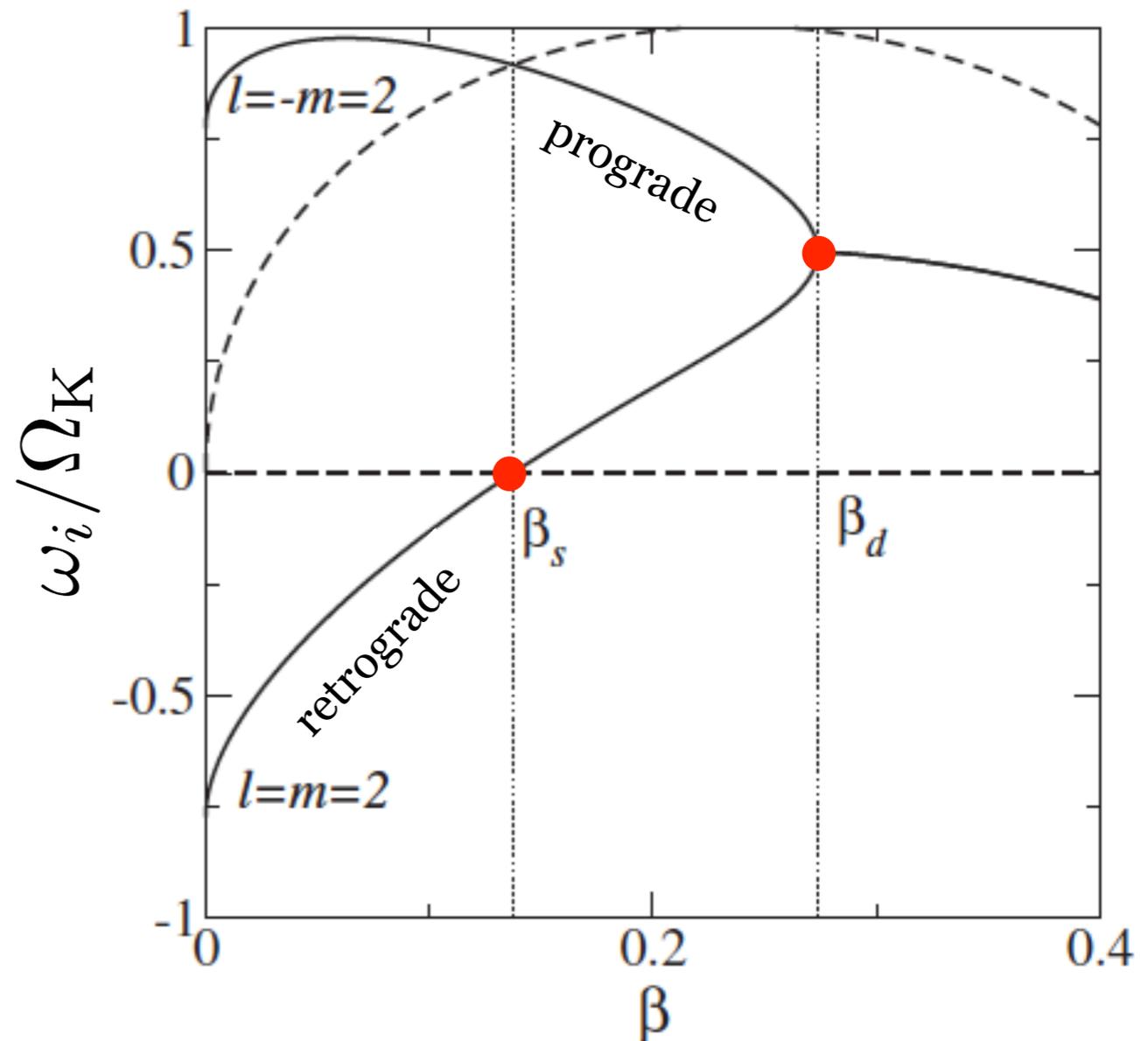
- Ellipsoidal changes are achieved via *unstable*  $\ell = |m| = 2$  (“bar”) *f*-modes.

- At  $\beta_s$ :  
retrograde *f*-mode becomes prograde (dragged by stellar rotation)
- At  $\beta_d$ :  
the two *f*-modes merge and become complex-valued.

mode's *pattern speed*:

$$\omega t + m\varphi = \text{const.}$$

$$\Rightarrow \dot{\varphi} = -\frac{\omega}{m} \quad \begin{array}{l} > 0 \text{ for prograde} \\ < 0 \text{ for retrograde} \end{array}$$



# Realistic “ellipsoids”

- Realistic (= inhomogeneous, GR gravity) rotating, self-gravitating fluids have a number of important qualitative differences.

- The mass-shedding *Kepler limit*:

- for uniform bodies, it lies at  $e=1$  (well after the bifurcation point).
- for realistic systems, it appears before or just after bifurcation.

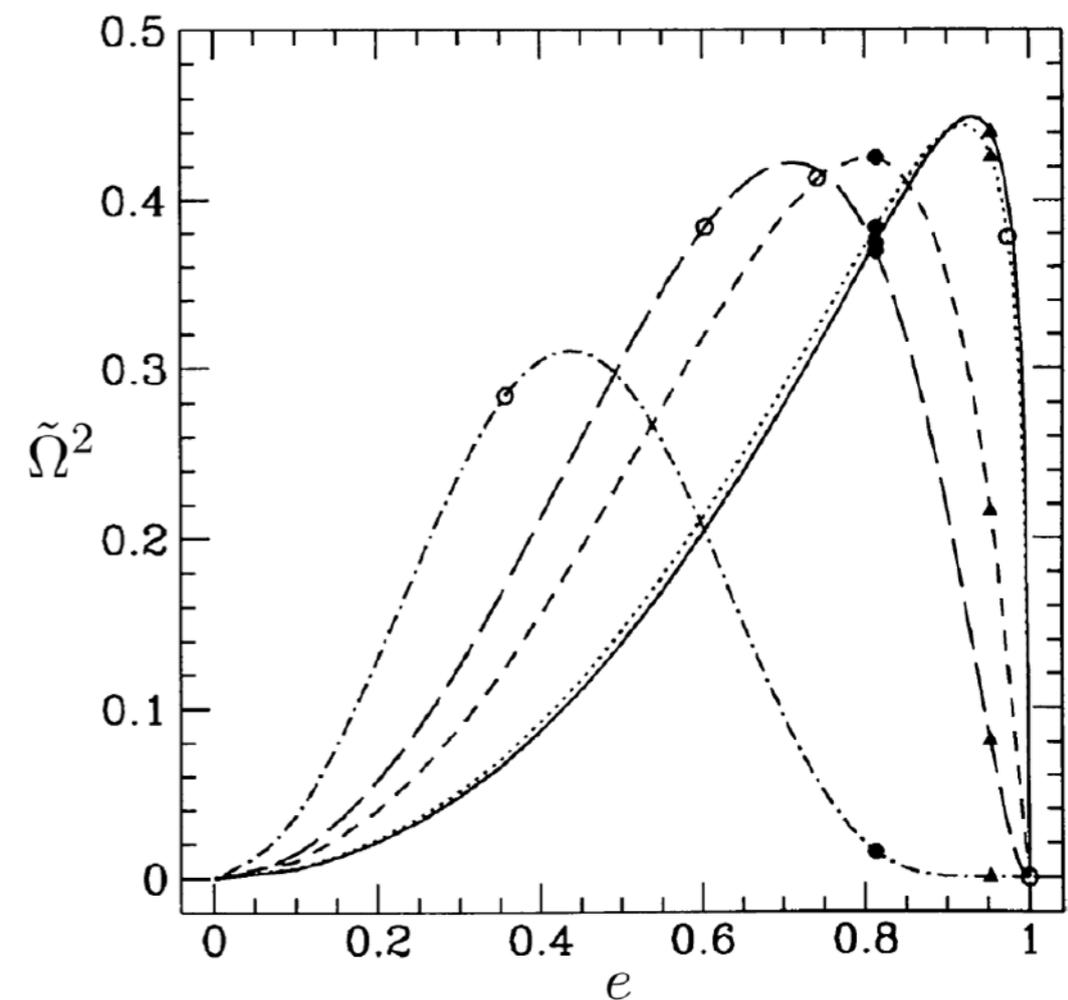
- Different bifurcation points for the Jacobi and Dedekind sequences.

- GR lowers the values of  $\beta_s, \beta_d$

- Need *differential rotation* to reach  $\beta_d$

- Shape oblate but not perfectly ellipsoidal.

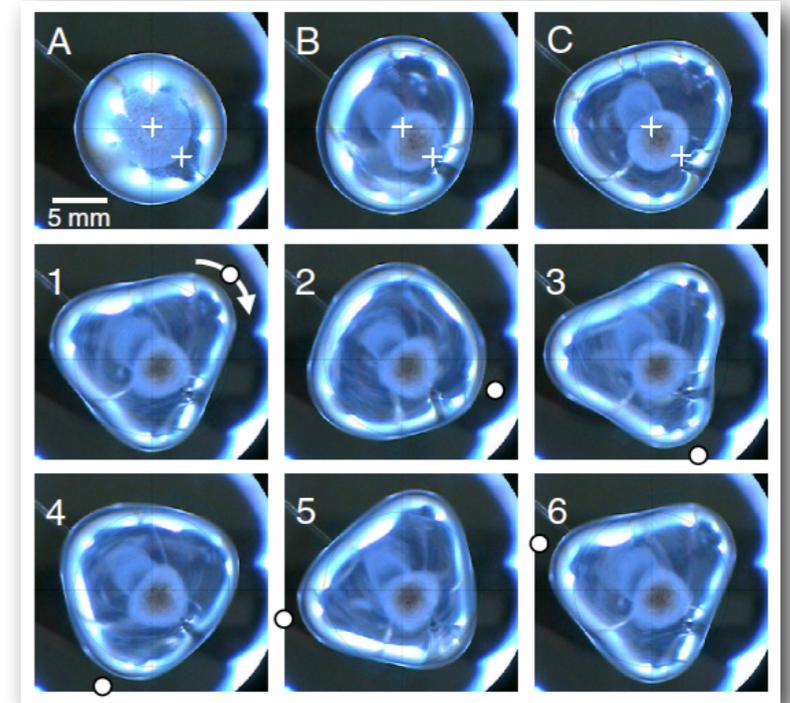
- *Secular instability driven by other modes* (e.g. *r*-modes).



[ Lai 1993 ]

# Unstable $f$ -modes in a liquid drop

- No need to look at the stars for observing the *dynamical*  $f$ -mode instability!
- Rotating liquid drops (suspended by a magnetic field) acquire a series of  $n$ -lobed “peanut” shapes by the instability of their  $f$ -modes.



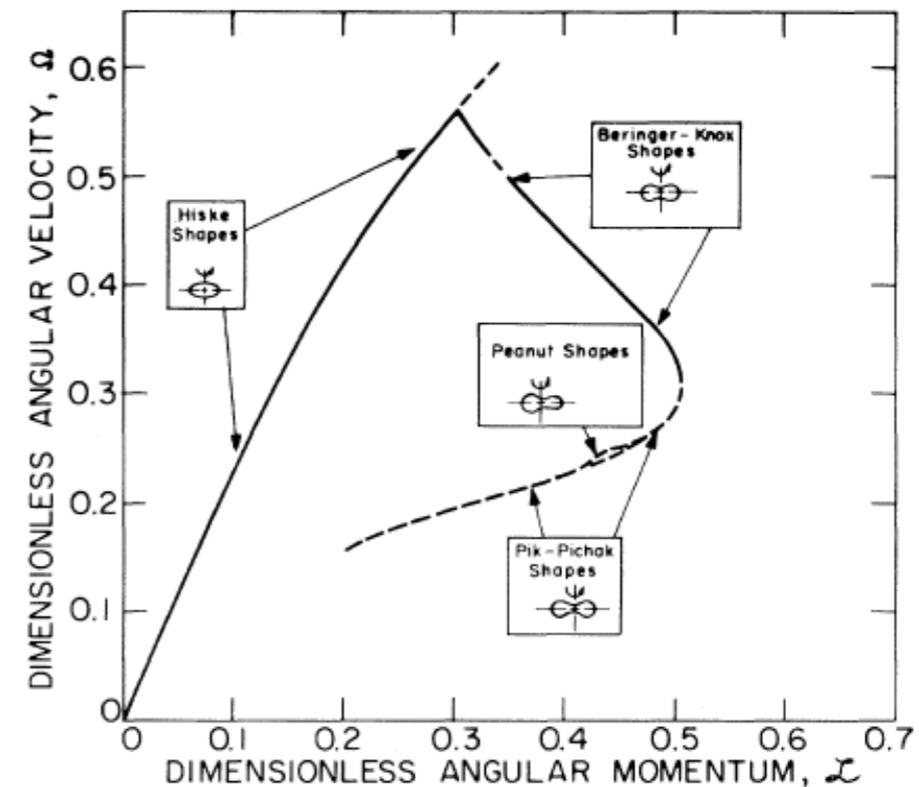
[ Hill & Eaves 2008 ]

- The drop’s  $f$ -mode is due to its surface tension  $\sigma$ :

$$\omega \sim \sqrt{\sigma / \rho R^3}$$

- Shape-shifting takes place when rotation exceeds a threshold:

$$\Omega > \{0.56, 0.71, 0.75\} \times \sqrt{\frac{8\sigma}{\rho R^3}}$$



[ Brown & Scriven 1980 ]

# The CFS instability (I)

- The Chandrasekhar-Friedman-Schutz instability (1970s) is *secular*: the fluid must be coupled to some dissipative mechanism (GWs, EMs, fluid viscosity).
- Quick way to “discover” the GW-CFS instability: formula for GW luminosity

$$\dot{E}_{\text{mode}} = -\omega_r \sum_{\ell \geq 2} \omega_i^{2\ell+1} N_\ell ( |D_{\ell m}|^2 + |J_{\ell m}|^2 )$$

mass  
multipoles

current  
multipoles

$$\omega_i = \omega_r - m\Omega$$

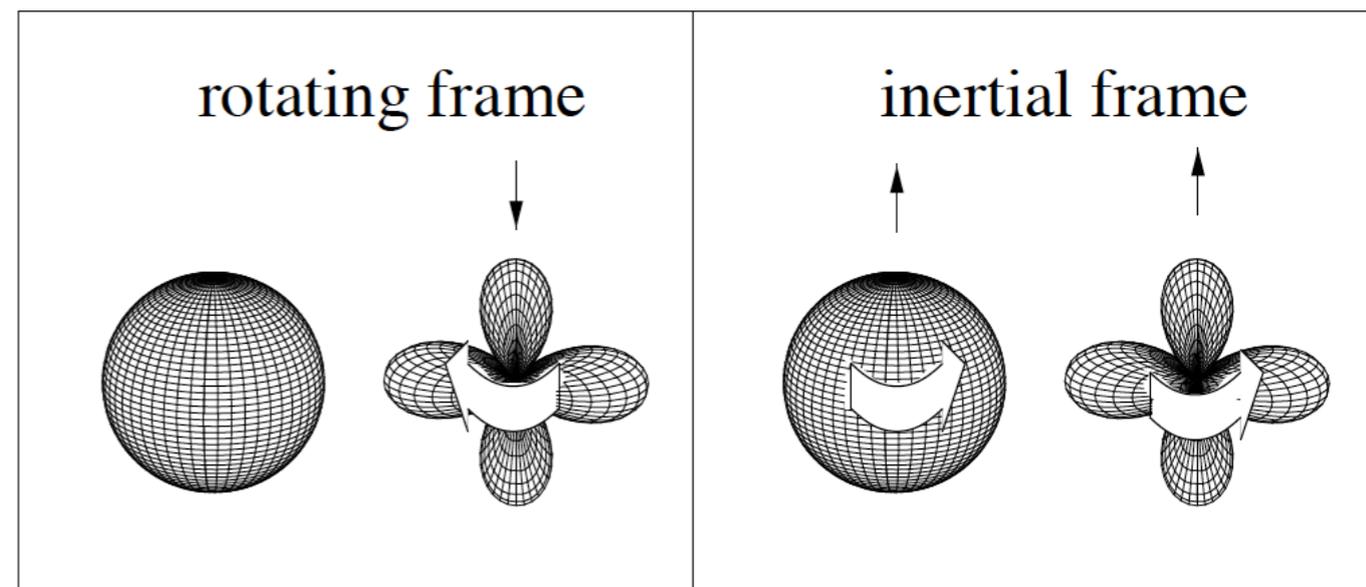
inertial frame frequency      rotating frame frequency

- *CFS instability*:

$$\dot{E}_{\text{mode}} = -\frac{2E_{\text{mode}}}{\tau_{\text{gw}}} > 0 \Leftrightarrow \omega_i \omega_r < 0$$

$$\text{For } \omega_r > 0 \Rightarrow \Omega > \frac{\omega_r}{m}$$

mode “dragged” by stellar rotation



# CFS theory primer (I)

---

- The Lagrangian fluid displacement  $\xi$  is the main variable:

$$\underline{\Delta v^i = \delta v^i + \mathcal{L}_\xi v^i = \dot{\xi}^i}$$

- The *inertial frame* Euler equation can be written in the “ABC” form:

$$A^i_j \ddot{\xi}^j + B^i_j \dot{\xi}^j + C^i_j \xi^j = F_{\text{diss}}^i$$

- Define the inner product:  $\langle \eta^i, \xi_i \rangle \equiv \int dV \bar{\eta}^i \xi_i$

- The *non-dissipative* system admits a conserved *canonical* energy and angular momentum:

$$\xi^i \propto e^{i\omega t + im\varphi}$$

$$E_c = \frac{1}{2} m \left[ \langle \dot{\xi}^i, A \dot{\xi}_i \rangle + \langle \xi^i, C \xi_i \rangle \right] \quad \int = \omega \left[ \omega \langle \xi^i, A \xi_i \rangle - \frac{i}{2} \langle \xi^i, B \xi_i \rangle \right]$$

$$J_c = -\text{Re} \left\langle \partial_\varphi \xi^i, A \dot{\xi}_i + \frac{1}{2} B \xi_i \right\rangle = -m \left[ \omega \langle \xi^i, A \xi_i \rangle - \frac{i}{2} \langle \xi^i, B \xi_i \rangle \right] \Rightarrow \underline{E_c = -\frac{\omega}{m} J_c}$$

# CFS theory primer (II)

---

- *Dynamical* instability:  $\omega$  complex-valued  $\Rightarrow E_c = J_c = 0$

- *Secular* instability: need  $E_c < 0$ , since  $\dot{E}_c < 0$  under GW emission.

- Key angular momentum inequality:

$$-\frac{\omega}{m} - \Omega \left(1 + \frac{1}{m}\right) \leq \frac{J_c}{m^2 \langle \xi^i, \rho \xi_i \rangle} \leq -\frac{\omega}{m} - \Omega \left(1 - \frac{1}{m}\right)$$

when the mode's pattern speed changes sign we always have  $J_c < 0$

$\Rightarrow$  retrograde mode  $\rightarrow$  prograde mode implies  $E_c < 0$

GW-driven CFS instability condition:  $\frac{\omega_i}{m} = 0$

- For the viscosity-driven CFS instability a similar *rotating frame* analysis applies and the associated condition is:  $\frac{\omega_r}{m} = 0$

# CFS theory primer (III)

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- Growth timescales for the CFS instability:

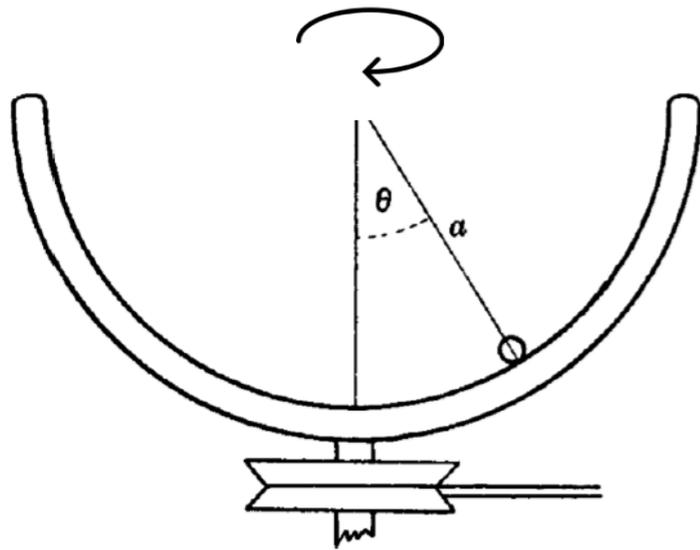
$\left\{ \begin{array}{l} \text{dynamical: } \tau_{\text{grow}} \sim 1/\sqrt{G\rho} \sim \sqrt{R^3/GM} \quad (\text{“free-fall” timescale}) \\ \text{secular: controlled by dissipation mechanism (GWs, viscosity) and } \Omega \end{array} \right.$

- GWs and viscosity are always competing factors. The GW instability is always the dominant one and will be our focus from now on.

*Which modes are easier to CFS-destabilise?*  $\left\{ \begin{array}{l} \text{modes (e.g. } f\text{-mode) with } \omega \neq 0 @ \Omega = 0 \\ \text{can only become unstable above a threshold } \Omega_{\text{cfs}} \\ \\ \text{trivial modes (e.g. } \textit{inertial} \text{ modes): } \omega = 0 @ \Omega = 0 \\ \text{with hindsight, we expect these to be the best candidates,} \\ \text{perhaps with } \Omega_{\text{cfs}} = 0! \end{array} \right.$

# CFS mechanical analogue (I)

- Lamb 1908: particle in rotating bowl, perturbed from equilibrium  $\theta = (x, y) = 0$ .



Lagrangian:

$$\mathcal{L} = \frac{1}{2} m a^2 \left[ \dot{\theta}^2 + \sin^2 \theta (\Omega + \dot{\varphi})^2 \right] - \underbrace{m g a (1 - \cos \theta)}_V$$

equilibrium points:

$$\frac{dV}{d\theta} = 0 \Rightarrow \begin{cases} \theta = 0 \Rightarrow \text{stable for } \Omega < \sqrt{\frac{g}{a}} \\ \theta = \cos^{-1} \left( \frac{g}{a\Omega^2} \right) \Rightarrow \text{stable for } \Omega \geq \sqrt{\frac{g}{a}} \end{cases}$$

$\Rightarrow \Omega = \sqrt{\frac{g}{a}}$  is a bifurcation point

# CFS mechanical analogue (II)

---

- After adding friction, the motion near  $\theta = (x, y) = 0$  is described by (rotating frame):

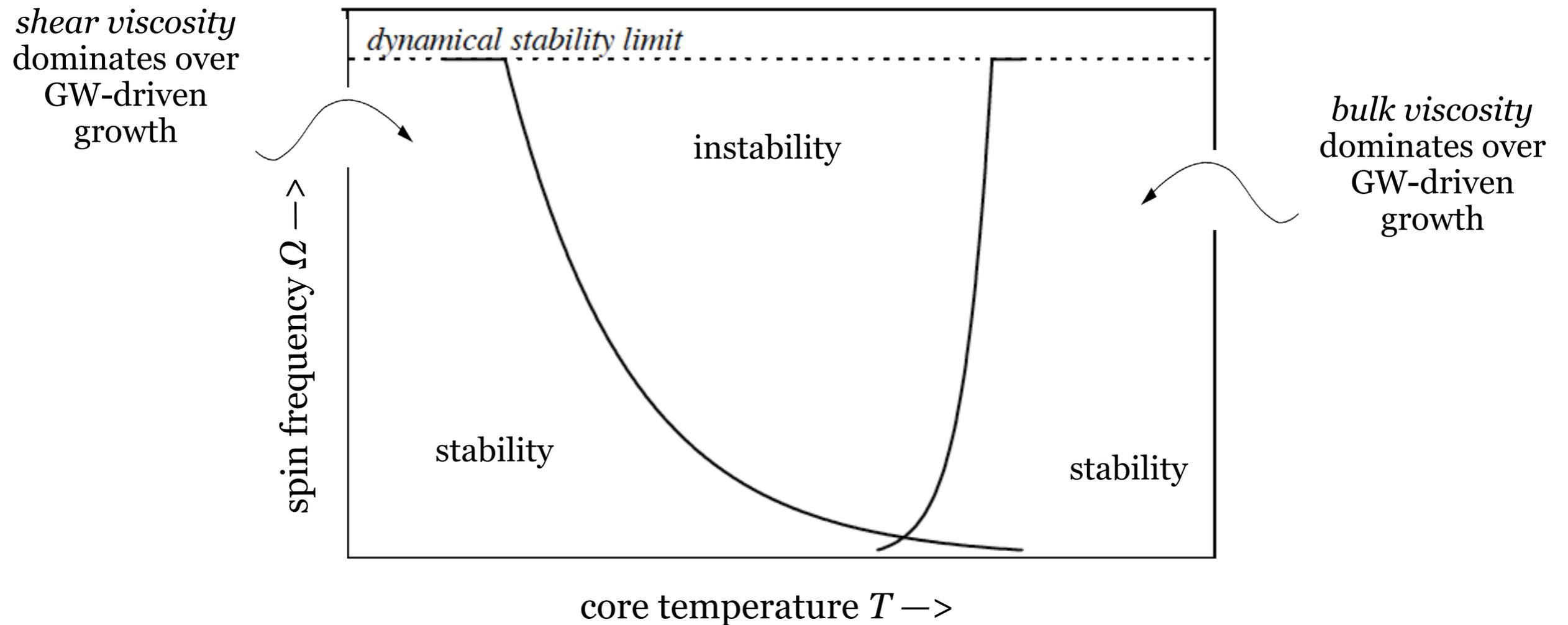
$$\zeta(t) = x(t) + iy(t) \quad \ddot{\zeta} + (2i\Omega + \lambda)\dot{\zeta} + \left(\frac{g}{a} - \Omega^2\right)\zeta = 0$$

↓  
friction

general solution:  $\zeta(t) = A e^{-i(\Omega \mp \sqrt{g/a})t} e^{-\frac{1}{2}\lambda(1 \mp \Omega\sqrt{a/g})t}$

- Motion *unstable* for:  $\lambda > 0$  and  $\Omega > \sqrt{g/a}$
- 
- This is an example of a *viscosity-driven* “CFS” instability.

# The (typical) CFS instability window



instability curve:

$$\frac{1}{\tau_{\text{grow}}} + \frac{1}{\tau_{\text{diss}}} = 0 \quad \text{where} \quad \frac{1}{\tau_{\text{diss}}} = \frac{1}{\tau_{\text{sv}}} + \frac{1}{\tau_{\text{bv}}} + \dots$$

# $f$ -modes: secular instability

---

- The  $f$ -mode is a powerful emitter of GWs (via the mass multipoles) but only becomes unstable at fast rotation:  $\Omega > \Omega_{\text{cfs}} \sim 0.9 \Omega_{\text{K}}$
- The instability is active in the high- $T$  regime, appropriate for newborn NSs. At lower  $T$  (appropriate for mature NSs), it is suppressed by *superfluid vortex mutual friction*.
- The growth timescale is a steep function of the spin difference  $\Omega - \Omega_{\text{cfs}}$  and the stellar compactness  $M/R$ .

Approximate Newtonian results:

$$\left\{ \begin{array}{l} \tau_{\text{gw}}(\Omega = 0) = f_{\ell} \left( \frac{c^2 R}{GM} \right)^{\ell+1} \frac{R}{c} \quad \ell = m \\ \tau_{\text{gw}}(\Omega = 0) \approx 0.07 M_{1.4}^{-3} R_6^4 \text{ s} \quad \ell = m = 2 \\ \tau_{\text{gw}}(\Omega) \approx \tau_{\text{gw}}(0) \left( 1 - \sqrt{\frac{m}{3}} \frac{\Omega}{\Omega_{\text{K}}} \right)^{-2m-1} \end{array} \right.$$

- GR is expected to make a big difference in the CFS timescale.

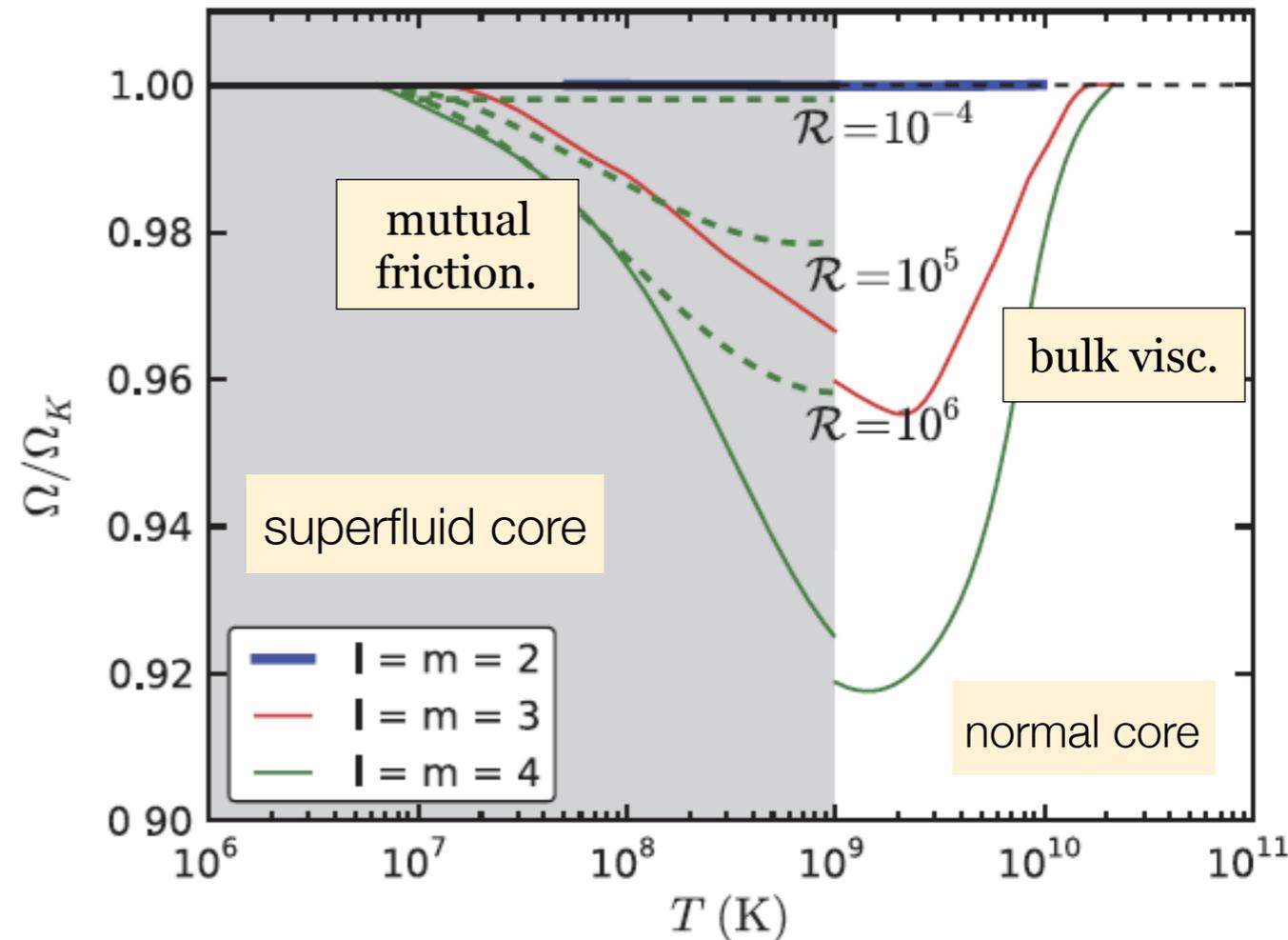
# $f$ -modes: instability window

- Recent GR calculations:

$$\tau_{\text{grow}} \sim 10^4 - 10^6 \text{ s}$$

(this is a factor  $\sim 10$  shorter than earlier Newtonian results).

- Typically, the  $\ell = m = 4$  mode is the most unstable one.
- Instability *enhanced* in *massive* NSs (shorter growth timescale, larger instability window).
- Damping: bulk viscosity (high  $T$ ), superfluid vortex mutual friction (low  $T$ ).



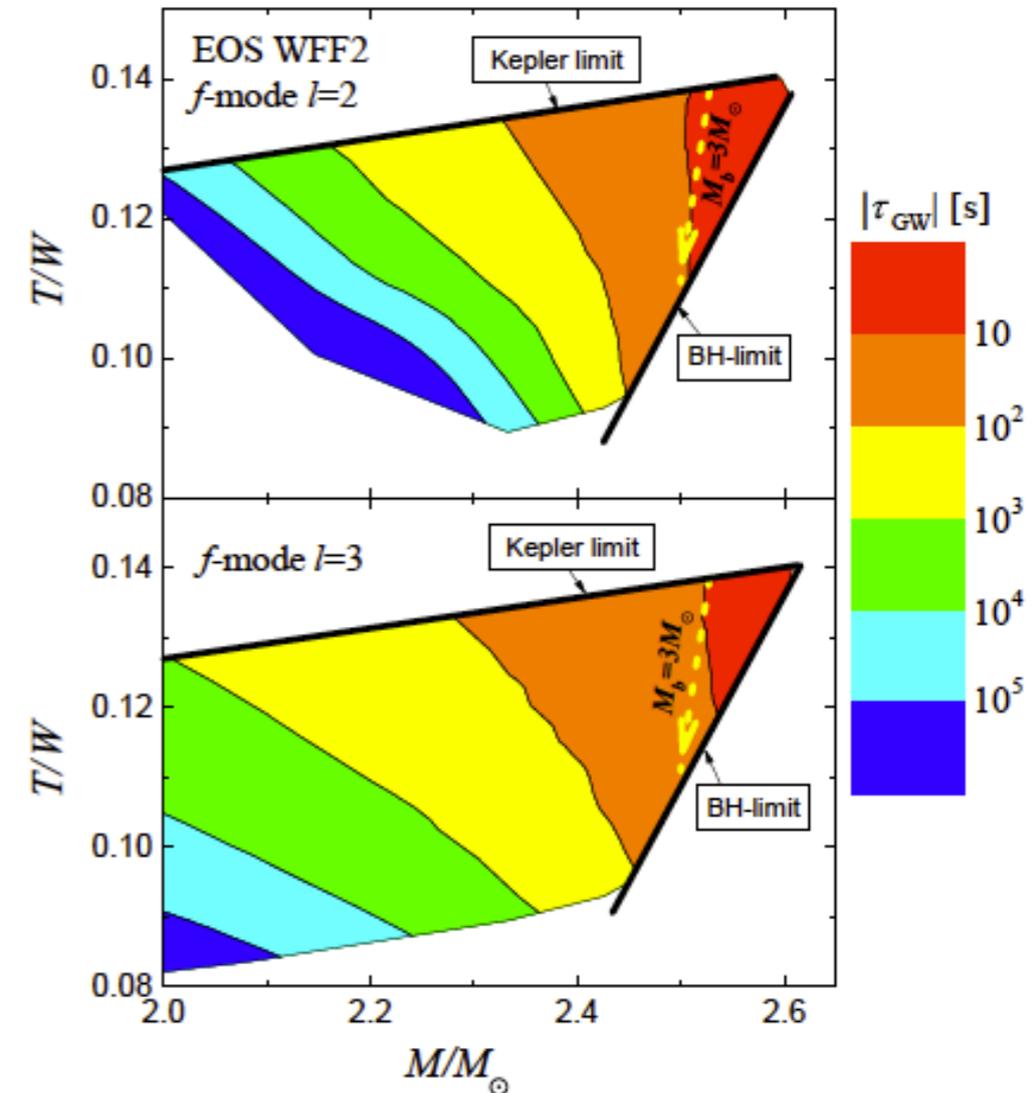
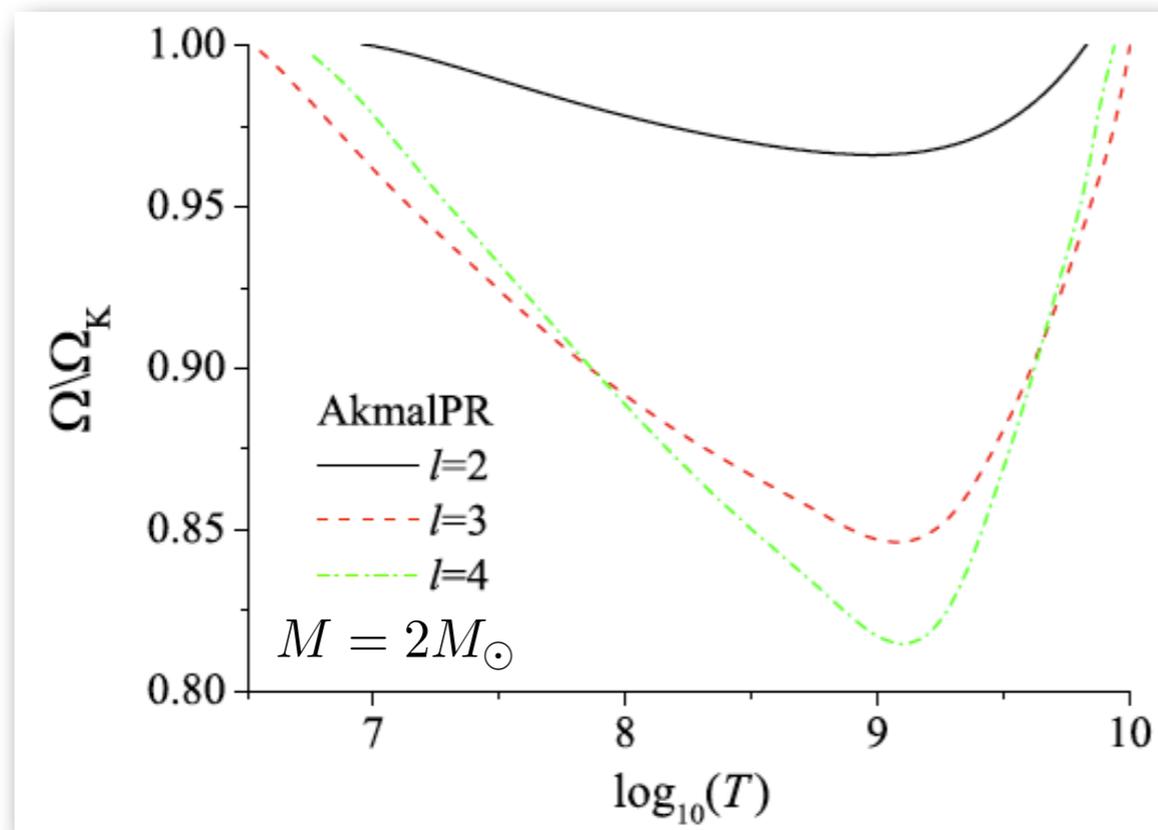
[ Gaertig et al. 2011 ]

Stellar model:  
 $N = 0.73$  polytrope  
 $M = 1.48M_{\odot}$ ,  $R = 10.47$  km

# $f$ -modes: (supra)-massive NS

- An optimal arena for the  $f$ -mode instability could be a massive NS ( $M \gtrsim 2M_{\odot}$ ) formed in a NS-NS merger.
- Revised growth timescale:

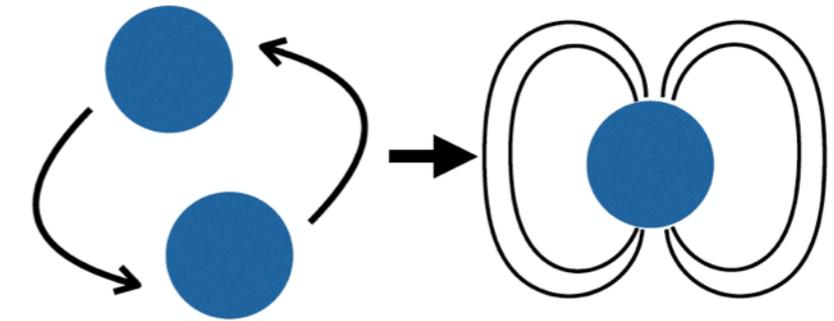
$$\tau_{\text{grow}} \sim 10 - 100 \text{ s}$$



# $f$ -modes: GW afterglow in sGRBs

- NS-NS mergers likely to produce sGRBs and  $f$ -mode-unstable massive NSs

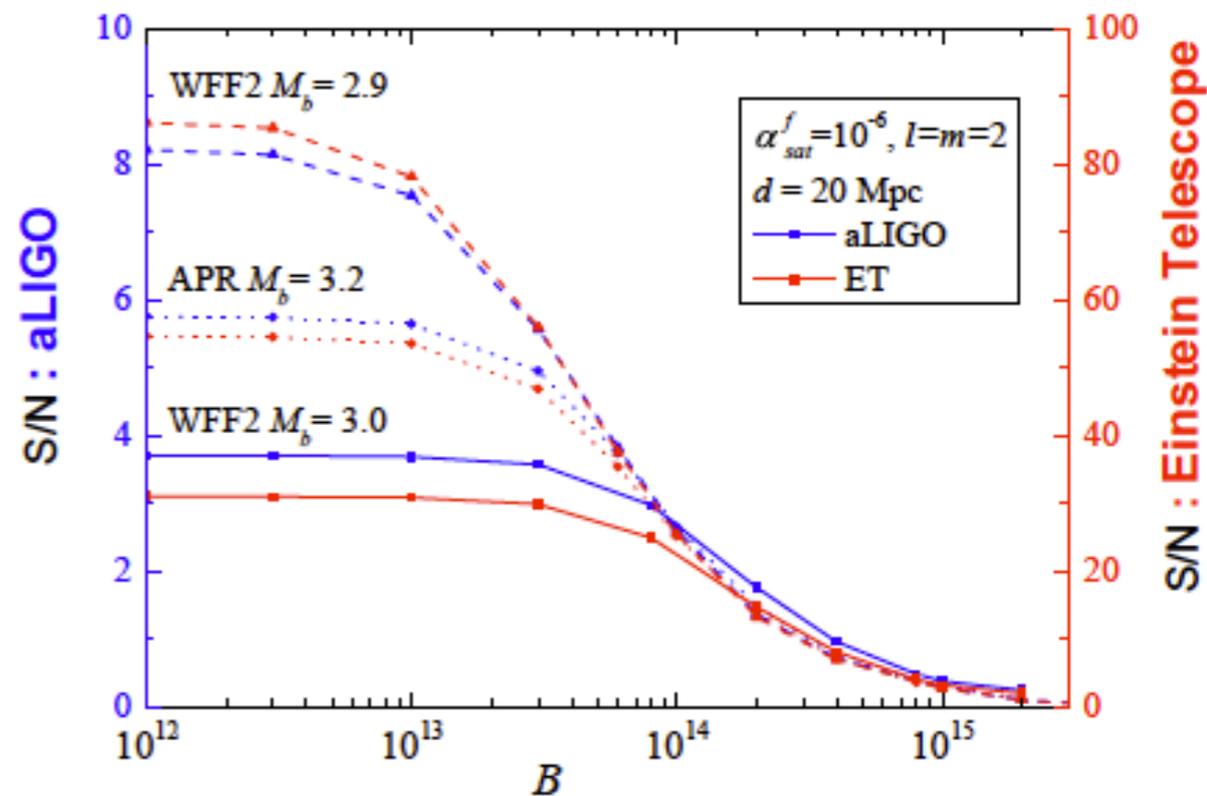
- The  $f$ -mode competes against magnetic dipole spin down (and, possibly, unstable  $r$ -modes).



- Recent Newtonian result for mode's saturation energy:

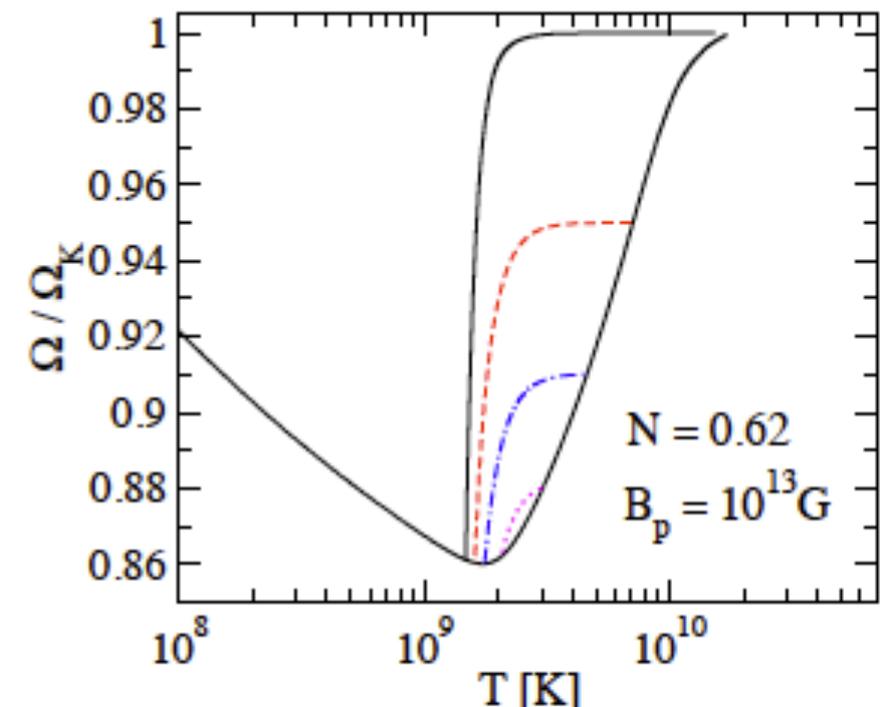
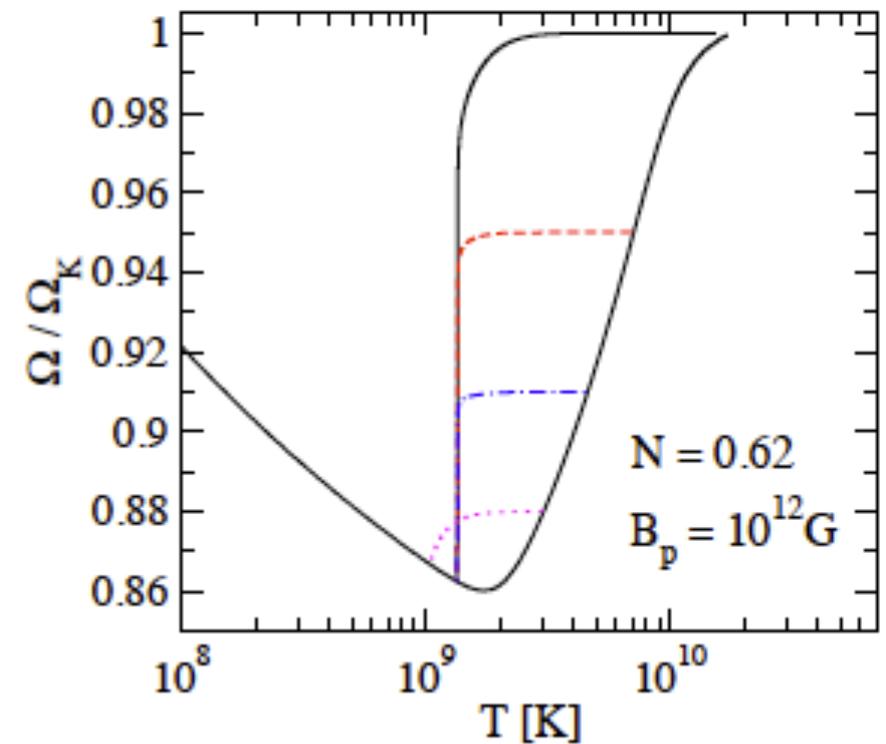
$$E_{\text{mode}} \sim (10^{-6} - 10^{-5}) M c^2$$

- $f$ -mode signal could be *detectable* by ET (or by LIGO, if we invoke distances much shorter than those associated with observed sGRBs).



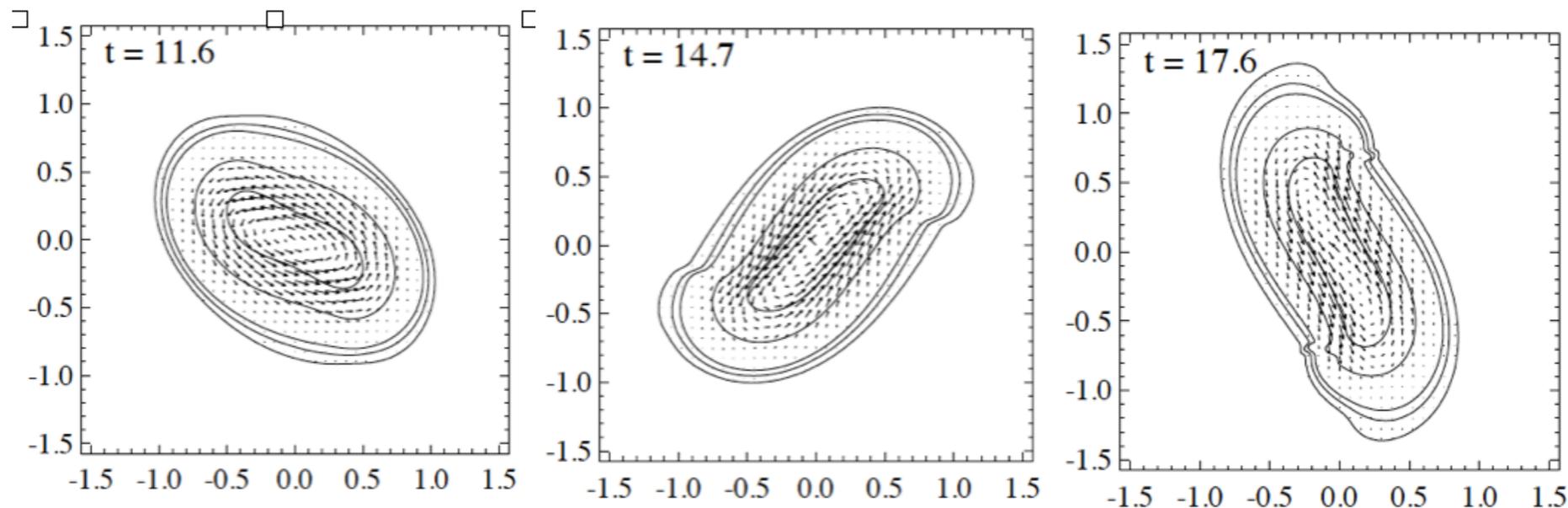
# $f$ -modes: spin-temperature evolution

- A rapidly spinning newly-formed NS, undergoes a coupled  $\Omega$ - $T$  evolution, under the combined action of the  $f$ -mode instability & magnetic dipole spin down.
- The GW-driven spin down begins once the mode saturates.
- Calculations suggest a mode growth & spin down before (say) the onset of superfluidity.
- Figure: Newtonian star,  $M = 2M_{\odot}$   
mode  $\ell = m = 4$

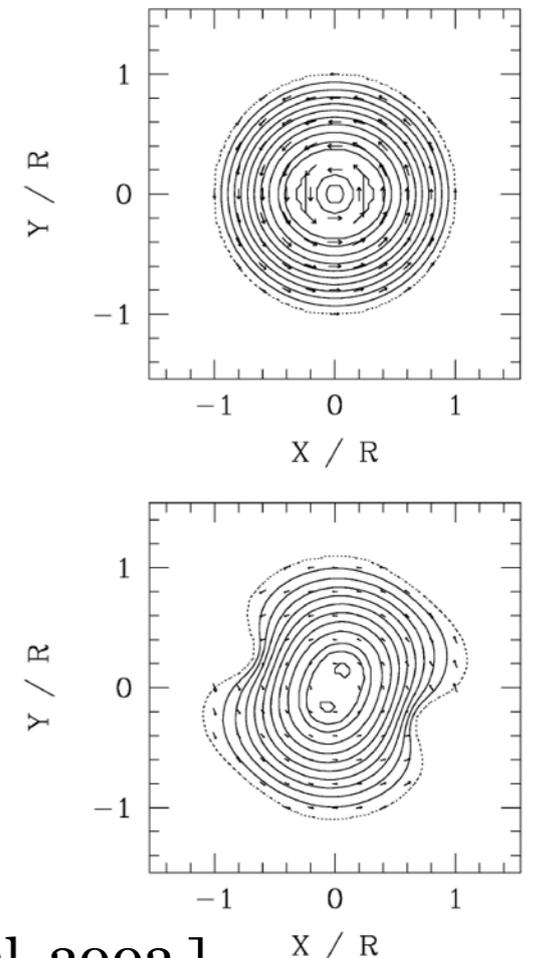


# The dynamical $f$ -mode instability

- The dynamical  $f$ -mode instability is typically seen in action in the aftermath of NS-NS mergers, where a differentially rotating (supra-)massive NS may form with initial  $\beta > \beta_d$
- The  $f$ -mode “bar” is formed and copiously radiates GWs until the star is spun down/differential rotation is quenched.



[ Cazes & Tohline 2002 ]

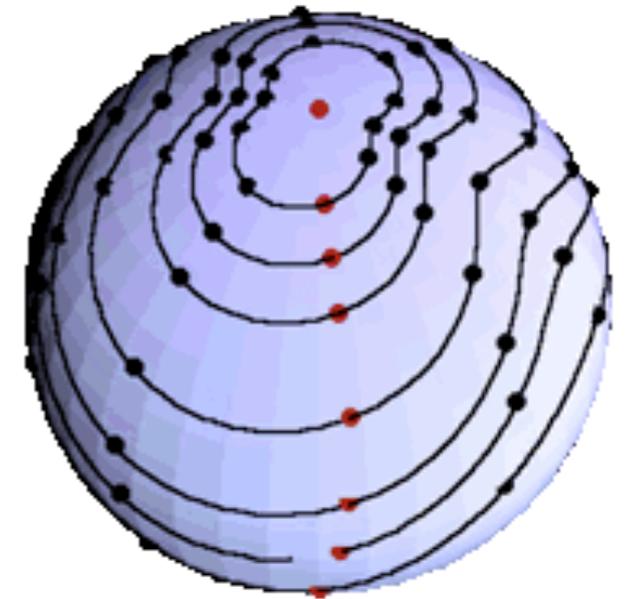


[ Shibata et al. 2002 ]

# The $r$ -mode instability

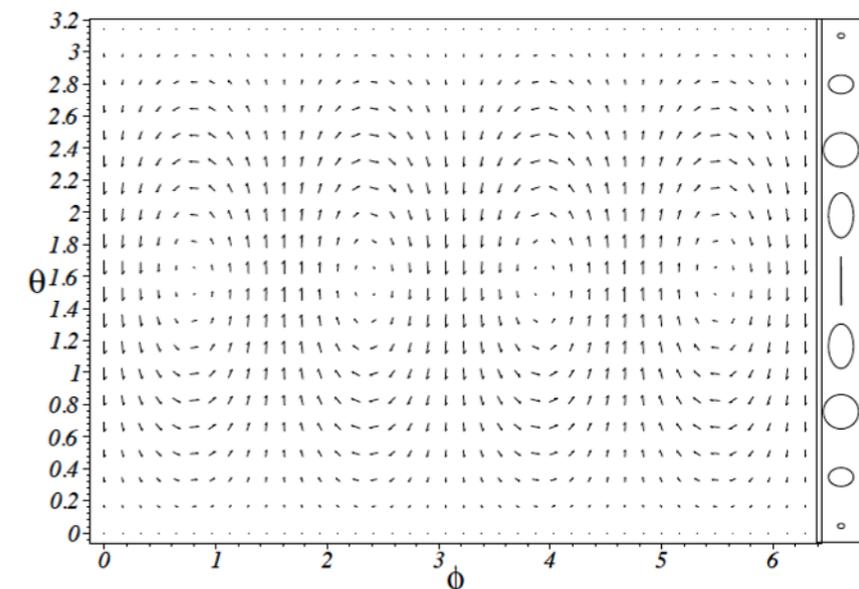
- The  $r$ -modes are purely axial inertial modes, characterised by nearly horizontal fluid motion.
- The  $r$ -modes are CFS-unstable for *any* spin  $\Omega$ , i.e. they always have  $E_c < 0$ .
- They are “special” GW sources as they principally radiate via the current multipoles.
- The  $\ell = m = 2$   $r$ -mode is the most unstable one, with growth timescale:

$$\tau_{\text{grow}} \approx 40 M_{1.4}^{-1} R_6^{-4} \left( \frac{P}{1 \text{ ms}} \right)^6 \text{ s}$$



$r$ -mode flow  
(corotating frame)

[ Figure: Hanna & Owen ]



[Andersson & Kokkotas 2001]

# $r$ -modes: back of the envelope

- The “minimal” stellar model supporting  $r$ -modes:

uniform density+Cowling

$$\delta\rho = \delta\Phi = 0$$

incompressible flow

$$\nabla \cdot \delta\mathbf{v} = 0$$

slow rotation approximation

$$\Omega/\Omega_K \ll 1, \quad \mathcal{O}(\Omega^2) \text{ terms} = 0$$

Axial parity mode:

$$\delta\mathbf{v} = U_\ell(r) [\mathbf{r} \times \nabla Y_\ell^m] e^{i\omega t}$$

Euler (rotating frame):

$$\mathbf{E} = \partial_t \delta\mathbf{v} + 2\boldsymbol{\Omega} \times \delta\mathbf{v} + \frac{1}{\rho} \nabla \delta p = 0$$

$$\text{Euler “combos”}: \begin{cases} \nabla \cdot \mathbf{E} = 0 \\ \hat{\mathbf{r}} \cdot (\nabla \times \mathbf{E}) = 0 \end{cases} \Rightarrow \begin{cases} \sin\theta \partial_\theta E^\theta - m E^\varphi = 0 \\ m E^\theta - \sin\theta \partial_\theta E^\varphi = 0 \end{cases}$$

$$\Rightarrow \begin{cases} \omega [\ell(\ell+1)\omega - 2m\Omega] = 0 \Rightarrow \omega = \frac{2m\Omega}{\ell(\ell+1)} = \frac{2\Omega}{m+1} \\ rU'_m - (m+1)U_m = 0 \Rightarrow U_m = Ar^{m+1} \quad \ell = m \end{cases}$$

# $r$ -modes: “exact” calculation

*Fast rotation effects*  
 ( $\mathcal{O}(\Omega^2)$  and higher)

no purely axial solutions, instead we have “axial-led” inertial  $r$ -modes

$$|U_\ell| \gg |W_\ell|, |V_\ell|$$

$$\underbrace{\mathcal{O}(\Omega)} \quad \underbrace{\mathcal{O}(\Omega^2)}$$

$$\delta\rho, \nabla \cdot \delta\mathbf{v} \sim \mathcal{O}(\Omega^2)$$

coupling to higher multipoles

$$U_{\ell=m} \begin{cases} \{U_{m+2}, U_{m+4}, \dots\} \\ \{W_{m+1}, V_{m+1}, W_{m+3}, V_{m+3}, \dots\} \end{cases}$$

*GR effects*  
 (slow rotation  $\mathcal{O}(\Omega)$ )

axial-led  $r$ -modes & multipole coupling (as above)

$$|U_\ell| \gg |W_\ell|, |V_\ell|$$

$$\underbrace{\mathcal{O}(1)} \quad \underbrace{\mathcal{O}(M/R)}$$

*minor modification in GW growth timescale*

post-Newtonian frequency  
 (uniform density model)

$$\omega = \frac{2m\Omega}{\ell(\ell+1)} \left[ 1 - \kappa_m \frac{M}{R} + \mathcal{O}\left(\frac{M}{R}\right)^2 \right]$$

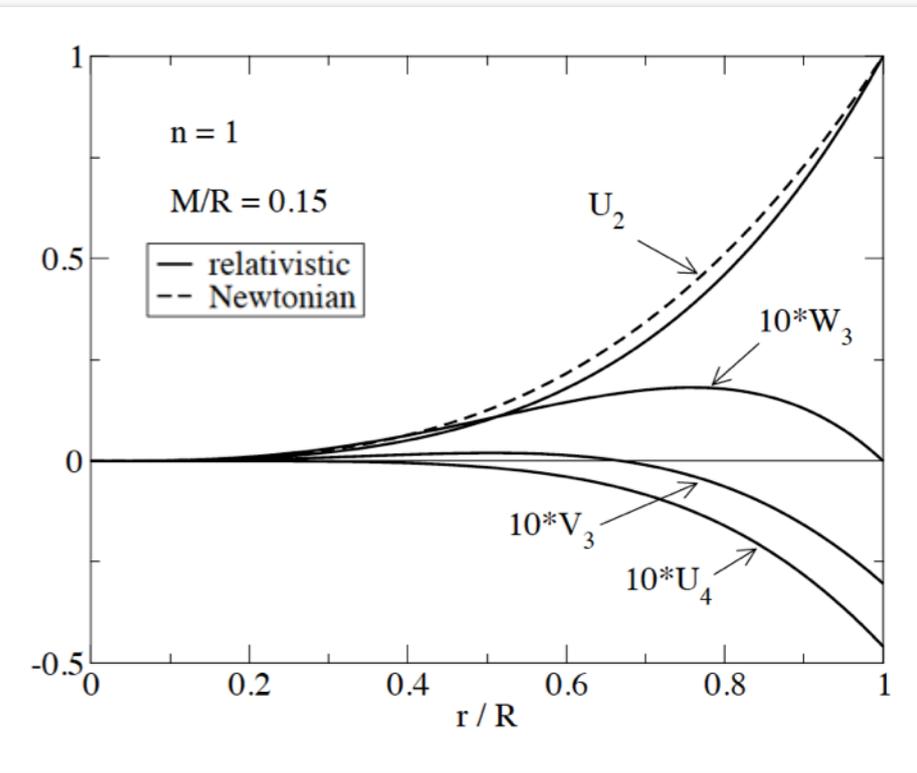
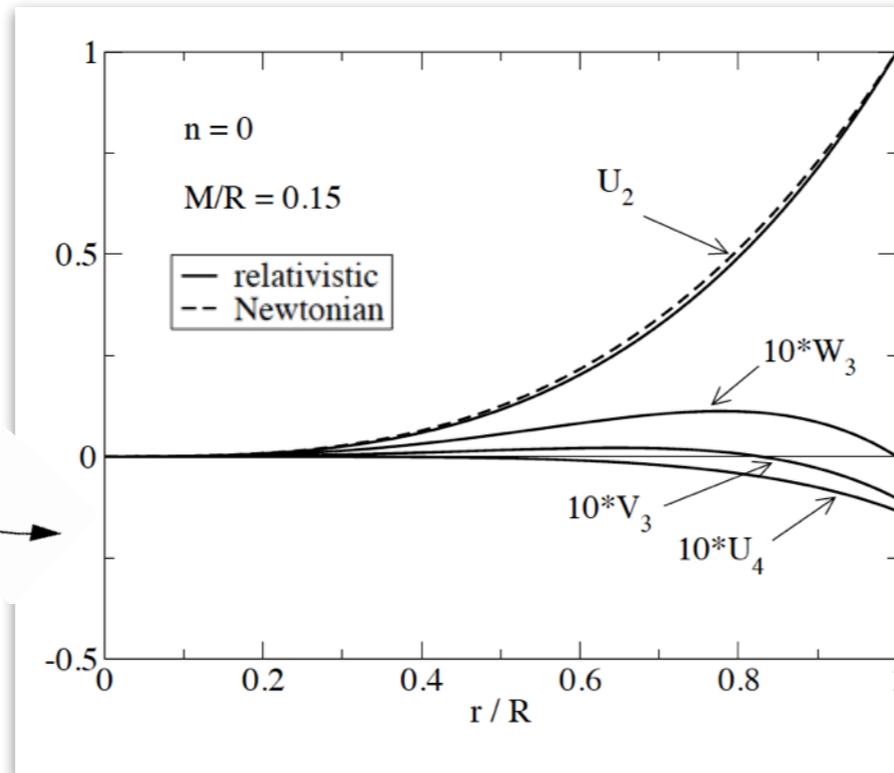
$$\kappa_m = \frac{8}{5} \frac{(m-1)(2m+11)}{(2m+1)(2m+5)}$$

redshift/frame dragging correction:

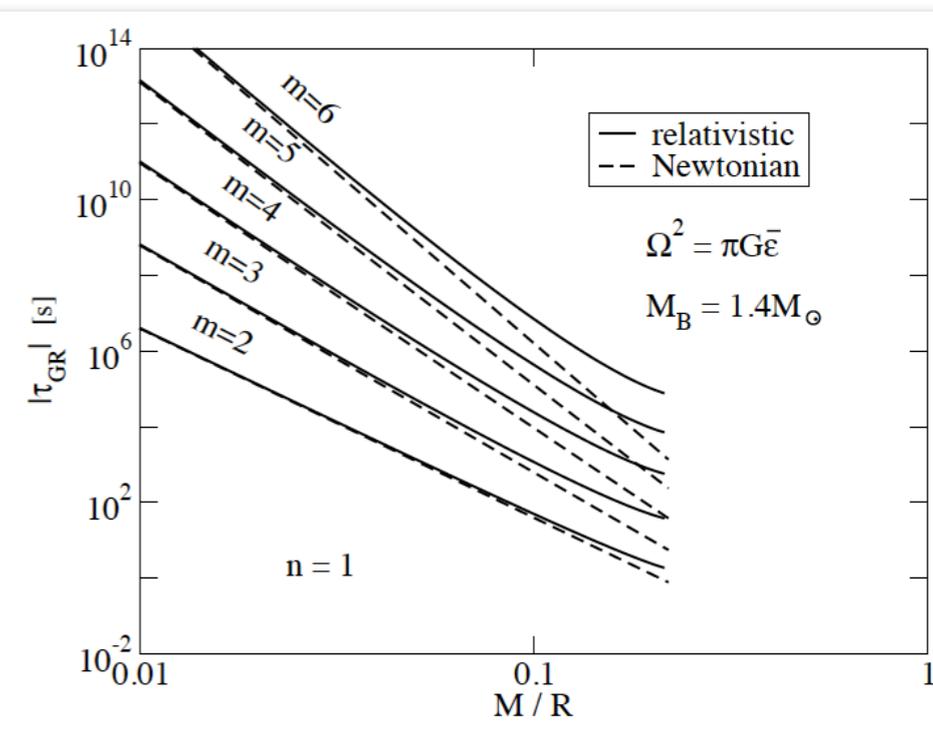
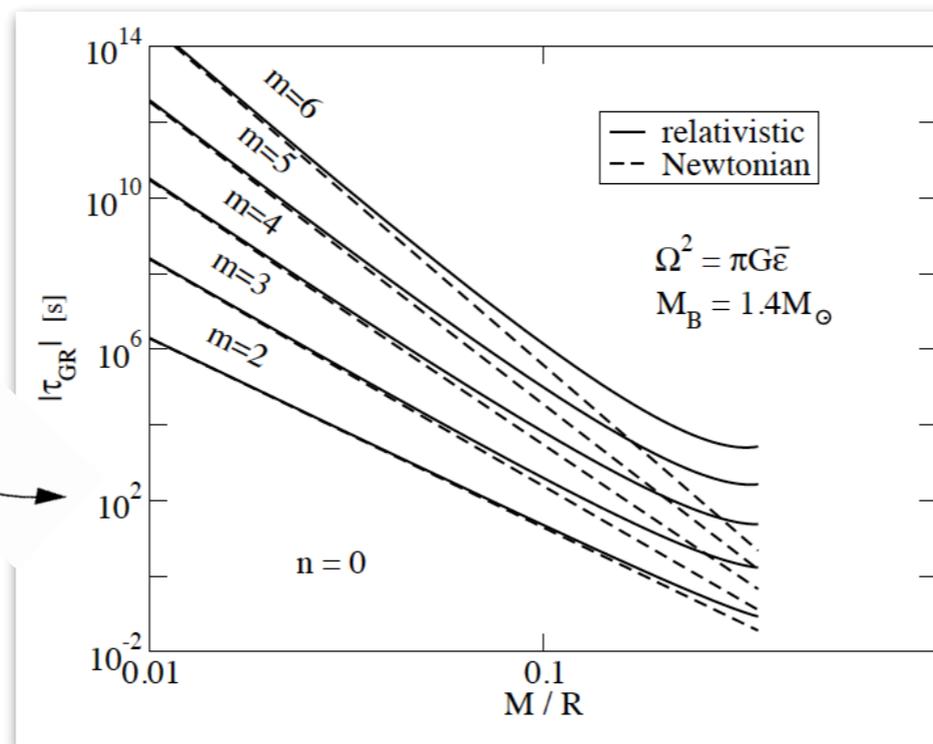
# $r$ -modes: sample GR results

Eigenfunctions  
for  $m=2$   $r$ -mode

$n = \{0,1\}$   
polytropic stars



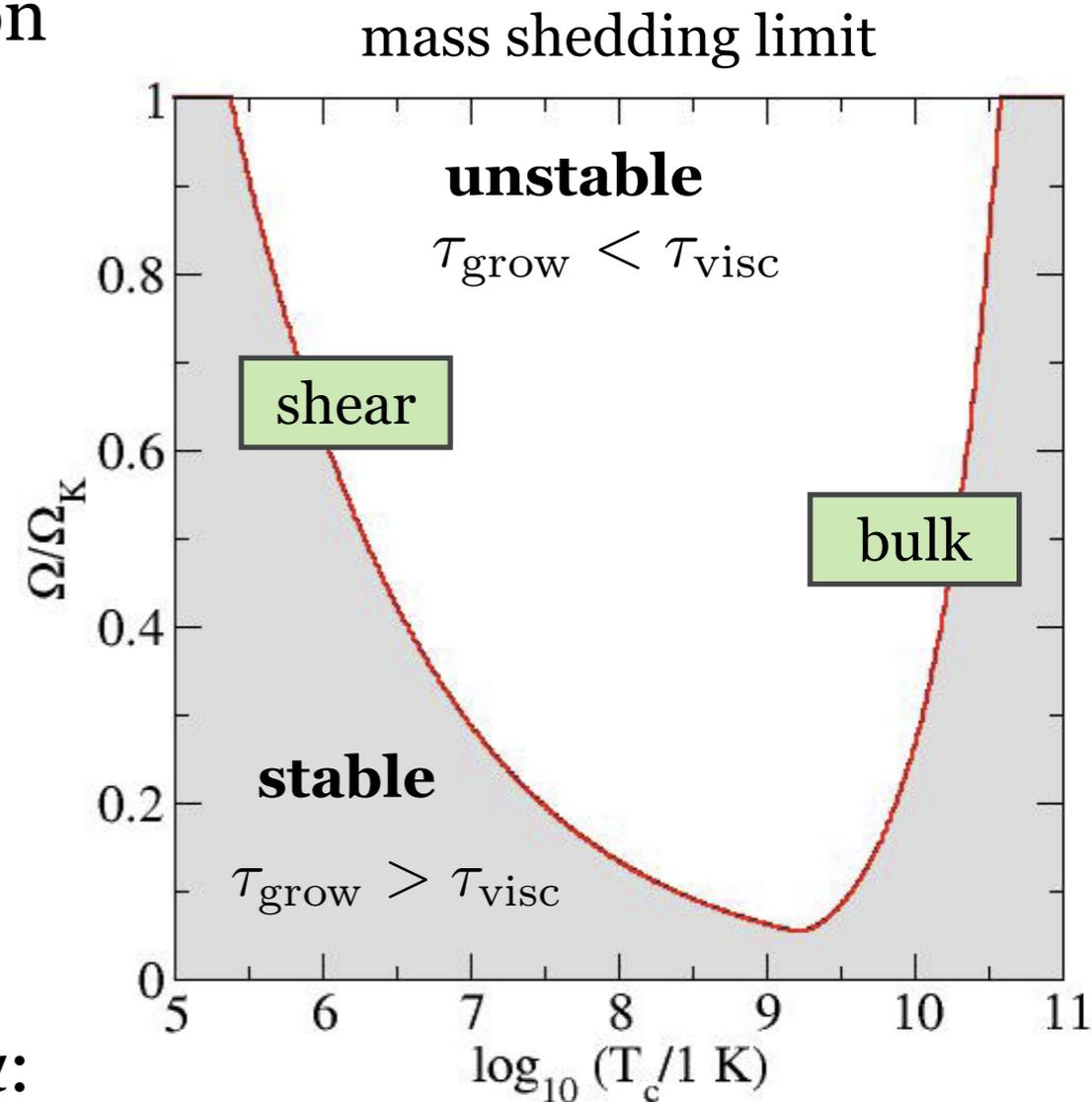
GW growth  
timescales



# $r$ -modes: instability window

- The  $r$ -mode instability is active for any rotation but can be damped by viscous processes.
- The spin-temperature instability window is “large” but depends on *uncertain* core-physics.
- “Minimal” model: accounts for damping due to shear and bulk viscosity.
- Once the instability is active, the GW signal is largely determined by the mode’s *amplitude*  $\alpha$ :

$$\delta v \sim \alpha \left( \frac{r}{R} \right)^2 \Omega R$$



# *r*-modes: how large can they grow?

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- Several mechanisms could limit the *r*-mode's growth, thus saturating its amplitude:

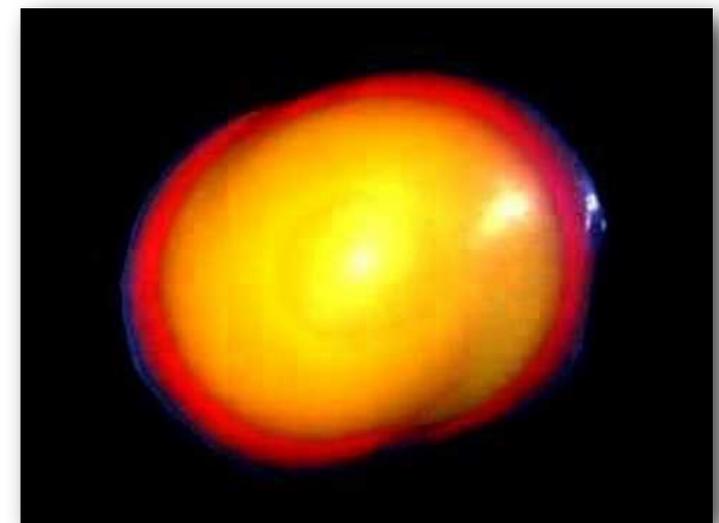
- Non-linear coupling with short-wavelength modes (mostly inertial):

$$\alpha_{\text{sat}} \sim 10^{-4} - 10^{-3}$$

- Dissipative “cutting” of proton flux tubes by neutron vortices:

$$\alpha_{\text{sat}} \sim 10^{-6} - 10^{-5}$$

- Still under investigation:  
winding up of magnetic field lines by *r*-mode flow.

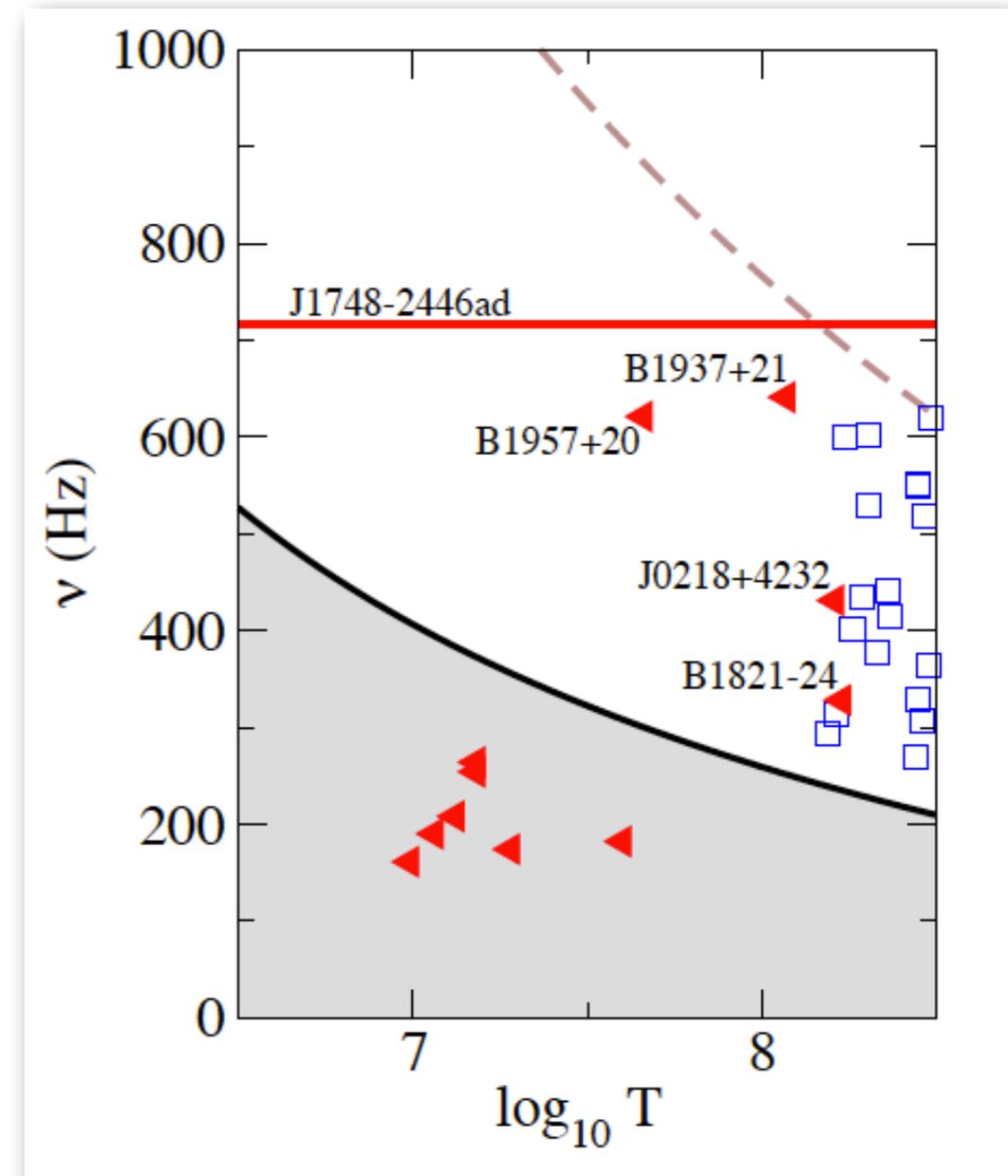


# *r*-modes: spin-down upper limits

- Assume a “minimum-physics” instability window.
- Then, several LMXBs and MSPs with measured  $f_{\text{spin}}$ ,  $\dot{f}_{\text{spin}}$  are potentially *r*-mode unstable.
- Obtain upper limits for the amplitude by assuming spin down only via *r*-mode GW radiation. The outcome is tiny:

$$\alpha_{\text{sat}} \lesssim 10^{-7}$$

- This of course assumes that the systems are *r*-mode unstable in the first place.



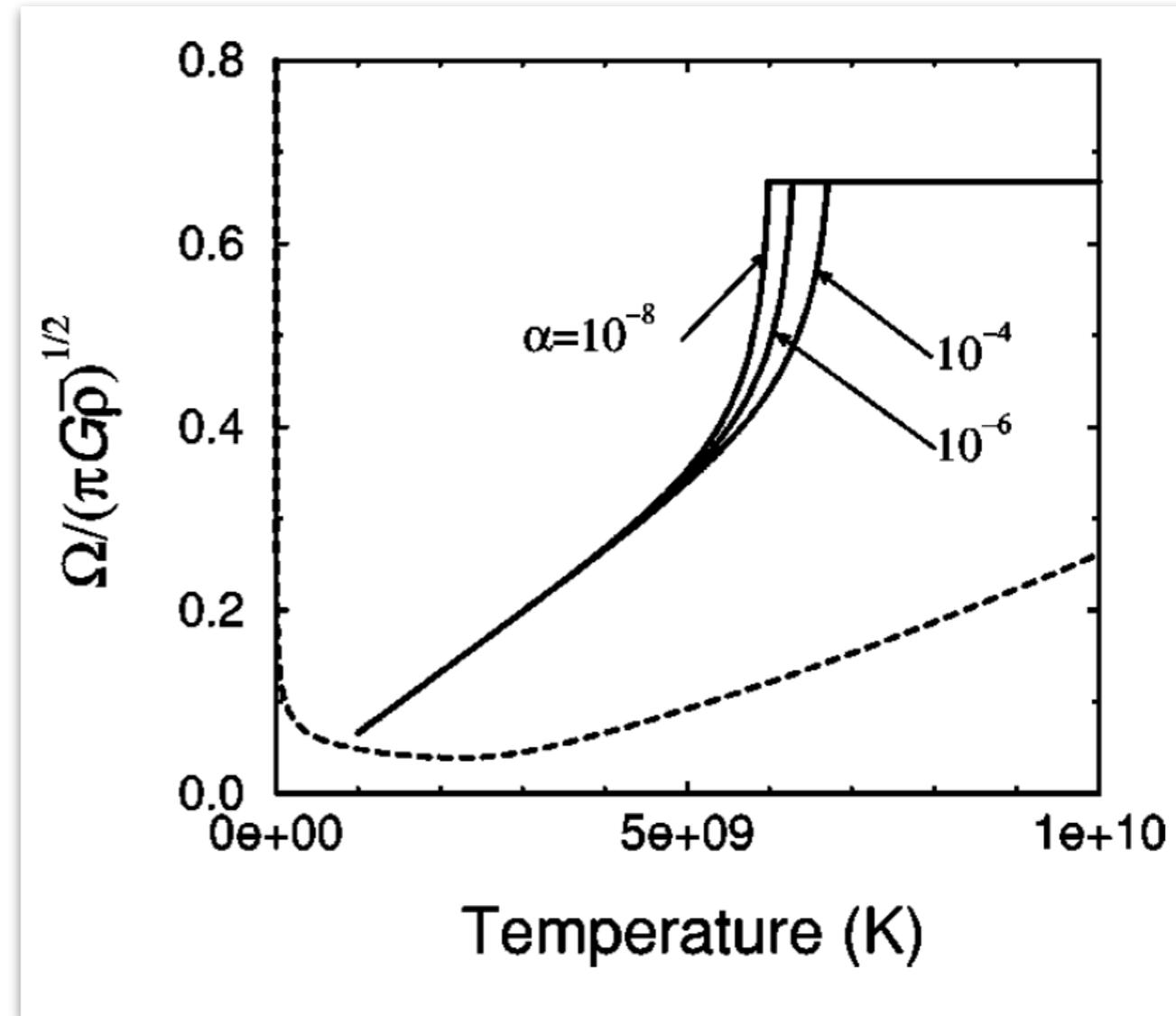
blue: LMXBs

red: MSPs (T data: upper limits)

[ Figure: N. Andersson ]

# $r$ -mode evolution of young NSs

- The  $r$ -mode spin-temperature evolution consists of two phases:
  - “linear” phase : mode grows under CFS instability, radiating GWs. Meanwhile, the star cools down but does not spin down significantly.
  - “non-linear” phase: the mode saturates ( $\alpha = \alpha_{\text{sat}}$ ) and GW emission comes at the expense of the stellar rotational kinetic energy.



[ Owen et al. 1998 ]

# *r*-mode astrophysics: LMXBs

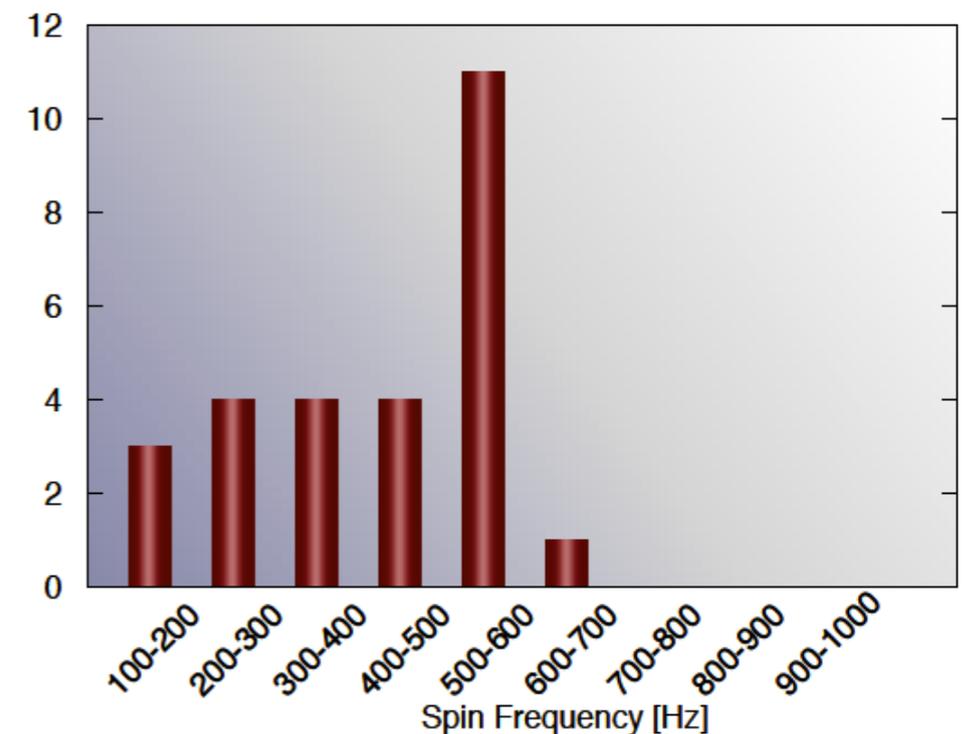
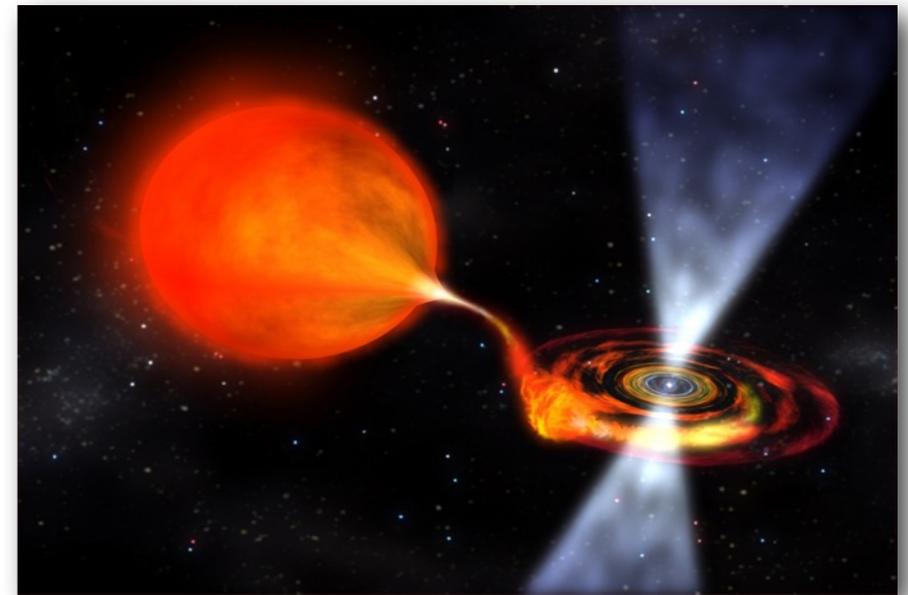
- Spin distribution of NSs in LMXBs:

$$200 \text{ Hz} \lesssim f_{\text{spin}} \lesssim 600 \text{ Hz}$$

- This is well below the mass-shedding limit:

$$f_{\text{spin}} \ll f_{\text{Kepler}} \sim 1.5 \text{ kHz}$$

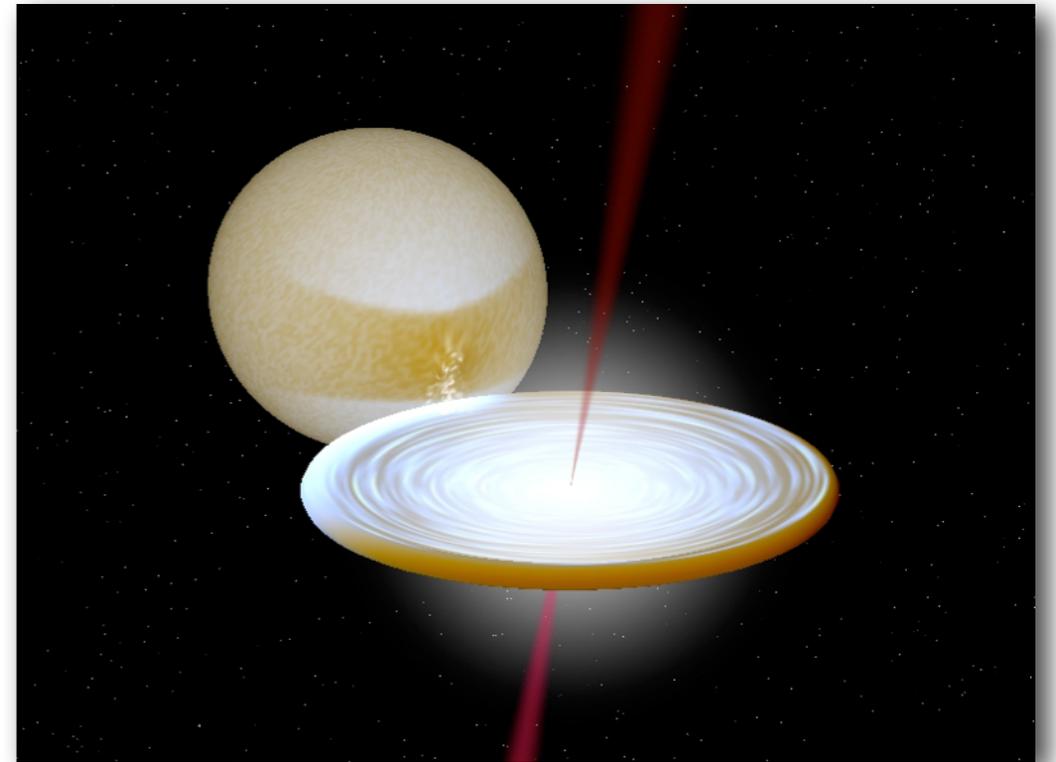
- Accretion lasts  $\sim 10^7 - 10^8$  yr, enough time for LMXBs to straddle the Kepler limit.
- Some process seems to halt the spin-up!



[Figure: A. Patruno]

# LMXBs: halting accretion (I)

- Mechanisms for torque balance:
  - Coupling between the stellar magnetic field and the accretion disc.
  - GW torque by unstable  $r$ -modes

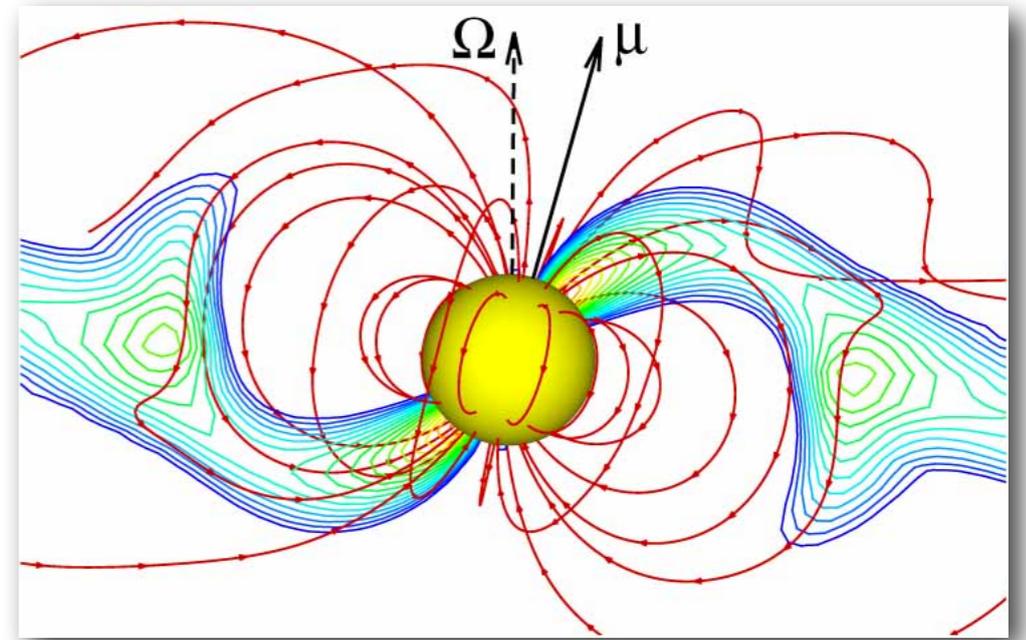


- The  $r$ -mode amplitude required to *balance the accretion torque*:

$$\alpha_{\text{acc}} \approx 1.3 \times 10^{-7} \left( \frac{L_{\text{acc}}}{10^{35} \text{ erg s}^{-1}} \right)^{1/2} \left( \frac{f_{\text{spin}}}{500 \text{ Hz}} \right)^{-7/2}$$

# LMXBs: halting accretion (II)

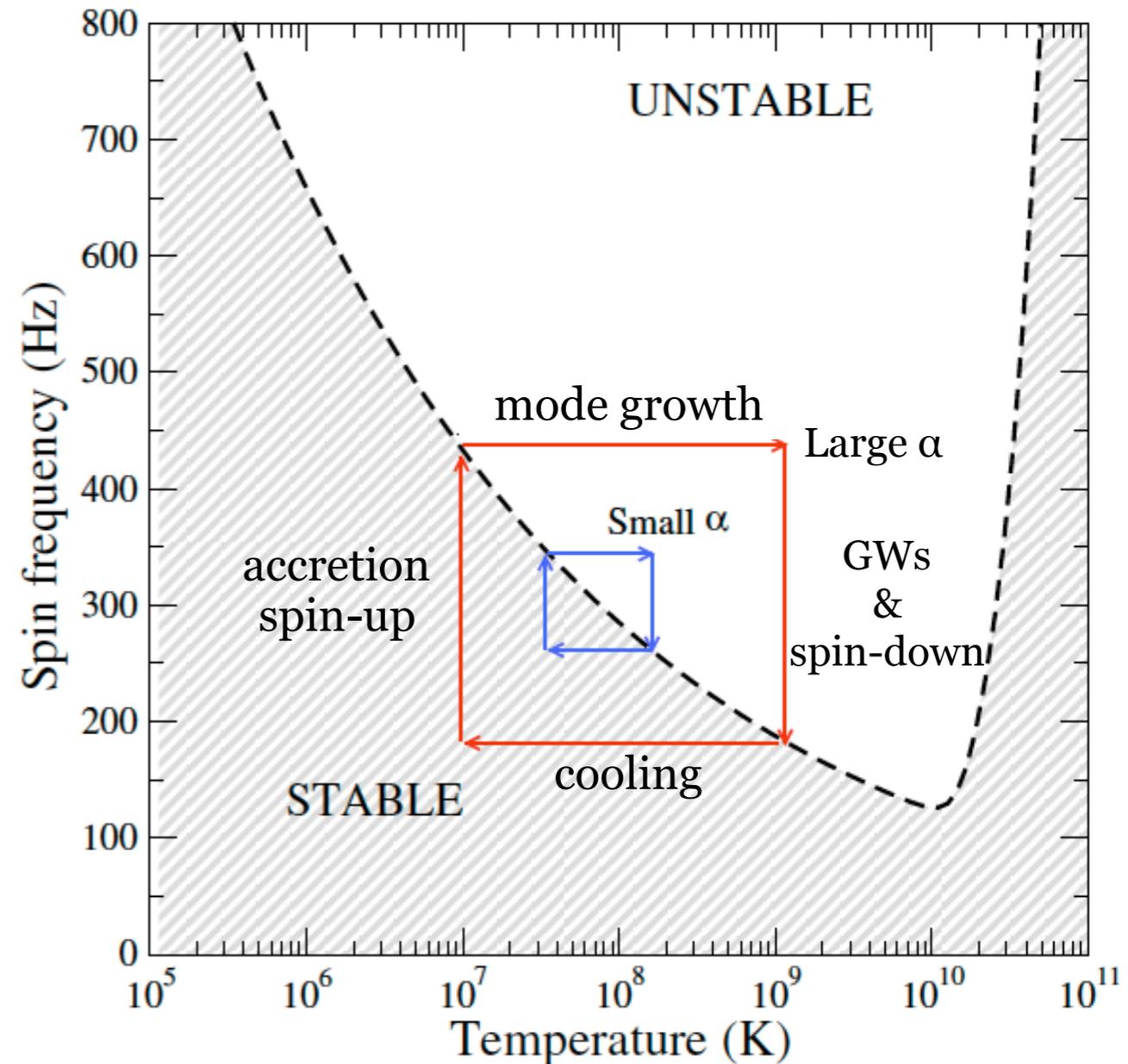
- Magnetic disk coupling *can* provide the necessary spin-down torque (although the underpinning accretion theory is largely phenomenological).



- A hint:  
the measured  $\dot{f}_{\text{spin}}$  of two accreting systems in quiescence [SAX J1808 & XTE J1814] is consistent with the one caused by a “canonical” surface dipole field  $B \sim 10^8$  G.
- $r$ -modes could still supply a portion of the spin-down torque.

# LMXBs: Spin-temperature evolution

- The  $r$ -mode-driven evolution mainly depends on two factors:
  - The  $T$ -slope of the window at the point of entry.
  - The saturation amplitude.
- LMXBs are likely to become unstable in the negative slope portion of the instability curve.
- The figure shows the resulting thermal runaway evolution (“Levin cycle”).



[ Haskell et al. 2014 ]

# *r*-mode cycle: GW detectability

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- The detectability of *r*-mode-“cycling” LMXBs is a subtle issue.
- The GW duty cycle (=fraction of the cycle spent in GW emission) is :

$$D \approx \frac{t_{\text{cycle}}}{10^7 \text{ yr}} \approx \frac{10^{-11}}{\alpha^2}$$

- If  $\alpha$  is too big,  $D$  is too low and no system would be observed being unstable.
- Combine  $D$  with the LMXB birth rate  $\sim 10^{-5}$  /yr/galaxy and lifetime  $\sim 10^7$  yr and estimate the amplitude for which a system is always “on” in our galaxy:

$$D \lesssim 10^{-2} \Rightarrow \alpha \lesssim 10^{-4}$$

- For the system to be detectable at (say) 10 kpc we need:  $\alpha \gtrsim 10^{-6}$
- *A small-ish r-mode amplitude is actually better for detecting LMXBs!*

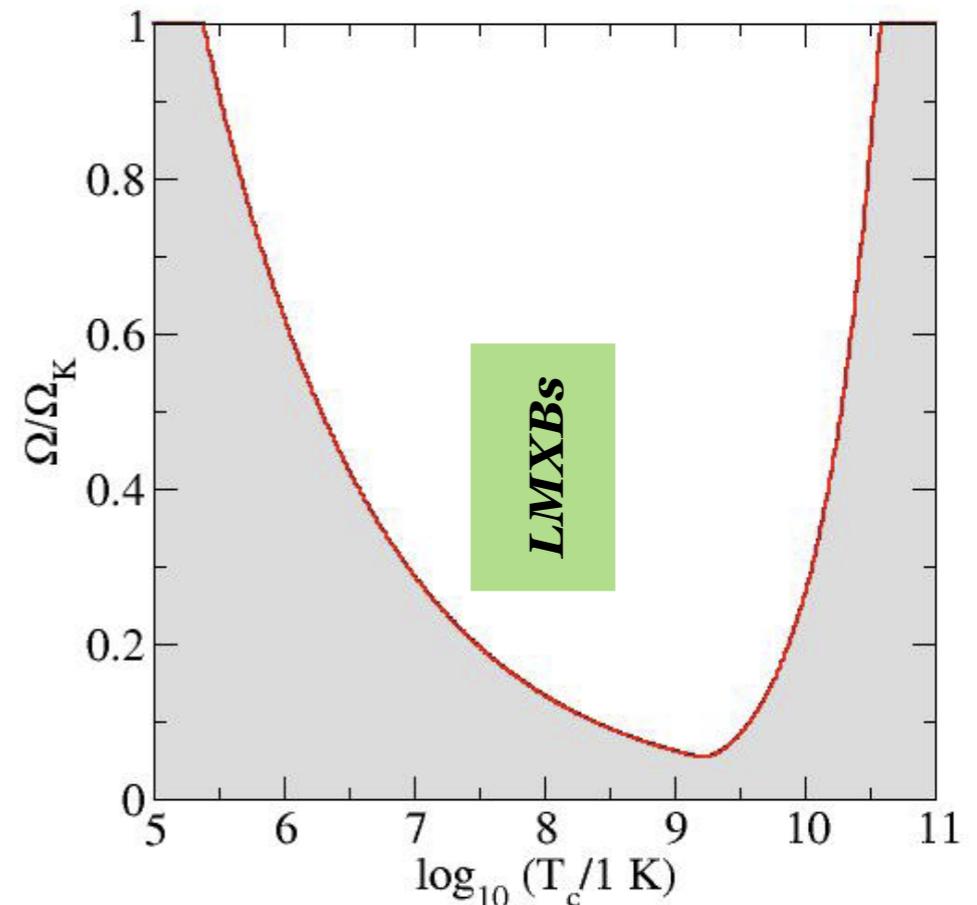
# *r*-mode puzzle?

- Several LMXBs (and perhaps some MSPs) reside well inside the “minimal” instability window.
- These systems should experience *r*-mode-driven evolution and GW spin-down.
- This is *not* what observations suggest.
- Possible resolutions:

– *Additional damping*

(e.g. friction at the crust-core boundary, exotica in the core, ...).

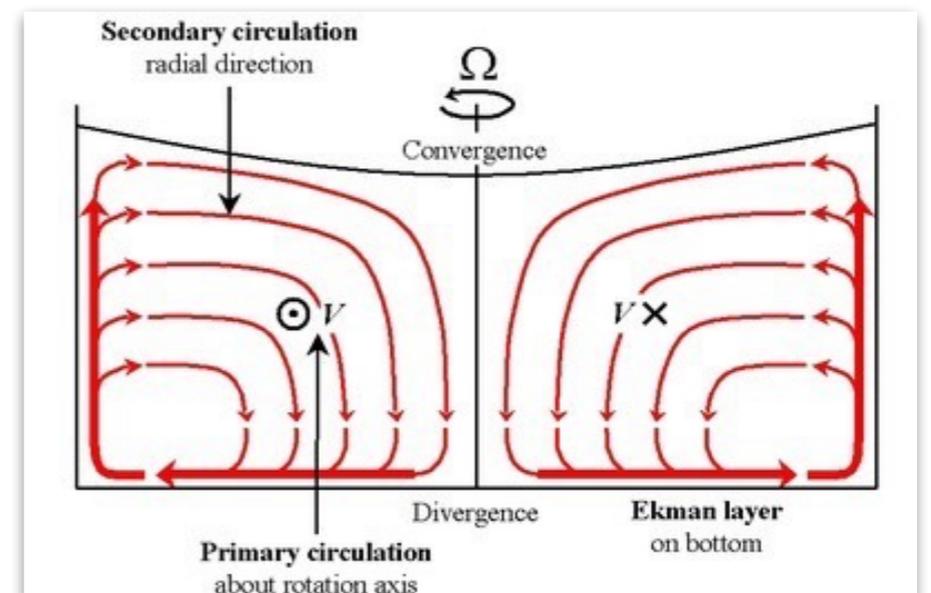
– *r*-mode amplitude *much smaller* than current theoretical predictions.



# *r*-modes: extra damping

- Several other mechanisms could dampen the *r*-mode instability:

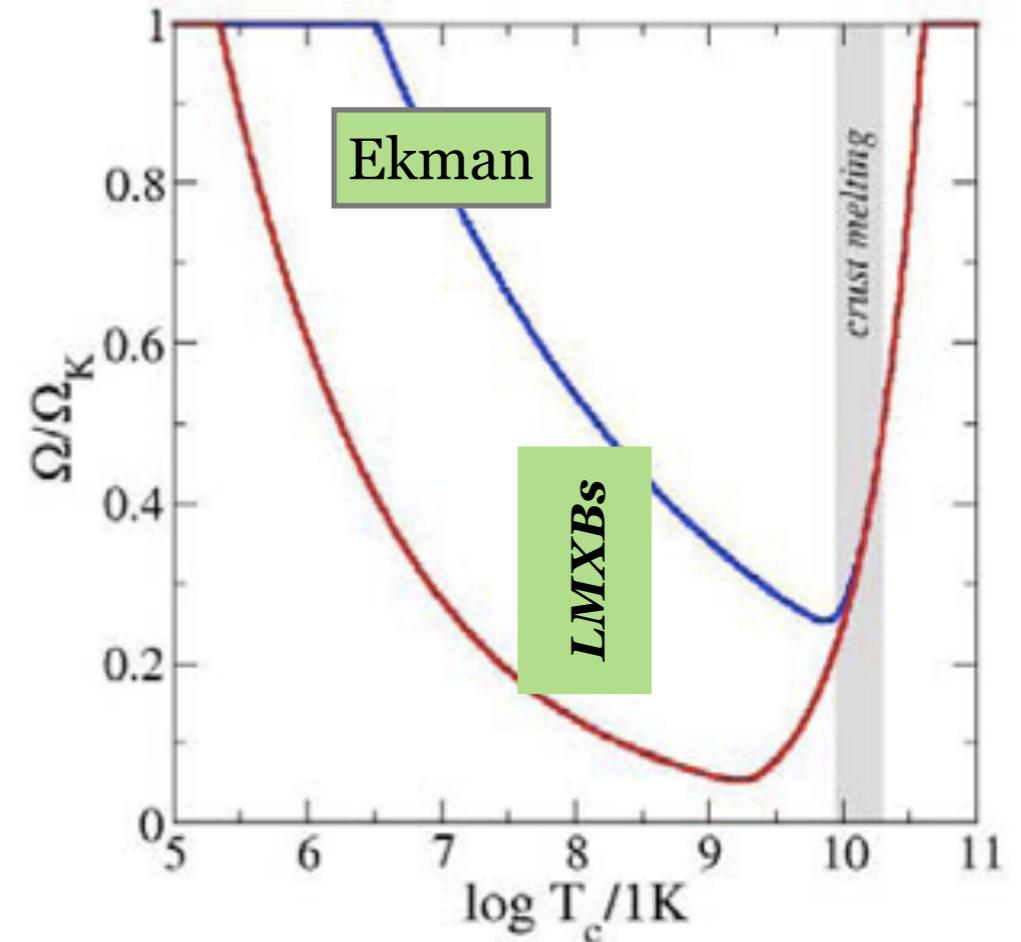
- An Ekman-type boundary layer at the crust-core interface (i.e. the mechanism that stops tea whirling inside a cup) .



- Bulk viscosity due to exotica (hyperons/quark matter).
- Mutual friction due to neutron vortex- proton fluxtube interactions.
- Coupling between the *r*-mode and superfluid modes.

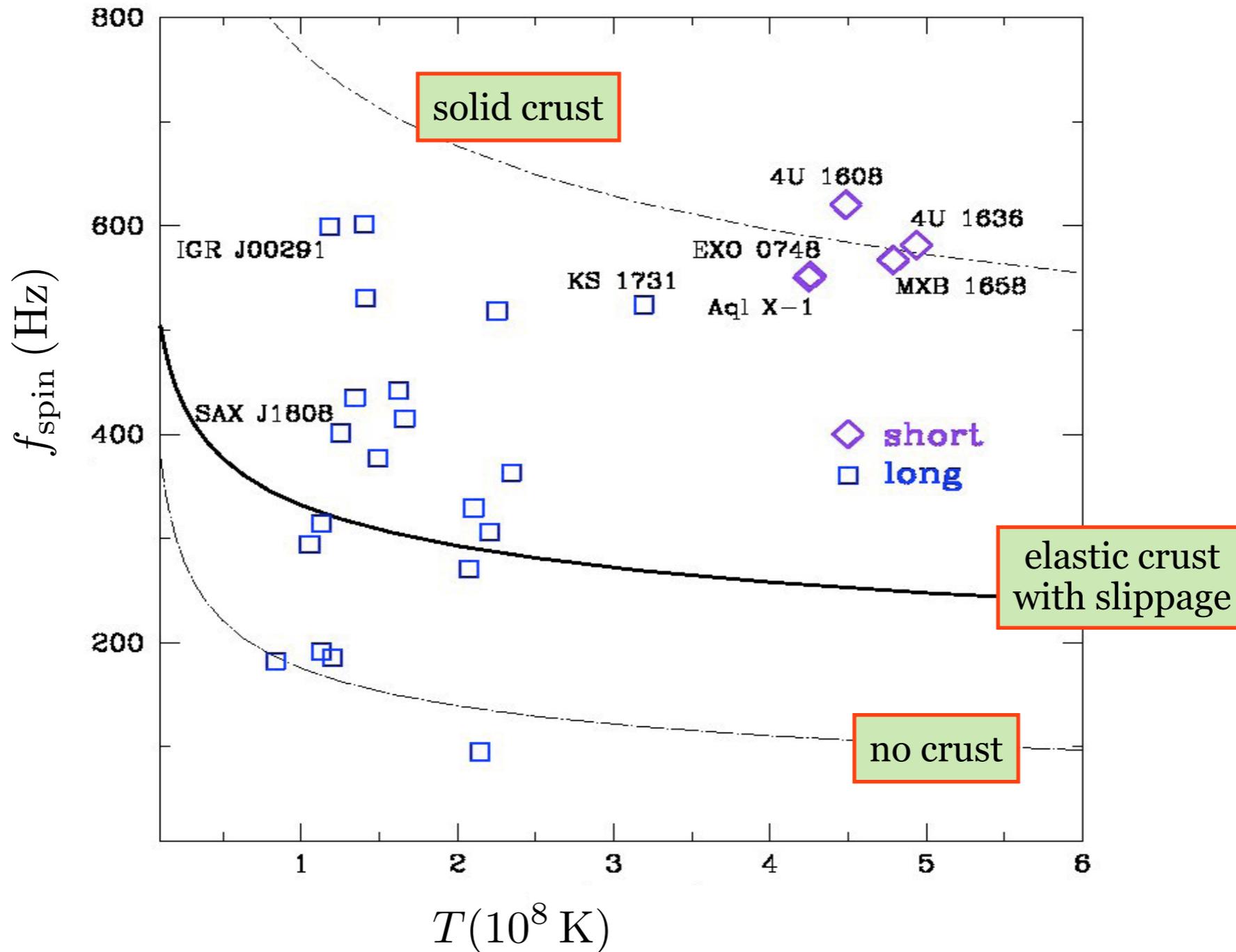
# The role of the crust

- $r$ -mode damping could be easily dominated by the viscous “rubbing” at the base of the crust.
- The crust is more like a jelly than solid: the resulting crust-core “slippage” reduces damping.
- Resonances between the  $r$ -mode and torsional crustal modes may also play a role.
- Existing work assumes a “sharp” crust-core transition ... but how safe is this assumption?



[ KG & Andersson 2006 ]

# *r*-mode window: “theory vs observations”



# Magnetic boundary layer

---

- The Ekman layer physics is significantly modified by the local  $B$ -field:
  - Crust-core slippage is *suppressed* (i.e. damping amplified)
  - Above a threshold, the  $B$ -field enhances the damping rate.
  - The layer's thickness grows with  $B$ , so  $B$  shouldn't be too strong.
- In LMXBs (and MSPs) the magnetic field ( $B \sim 10^8$  G) can indeed lead to enhanced damping, *provided the outer core is superconducting*:

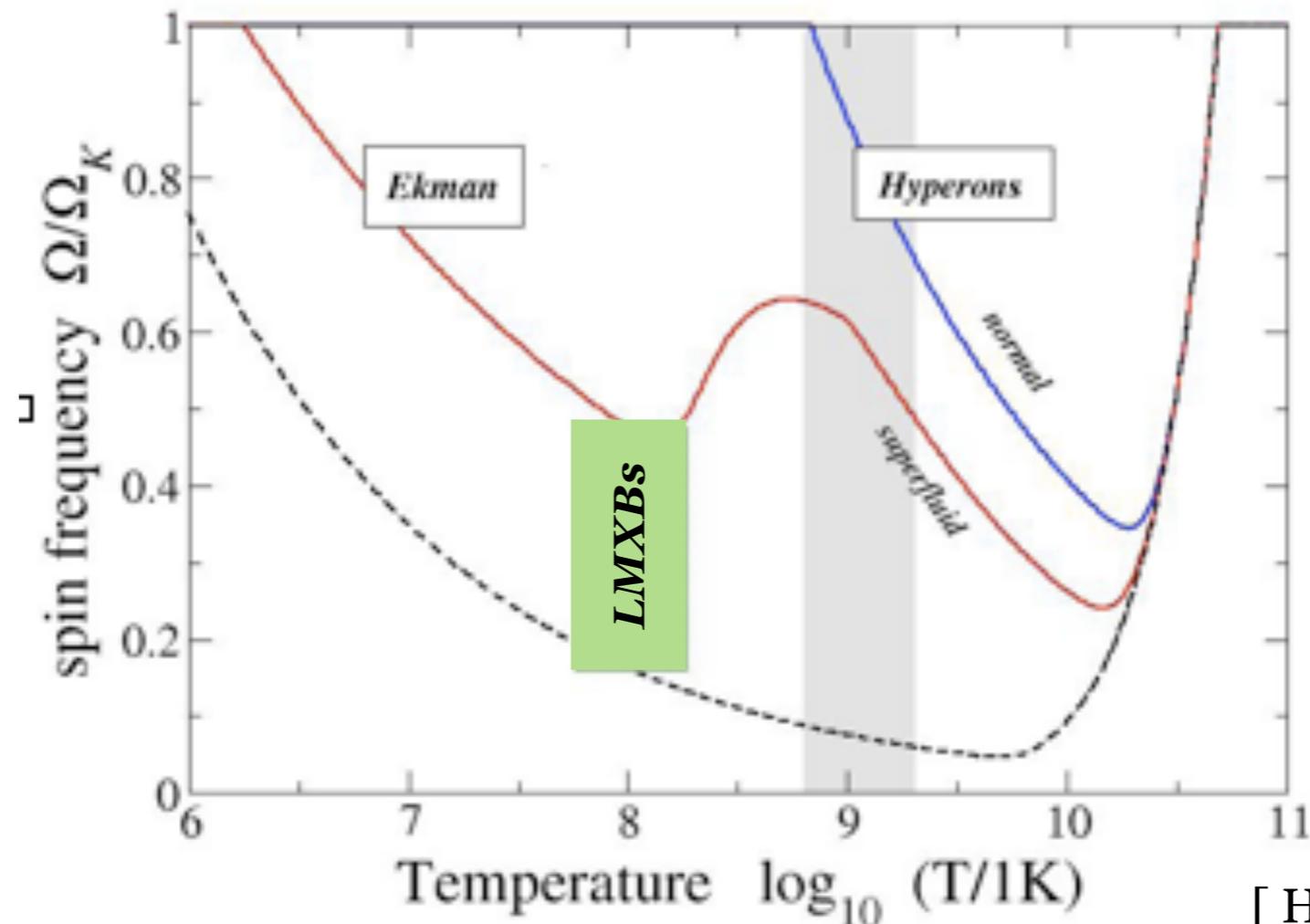
$$\frac{\dot{E}_{\text{mag}}}{\dot{E}_{\text{visc}}} \sim \frac{v_A}{\Omega \delta_E} \approx 13 \left( \frac{B}{10^8 \text{ G}} \right)^{1/2} \left( \frac{T}{10^8 \text{ K}} \right) \left( \frac{f_{\text{spin}}}{500 \text{ Hz}} \right)^{-1/2}$$

$v_A$  = Alfvén speed  
 $\delta_E$  = Ekman layer thickness

- This (approximate) result would render these systems *r-mode-stable*.
- But: we need more realistic crust-core boundary physics (with superfluidity/superconductivity, finite thickness transition etc.)

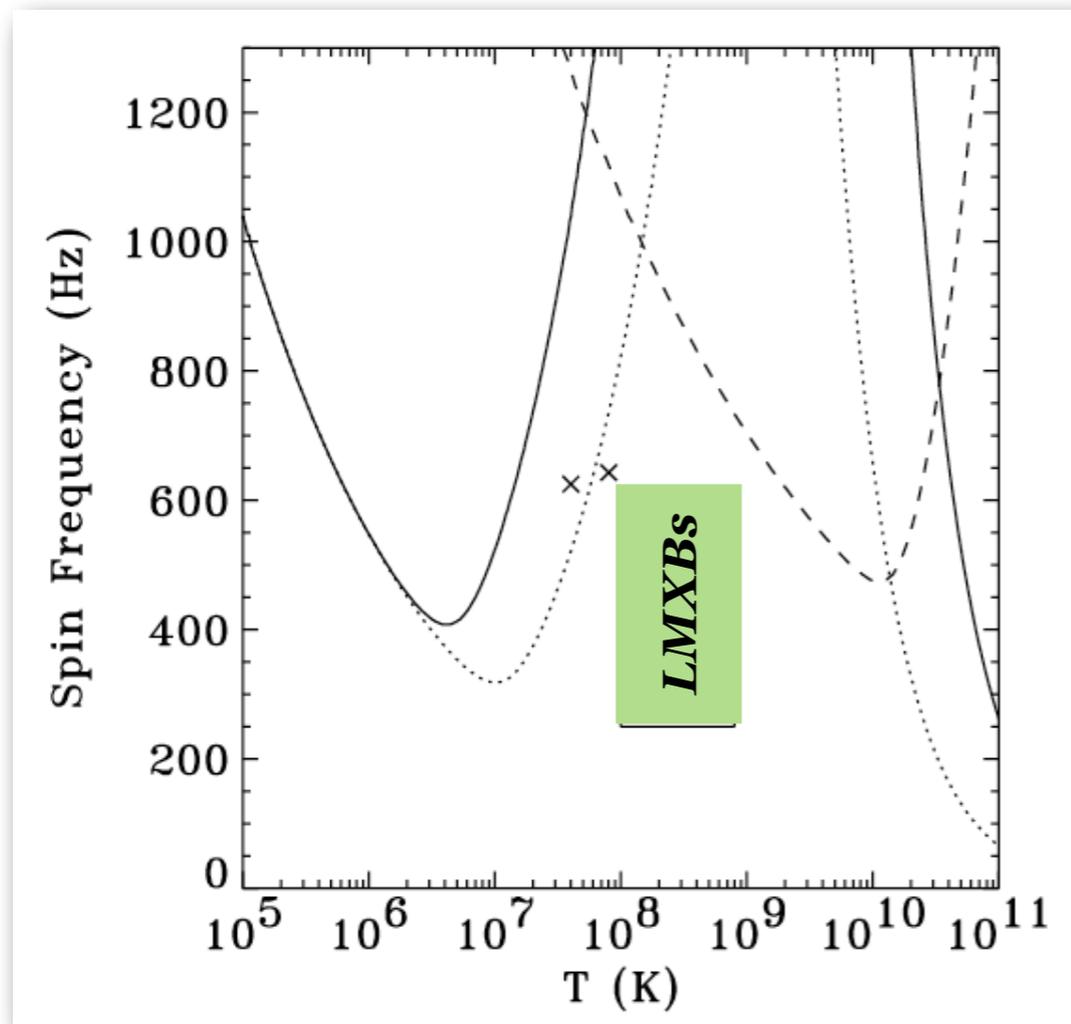
# $r$ -modes: exotica in the core (I)

- A neutron star core populated by hyperons and/or quarks leads to strong bulk viscosity and a *significantly* modified  $r$ -mode instability window.
- We show representative examples of such windows (but these can vary as a function of the poorly known properties of exotic matter).

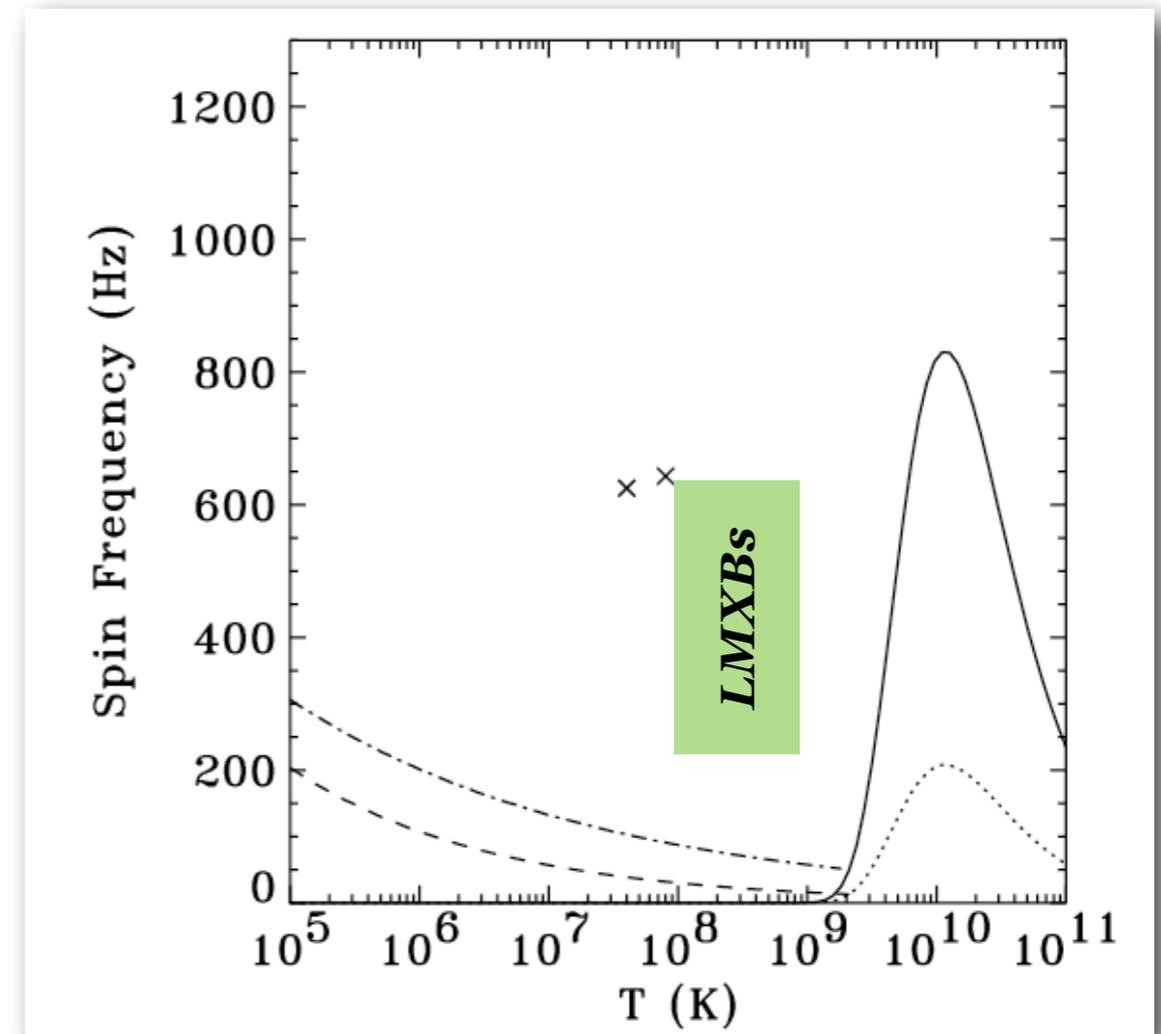


# $r$ -modes: exotica in the core (II)

Quarks (without pairing)



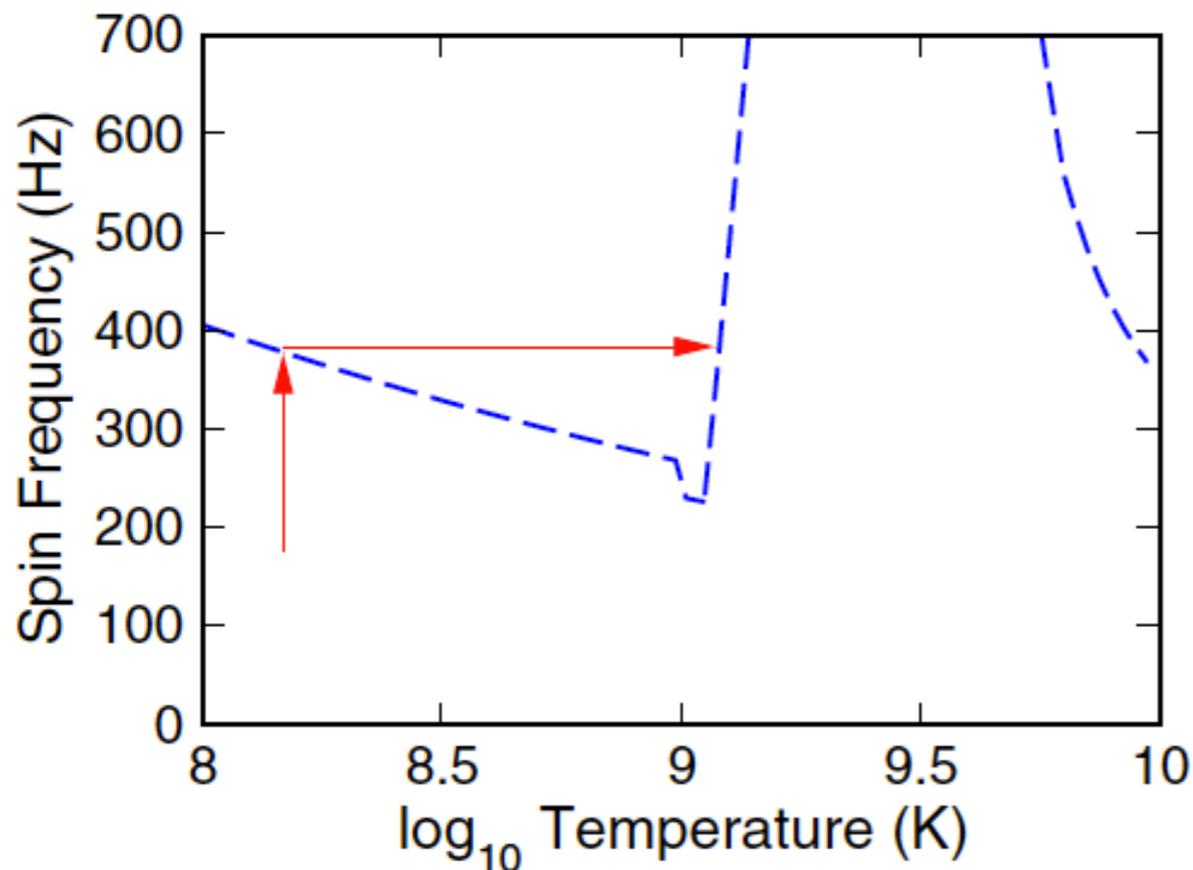
Quarks (with pairing)



# $r$ -modes: persistent GW emission

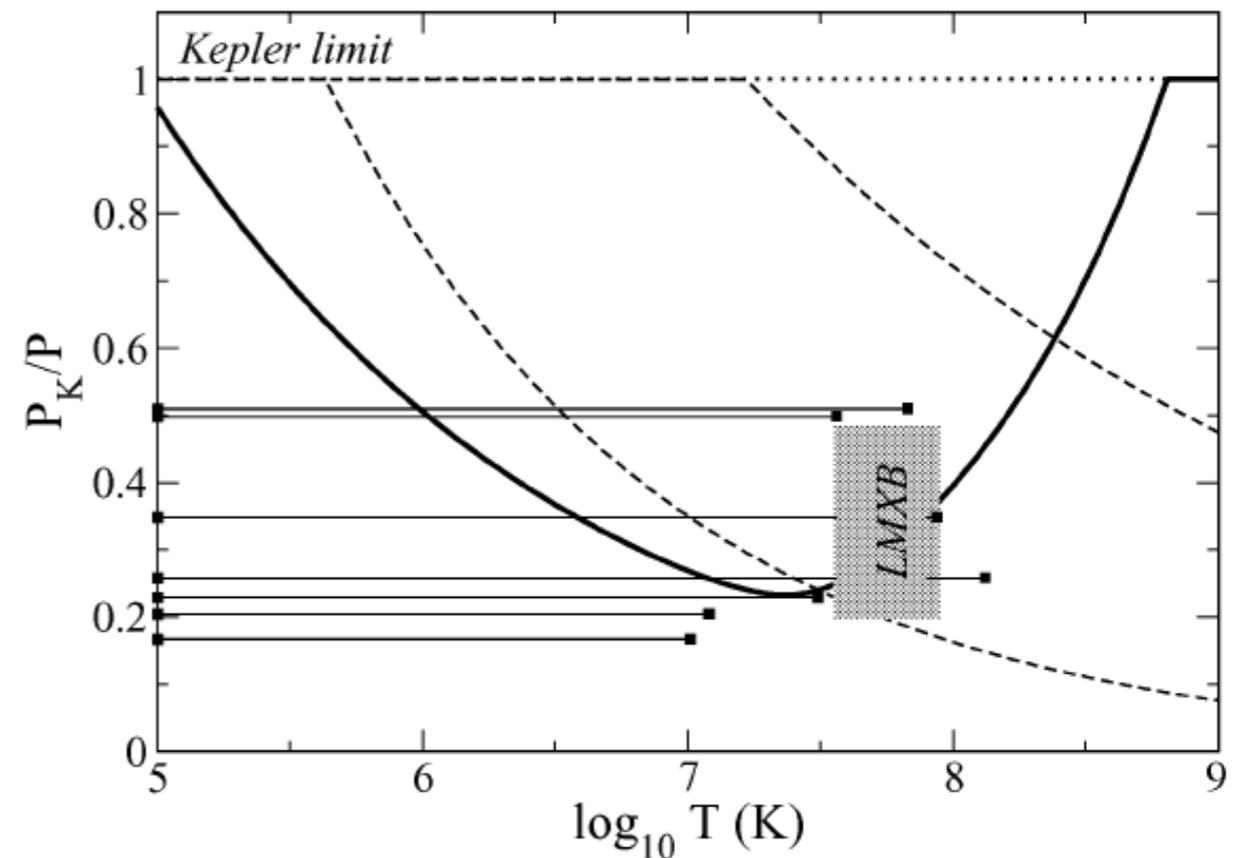
- Exotica may drive LMXB-evolution near a positive  $T$ -slope instability curve. Once there, the system can “hang” and become a persistent source of GWs (potentially detectable by advanced detectors).

Hyperon core



[ Nayyar & Owen 2006 ]

Quark core



[ Andersson et al. 2002 ]

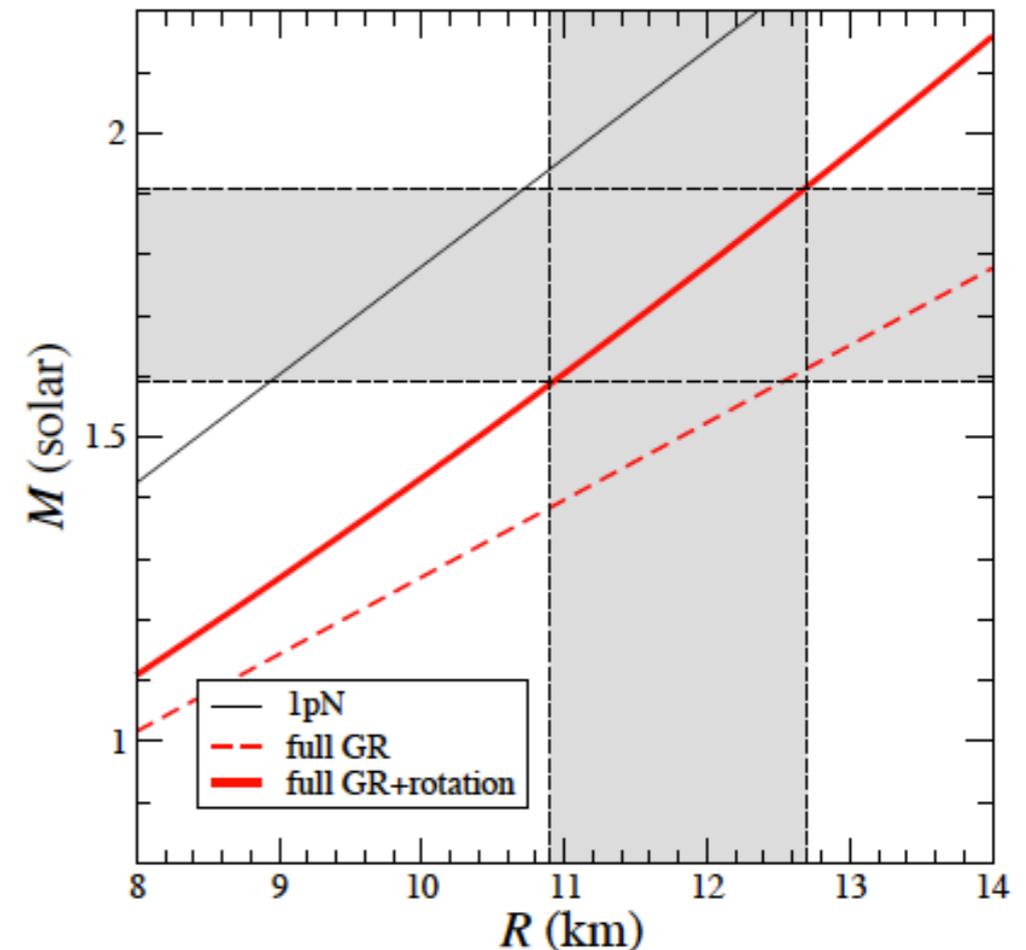
# *r*-mode observed? XTE 1751

- XTE 1751-305 is an AMXP (accretion-powered X-ray pulsar).

- Recently, a coherent oscillation was discovered in the light curve during a burst:

$$f_{\text{osc}} = 0.572 f_{\text{spin}}$$

- Provided the light curve is modulated by a global mode, the observed signal could be an *r*-mode. The numbers can match provided we account for *relativistic corrections* in the mode frequency.
- But: inferred *r*-mode amplitude too large to be reconciled with the system's spin evolution.
- Alternative interpretation: could be a surface mode of the NS's fluid ocean.



[ Andersson et al. 2014 ]

# superfluid $r$ -modes: 2-stream instability (I)

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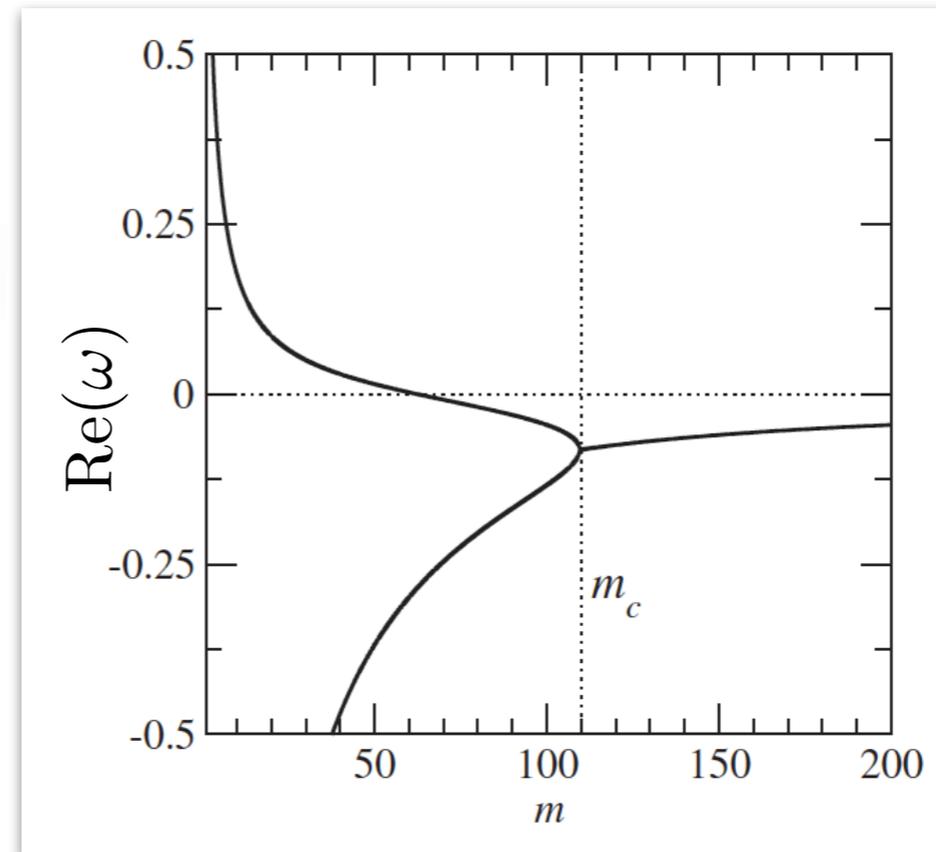
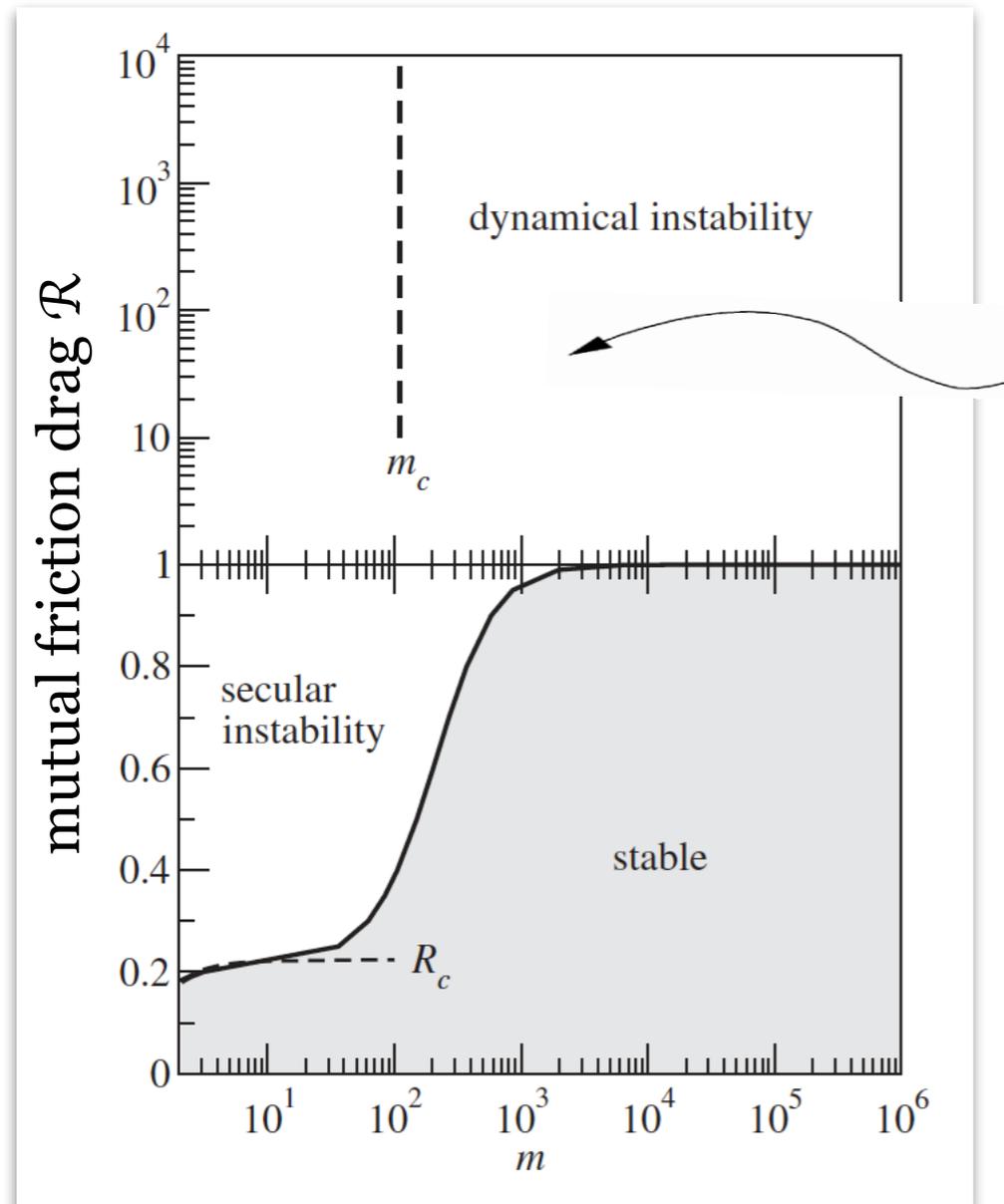
- A different kind of  $r$ -mode instability exists in neutron stars with superfluid neutrons.
- In the present context the  $r$ -mode comprises *two* flows,  $\delta\mathbf{v}_n, \delta\mathbf{v}_p$  for the neutrons and protons-electrons.
- For the instability to set in, the two fluids must have a *spin lag*  $\Omega_{np} = \Omega_n - \Omega_p$  and strongly interact through the superfluid vortex array.

$$\text{growth timescale: } \tau_{\text{grow}} \approx 0.25 \left( \frac{\Omega_{np}/\Omega_p}{10^{-4}} \right)^{-1/2} \text{ s}$$

- This is a short-wavelength instability ( $\ell \gg 1$ ), hence irrelevant for GWs, but could be very relevant for triggering pulsar glitches!
- “2-stream” criterion:  $\Omega_p < |\omega/m| < \Omega_n$

# superfluid $r$ -modes: 2-stream instability (II)

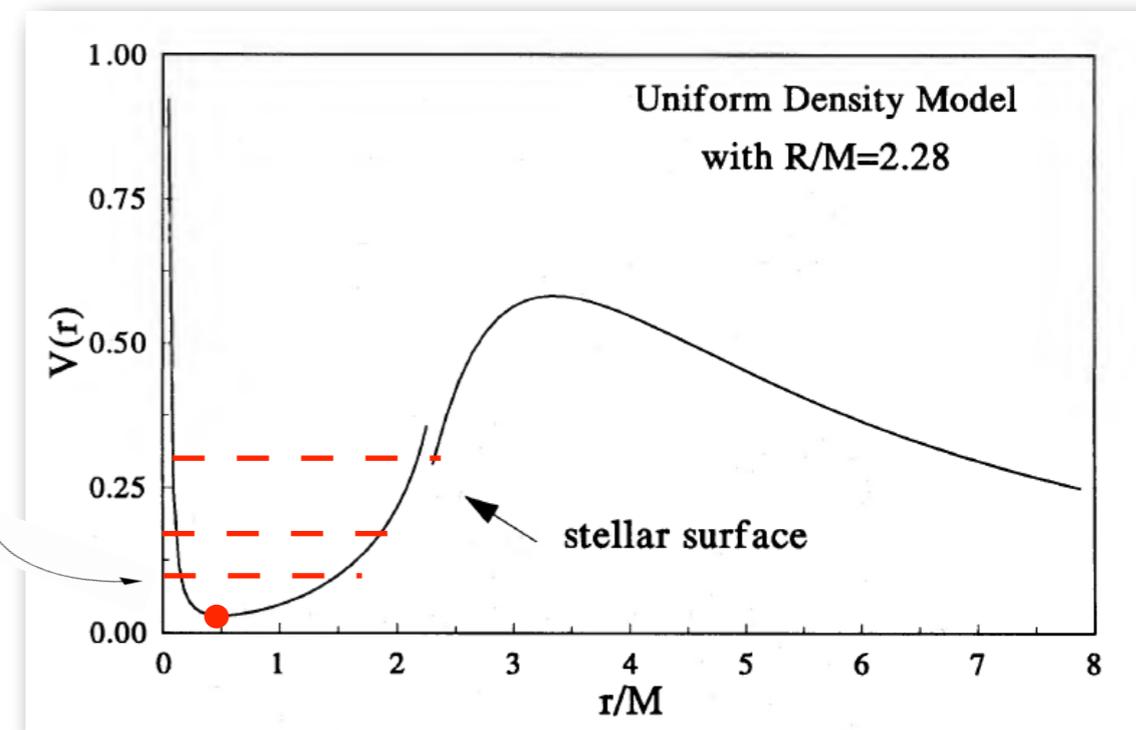
- The aforementioned instability is just a special case of a larger family which encompasses both *dynamical* and *secular* 2-stream instabilities!
- Key ingredients: two fluids with *spin-lag* and vortex *mutual friction* coupling.



[ Andersson et al. 2013 ]

# More exotic: $w$ -mode CFS instability (I)

- In essence, the CFS instability is a Newtonian concept. The GW-driven instability is properly framed in a GR-CFS formalism.
- GR-CFS exotic possibility:  $w$ -modes (spacetime perturbations) can become unstable by spacetime frame dragging! The mode's canonical energy can become  $< 0$  provided the star has an *ergoregion*.
- We need an ultracompact star with  $R < 3M$  so that the wave potential for  $w$ -modes develops a cavity.
- This cavity harbours *long-lived*  $w$ -modes.
- We consider a slowly rotating uniform density model.



# More exotic: $\omega$ -mode CFS instability (II)

- Approximate calculation: use the cavity's *photon orbit* to obtain the *first*  $\omega$ -mode (“eikonal limit”). For simplicity, this is done for the non-rotating star.

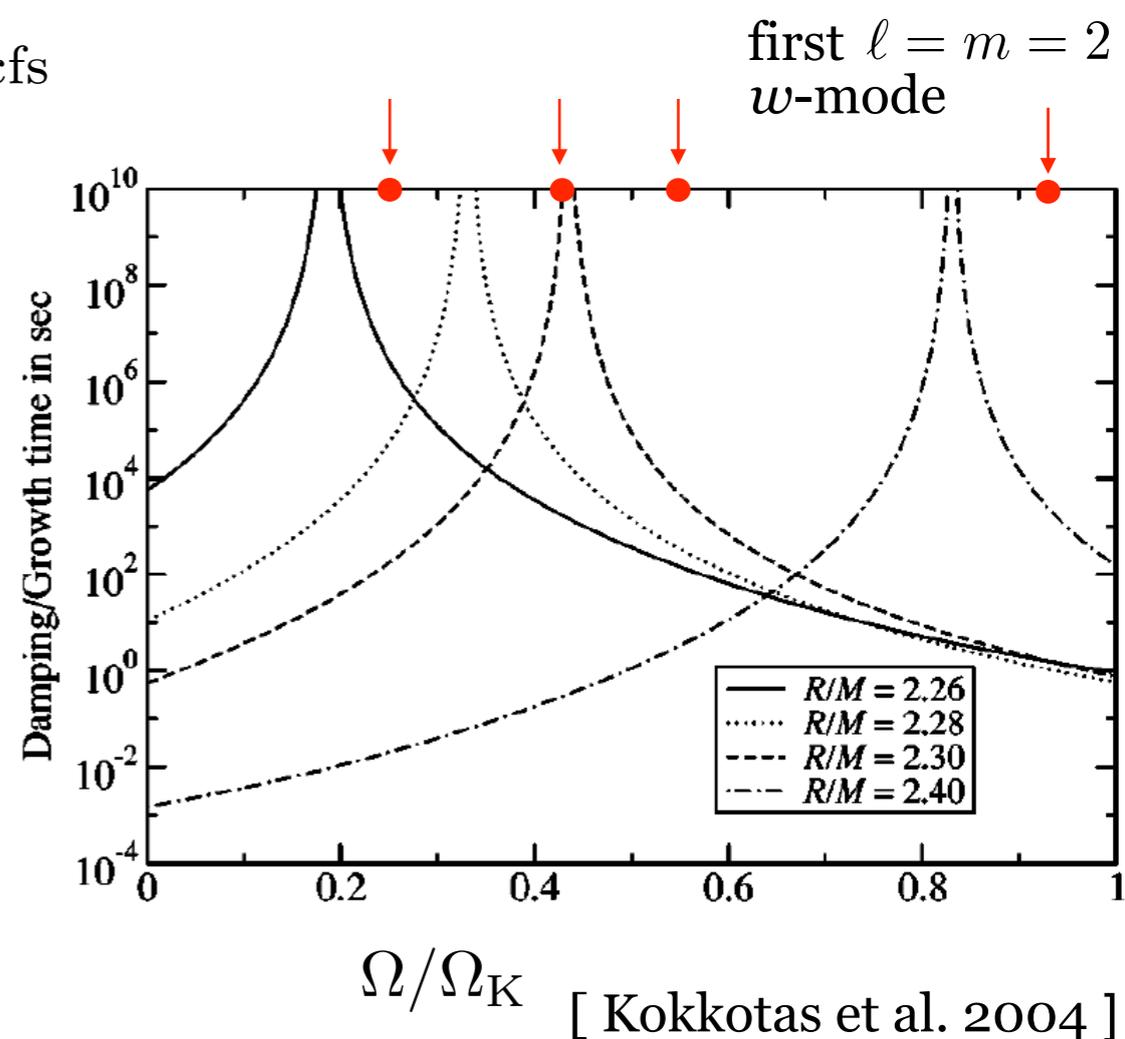
$$\text{Re}(\omega) \approx \left( \ell + \frac{1}{2} \right) \Omega_{\text{ph}} \quad M\Omega_{\text{ph}} = C^{3/2}(4 - 9C)^{1/2} \quad \text{where} \\ C = M/R$$

- The CFS instability criterion is:  $\text{Re}(\omega) = m\Omega_{\text{cfs}}$

$$\Rightarrow \Omega_{\text{cfs}} \approx \left( 1 + \frac{1}{2m} \right) \frac{C^{3/2}}{M} (4 - 9C)^{1/2}$$

this approximate formula is in reasonable agreement with the exact results (figure)

- The  $\omega$ -mode CFS instability is nothing more but the previously known “*ergoregion instability*”. It always sets in *after* an ergoregion has been formed.



# Theory assignments

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- Here we compile a (personally biased and far from complete) list of remaining problems relevant to the topic of this lecture.
- *r*-mode instability:
  - Detailed modelling of magnetic crust-core boundary (thickness, superfluids)
  - Resonances between the *r*-mode and other modes in superfluid NSs.
  - Full non-linear analysis of *r*-mode-driven magnetic field wind-up.
  - Lagrangian CFS theory for 2-stream *r*-mode instabilities.
- *f*-mode instability:
  - GR calculations without the Cowling approximation.
  - GR calculation of saturation amplitude.
  - “Realistic” spin-temperature evolutions for post-merger NSs.

# *Epilogue: a violin score*



[ Cover and epilogue figures  
courtesy of P. Pnigouras]

This is the GW “sound” of a supra-massive NS evolving through the instability window of its quadrupolar  $f$ -mode, starting from a Kepler limit rotation until it collapses to a BH.